



# **Earth System Models and their Ecological Ambitions**

Rosie Fisher

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# Overview

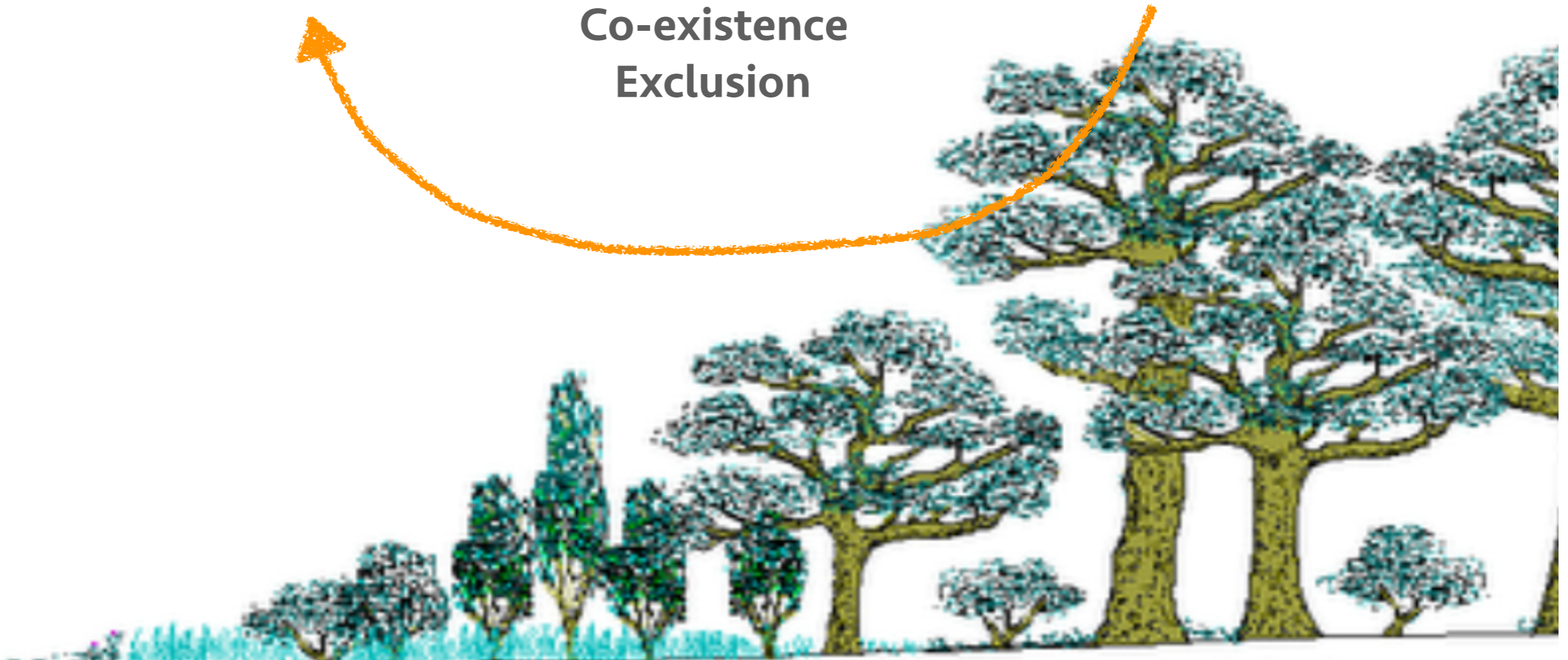
- What is Ecosystem Demography?
- Science Applications with ED
  - “Taking off the training wheels”
  - Drought trade-off study
- What is FATES? Future progress on ED

# Fundamental ecological system

**Recruitment**

**Growth  
Competition  
Co-existence  
Exclusion**

**Mortality**

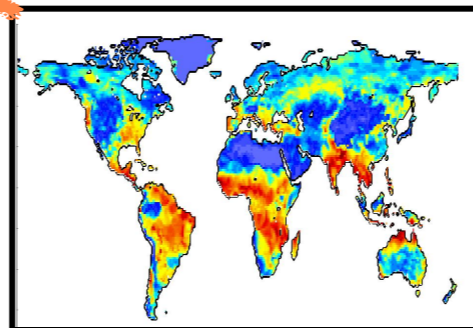
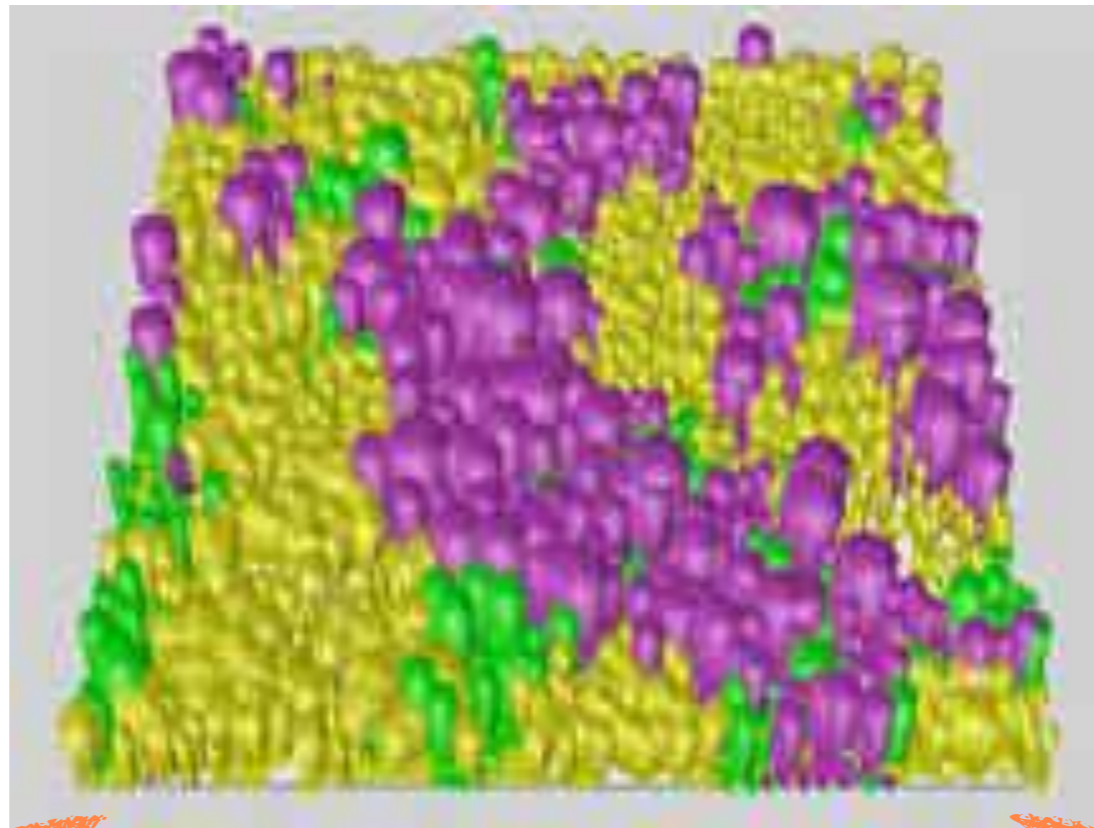


# 'Gap' Models

(e.g. SORTIE, LPJ-GUESS, SEIB, aDGVM)

- **PROS**

- Individual Based
- 3D light environment
- Simulates: competition recruitment disturbance



- **CONS**

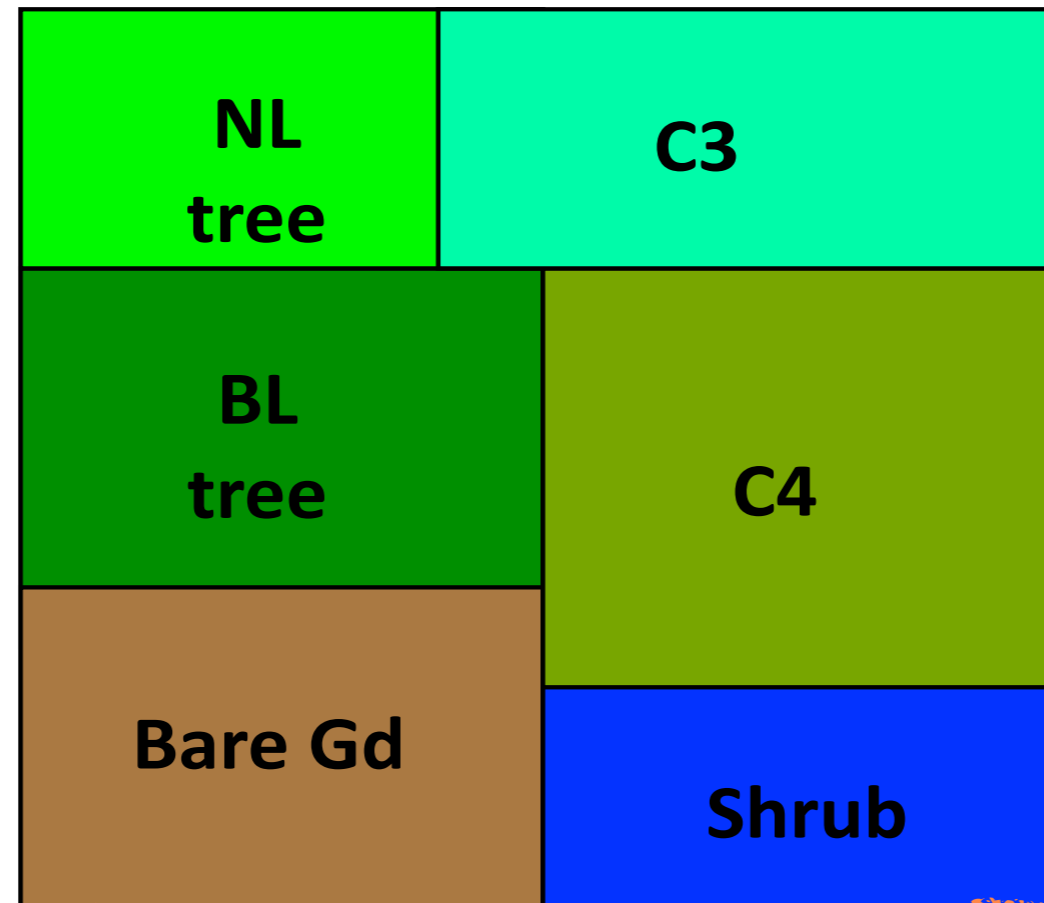
- Stochastic processes...
- Computationally intensive
- Long timesteps
- Inappropriate for climate simulations?

# 'Area-based' Models

(e.g. CLM, TRIFFID, LPJ, IBIS - models used in IPCC assessments)

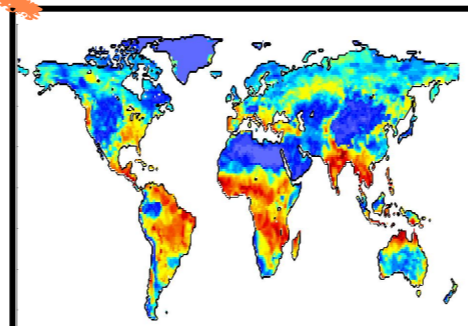
- **PROS**

- Deterministic
- Computationally efficient
- Default in ESM's



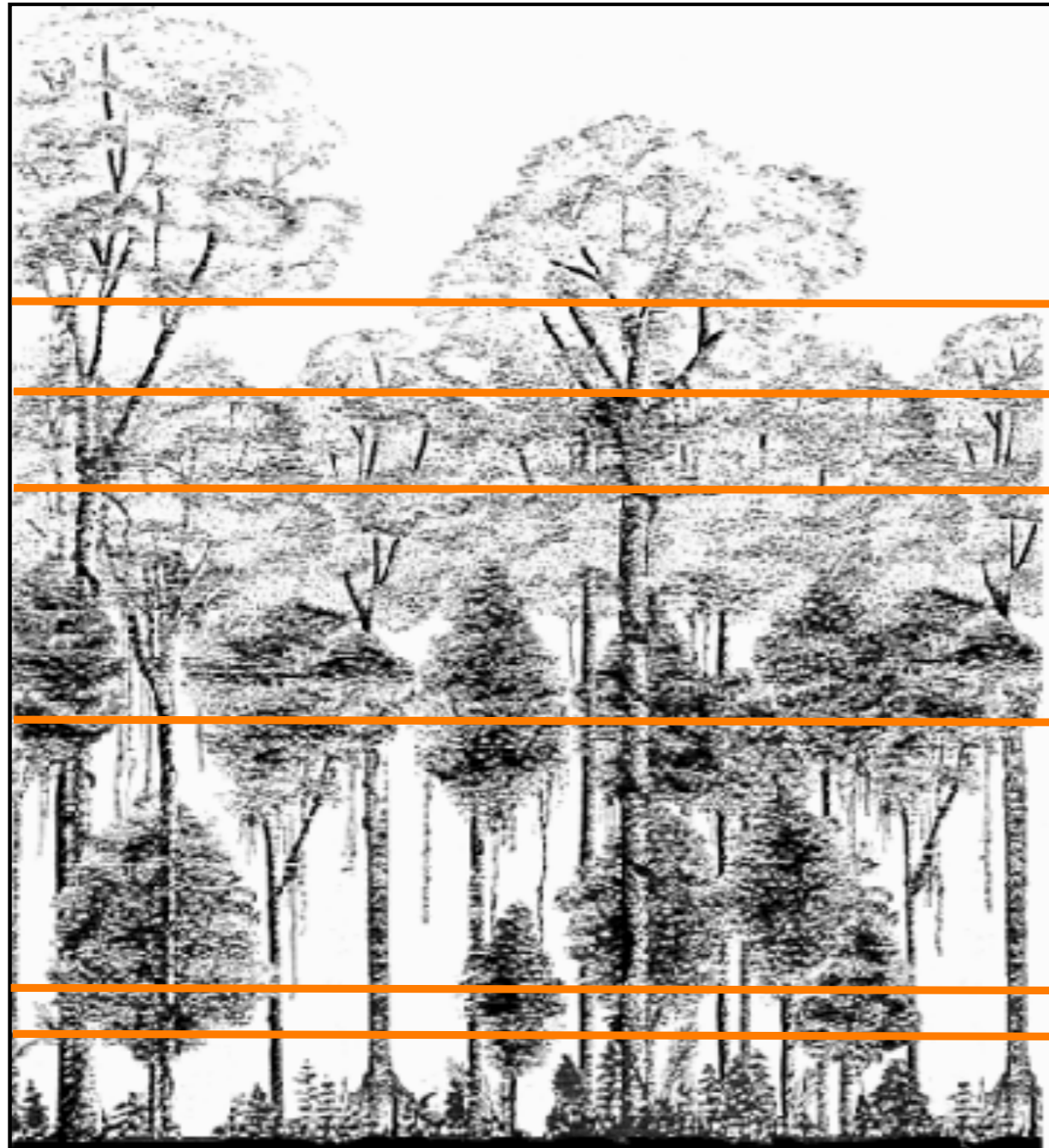
- **CONS**

- 1 'average tree' per plant type
- No height structure.
- No light competition



# Ecosystem Demography Model (ED)

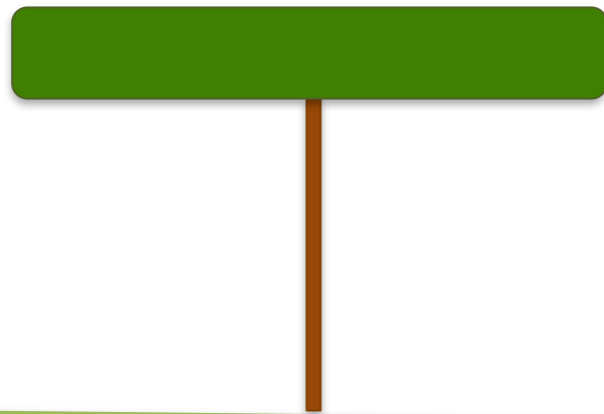
Moorcroft, Hurtt and Pacala. 2001



- 'Cohorts' of trees:,  
grouped according to:
  - Plant type
  - Height
  - Successional stage

# 'Cohort-based' Models as intermediate solutions

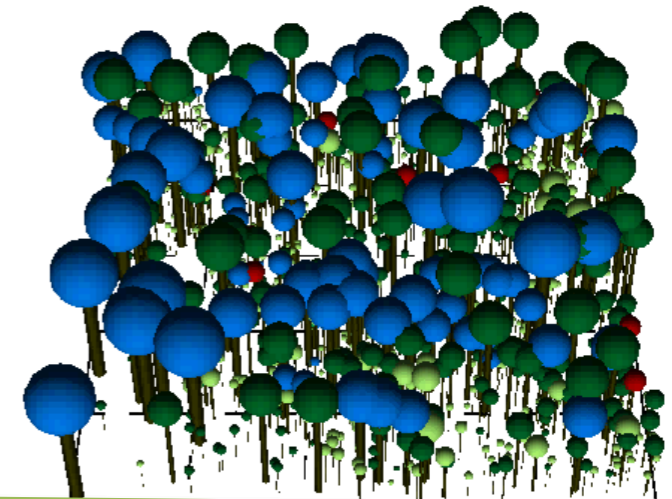
Big Leaf Model



Cohort model

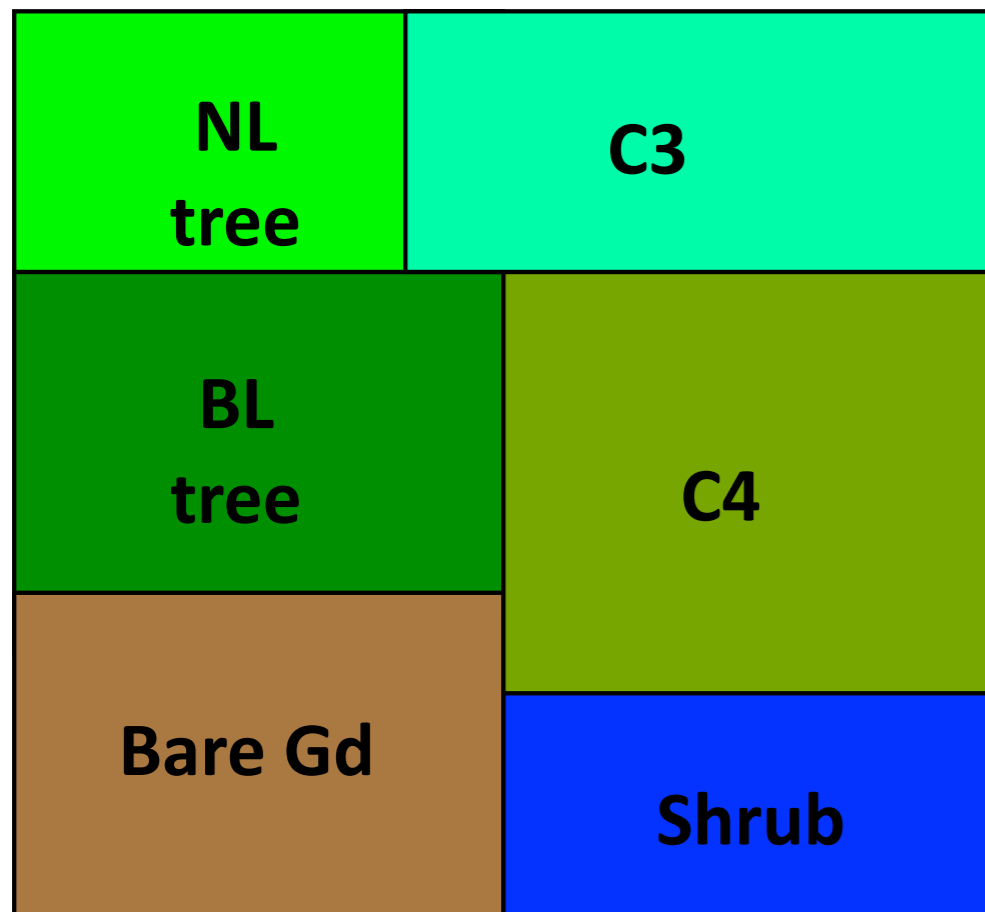


Stochastic Individual Model

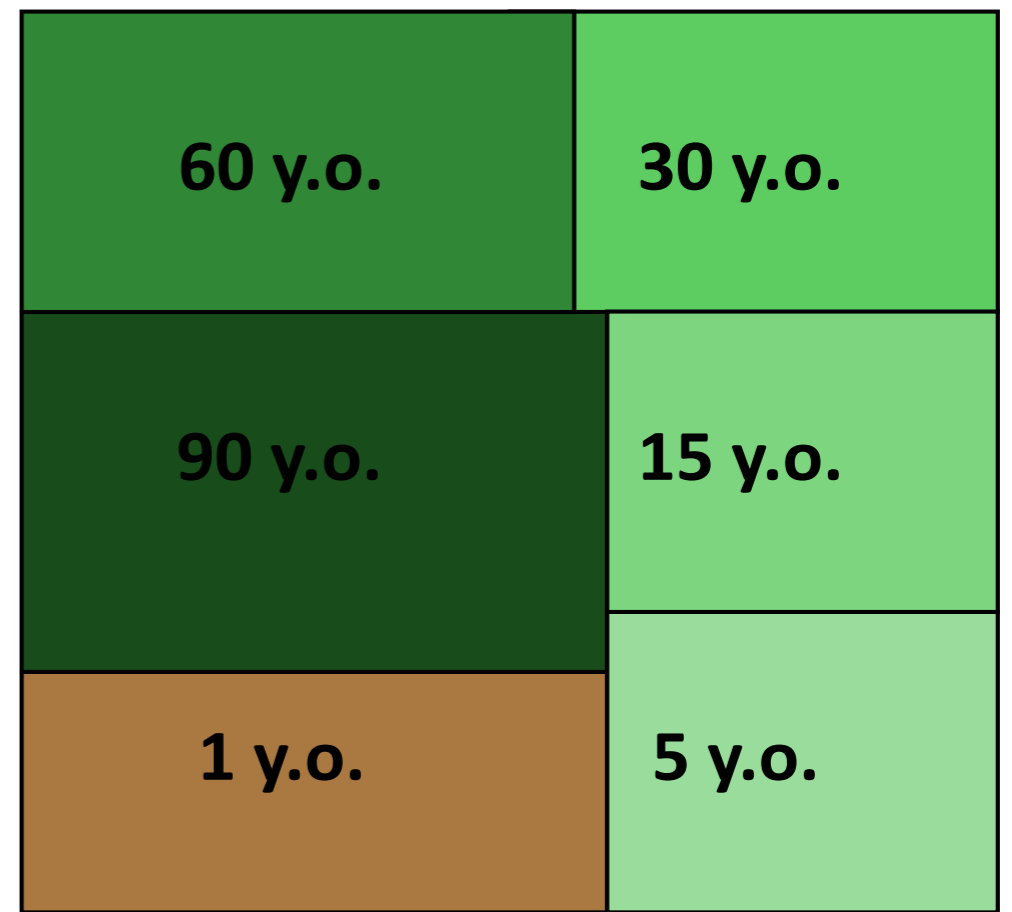


# Discretization of the land surface

Plant functional type  
based structure



'Time since disturbance'  
based structure



Resolves variation along  
successional axis



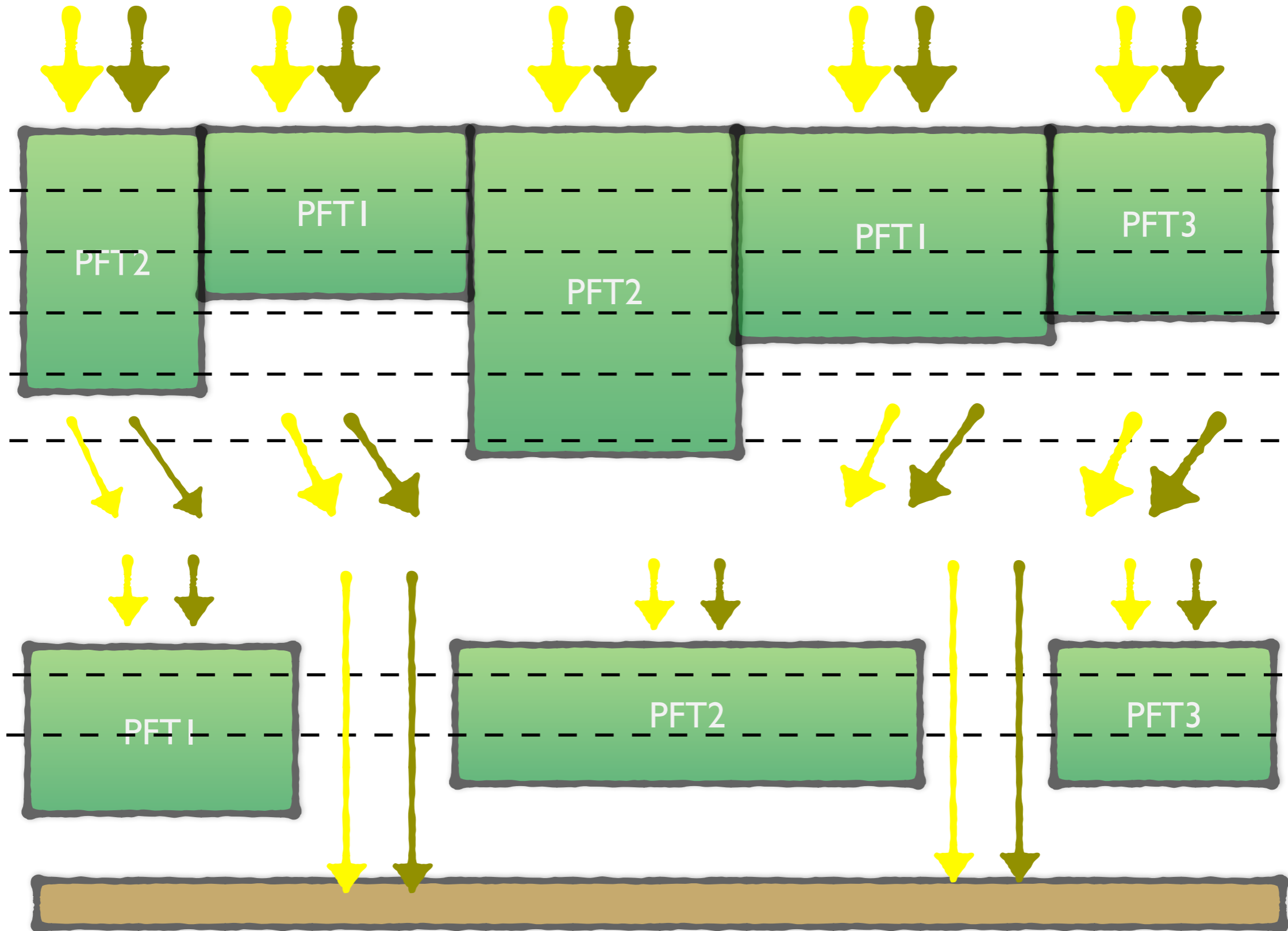
# Merits of ED approach

- Efficient simulations of ecological dynamics
- Spatial heterogeneity in light environment:
  - Possibility of co-existence along successional gradient
- Link to observations of forest demography
- Simulate impact of disturbance (fire, landuse, mortality).

How does this actually work?

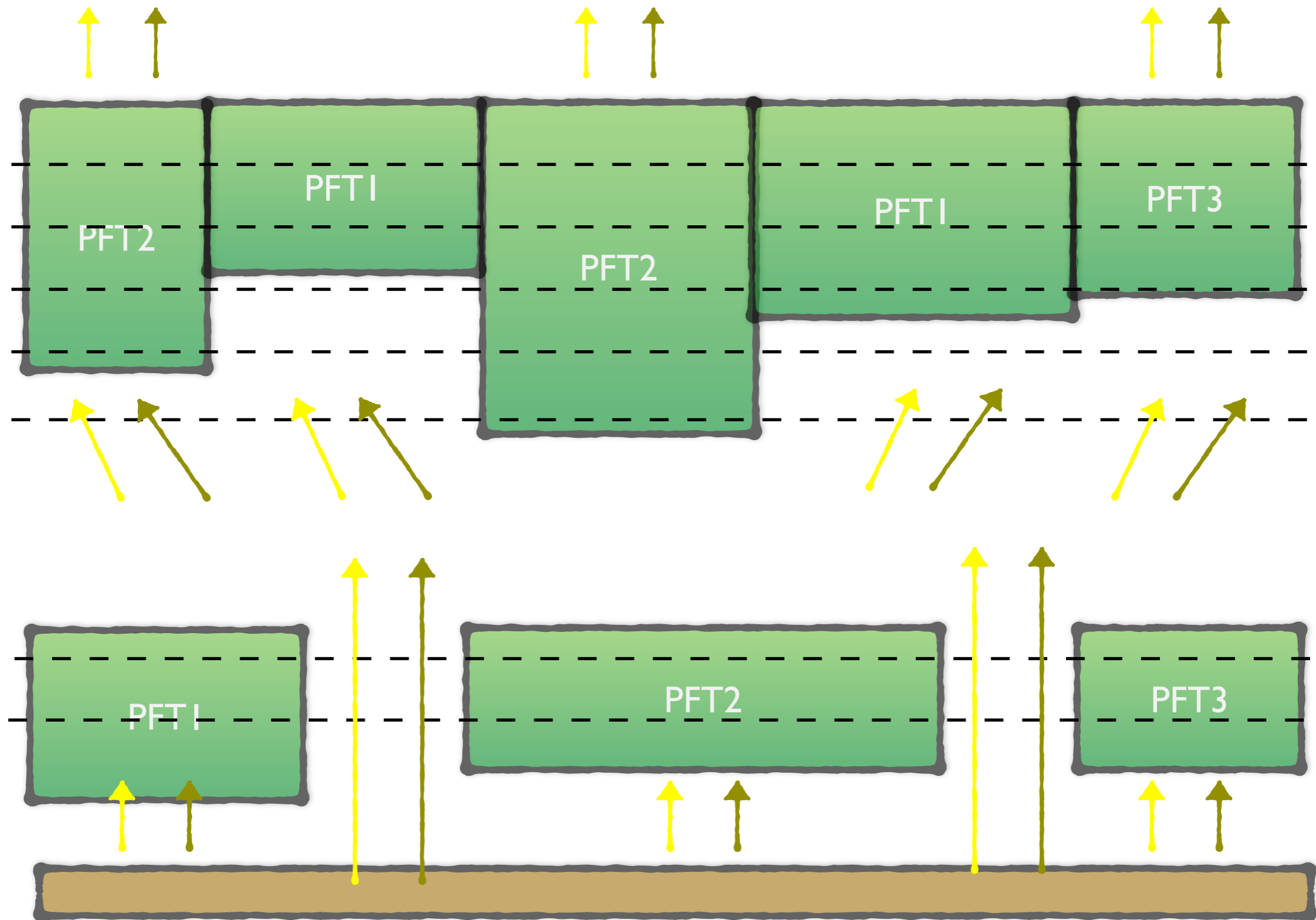
# Norman Radiation Scheme as applied to a mixed PFT canopy in CLM(ED)

direct diffuse



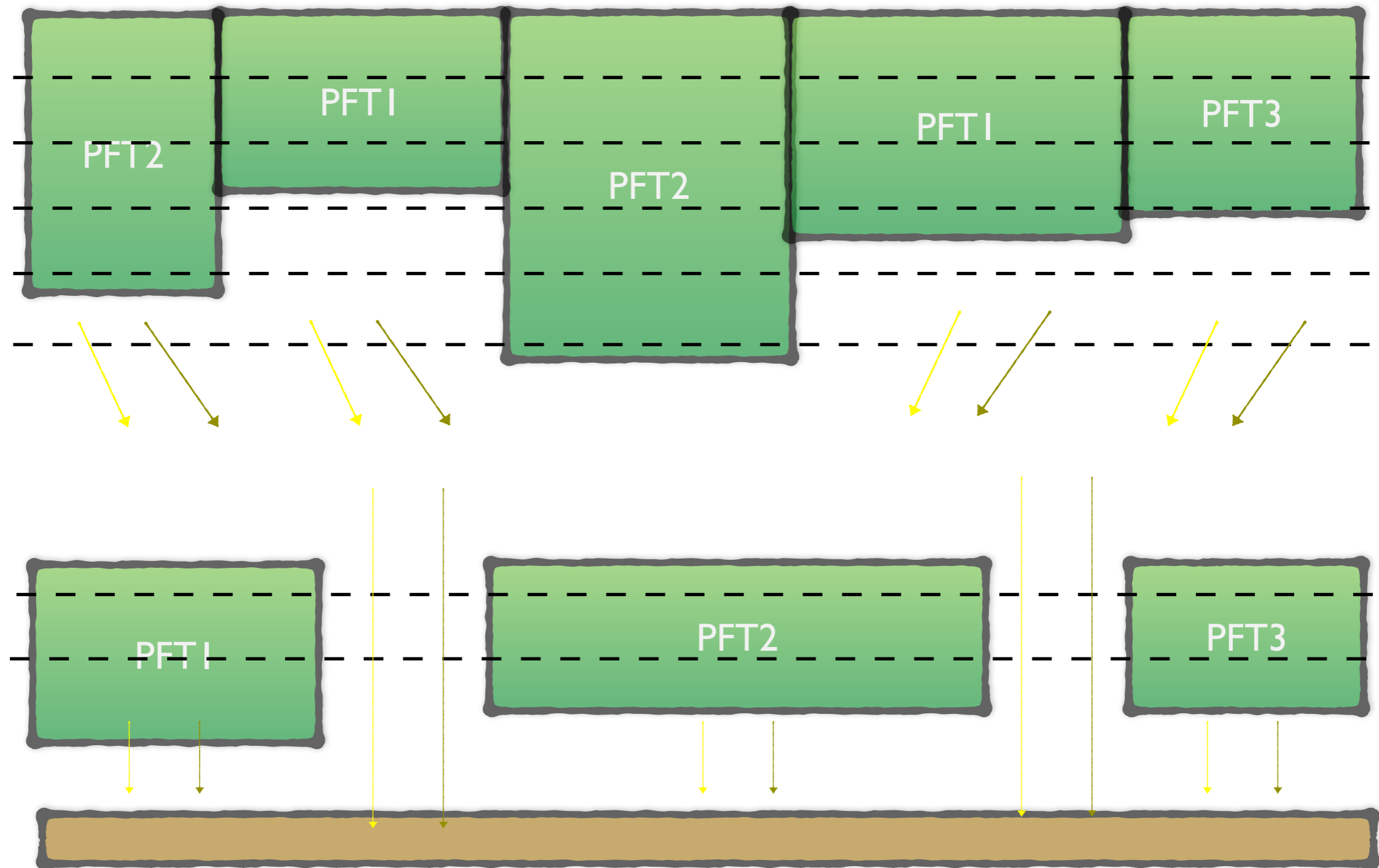
# Norman Radiation Scheme as applied to a mixed PFT canopy in CLM(ED)

direct diffuse

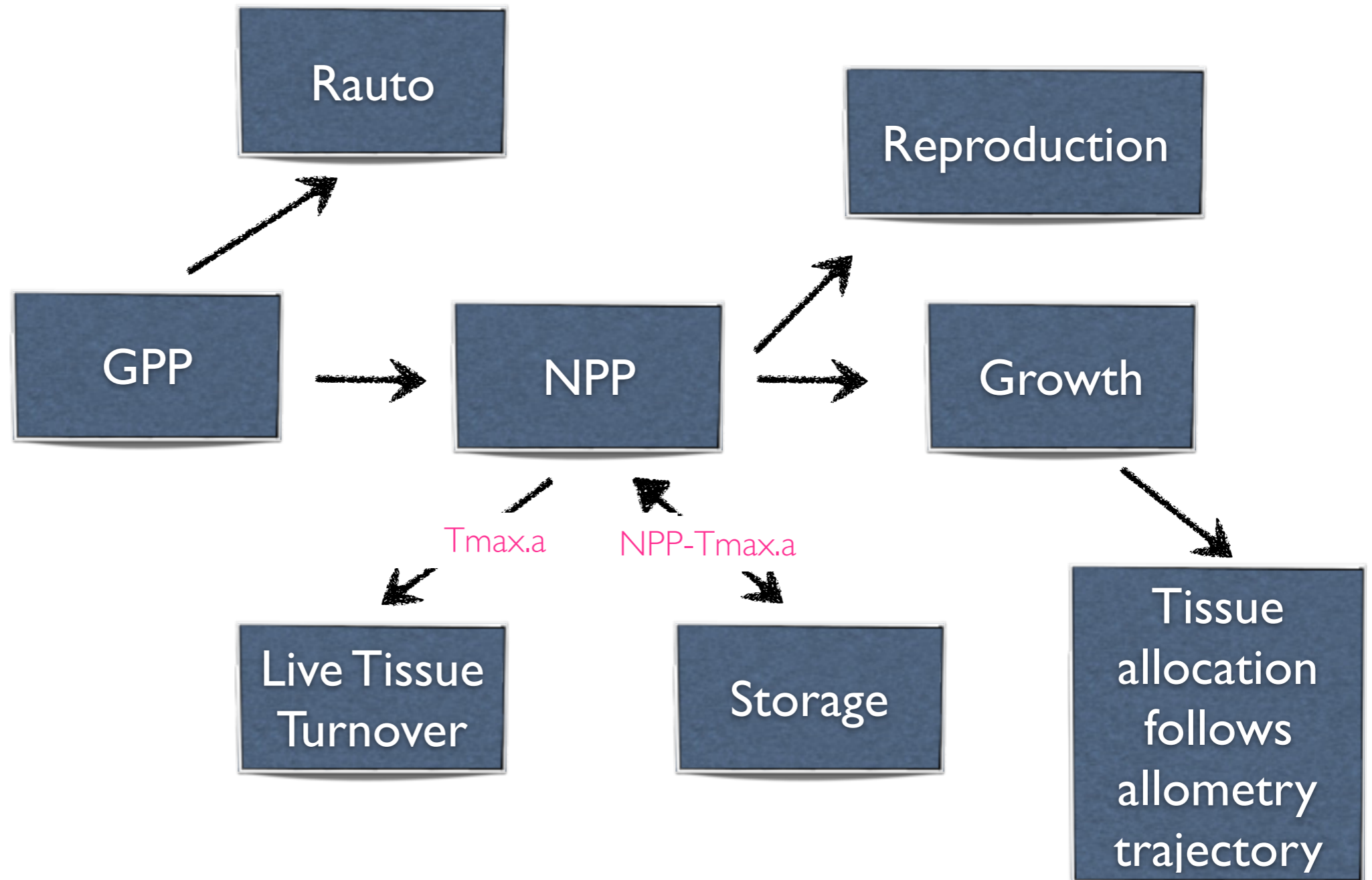


# Norman Radiation Scheme as applied to a mixed PFT canopy in CLM(ED)

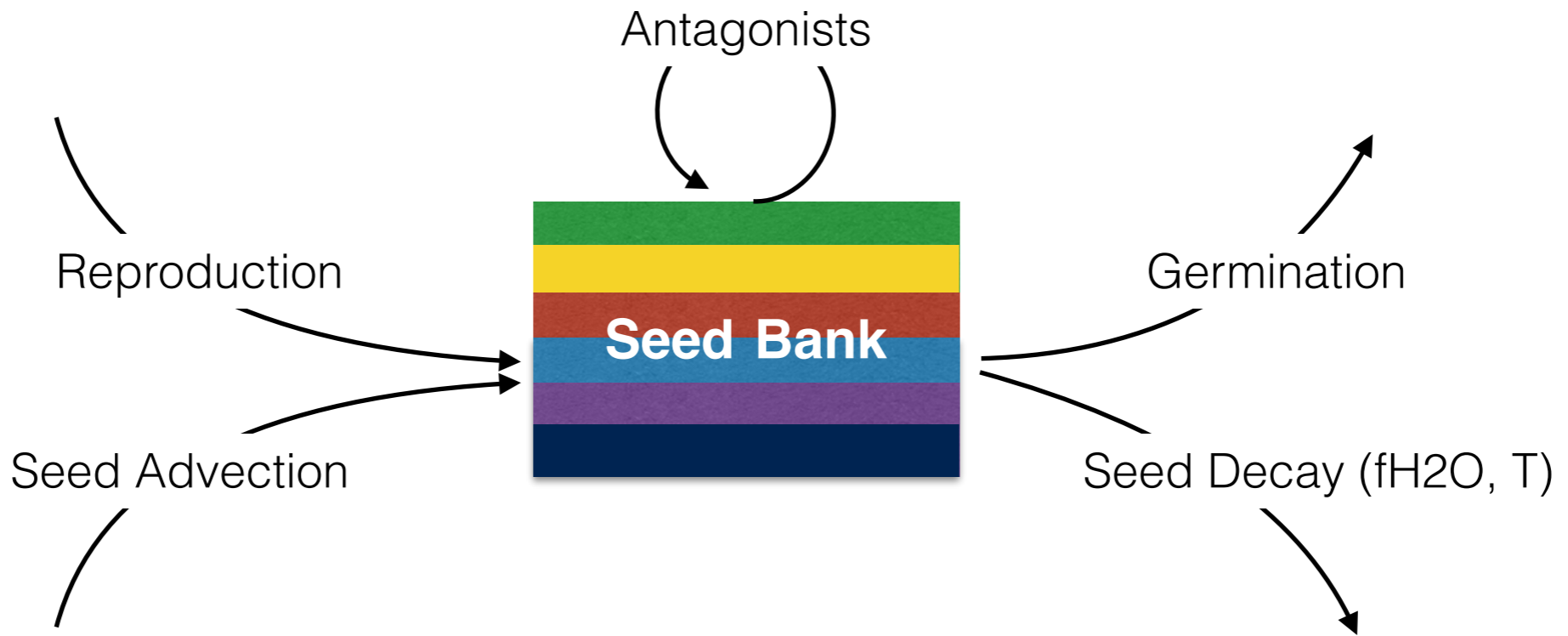
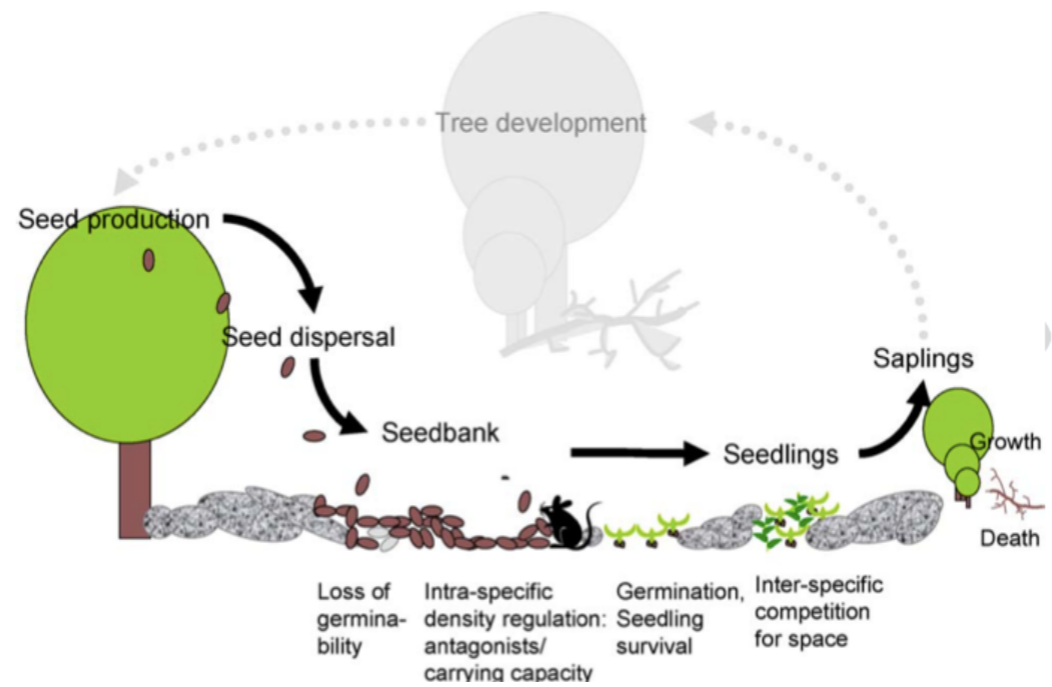
direct diffuse



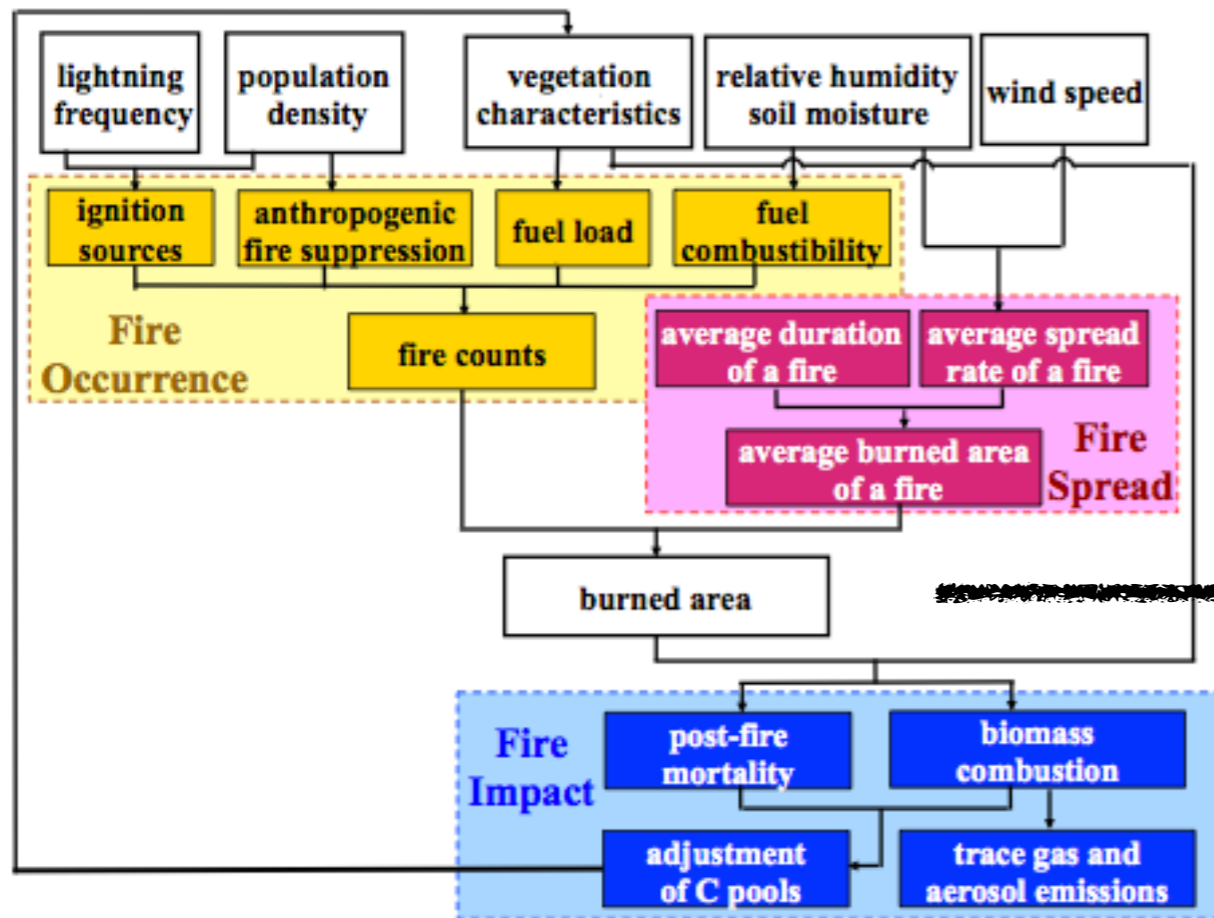
# Leaf/Storage balance allocation scheme



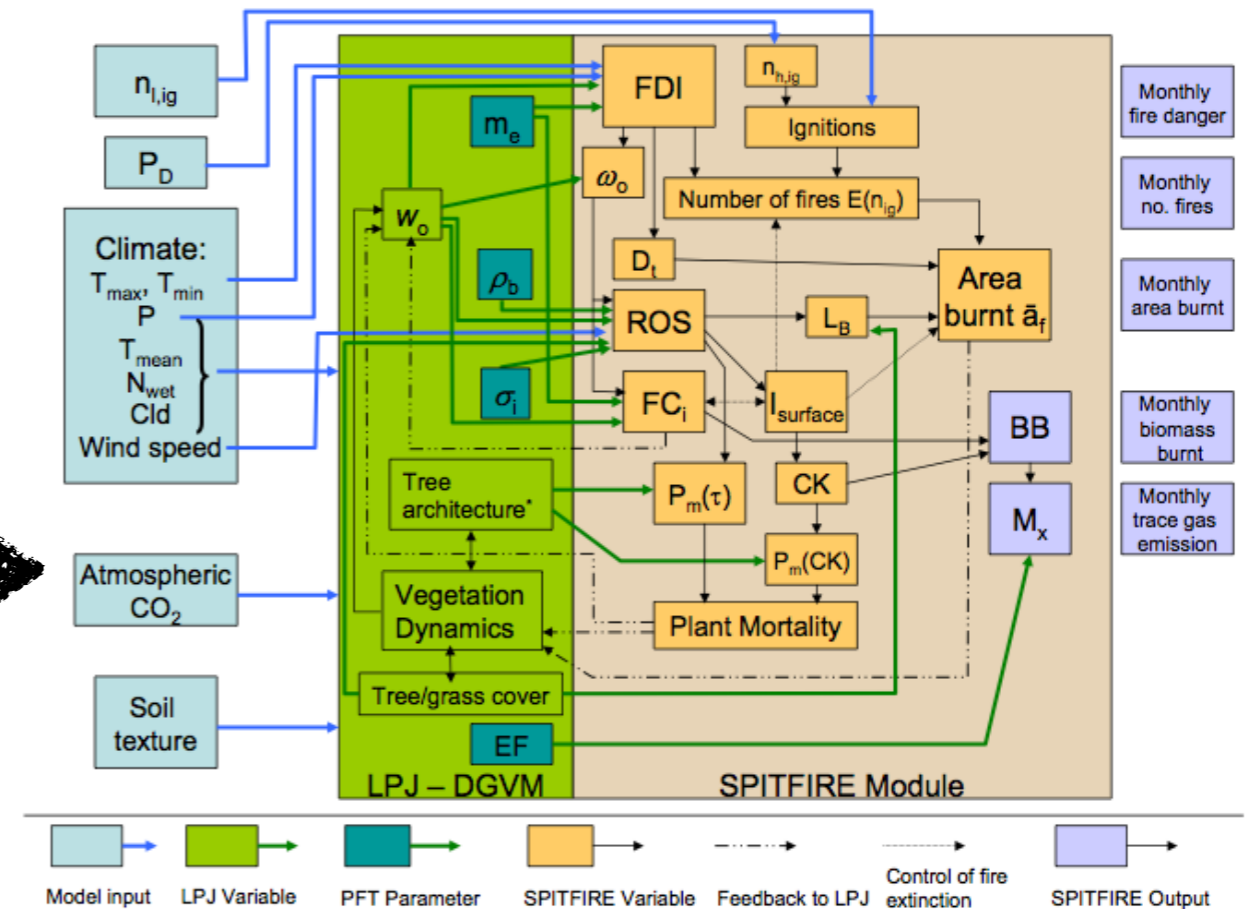
# Seed Bank Model...



# FIRE



CLM4.5 fire: Li et al. 2012



SPITFIRE: Thonicke et al. 2010

- Collaboration with Allan Spessa (Open Univ.) and Mathew Forest (Goethe Univ. Frankfurt)
- Agricultural, land use and peat fires and ignitions need to interface with the Li & Levis CLM4.5 fire model.
- Numerous modifications required to SPITFIRE implemented to allow size-structured fire impacts



This discussion paper is/has been under review for the journal Geoscientific Model Development (GMD). Please refer to the corresponding final paper in GMD if available.

# Taking off the training wheels: the properties of a dynamic vegetation model without climate envelopes

R. A. Fisher<sup>1</sup>, S. Muszala<sup>1</sup>, M. Verteinstein<sup>1</sup>, P. Lawrence<sup>1</sup>, C. Xu<sup>2</sup>,  
N. G. McDowell<sup>2</sup>, R. G. Knox<sup>3</sup>, C. Koven<sup>3</sup>, J. Holm<sup>3</sup>, B. M. Rogers<sup>4</sup>, D. Lawrence<sup>1</sup>,  
and G. Bonan<sup>1</sup>



# ...WHAT TRAINING WHEELS?

## Paradigm:

In Earth System Models, vegetation climate limits are a function of simple climate variables, defined from current distributions

**Climate envelope parameterization**  
from Lund-Potsdam-Jena (LPJ) DGVM  
(vegetation cannot survive outside limits)

Used in:

ORCHIDEE (IPSL), CTEM (CanESM)  
SEIB (MIROC-ESM), CLM-DV (CESM)

Plant Functional Type	Temp coldest month (°C)	Temp hottest month (°C)	Growing Degree Days (°C)
Tropical broad-leaved evergreen	15.5	–	–
Tropical broad-leaved raingreen	15.5	–	–
Temperate needle-leaved evergreen	–2.0	22.0	900
Temperate broad-leaved evergreen	3.0	18.8	1200
Temperate broad-leaved summergreen	–17.0	15.5	1200
Boreal needle-leaved evergreen	–32.5	–2.0	600
Boreal needle-leaved summergreen	–	–2.0	350
Boreal broad-leaved summergreen	–	–2.0	350
Temperate herbaceous (TeH)	–	15.5	–
Tropical herbaceous (TrH)	15.5	–	–

## Problem of extrapolation

Vegetation climate limits might change as CO<sub>2</sub> increases

Not clear what to do in no-analogue climates.

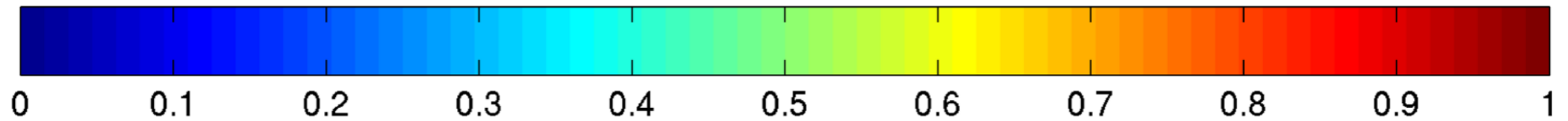
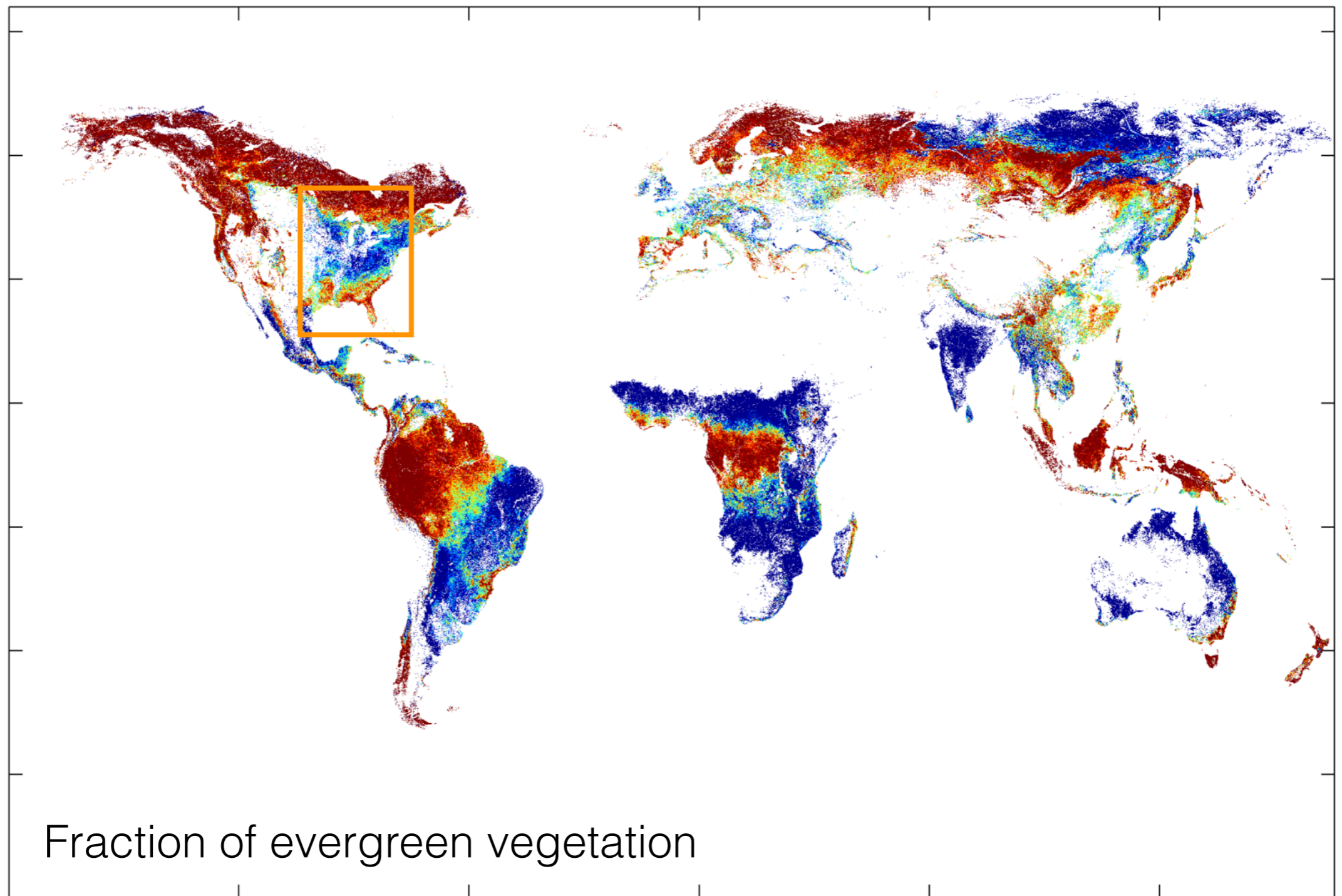
# HOW TO PROCEED?

The Ecosystem Demography model\* we have integrated into the Community Land Model - CLM4.5(ED):

- Has no climatic envelopes
- Can be parameterized directly from plant trait data
- Predicts plant distribution as an outcome of performance
- **We can in theory use CLM(ED) for testing hypotheses of vegetation distribution.**

\*Moorcroft et al. 2001; Fisher et al. 2010; Fisher et al. GMDD 2015

# What can we observe about vegetation distribution?



# The worldwide leaf economics spectrum

Ian J. Wright<sup>1</sup>, Peter B. Reich<sup>2</sup>, Mark Westoby<sup>1</sup>, David D. Ackerly<sup>3</sup>, Zdravko Baruch<sup>4</sup>, Frans Bongers<sup>5</sup>, Jeannine Cavender-Bares<sup>6</sup>, Terry Chapin<sup>7</sup>, Johannes H. C. Cornelissen<sup>8</sup>, Matthias Diemer<sup>9</sup>, Jaume Flexas<sup>10</sup>, Eric Garnier<sup>11</sup>, Philip K. Groom<sup>12</sup>, Javier Gulias<sup>10</sup>, Kouki Hikosaka<sup>13</sup>, Byron B. Lamont<sup>12</sup>, Tali Lee<sup>14</sup>, William Lee<sup>15</sup>, Christopher Lusk<sup>16</sup>, Jeremy J. Midgley<sup>17</sup>, Marie-Laure Navas<sup>11</sup>, Ülo Niinemets<sup>18</sup>, Jacek Oleksyn<sup>2,19</sup>, Noriyuki Osada<sup>20</sup>, Hendrik Poorter<sup>21</sup>, Pieter Poort<sup>22</sup>, Lynda Prior<sup>23</sup>, Vladimir I. Pyankov<sup>24</sup>, Catherine Roumet<sup>11</sup>, Sean C. Thomas<sup>25</sup>, Mark G. Tjoelker<sup>26</sup>, Erik J. Veneklaas<sup>22</sup> & Rafael Villar<sup>27</sup>

LEAF CONSTRUCTION HAS A  
3-WAY TRADE OFF:

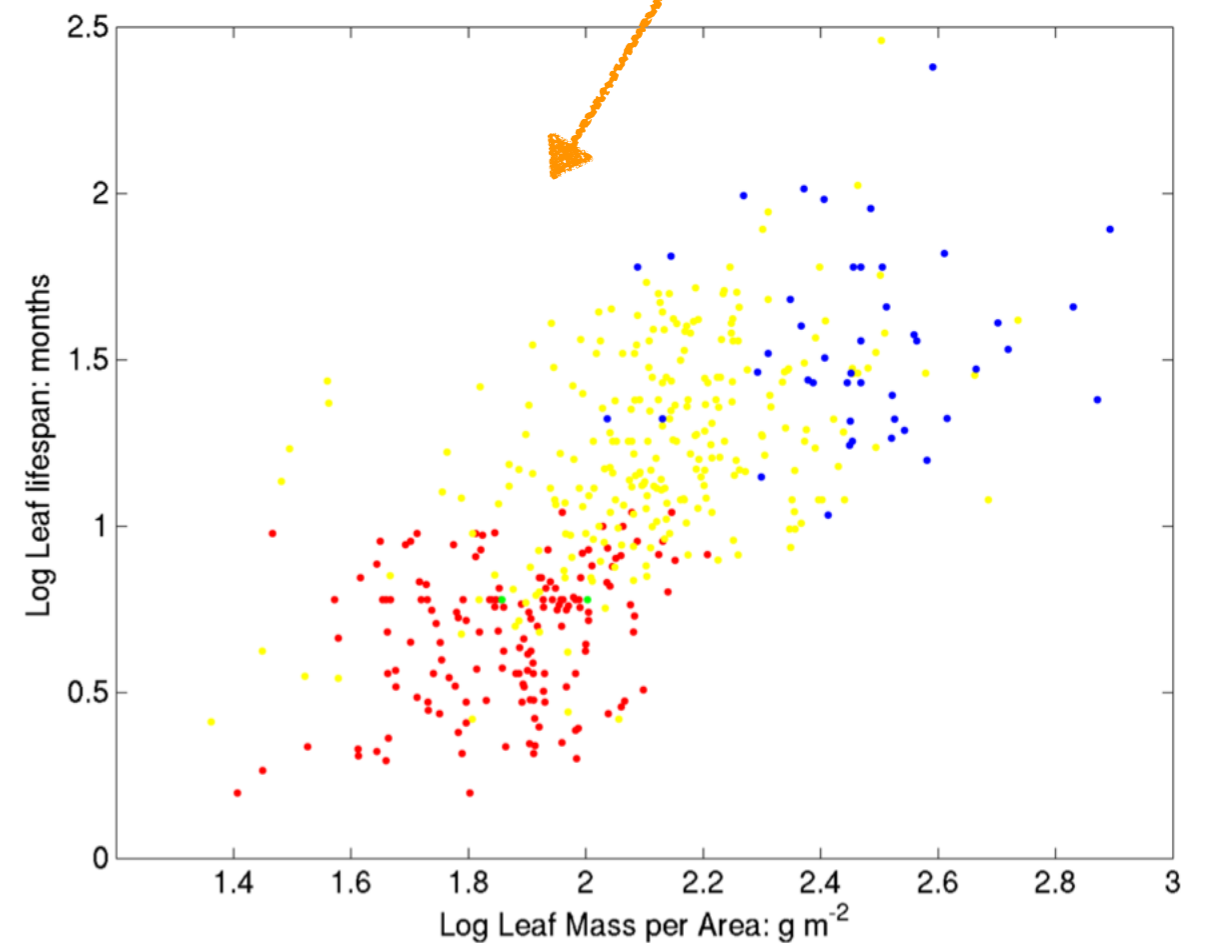
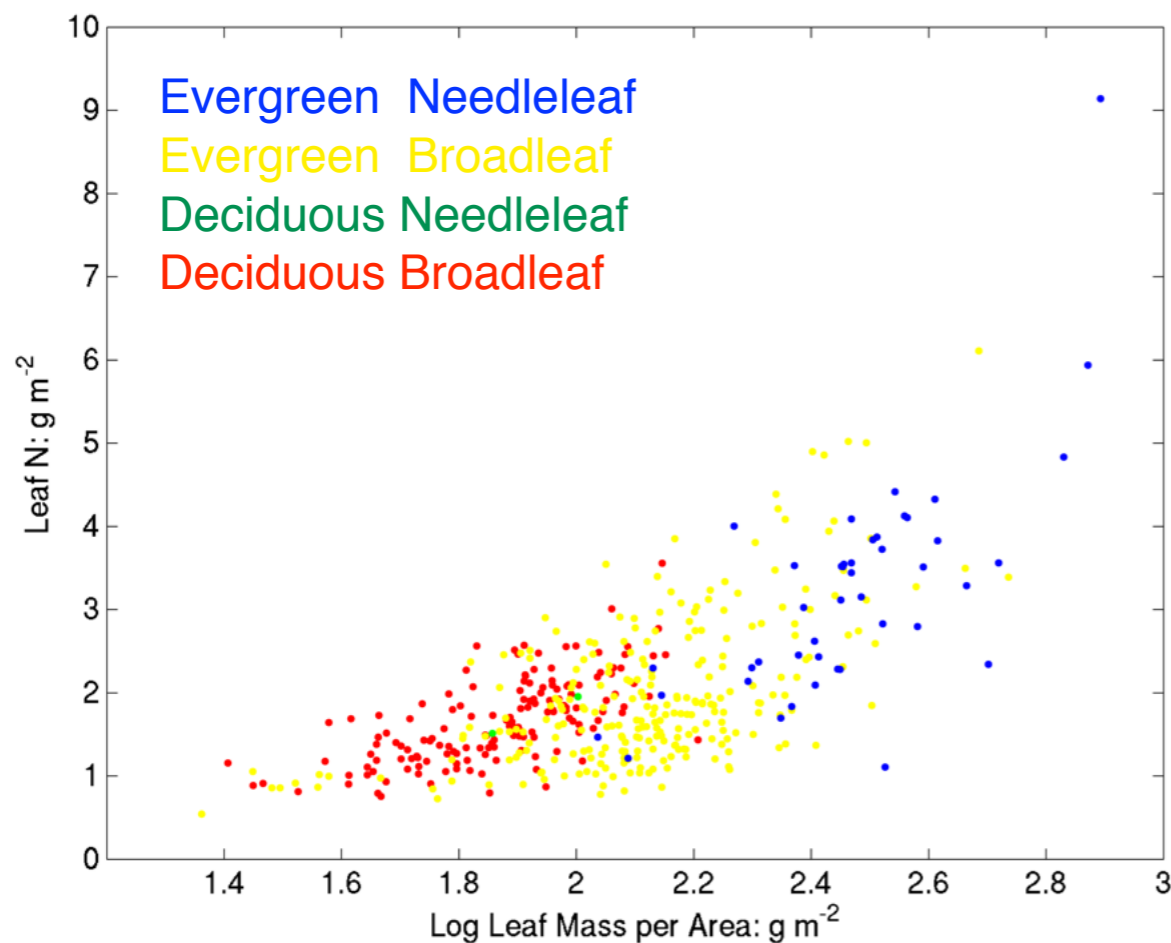
Leaf mass per area (cost)

vs.

Leaf Lifespan (durability)

vs.

Leaf Nitrogen per area (performance)



(One) Hypothesis: The relative carbon economy of deciduous vs. evergreen habits can predict biome boundaries

# Next-generation dynamic global vegetation models: learning from community ecology

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**Simon Scheiter<sup>1</sup>, Liam Langan<sup>2</sup> and Steven I. Higgins<sup>2</sup>**

<sup>1</sup>Biodiversität und Klima Forschungszentrum (LOEWE BiK-F), Senckenberg Gesellschaft für Naturforschung, Senckenberganlage 25, D-60325, Frankfurt am Main, Germany; <sup>2</sup>Institut für Physische Geographie, Goethe-Universität Frankfurt am Main, Altenhöferallee 1, D-60438, Frankfurt am Main, Germany

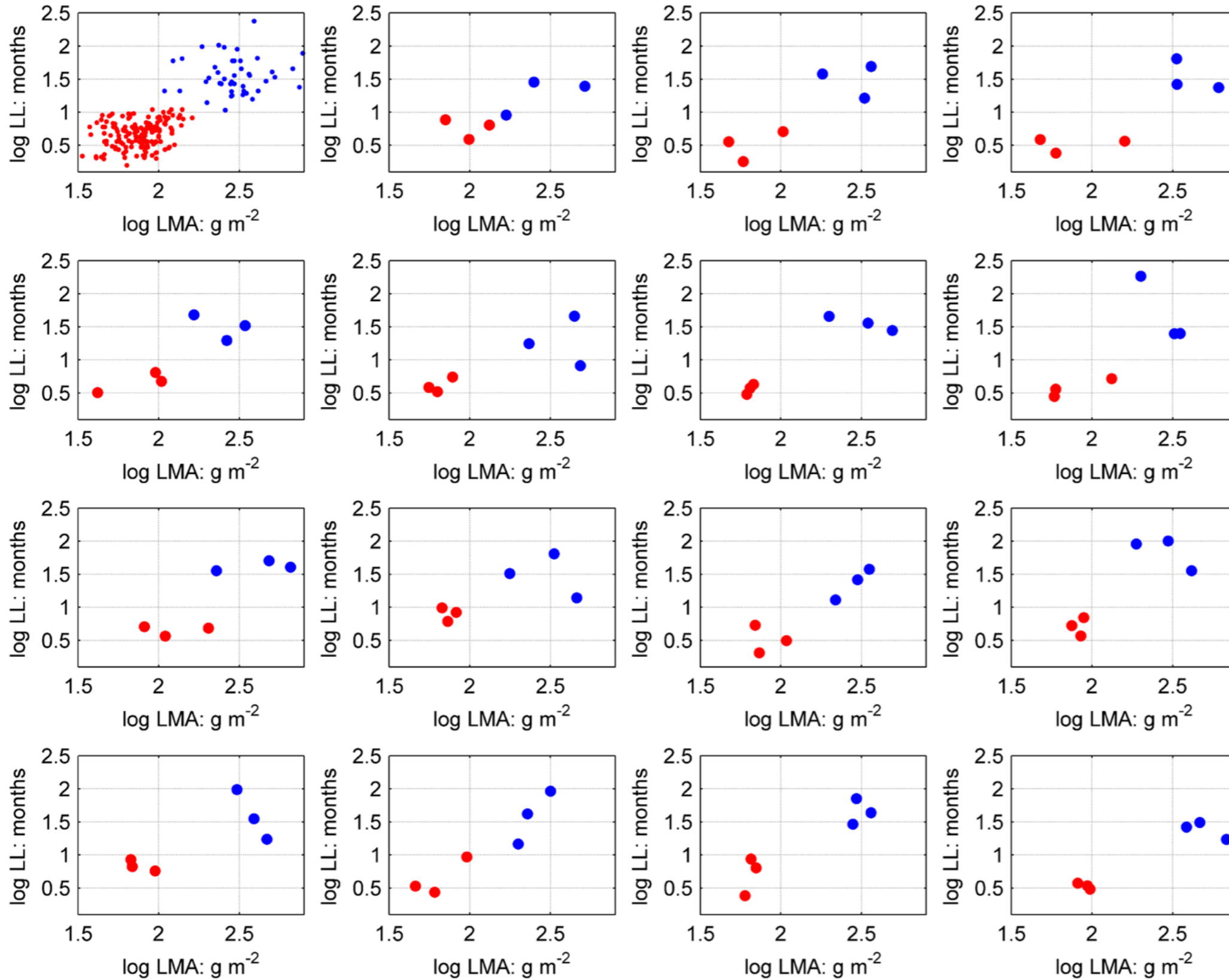
“The major task for the developer of the kind of DGVM we are proposing is to conceptualize and parameterize life-history tradeoffs.”

Evergreen Needleleaf  
Deciduous Broadleaf

Question: Does how you sample the trait space matter?

Obs

Leaf Lifespan



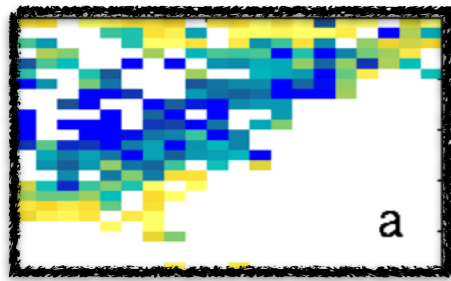
Leaf Cost



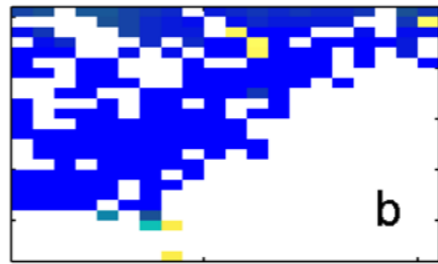
Obs

Answer: Yes!

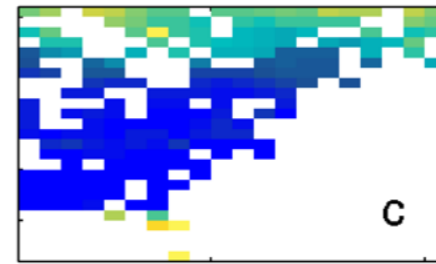
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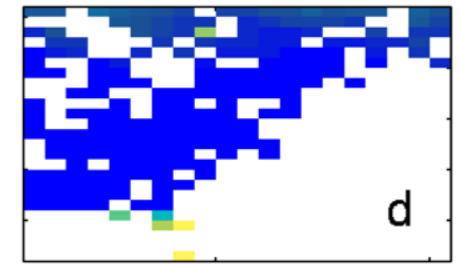
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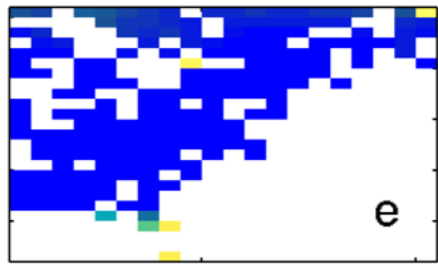
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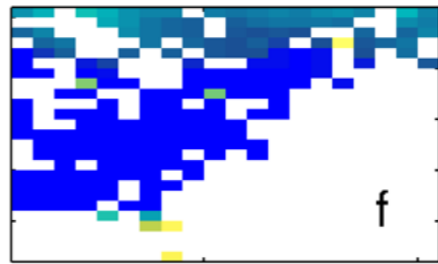
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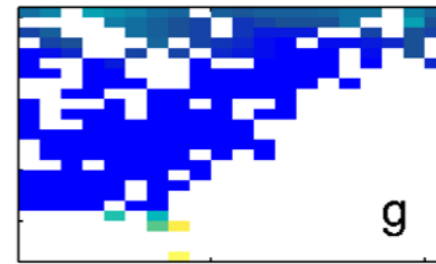
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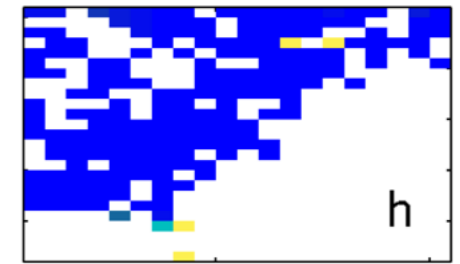
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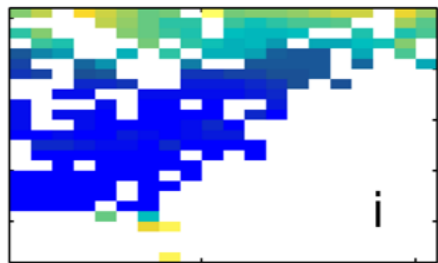
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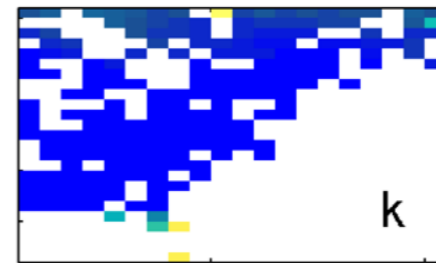
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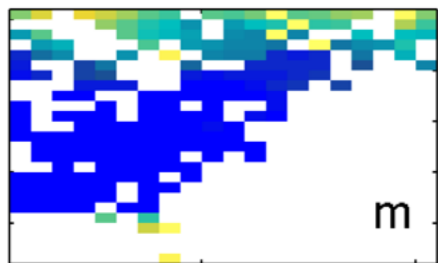
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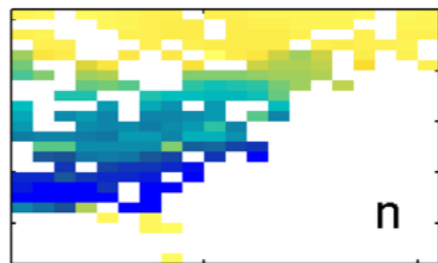
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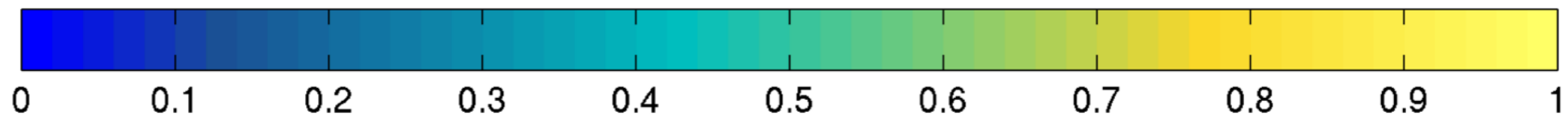
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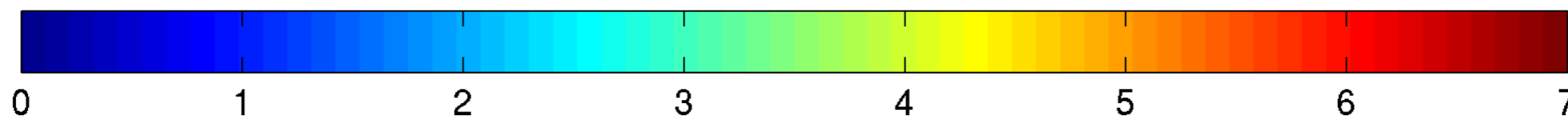
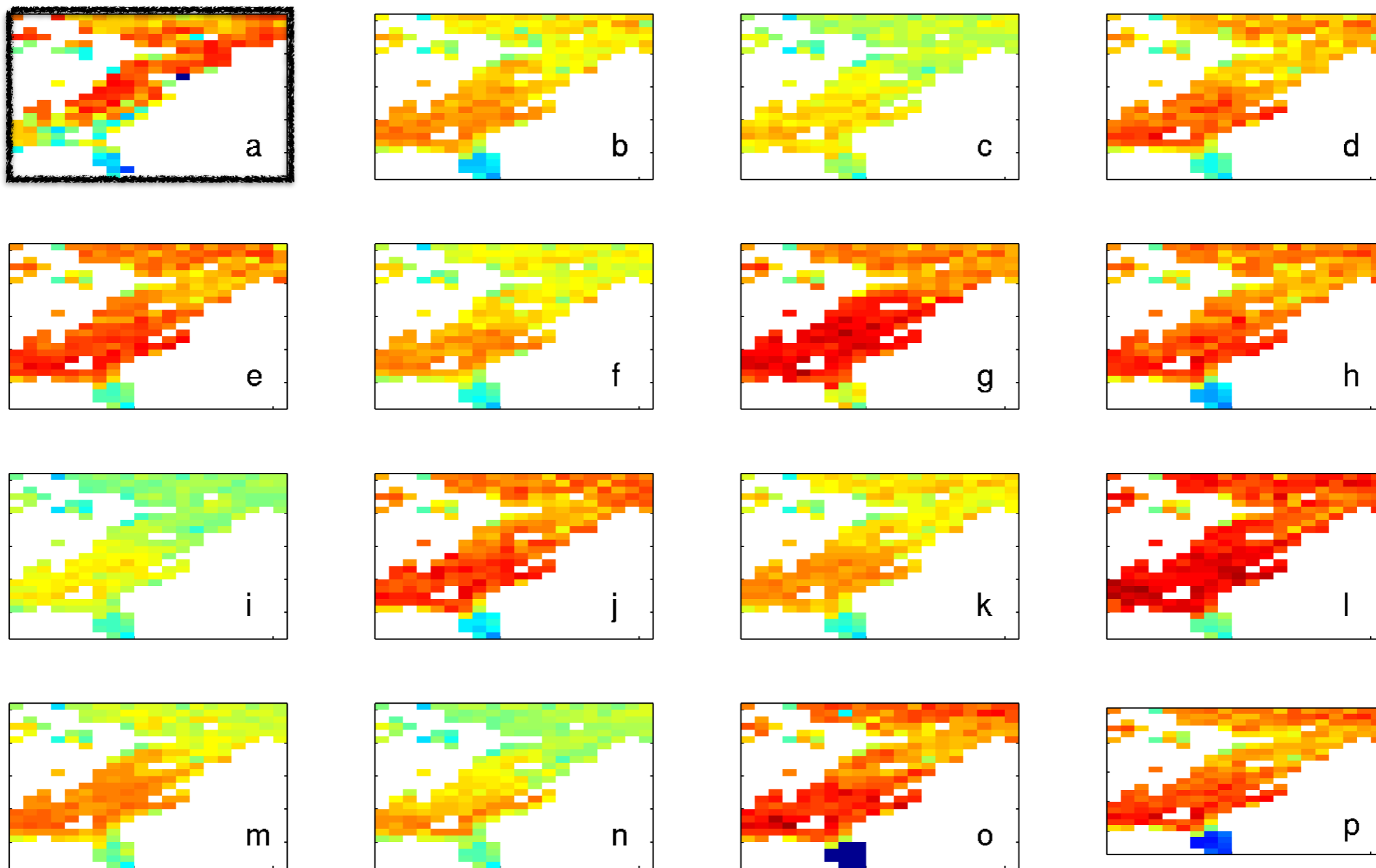
p



Fraction of evergreen vegetation

Obs

*CONTROL*  
*+ ALLOC*



Total Leaf Area Index

# LEAF MAINTENANCE RESPIRATION

CLM4.5 (RYAN ET AL, 1991)

$$l_{mr_{top,25}} = N_{area} \cdot b_{resp}$$

$$= 0.257 \text{ gC gN}^{-1} \text{ s}^{-1}$$

CLM4.5(ED) (ATKIN ET AL. 2015)

for BDT

$$\log_{10}(l_{mr_{top,25,BDT}}) = \log_{10}(N_{area}) \cdot 1.134 - 0.300$$

$$\sim 0.536 \text{ gC gN}^{-1} \text{ s}^{-1}$$

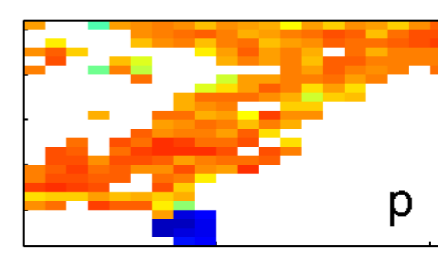
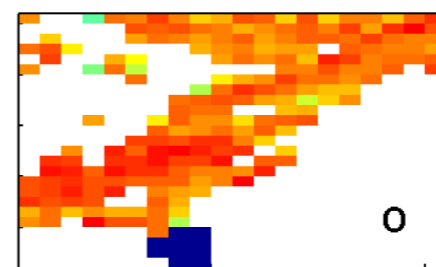
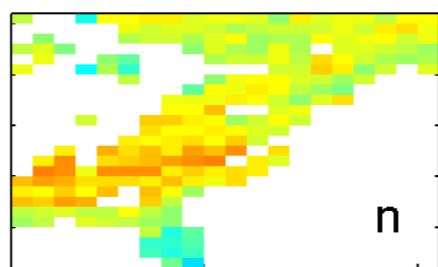
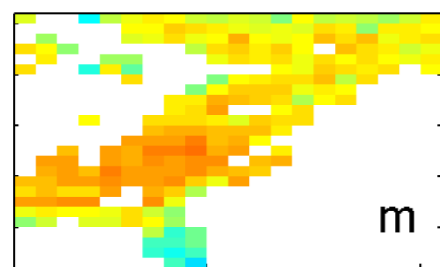
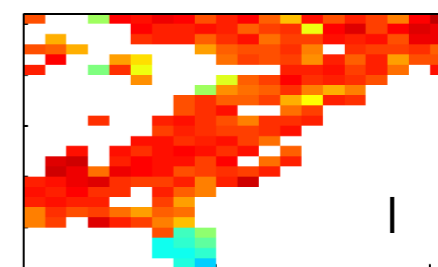
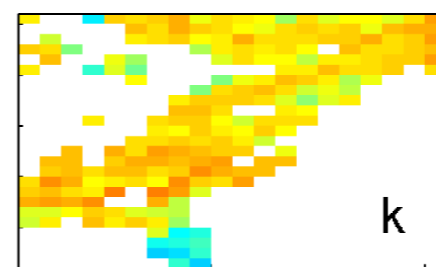
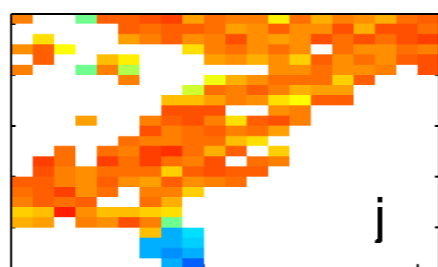
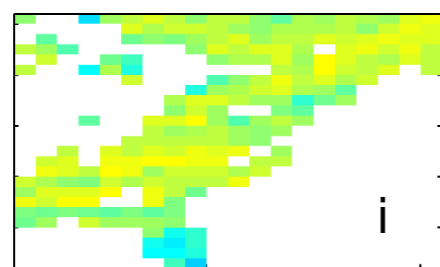
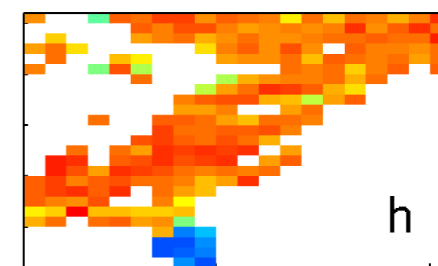
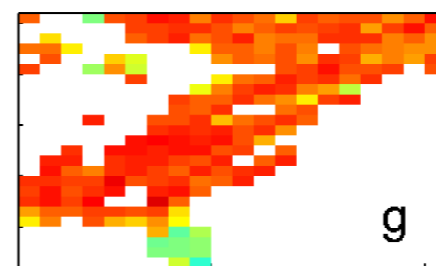
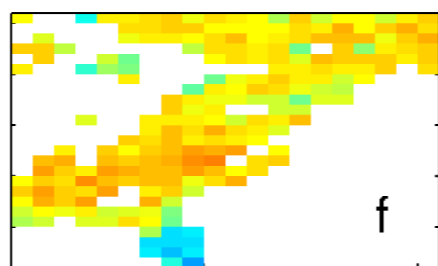
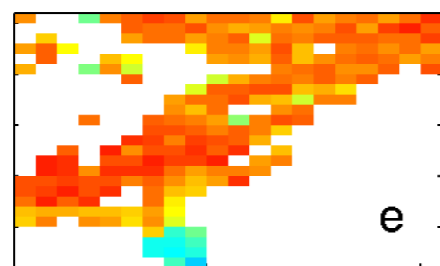
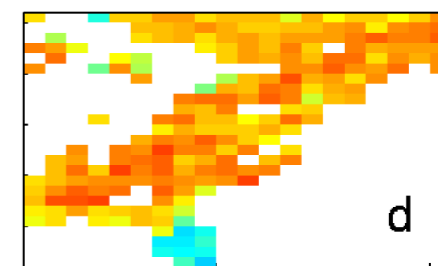
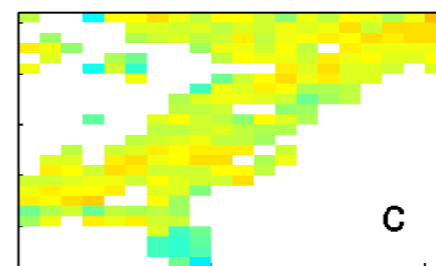
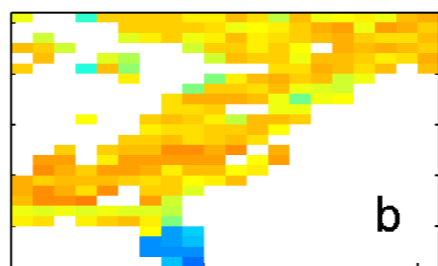
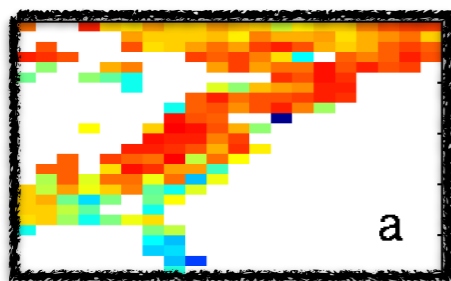
and for NET

$$\log_{10}(l_{mr_{top,25,NET}}) = \log_{10}(N_{area}) \cdot 1.005 - 0.346$$

$$\sim 0.452 \text{ gC gN}^{-1} \text{ s}^{-1}$$

*CONTROL*  
*+ ATKIN RD*

Obs

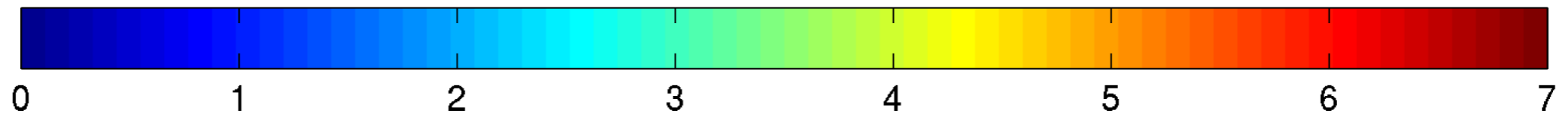
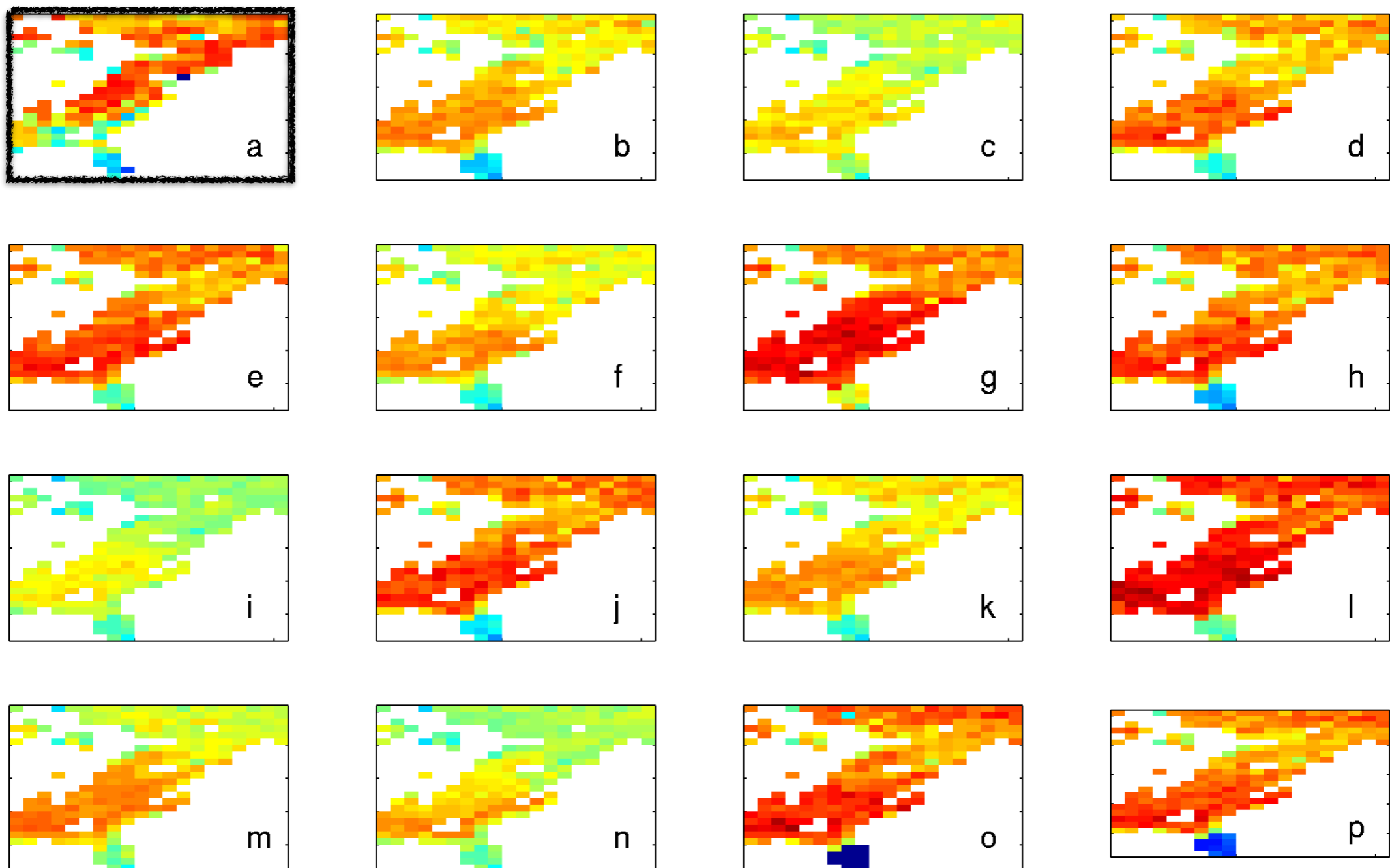


Total Leaf Area Index

Obs

RYAN ET AL, 1991

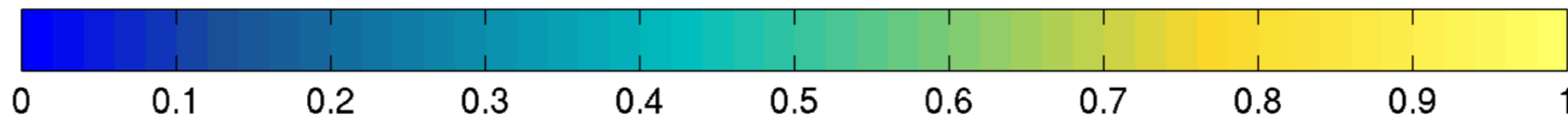
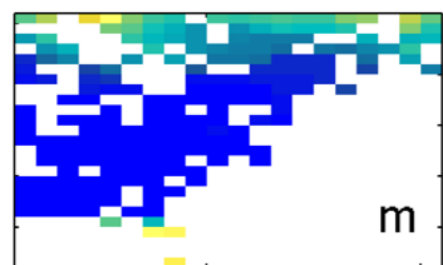
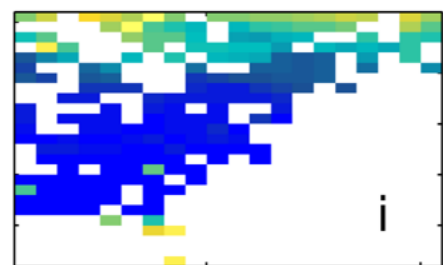
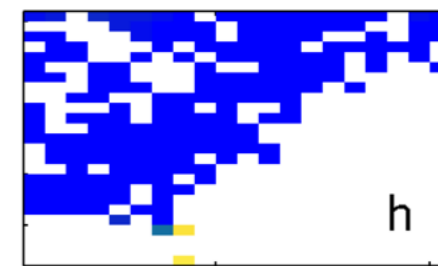
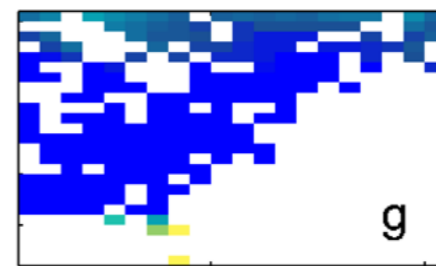
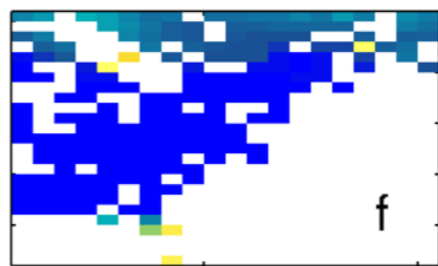
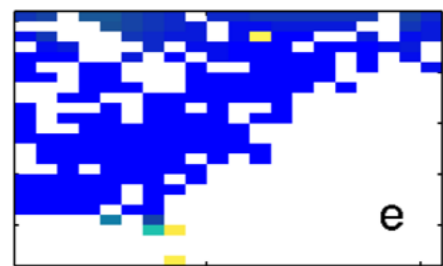
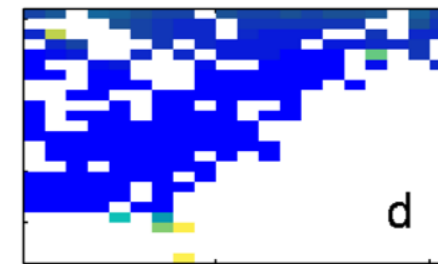
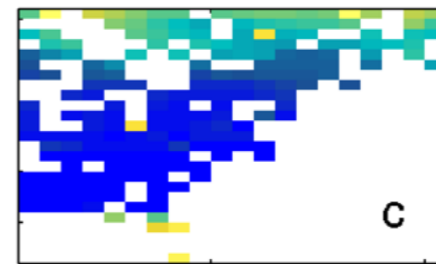
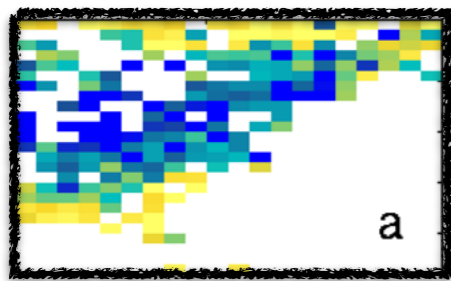
*CONTROL*



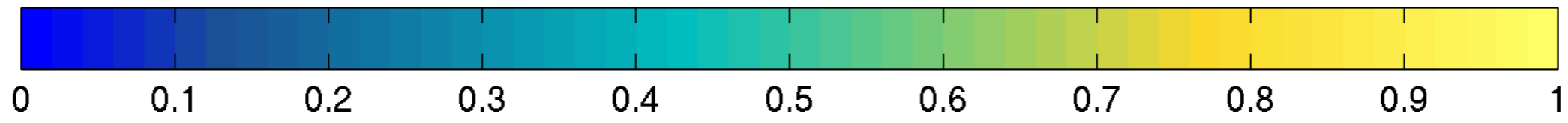
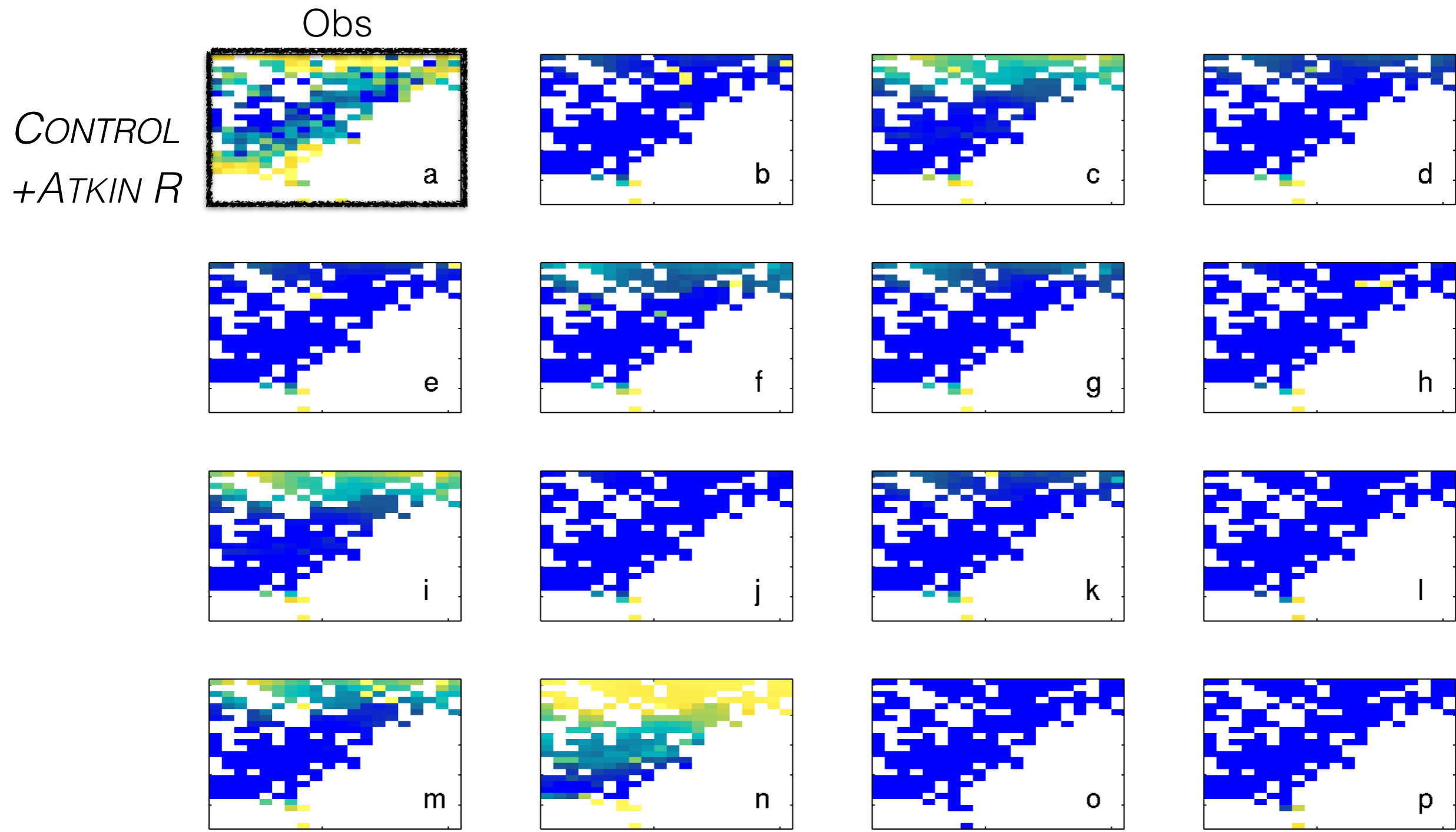
Total Leaf Area Index

Obs

*CONTROL*



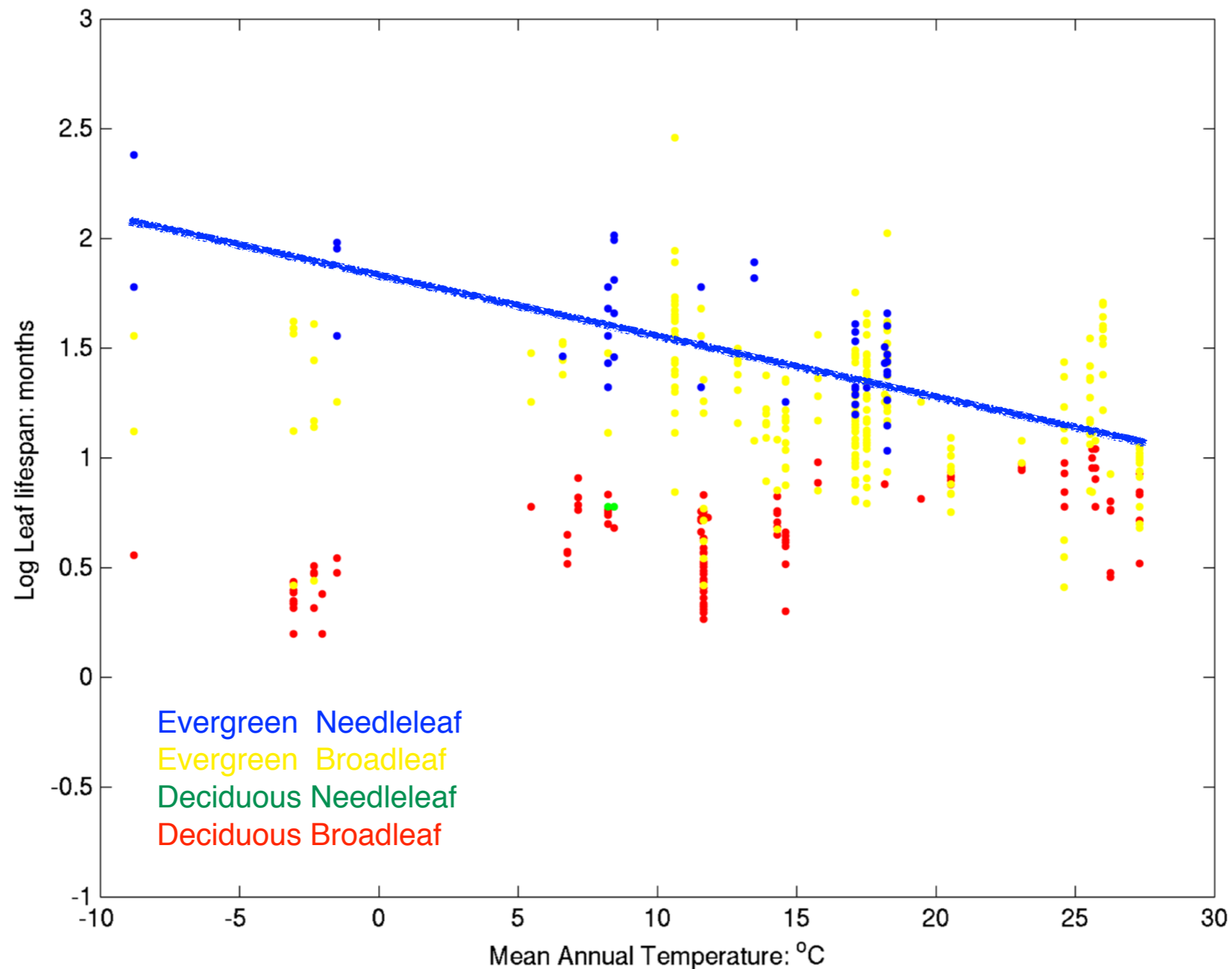
Fraction of evergreen vegetation



Fraction of evergreen vegetation

# LEAF TURNOVER VS. TEMPERATURE

Is leaf lifespan dictated by construction cost, or the environment?



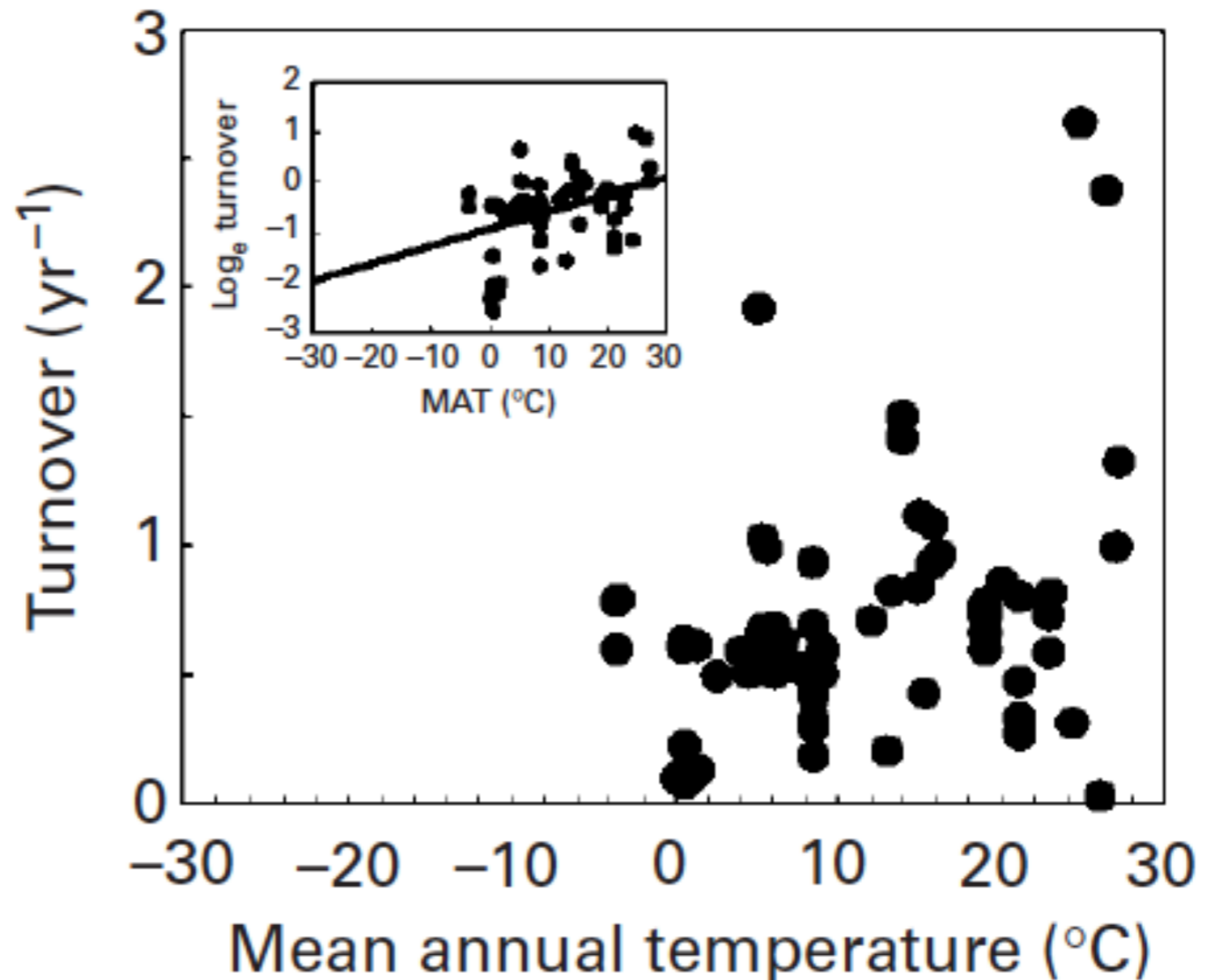


# ROOT TURNOVER VS. TEMPERATURE

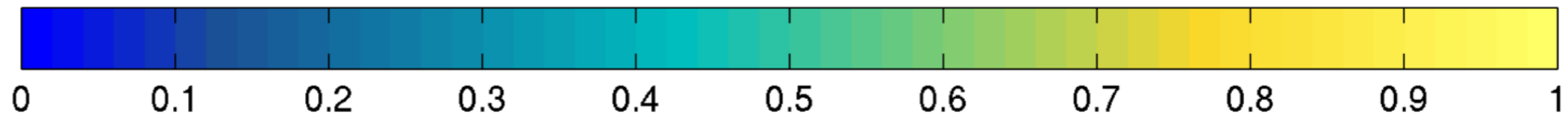
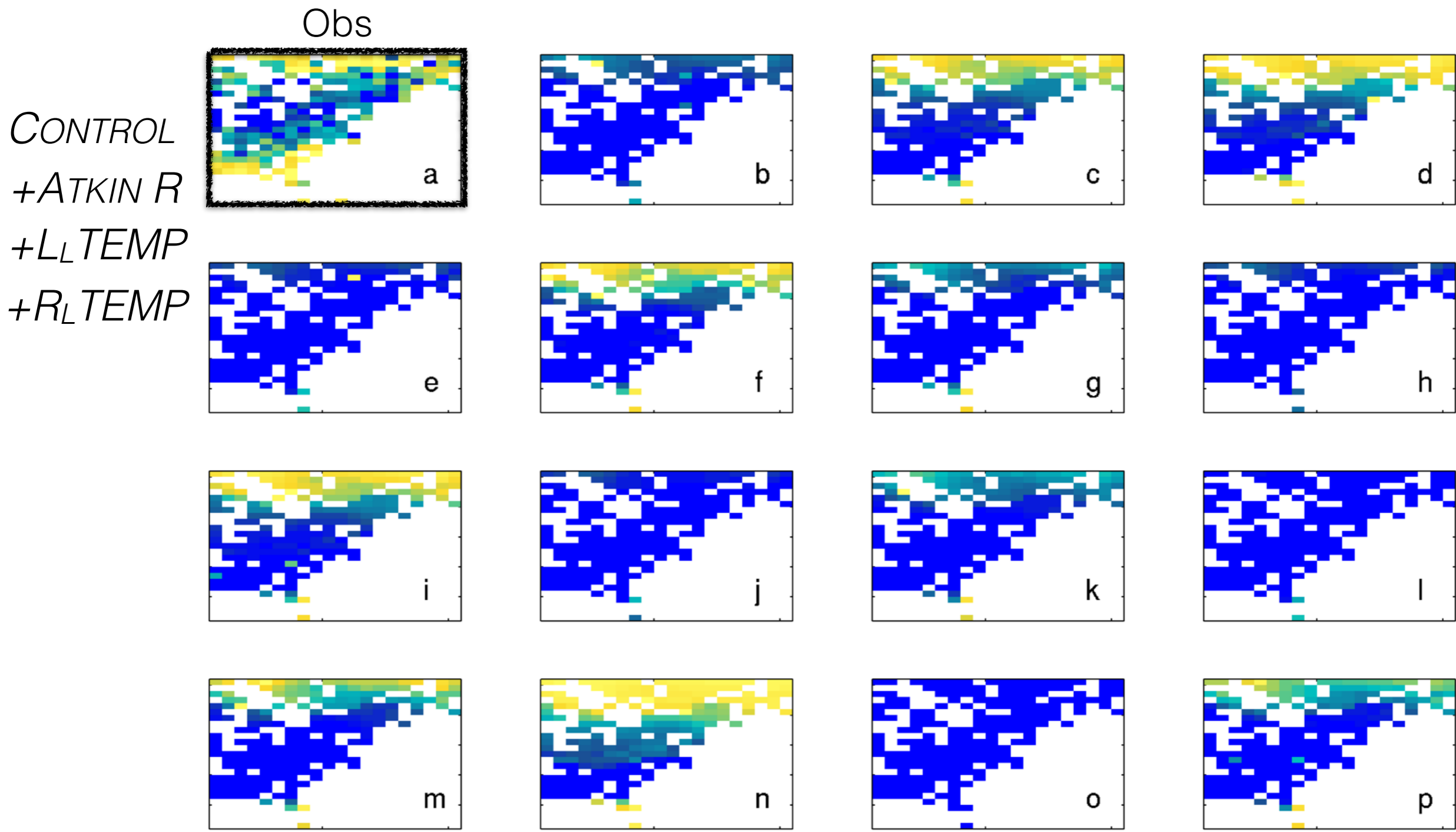
BDT  $\log_{10}(\text{Imr}_{\text{top},25,\text{BDT}}) = \log_{10}(N_{\text{area}}) \cdot 1.134 - 0.300$

ENT  $\log_{10}(\text{Imr}_{\text{top},25,\text{NET}}) = \log_{10}(N_{\text{area}}) \cdot 1.005 - 0.346$

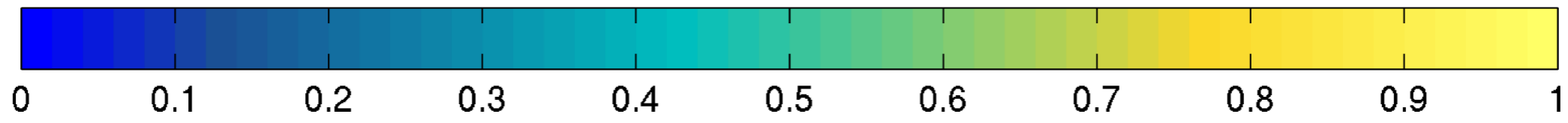
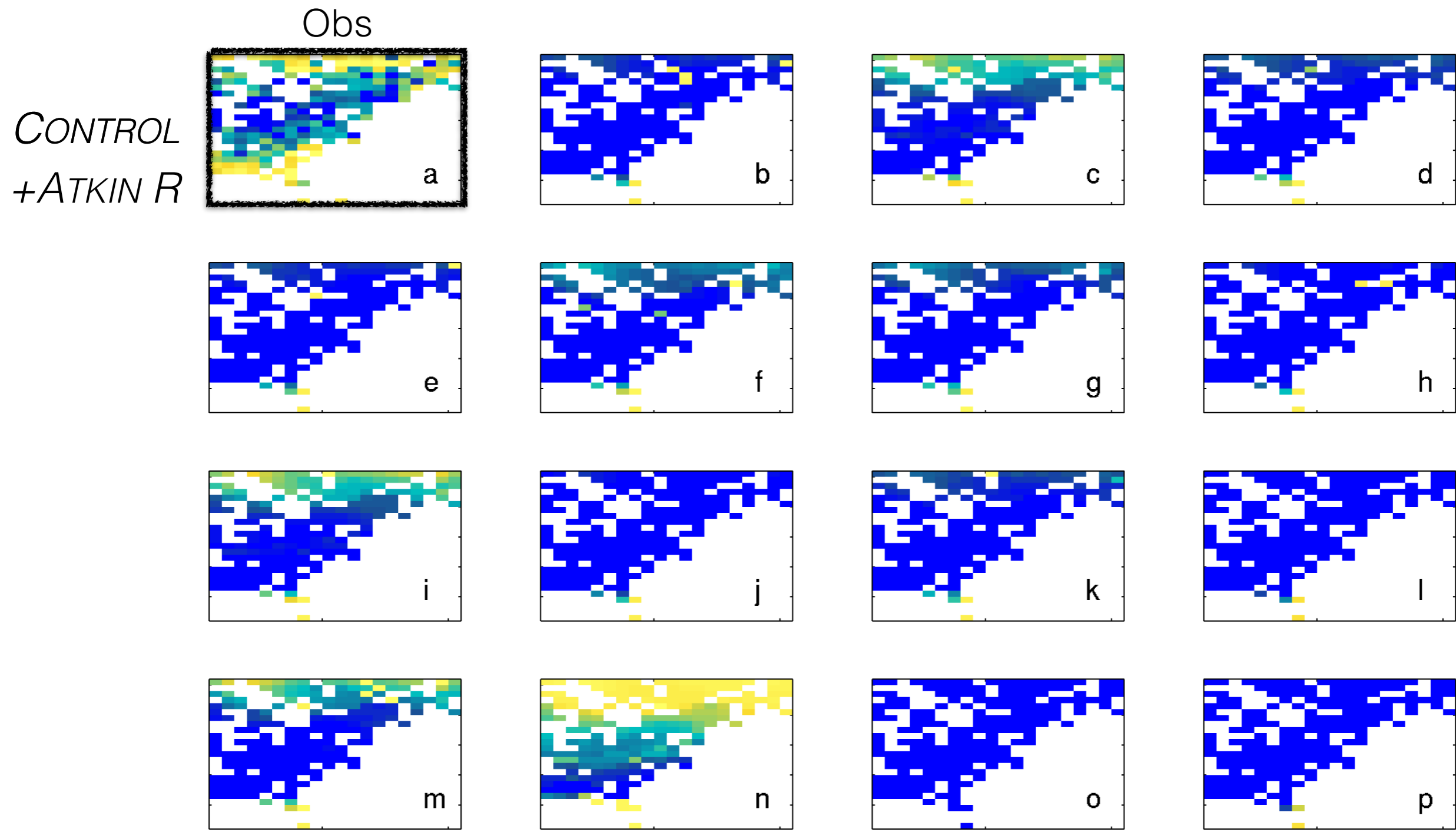
## Forest fine roots



(Data extracted from)  
Gill & Jackson 2000



Fraction of evergreen vegetation

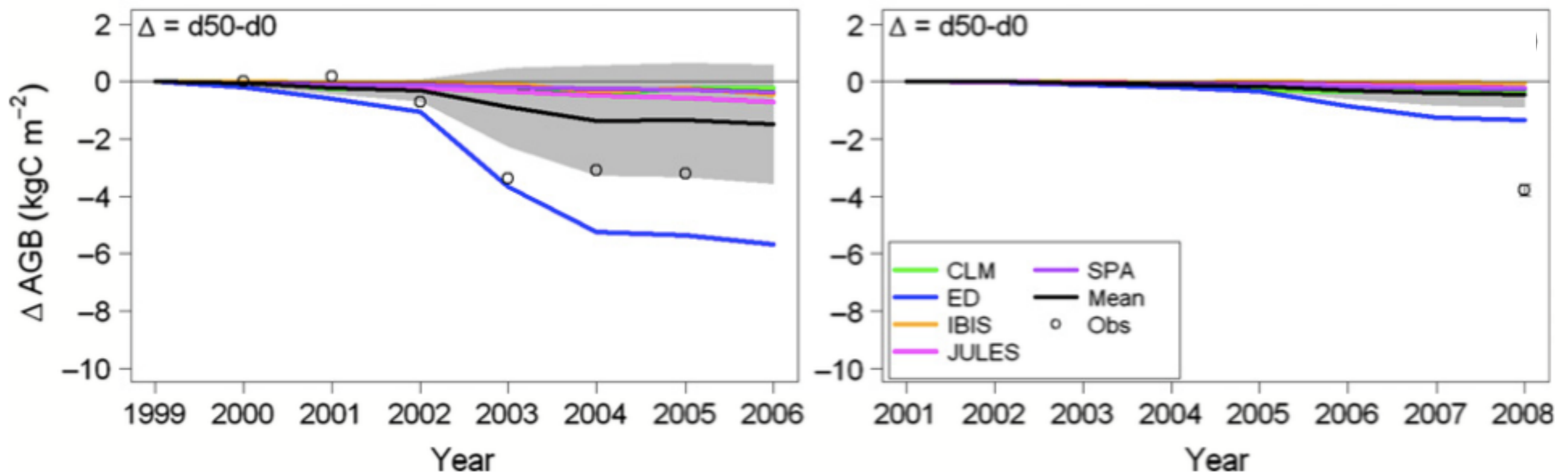


Fraction of evergreen vegetation

# Conclusions #1

- Carbon economy of leaf habit can, **in some cases**, predict dec-evg biome boundaries
- **How we use** plant trait data matters for vegetation dynamics predictions.
- **Naïve use of plant trait databases does not necessarily lead to skillful prediction**
- Parametric and structural ensembles are **both** informative for understanding cause & effect in model predictions.

“Models are poorly skilled at simulating tropical drought experiments”



Is this because they don't have a diversity of hydraulic function?

# Next-generation dynamic global vegetation models: learning from community ecology

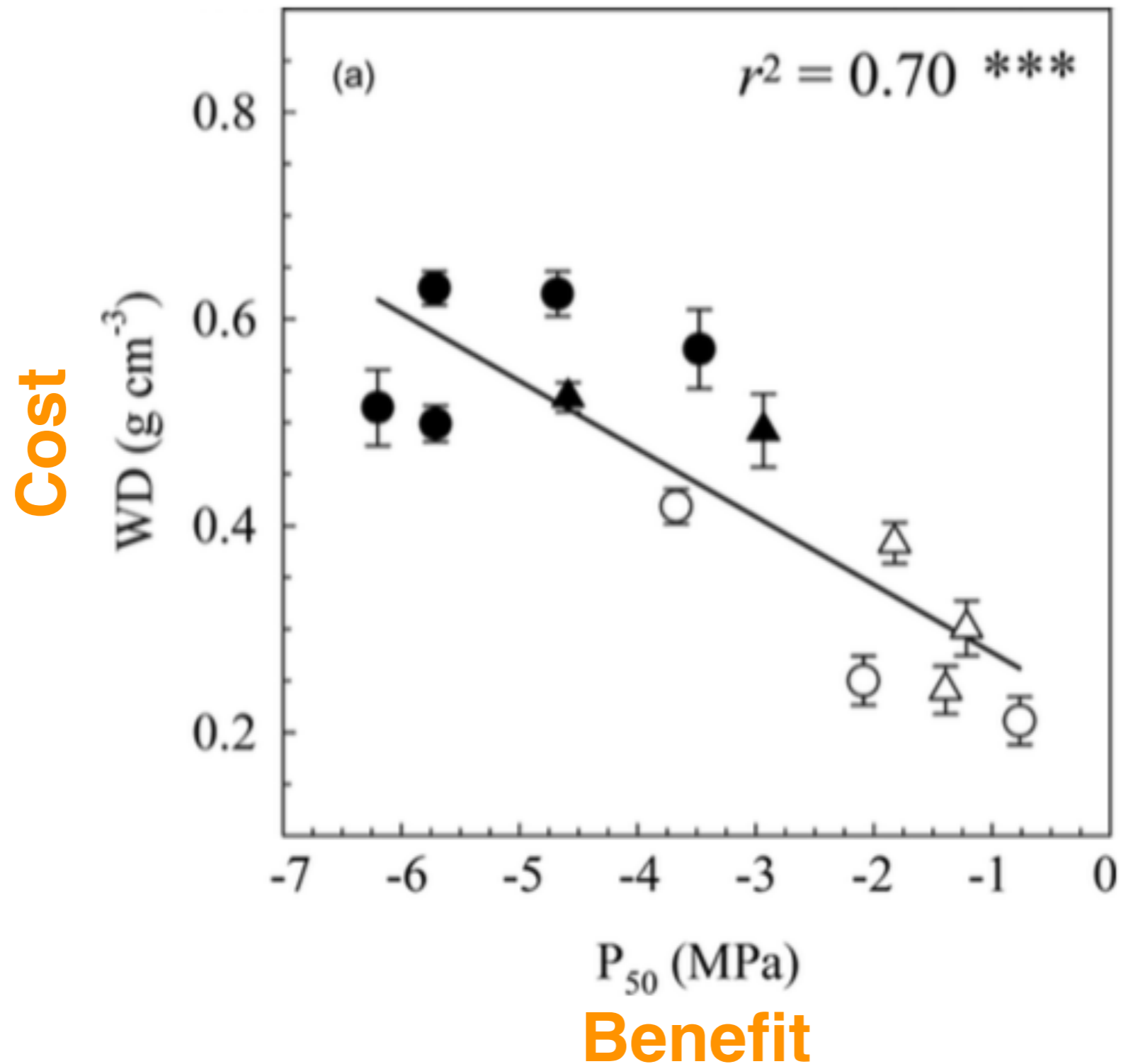
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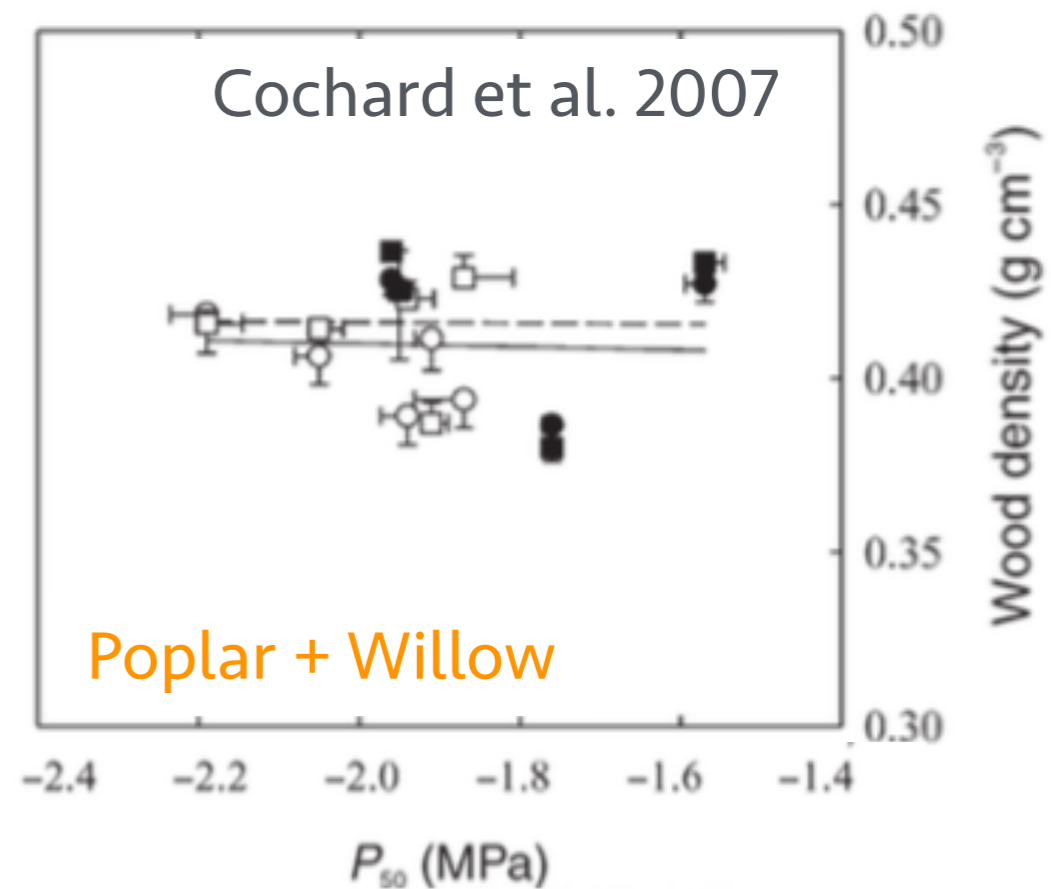
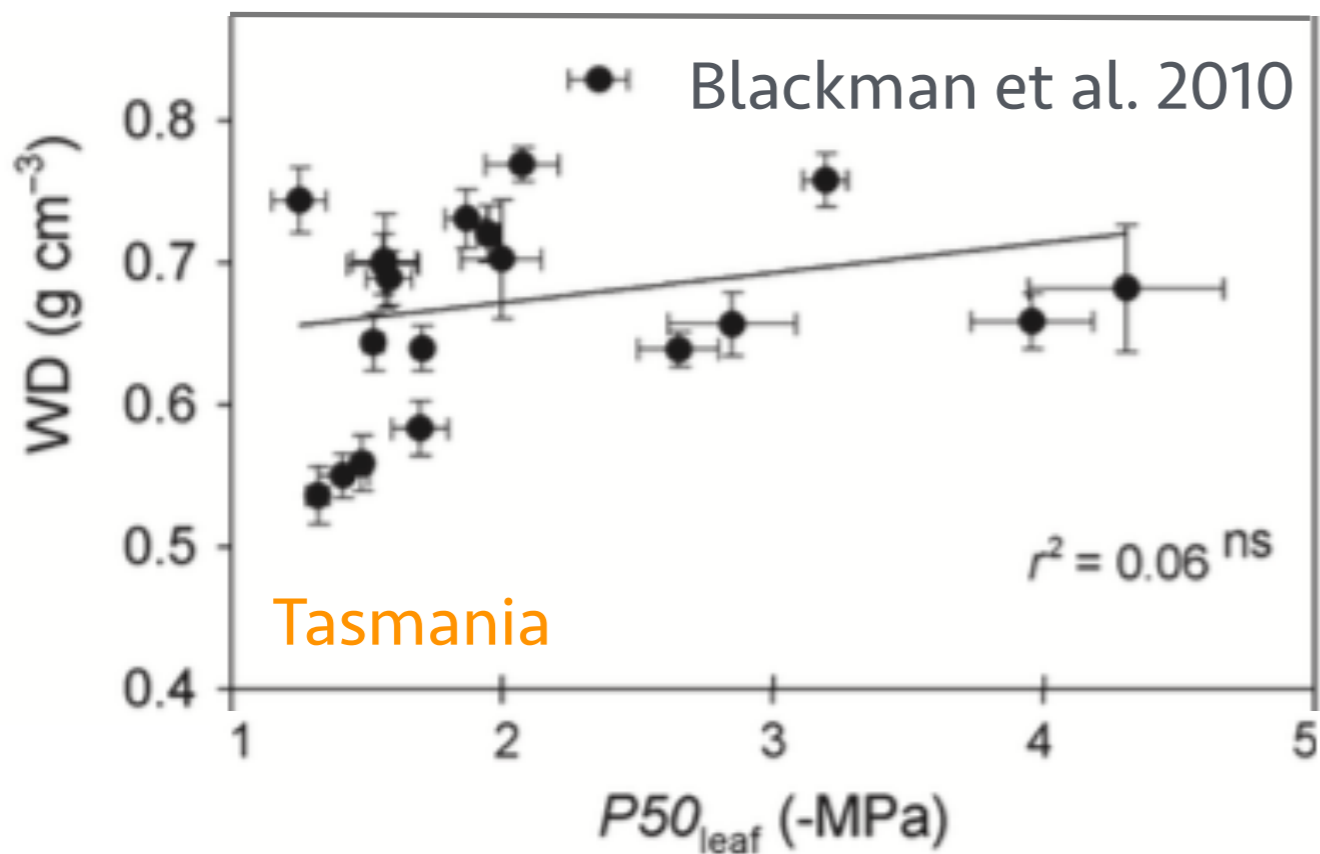
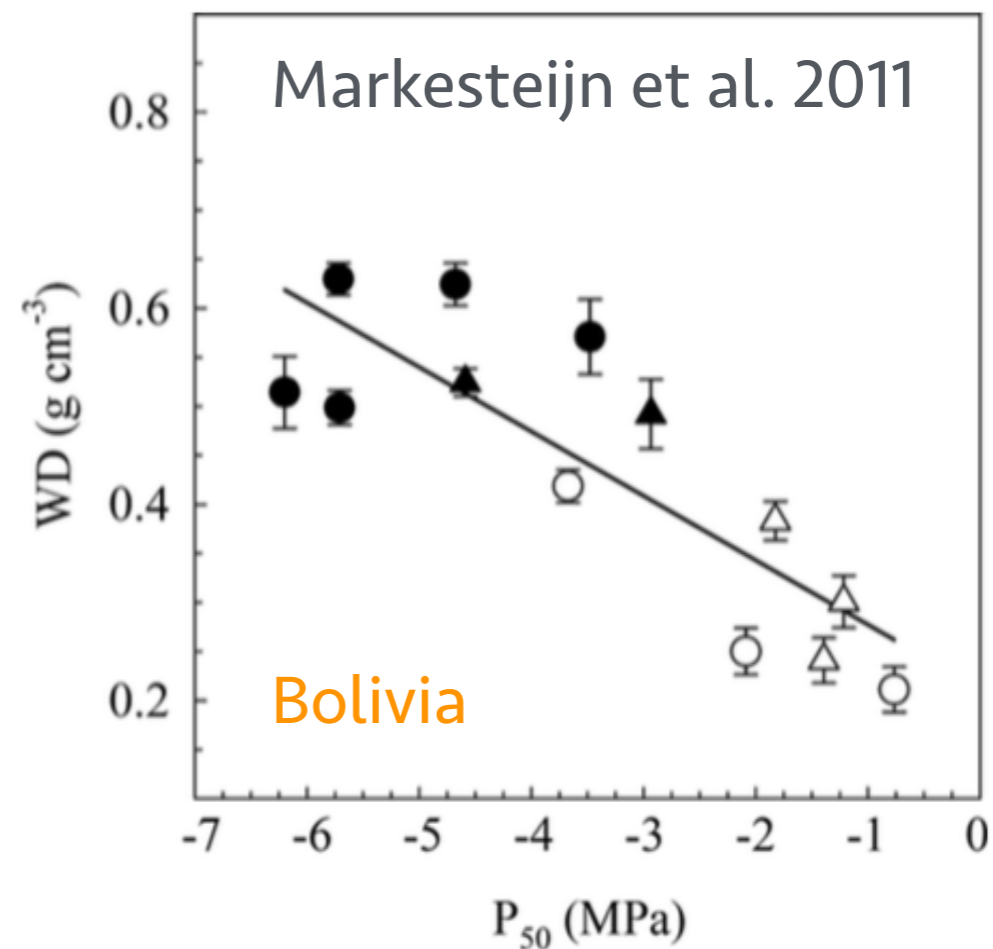
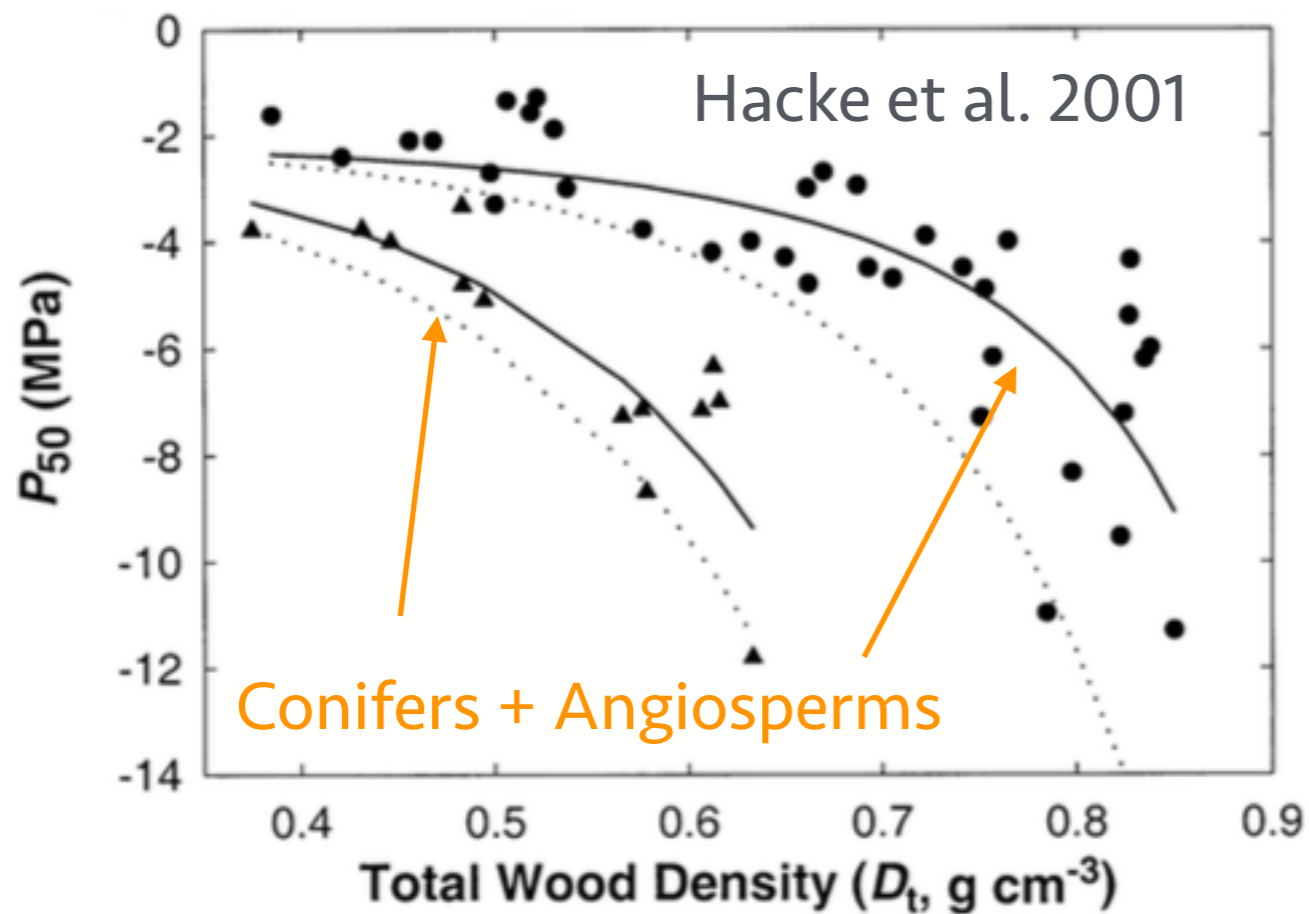
**Simon Scheiter<sup>1</sup>, Liam Langan<sup>2</sup> and Steven I. Higgins<sup>2</sup>**

<sup>1</sup>Biodiversität und Klima Forschungszentrum (LOEWE BiK-F), Senckenberg Gesellschaft für Naturforschung, Senckenberganlage 25, D-60325, Frankfurt am Main, Germany; <sup>2</sup>Institut für Physische Geographie, Goethe-Universität Frankfurt am Main, Altenhöferallee 1, D-60438, Frankfurt am Main, Germany

“The major task for the developer of the kind of DGVM we are proposing is to conceptualize and parameterize life-history tradeoffs.”

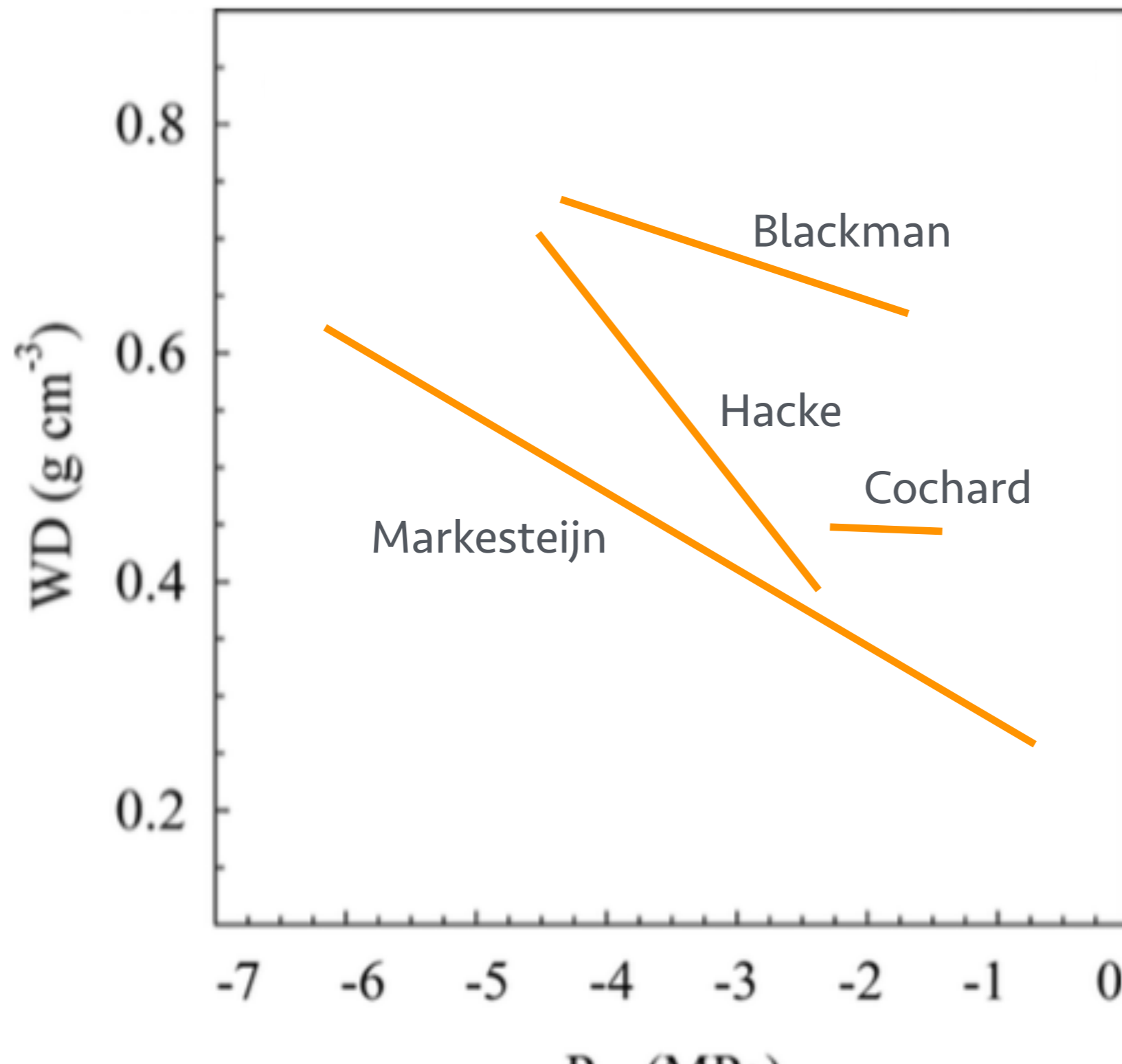
# Can we observe diversity in hydraulic function?



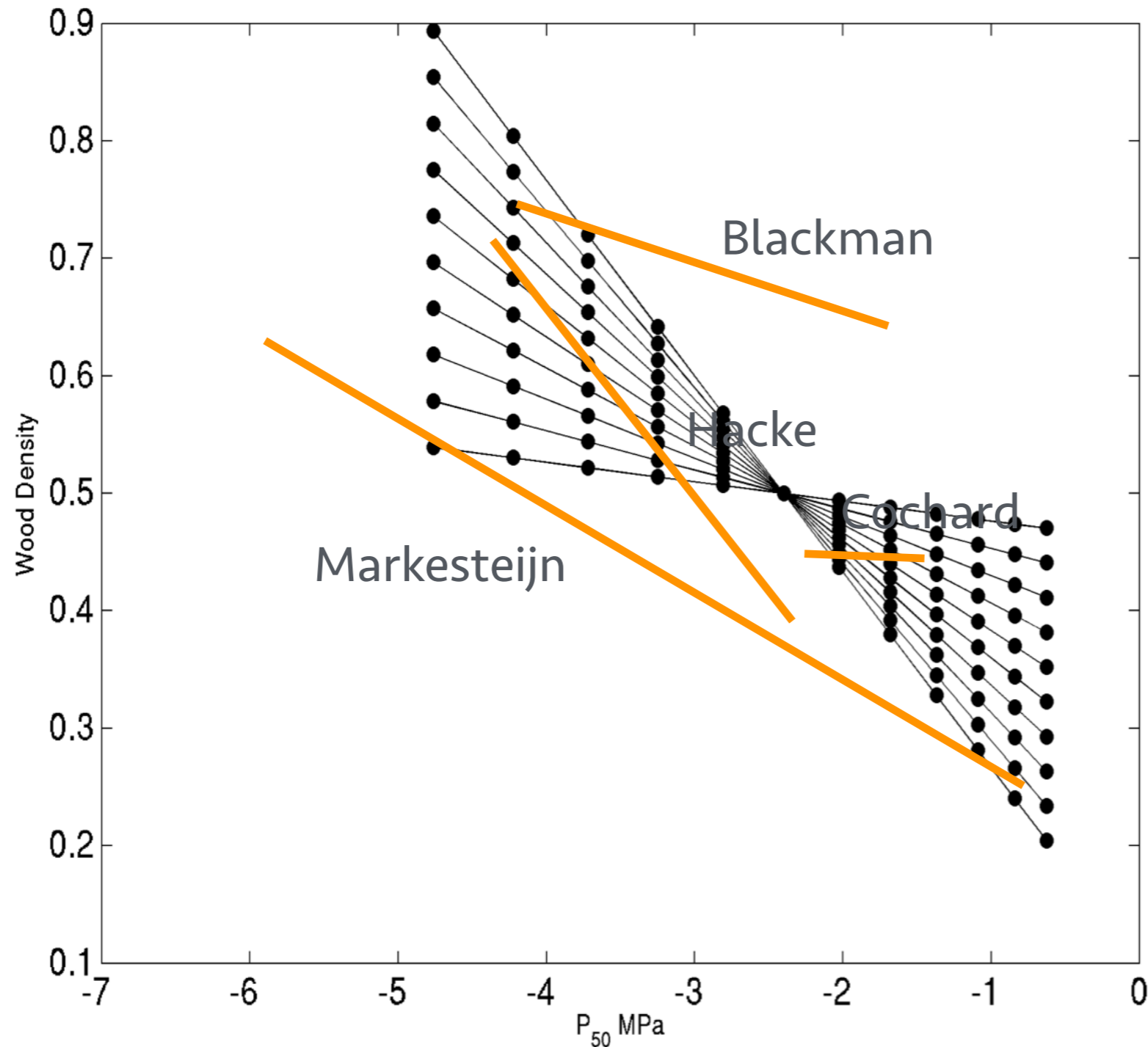




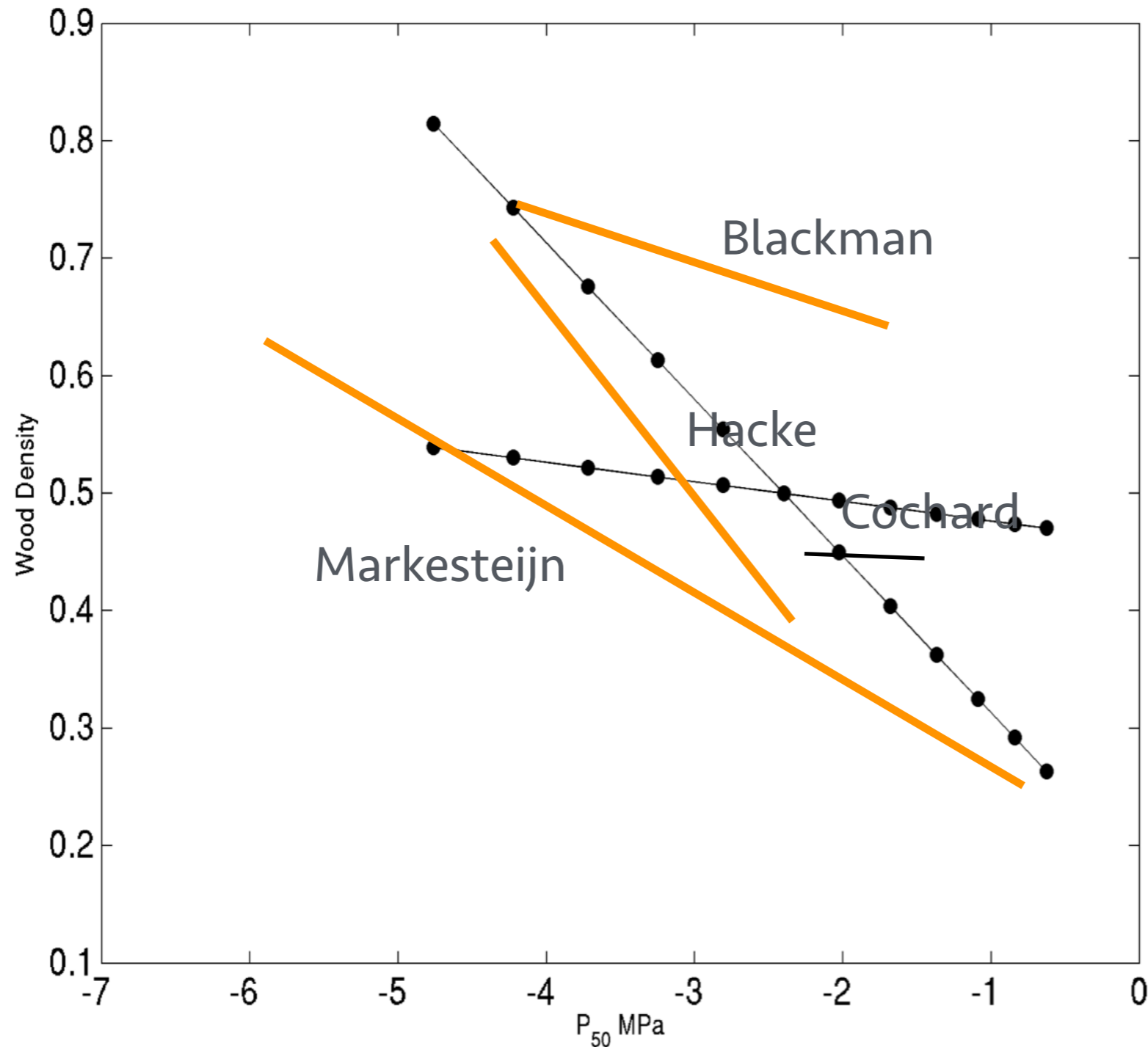
# What is the cost of drought tolerance?



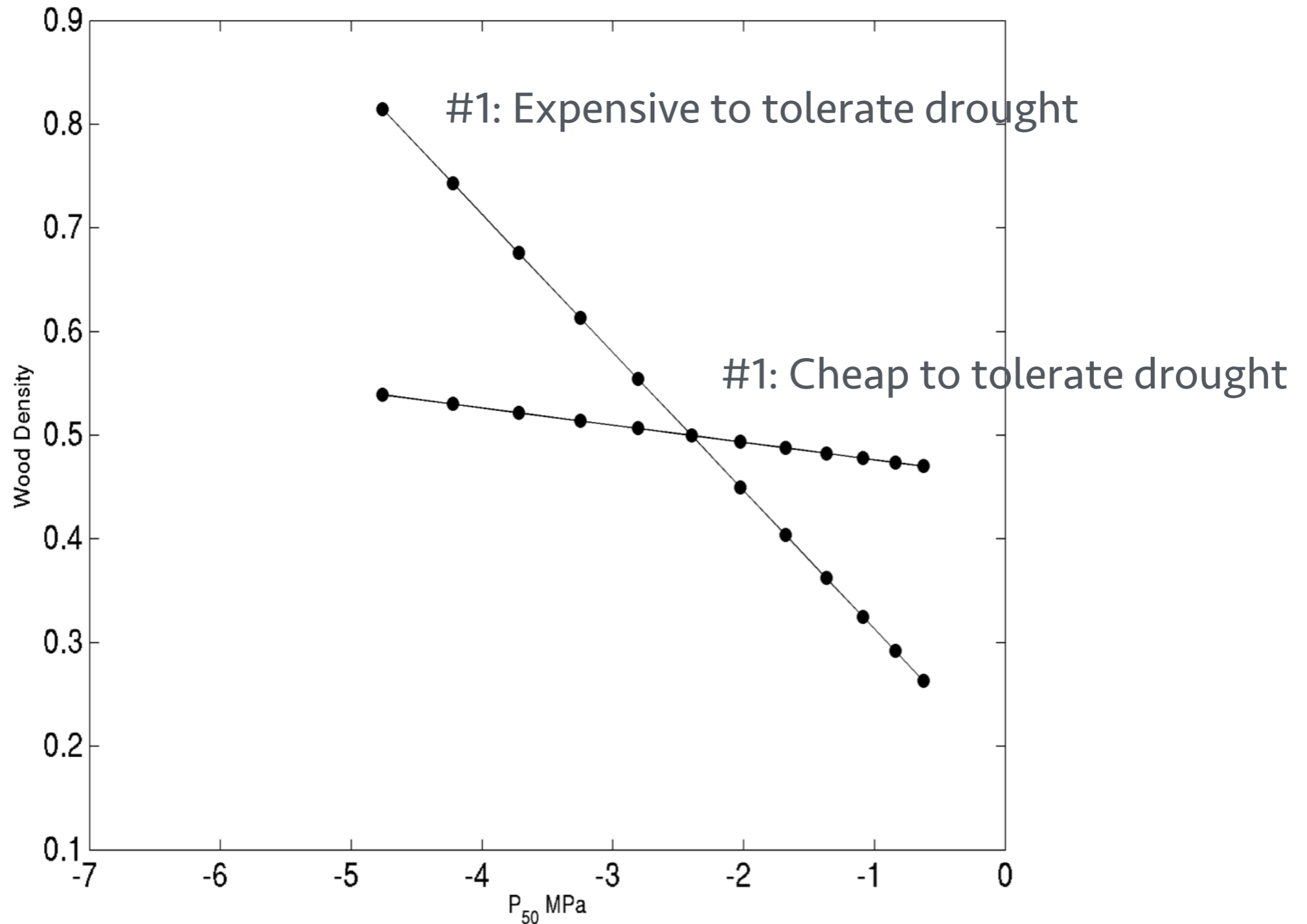
# What is the cost of drought tolerance?

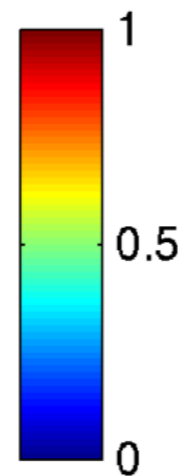
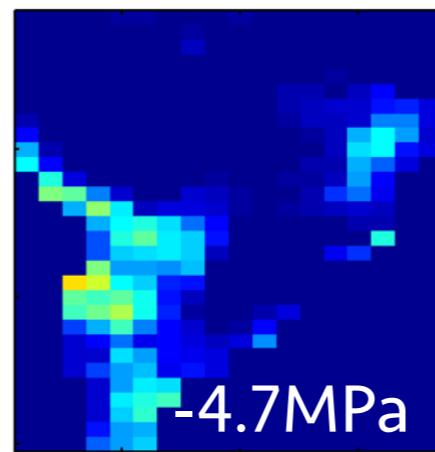
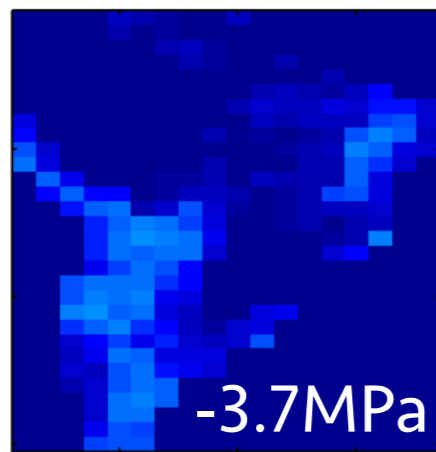
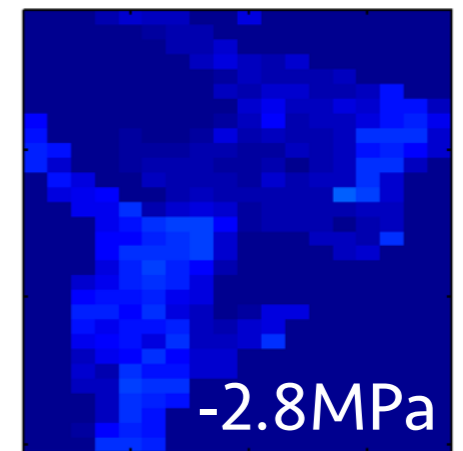
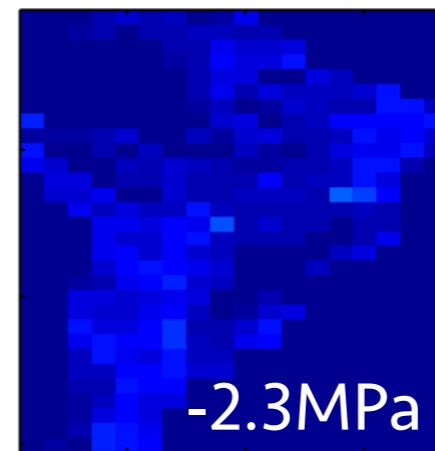
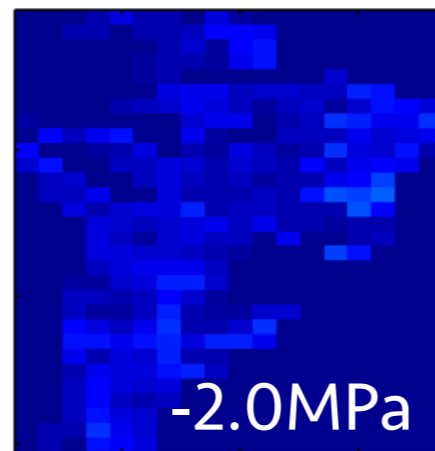
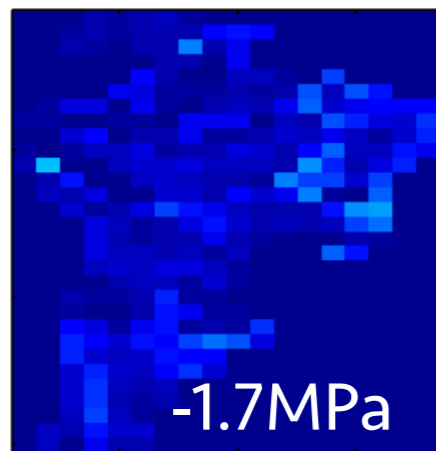
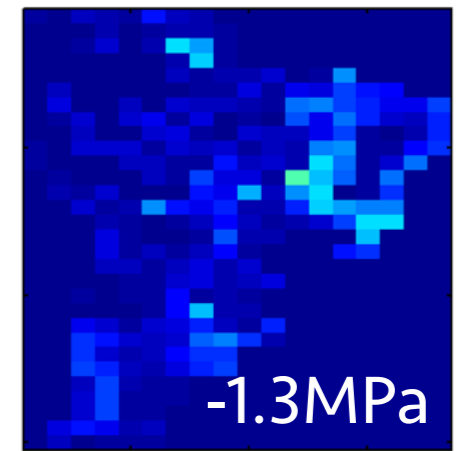
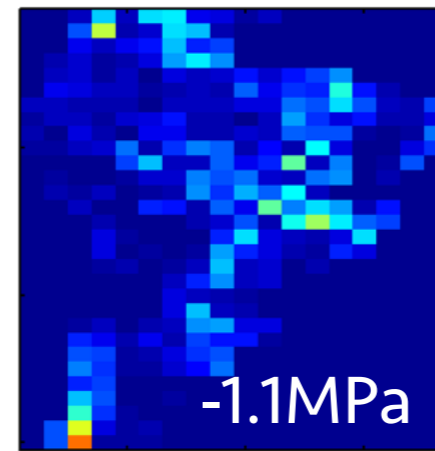
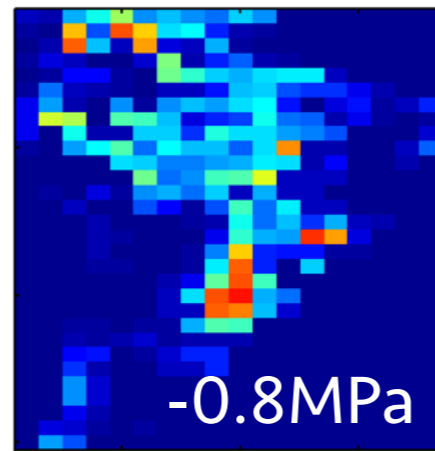
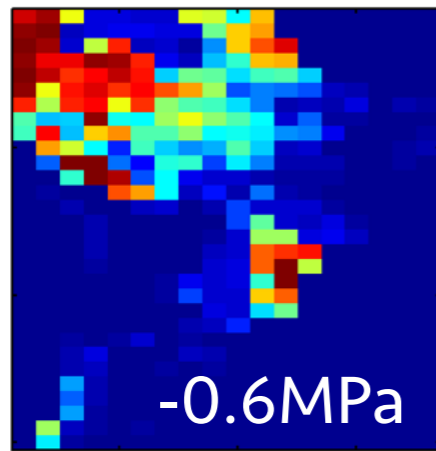


# What is the cost of drought tolerance?



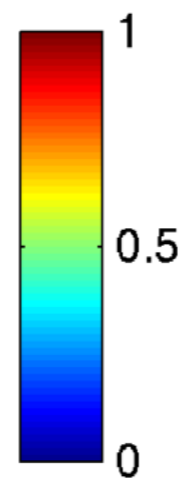
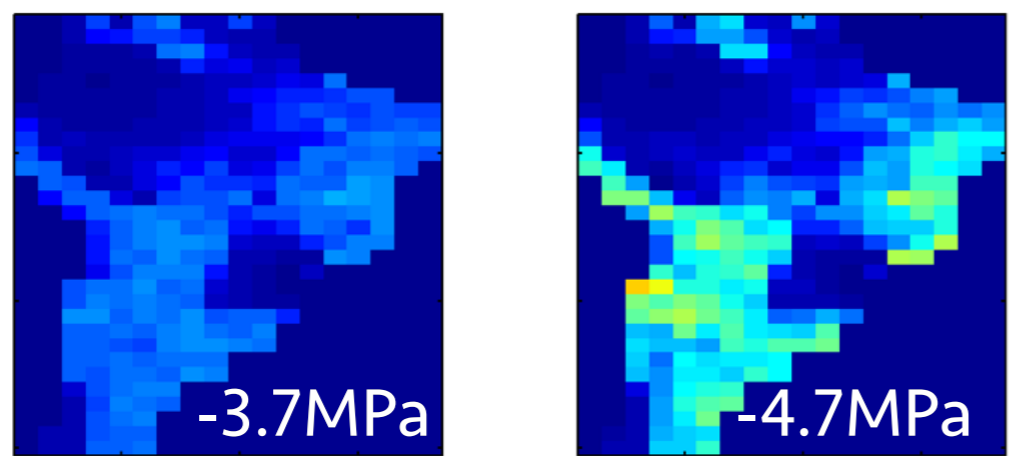
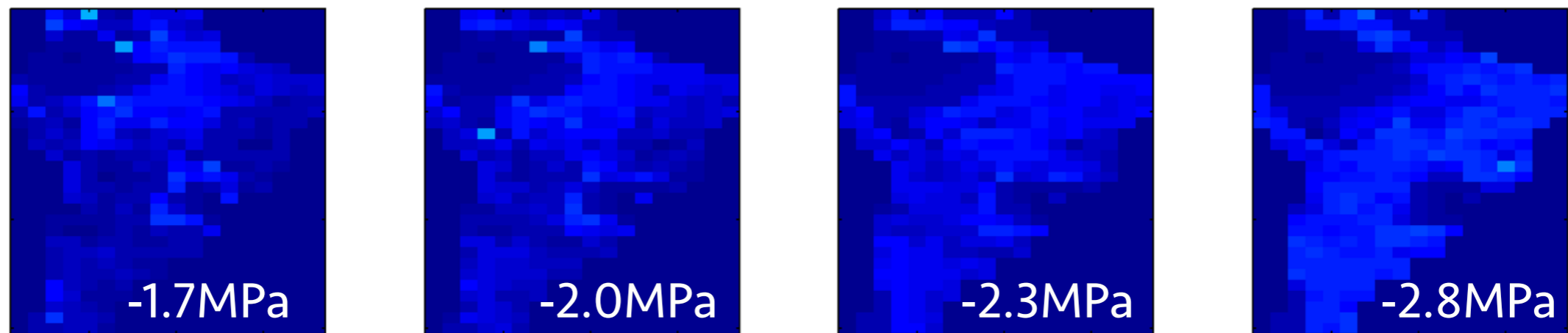
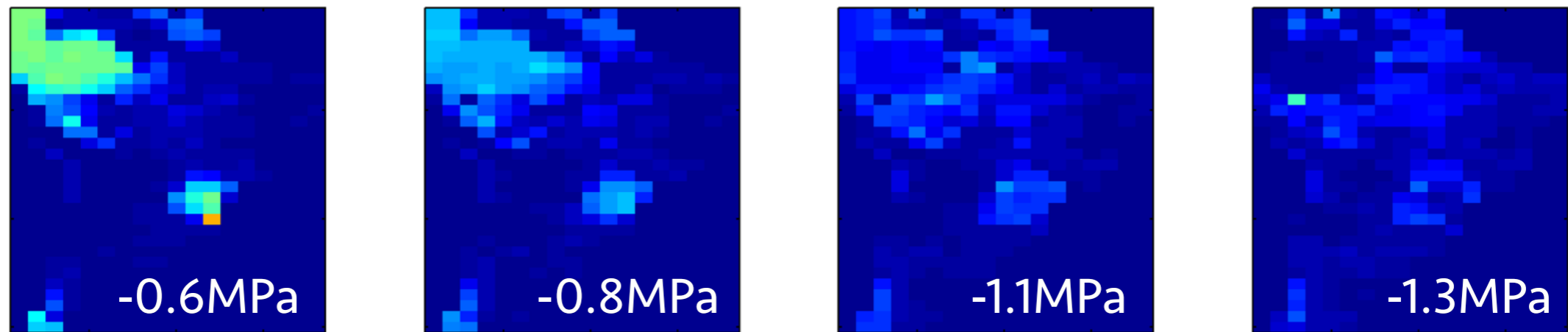
# What is the cost of drought tolerance?





- Expensive to be drought tolerant
- Ecosystem vulnerable to climatic change

#1: Fraction of biomass in each plant type. Numbers correspond to soil water potential at stomatal closure



- Cheap to be drought tolerant
- Ecosystem more resilient to climatic change

#2: Fraction of biomass in each plant type. Numbers correspond to soil water potential at stomatal closure

# Conclusions II

- Earth System Models are moving towards 'trait filtering' schemes.
- Cost-benefit trade-offs are the 'raw material' of trait filtering models, but are typically poorly quantified.
- This development presents a huge opportunity for quantitative hypothesis testing of biome boundaries.
- Understanding the quantitative costs and benefits of alternative life history strategies is important!



# NGEE TROPICS

NEXT GENERATION ECOSYSTEM EXPERIMENT - TROPICS

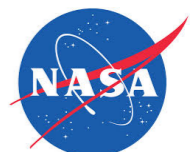


\$100M 10 year project

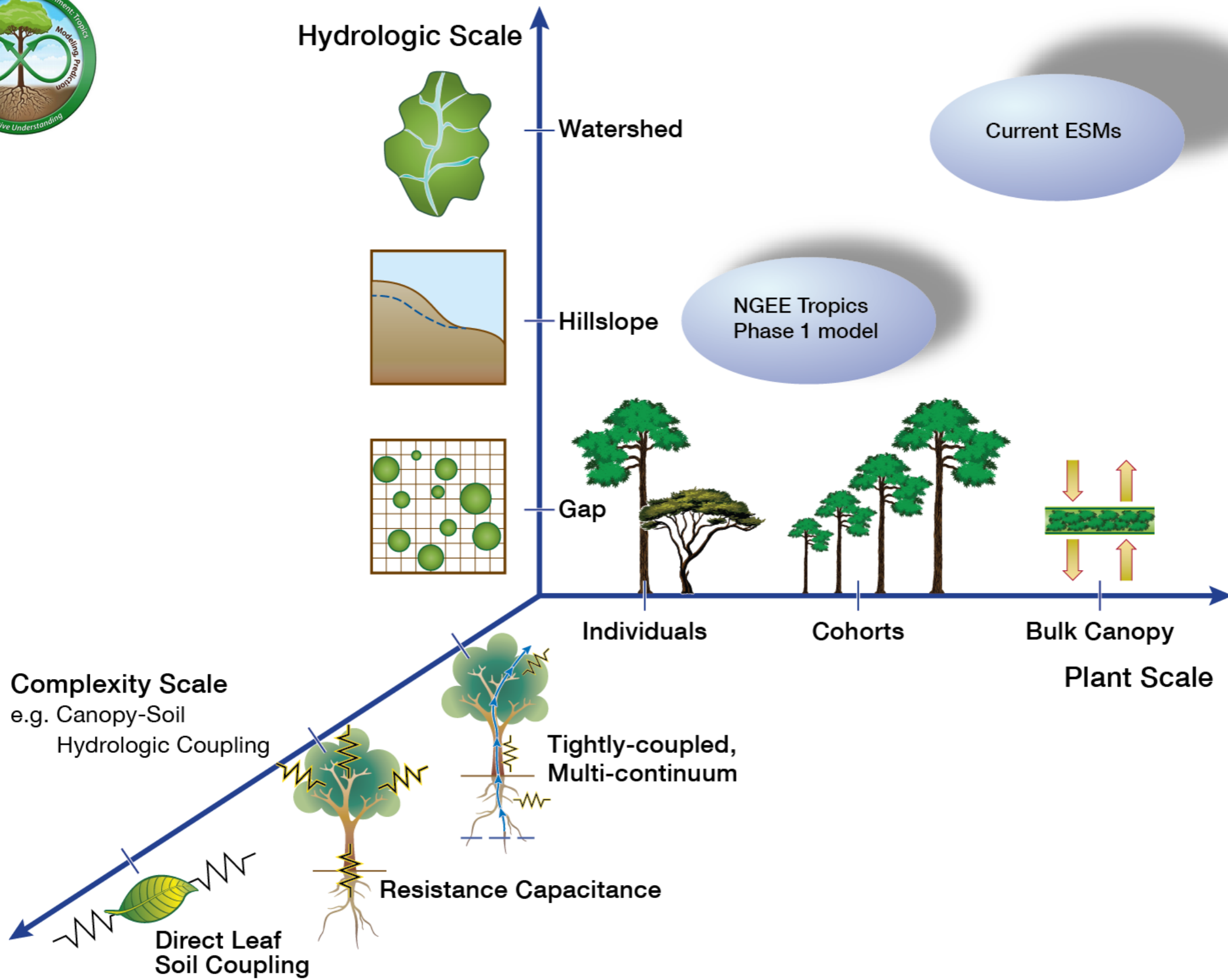


U.S. DEPARTMENT OF  
**ENERGY**

Office of  
Science







NGEE-tropics mode scaling plan

# Land Surface Model (CLM, ALM)

Hydrology

Soil evaporation

VOC's

Lake model

Snow model

Urban model

Land Ice

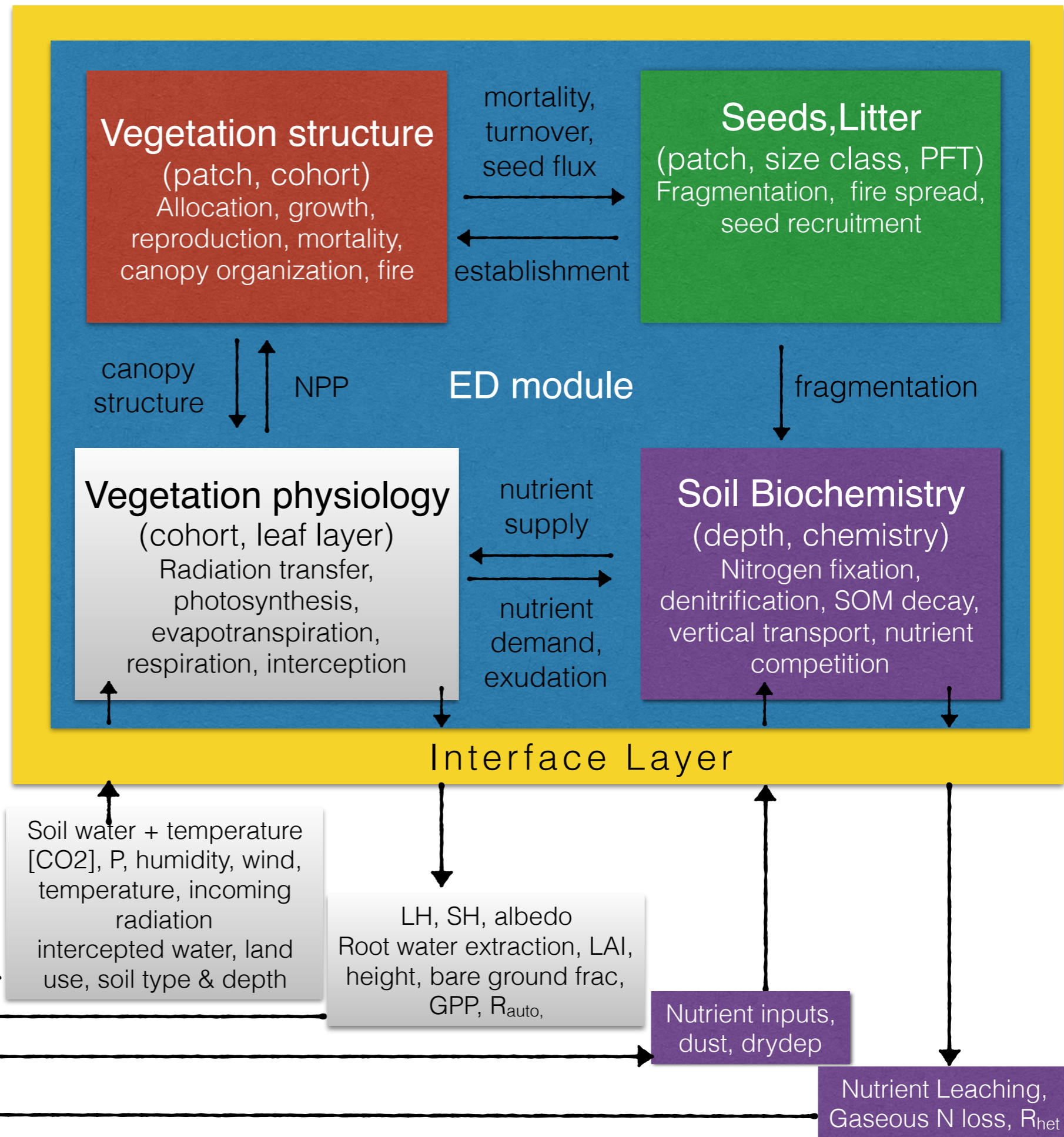
Subgrid structure

Atmospheric Coupling

Soil Thermal Processes

Crop model

Irrigation



# Plan for FATES in CLM5

FATES will be a 'dynamically linked' library, so that updates to FATES can be made independent of releases of CLM

The INTERFACE code will likely remain constant.

If you plan on using FATES, please contact me ([rfisher@ucar.edu](mailto:rfisher@ucar.edu)) or Charlie ([cdkoven@lbl.gov](mailto:cdkoven@lbl.gov)) to check in on the latest science updates.

