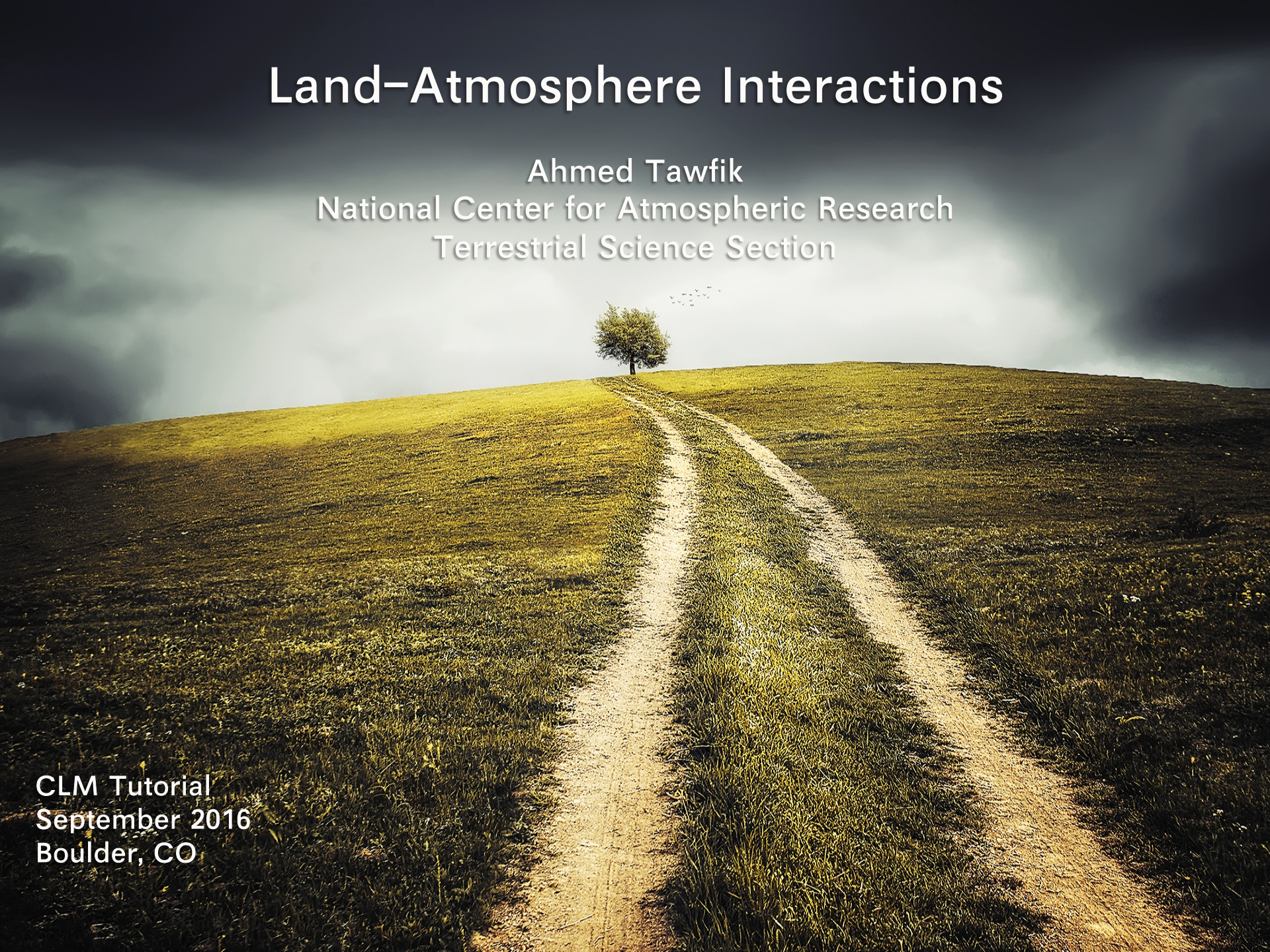


# Land-Atmosphere Interactions

Ahmed Tawfik  
National Center for Atmospheric Research  
Terrestrial Science Section

CLM Tutorial  
September 2016  
Boulder, CO

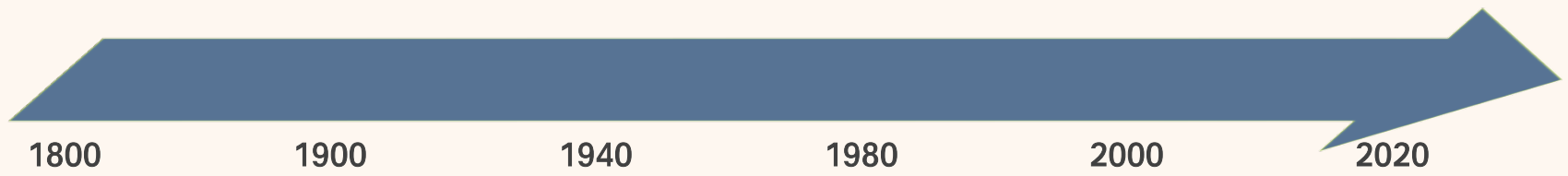


# Approaching Land–Atmosphere Interactions

- We will approach the land-atmosphere interactions question from a *biogeophysical* perspective

**Biogeophysics** = how **energy** and **moisture** move through the earth system including the role of the biosphere

# A Brief History of L-A Interactions



# A Brief History of L-A Interactions

## “Rain follows the plow...”

Started with anecdotal evidence during western settlement of the US



- Some researchers later found correlations between precipitation and time when western settlement was rapidly increasing
- Was thought to be discredited in the 1940s but there is still research being done regarding irrigation and its influence on precipitation over the Plains
- Holzman 1939 from USDA
  - “The conclusion that an increase in atmospheric moisture must necessarily... increase precipitation can now definitely be shown to be erroneous”

1800

1900

1940

1980

2000

2020

# A Brief History of L-A Interactions

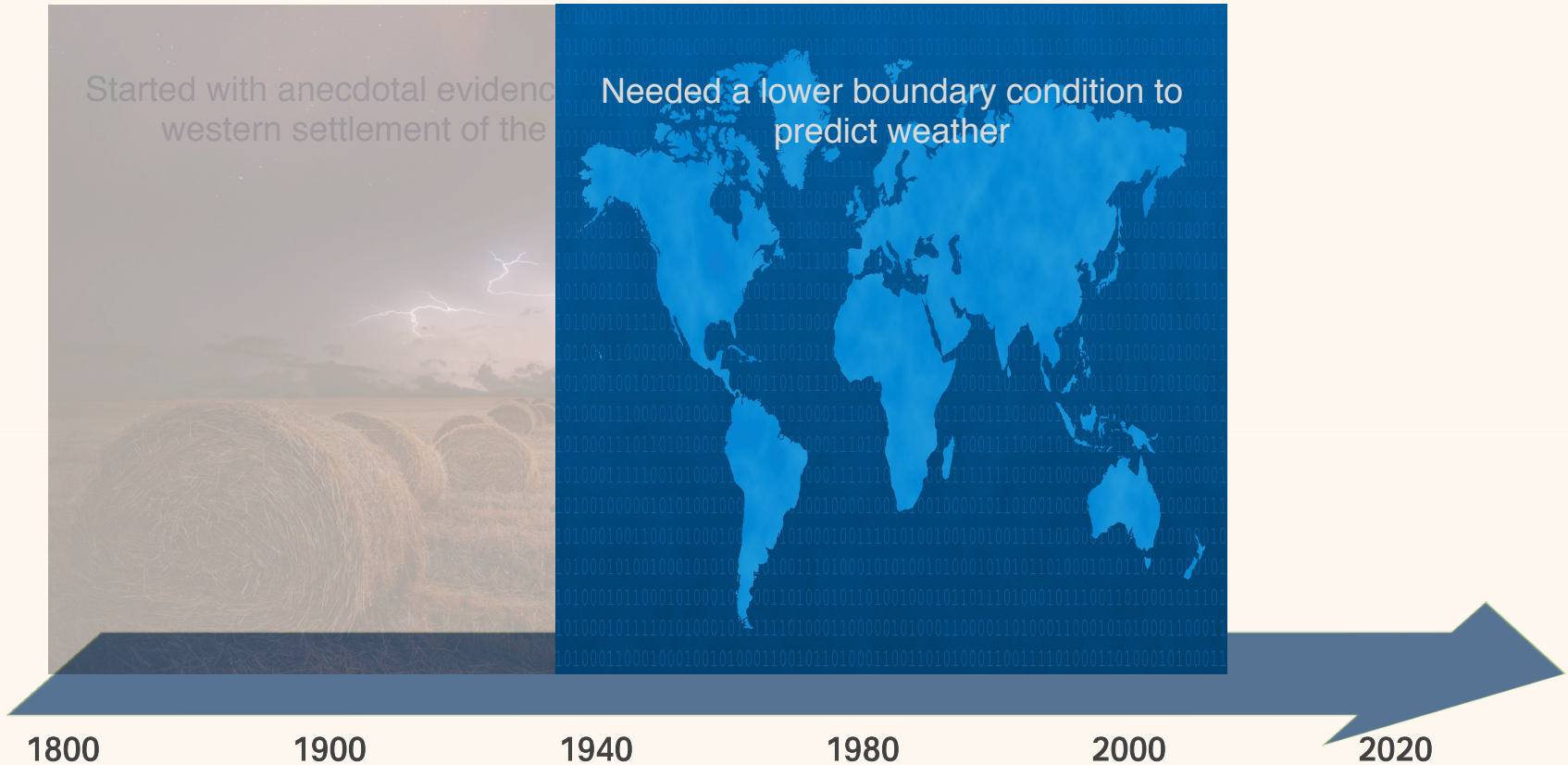
- Richardson (1922) was calculating things by hand to predict weather!
- He even described the importance of stomatal conductance

“Rain follows the plow...”

**Numeric Models**

Started with anecdotal evidence  
western settlement of the

Needed a lower boundary condition to  
predict weather



1800

1900

1940

1980

2000

2020

# A Brief History of L-A Interactions

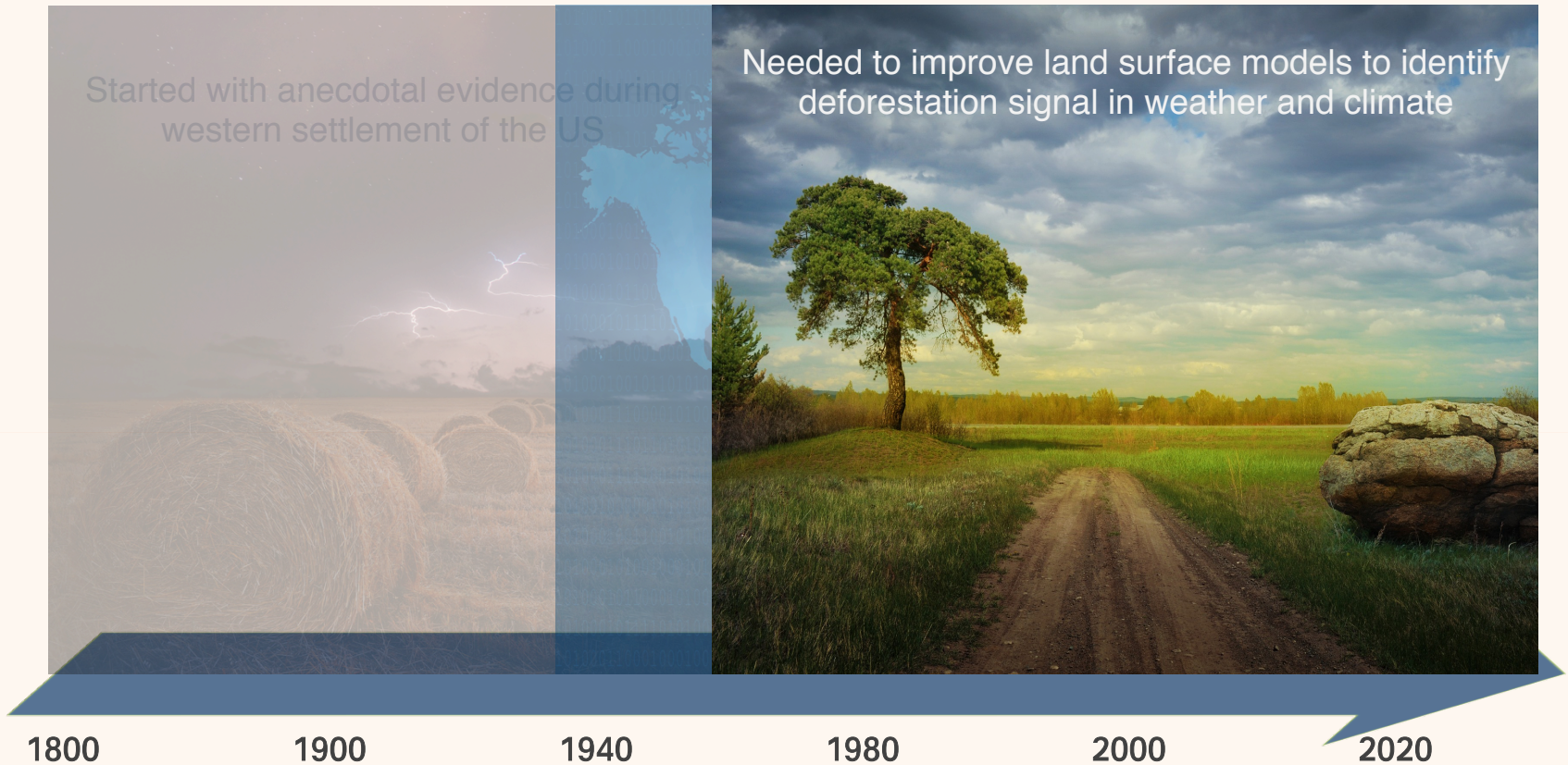
- Earlier papers focused on albedo as a surrogate for deforestation (Charney 1972)
- Recommend review Garratt (1993) outlining the importance of the land surface

“Rain follows the plow...”

## Land Cover Change and Deforestation

Started with anecdotal evidence during western settlement of the US

Needed to improve land surface models to identify deforestation signal in weather and climate



1800

1900

1940

1980

2000

2020

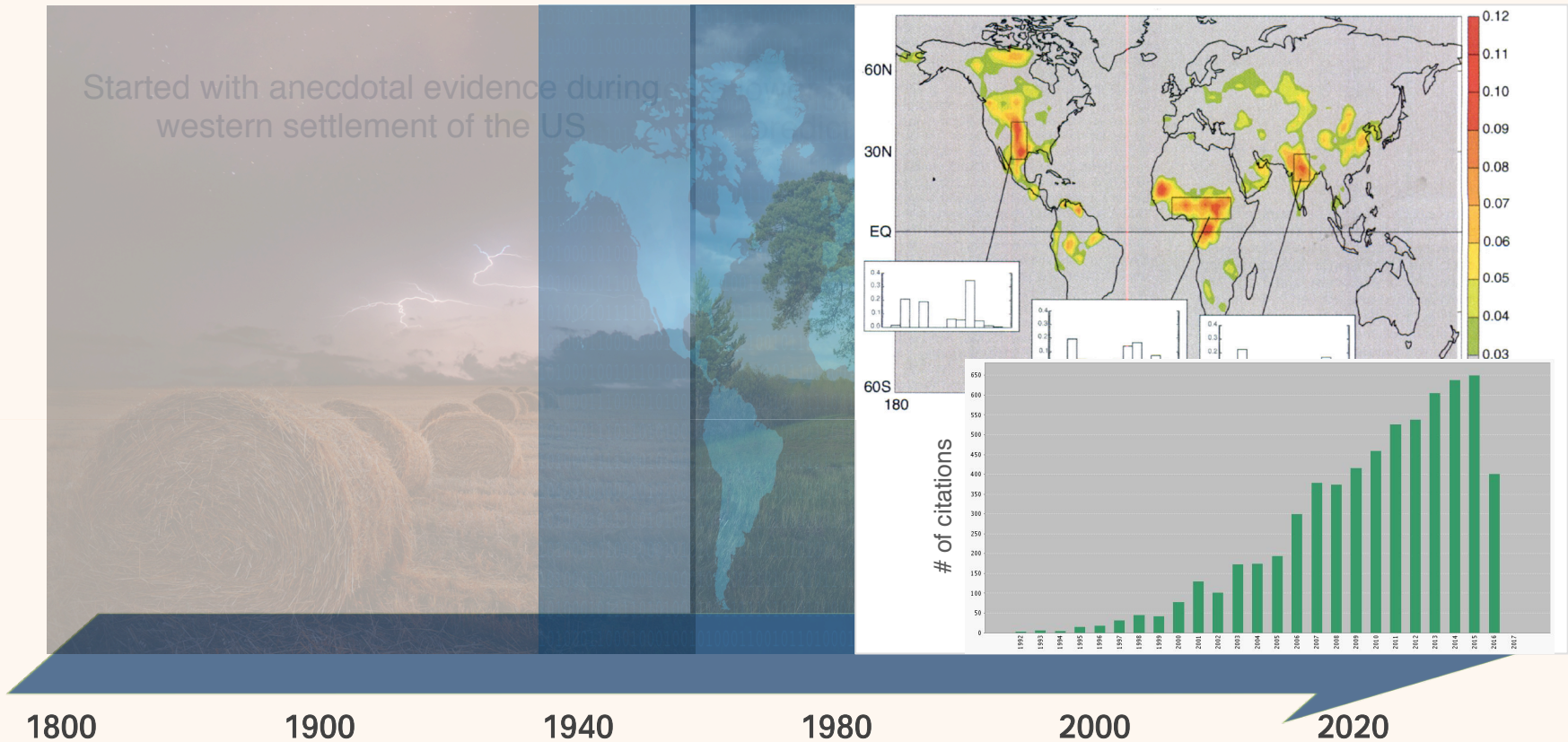
# A Brief History of L-A Interactions

- Shift in discourse looking at soil moisture-precipitation interactions (Koster et al. 2004)
- Review by Seneviratne (2010) outlining the “current” works; but fast moving field

“Rain follows the plow...”

Numerical

## Climate and Surface Feedbacks



# Approaching Land–Atmosphere Interactions

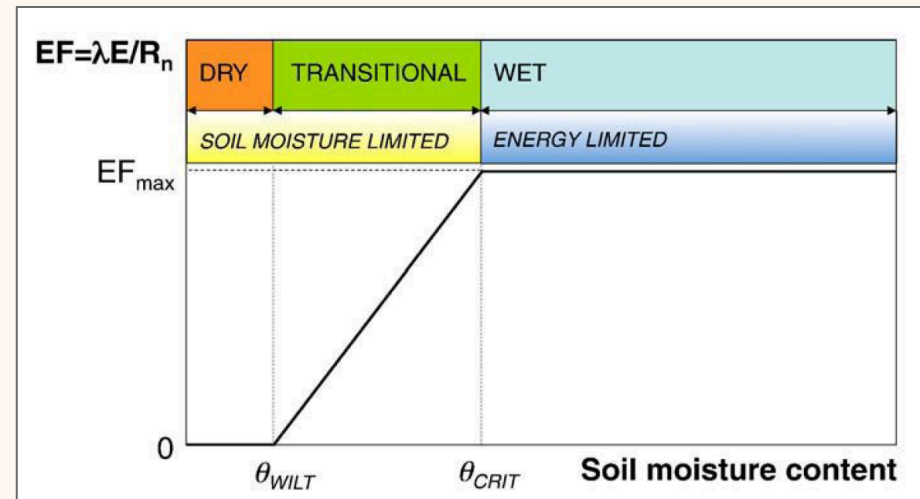
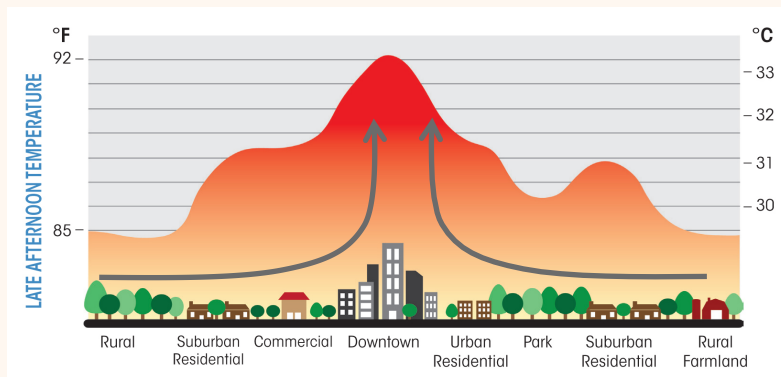
If you have ever asked questions like...



# Approaching Land-Atmosphere Interactions

If you have ever asked questions like...

- When do changes in soil moisture/LAI effect surface flux partitioning?
- How sensitive is the climate to increased urbanization? Are there down-wind effects?



# Approaching Land–Atmosphere Interactions

If you have ever asked questions like...

- When do changes in soil moisture/LAI effect surface flux partitioning?
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- Will irrigation produce more or less precipitation?
- How does deforestation/reforestation influence temperature and precipitation extremes?



# Approaching Land–Atmosphere Interactions

How can we quantify ***Coupling*** and ***Feedbacks***?

Description of physical processes and defining terminology  
Common metrics and measures

# Approaching Land–Atmosphere Interactions

## How can we quantify ***Coupling*** and ***Feedbacks***?

Description of physical processes and defining terminology  
Common metrics and measures

## Land-Atmosphere interactions in ***CESM*** (CAM and ***CLM***)

Change in coupling and feedbacks across model version  
Model-world versus Real-world

# Physical Processes: Land–Atmosphere Interactions

If you have ever asked questions like...

- When do changes in soil moisture/LAI effect surface flux partitioning?
- How sensitive is the climate to increased urbanization? Are there down-wind effects?
- Will irrigation produce more or less precipitation?
- How does deforestation/reforestation influence temperature and precipitation extremes?

**Biogeophysics** = how **energy** and moisture move through the earth system including the role of the biosphere

We will begin from the **surface energy budget**

$$SW^{\downarrow} - SW^{\uparrow} + LW^{\downarrow} - LW^{\uparrow} = \lambda E + SH + G + H$$

# Physical Processes: Land–Atmosphere Interactions

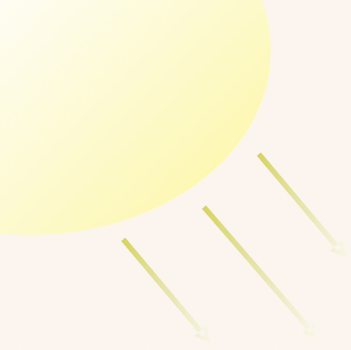
If you have ever asked questions like...

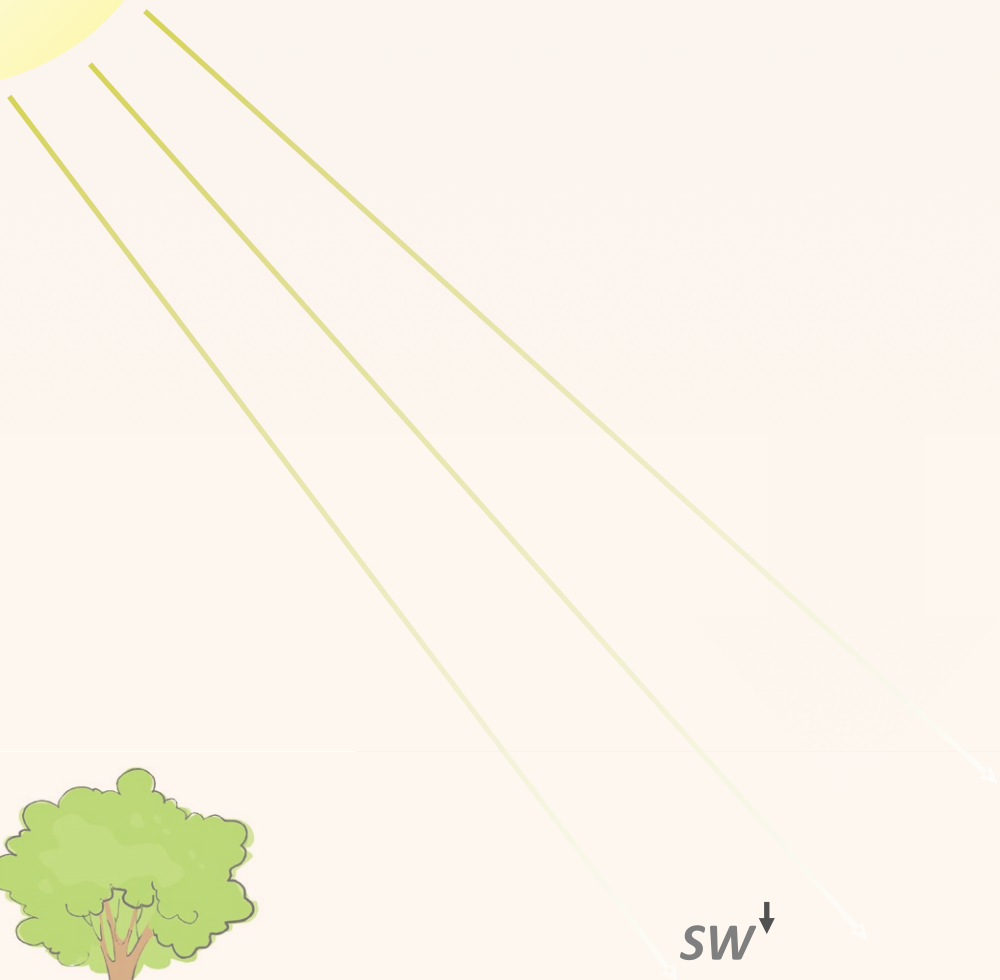
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**Biogeophysics** = how **energy** and moisture move through the earth system including the role of the biosphere

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$$\underbrace{SW^\downarrow - SW^\uparrow + LW^\downarrow - LW^\uparrow}_{R_{net}} = \lambda E + SH + G + H$$





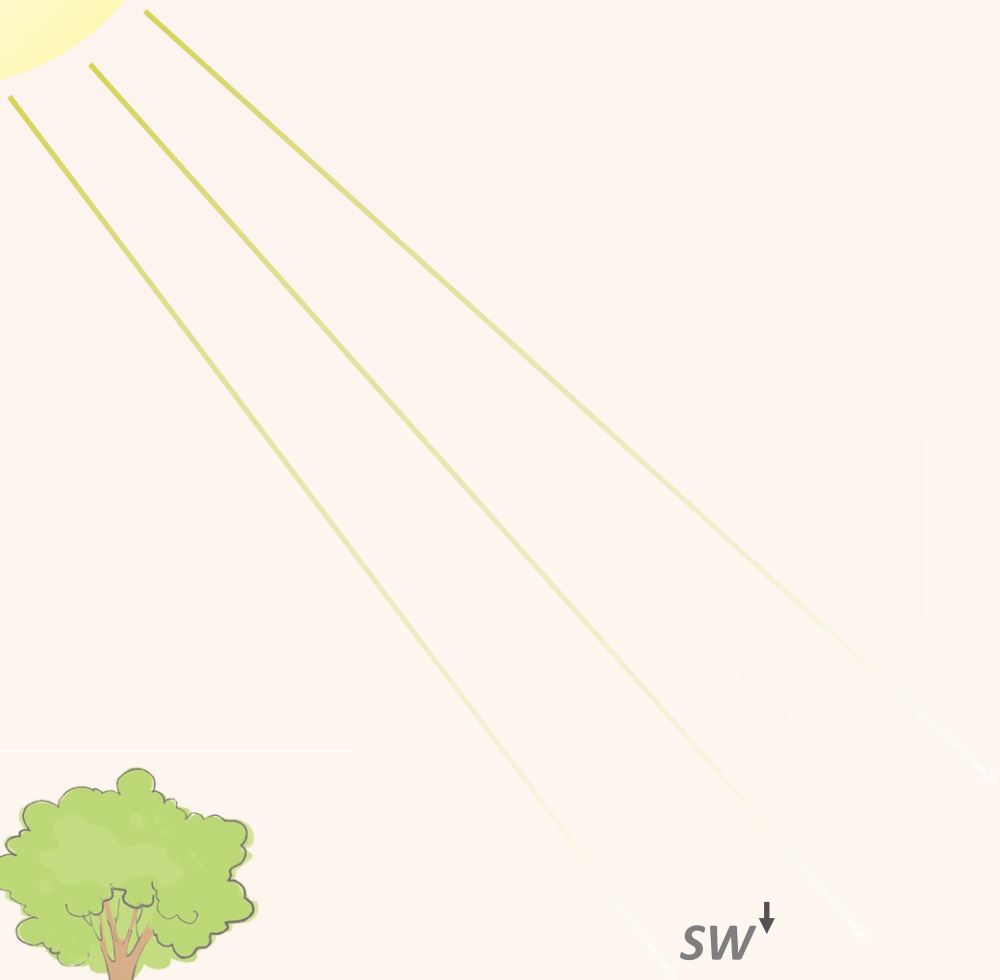
SW↓



LW↓



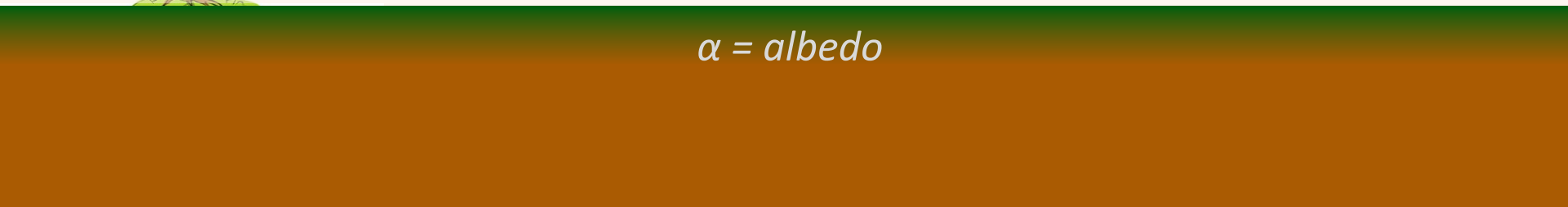




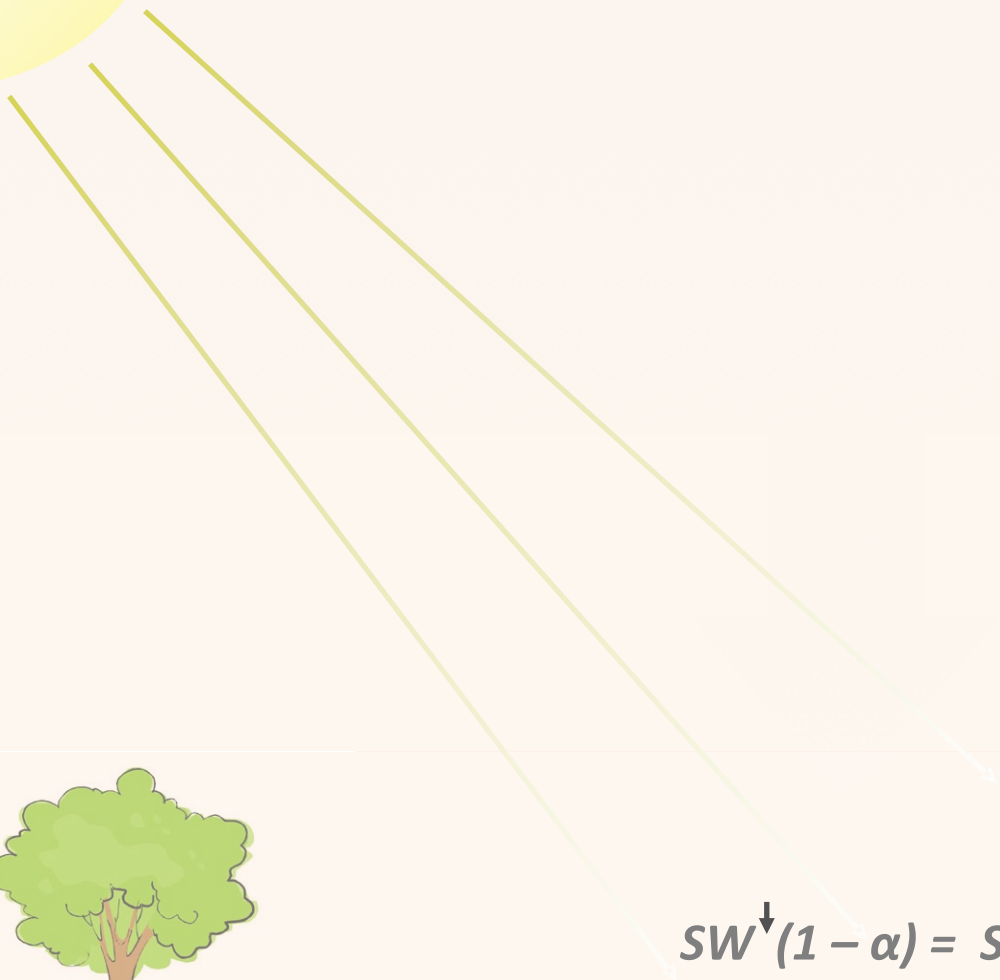
SW↓



LW↓



$\alpha = \text{albedo}$

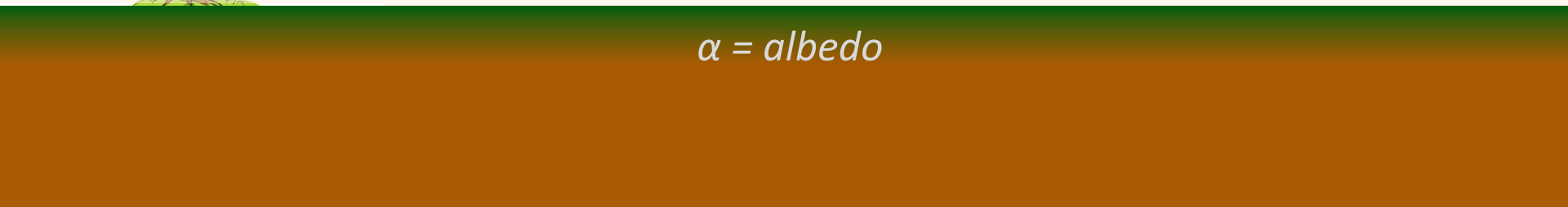


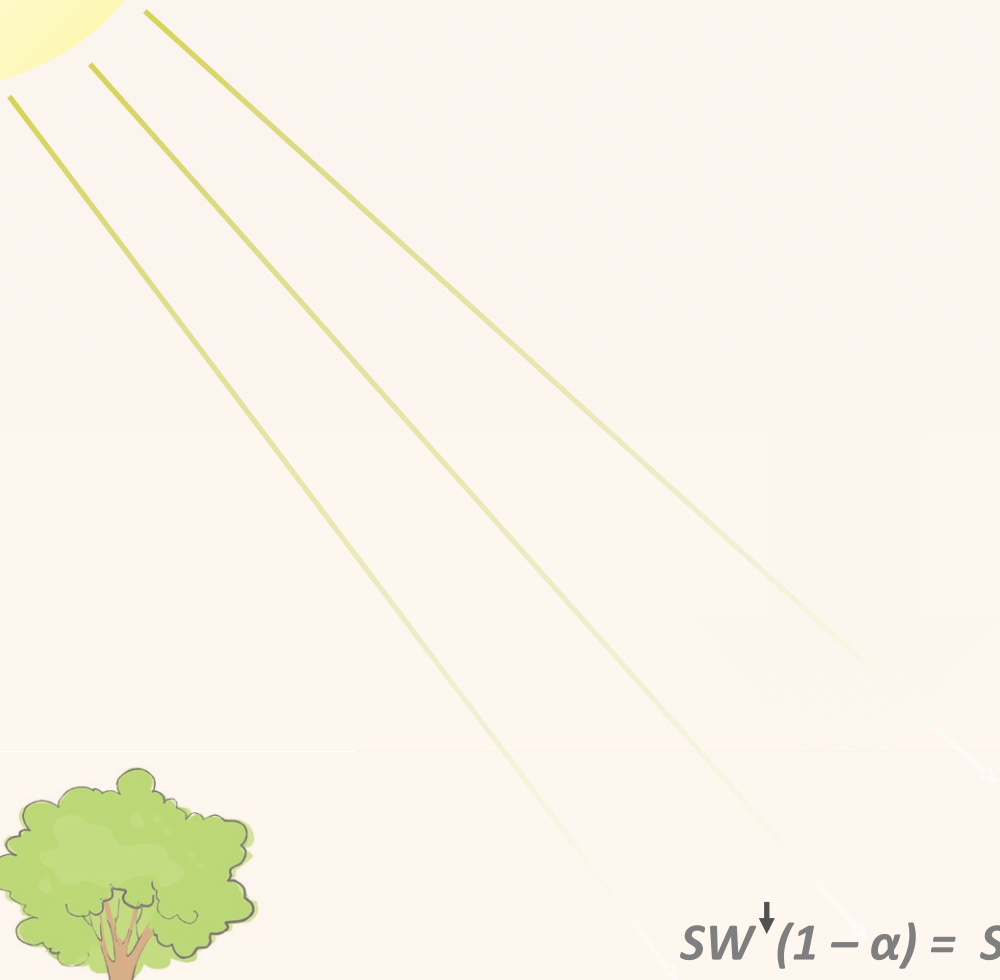
$$SW^{\downarrow}(1 - \alpha) = SW^{\uparrow}$$



$$LW^{\downarrow}$$

$\alpha = \text{albedo}$



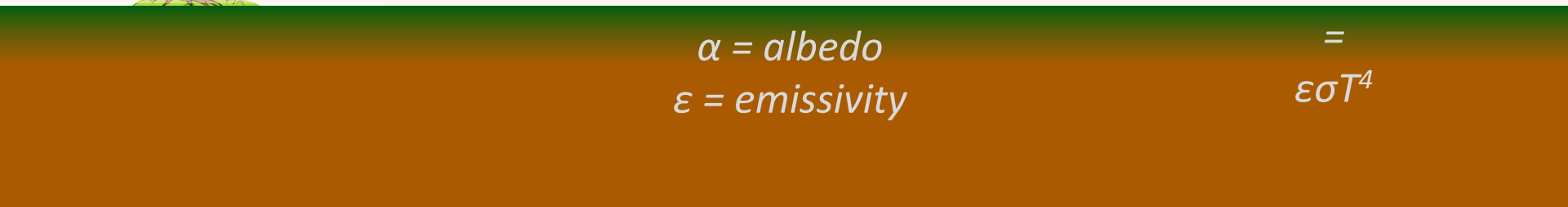


$$SW^{\downarrow}(1 - \alpha) = SW^{\uparrow}$$

$\alpha = \text{albedo}$   
 $\varepsilon = \text{emissivity}$



$$LW^{\downarrow}$$
  
$$LW^{\uparrow}$$
  
$$=$$
  
$$\varepsilon\sigma T^4$$





$$SW^{\downarrow}(1 - \alpha) = SW^{\uparrow}$$

$R_{net}$

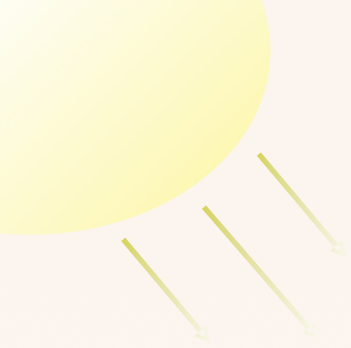
$\alpha = \text{albedo}$   
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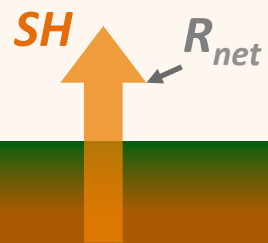
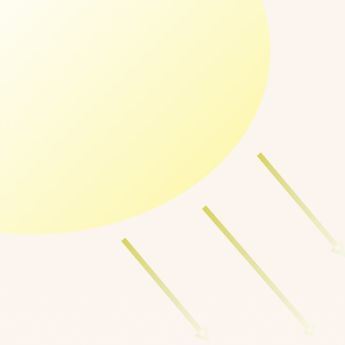
$$LW^{\downarrow}$$

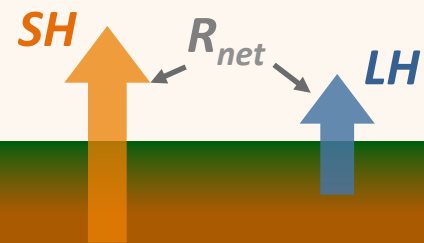
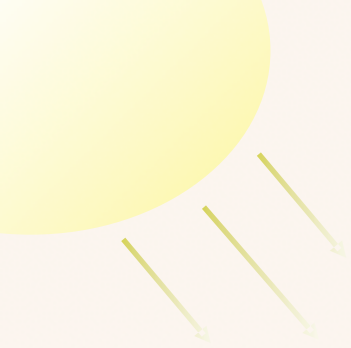
$$LW^{\uparrow}$$

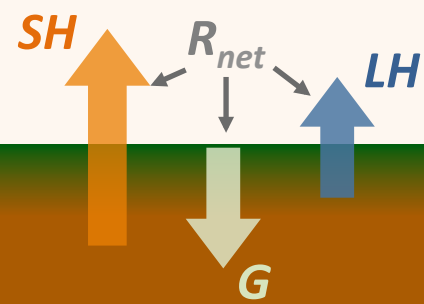
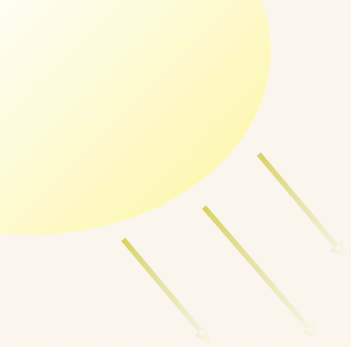
$$= \varepsilon\sigma T^4$$



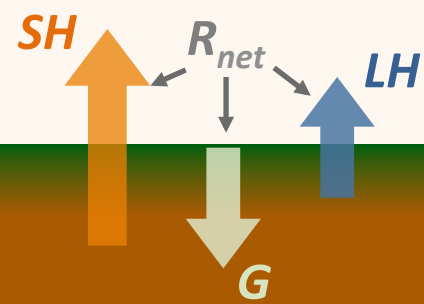
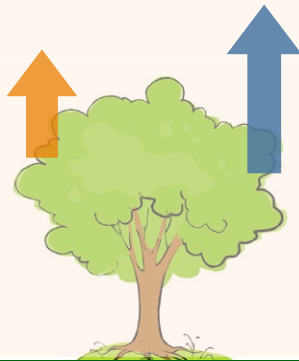
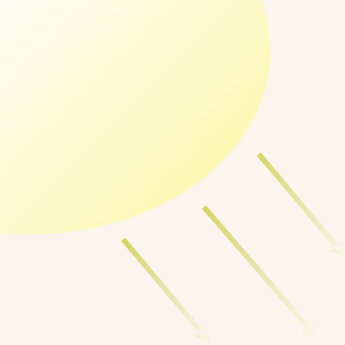
$R_{net}$



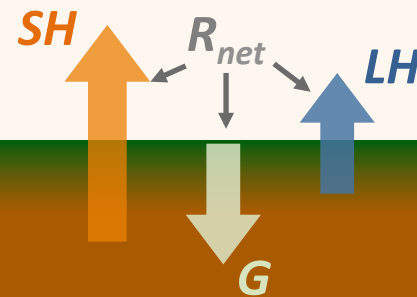
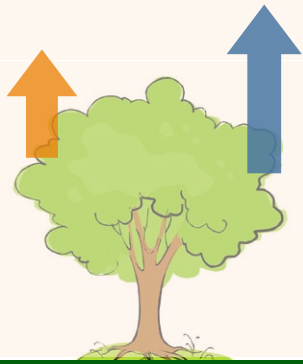
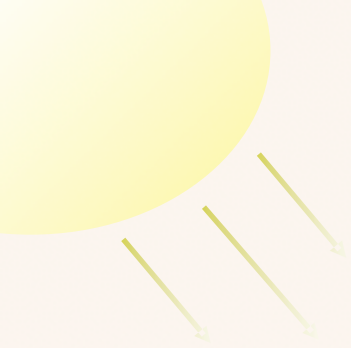


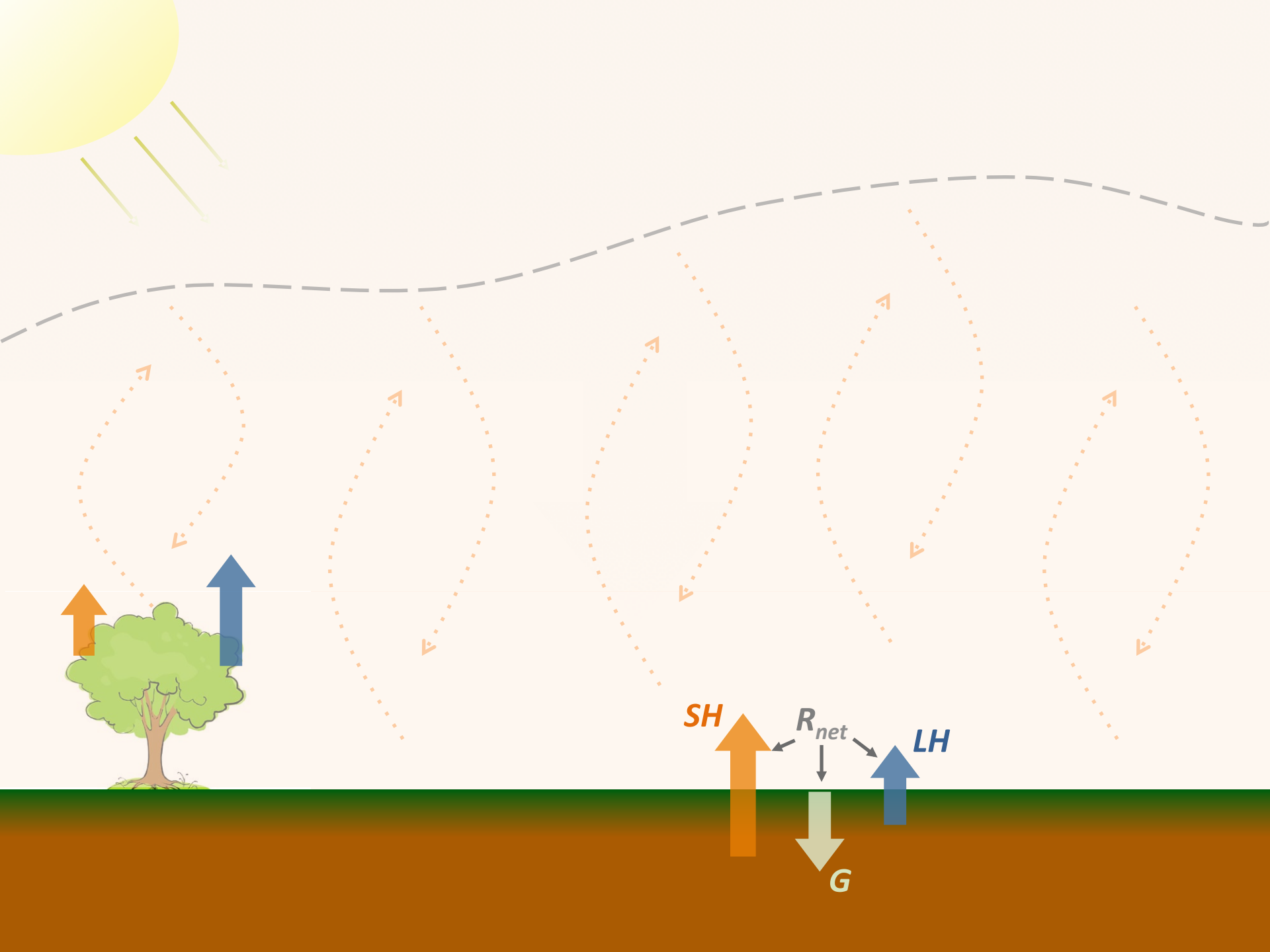


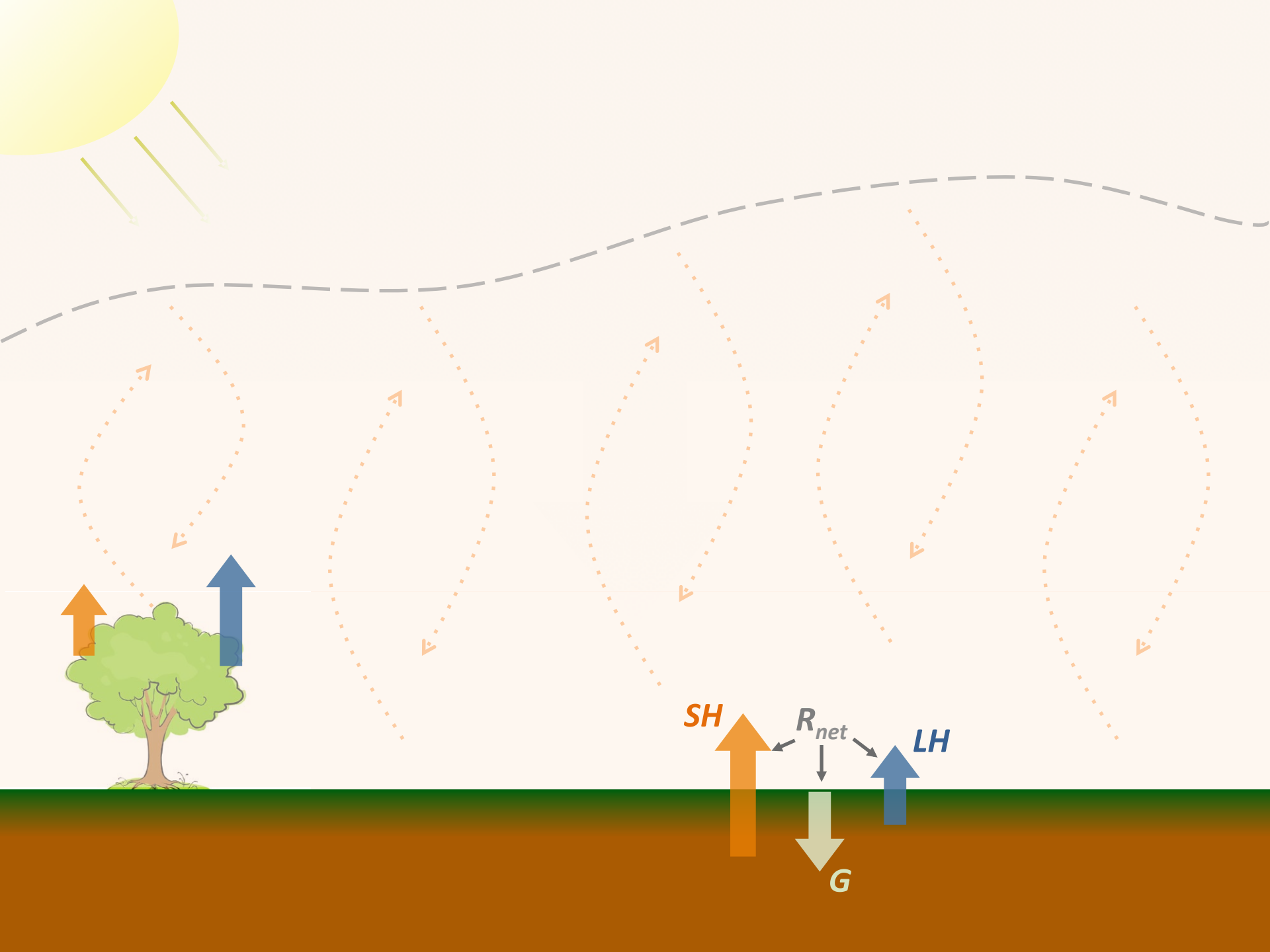


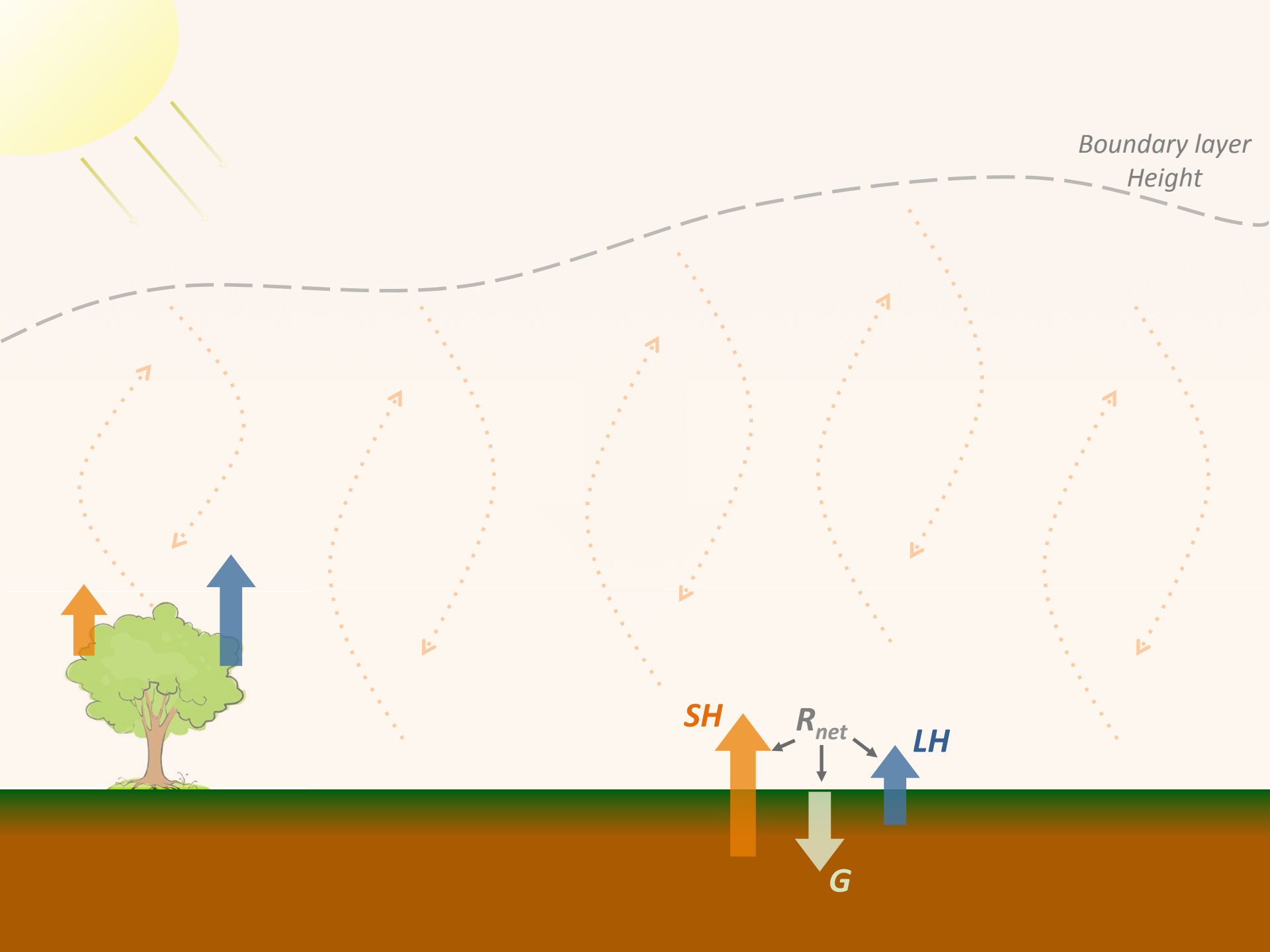


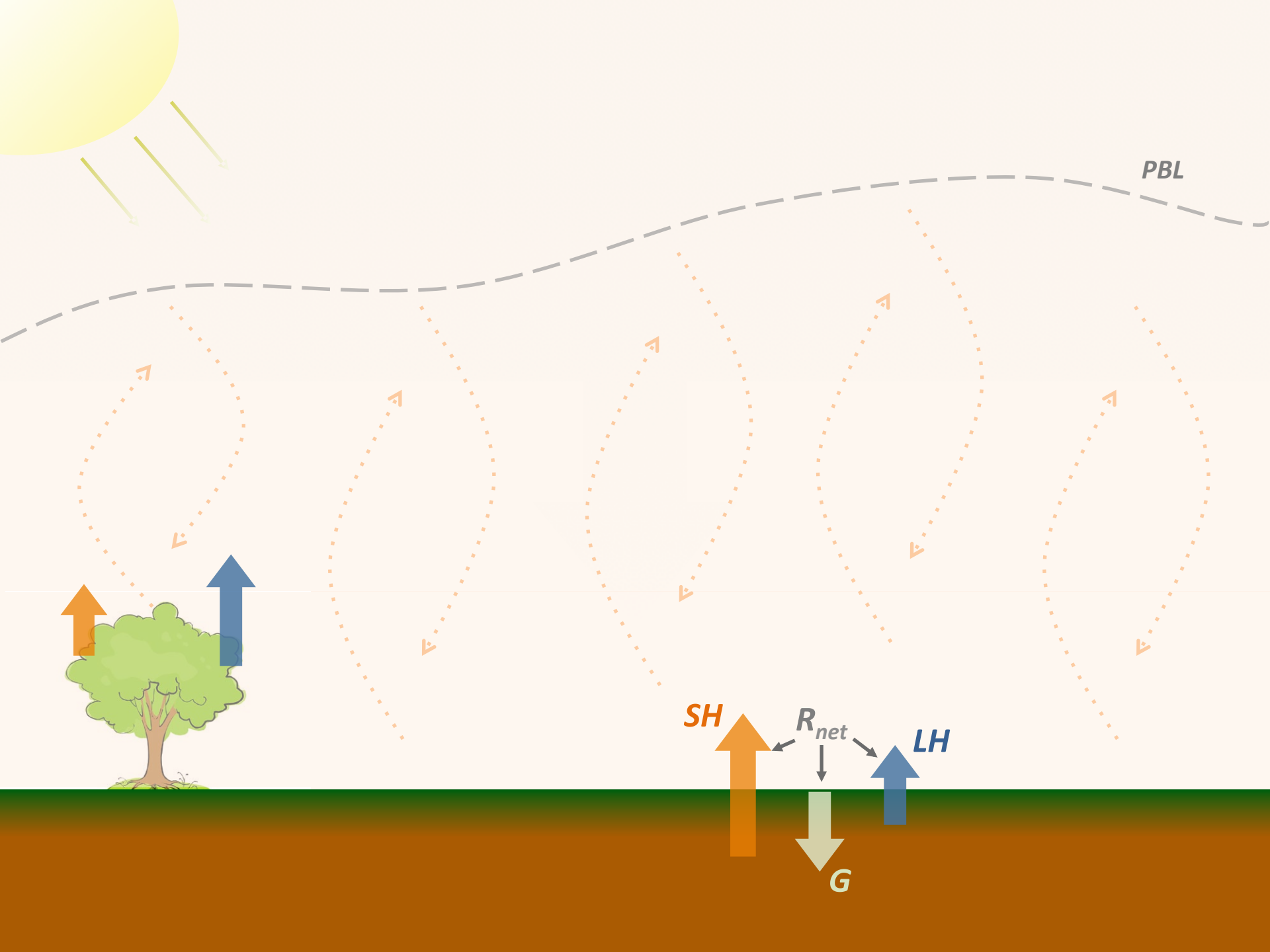
How does the atmosphere respond to the new **energy** and **moisture**?



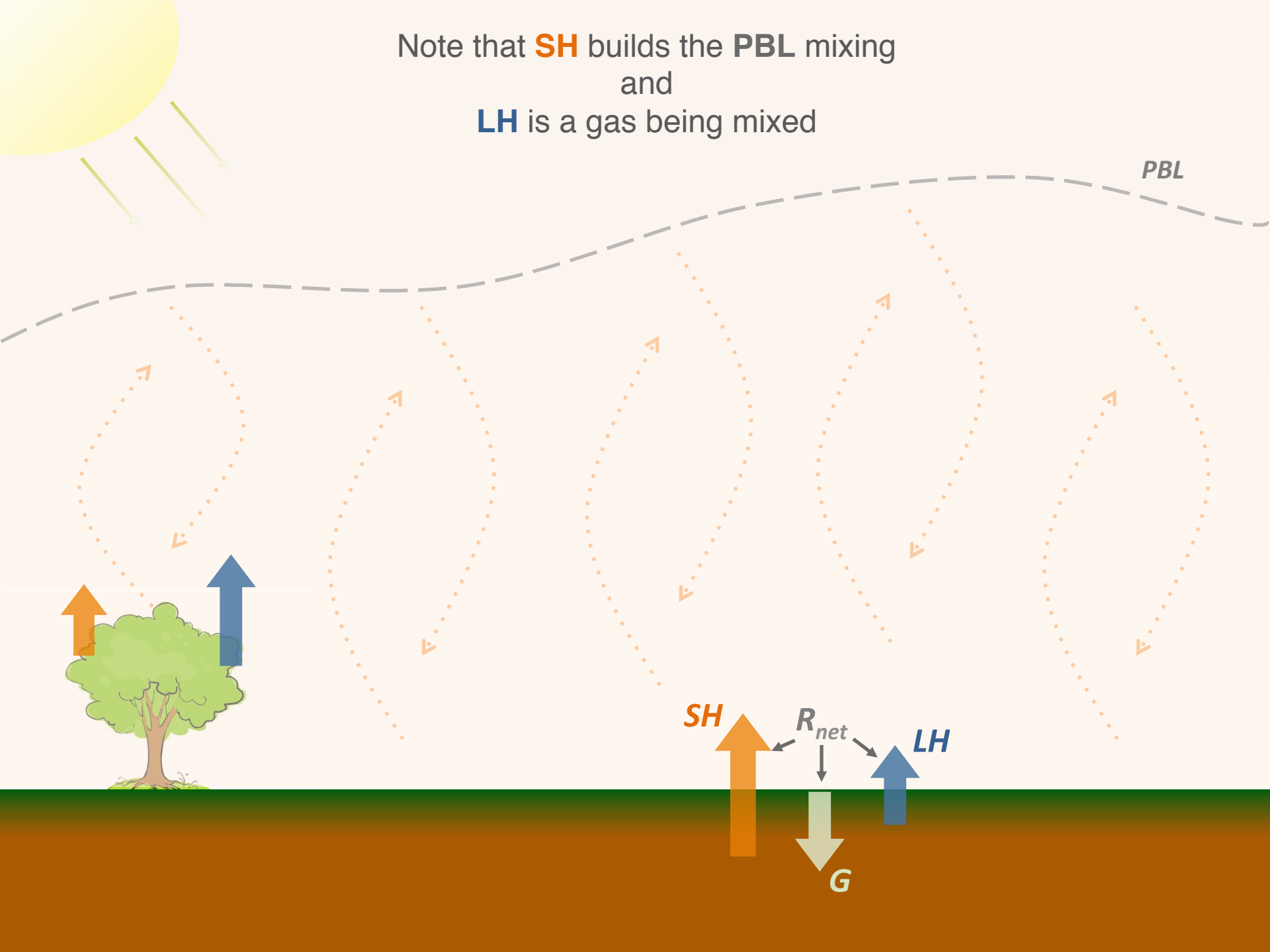




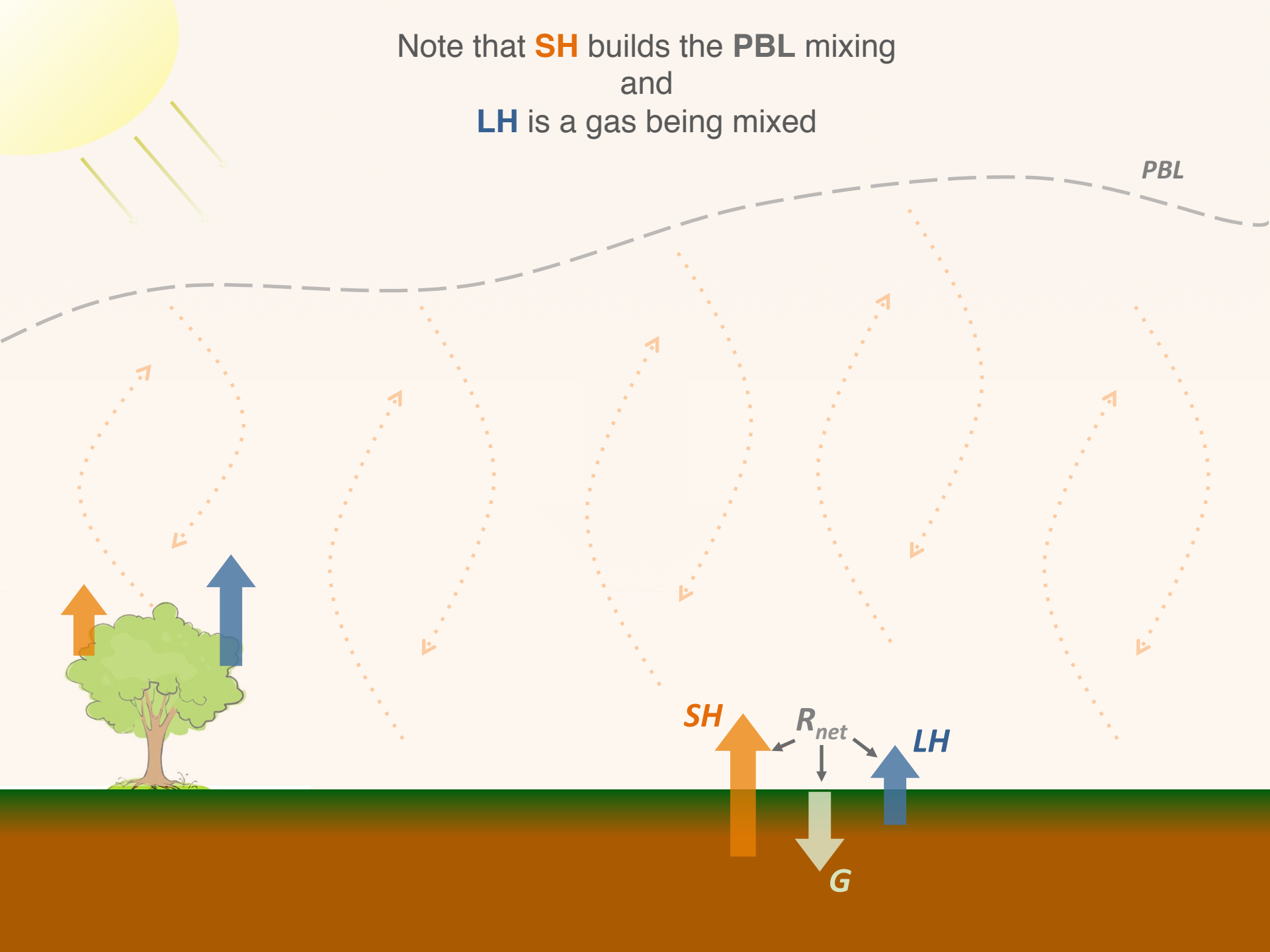




Note that **SH** builds the **PBL** mixing  
and  
**LH** is a gas being mixed

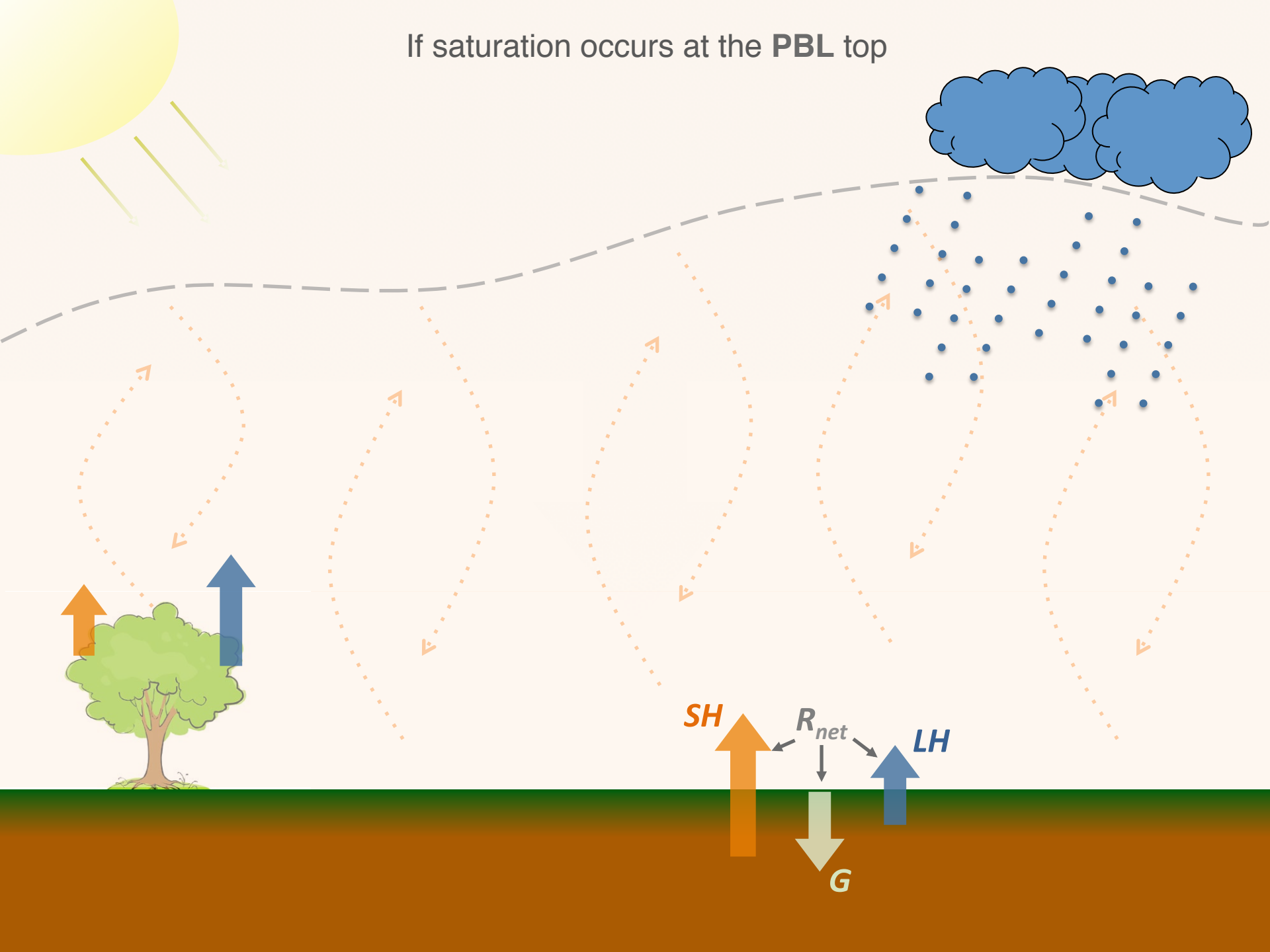


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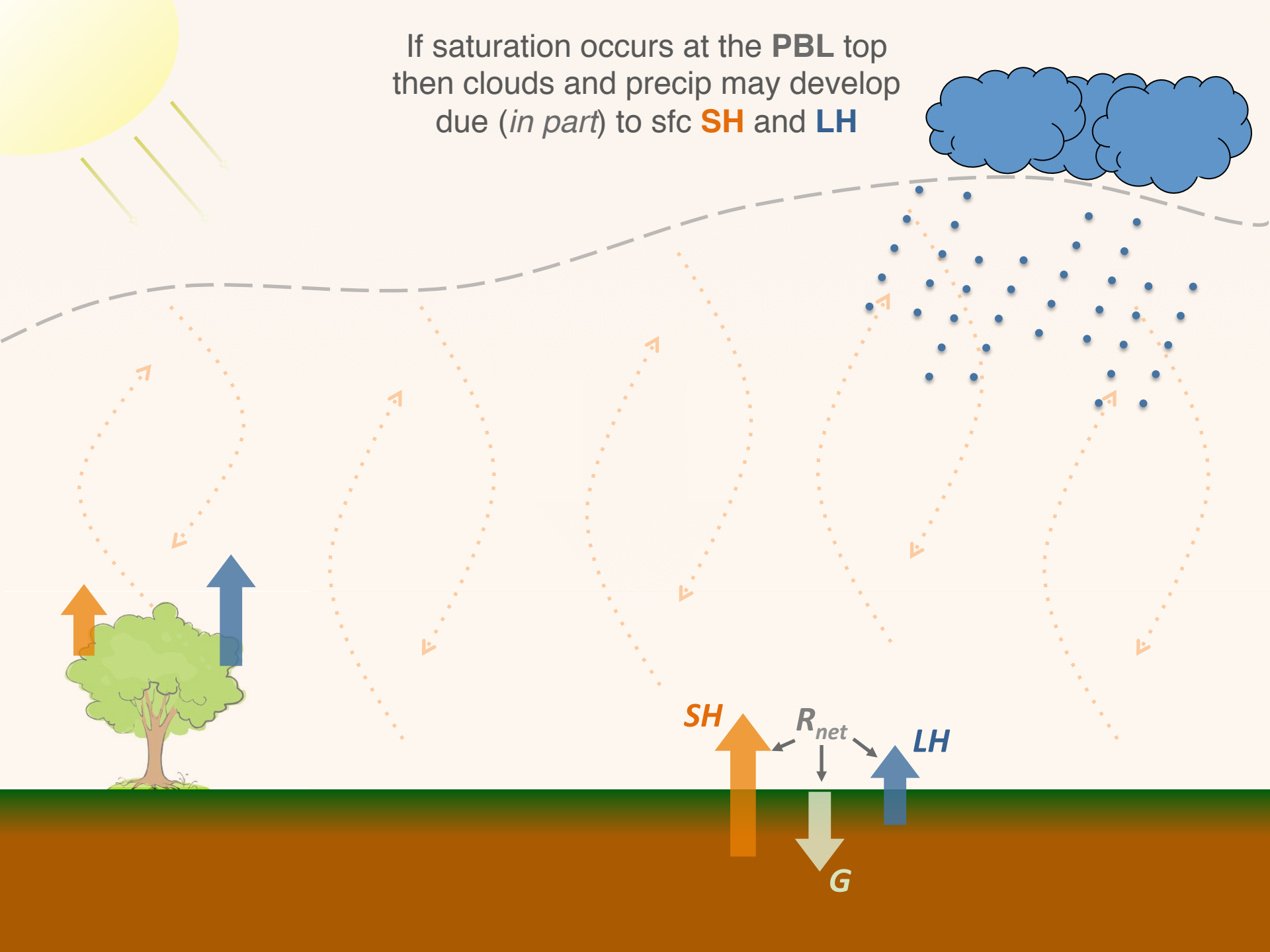




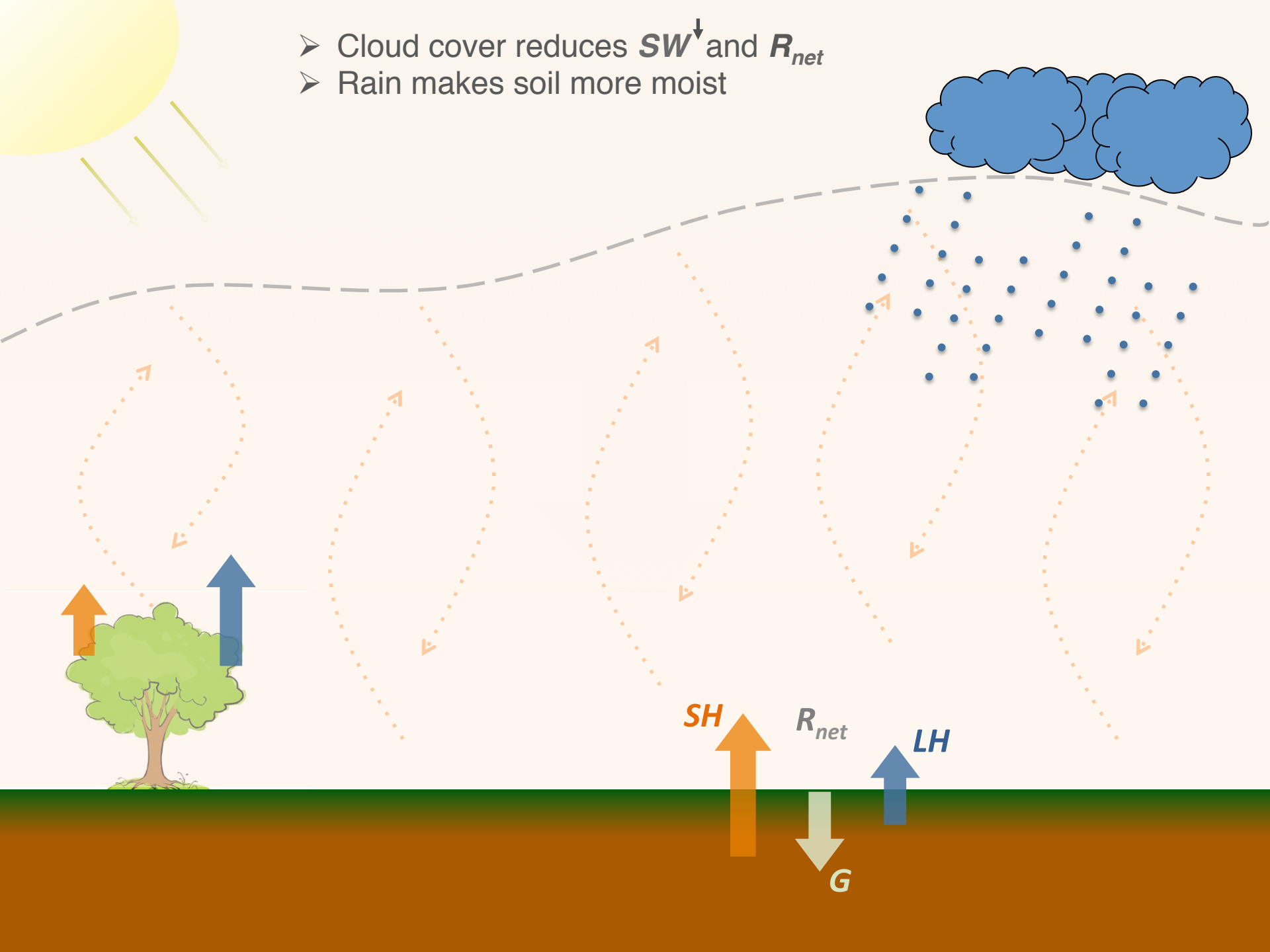
If saturation occurs at the **PBL** top



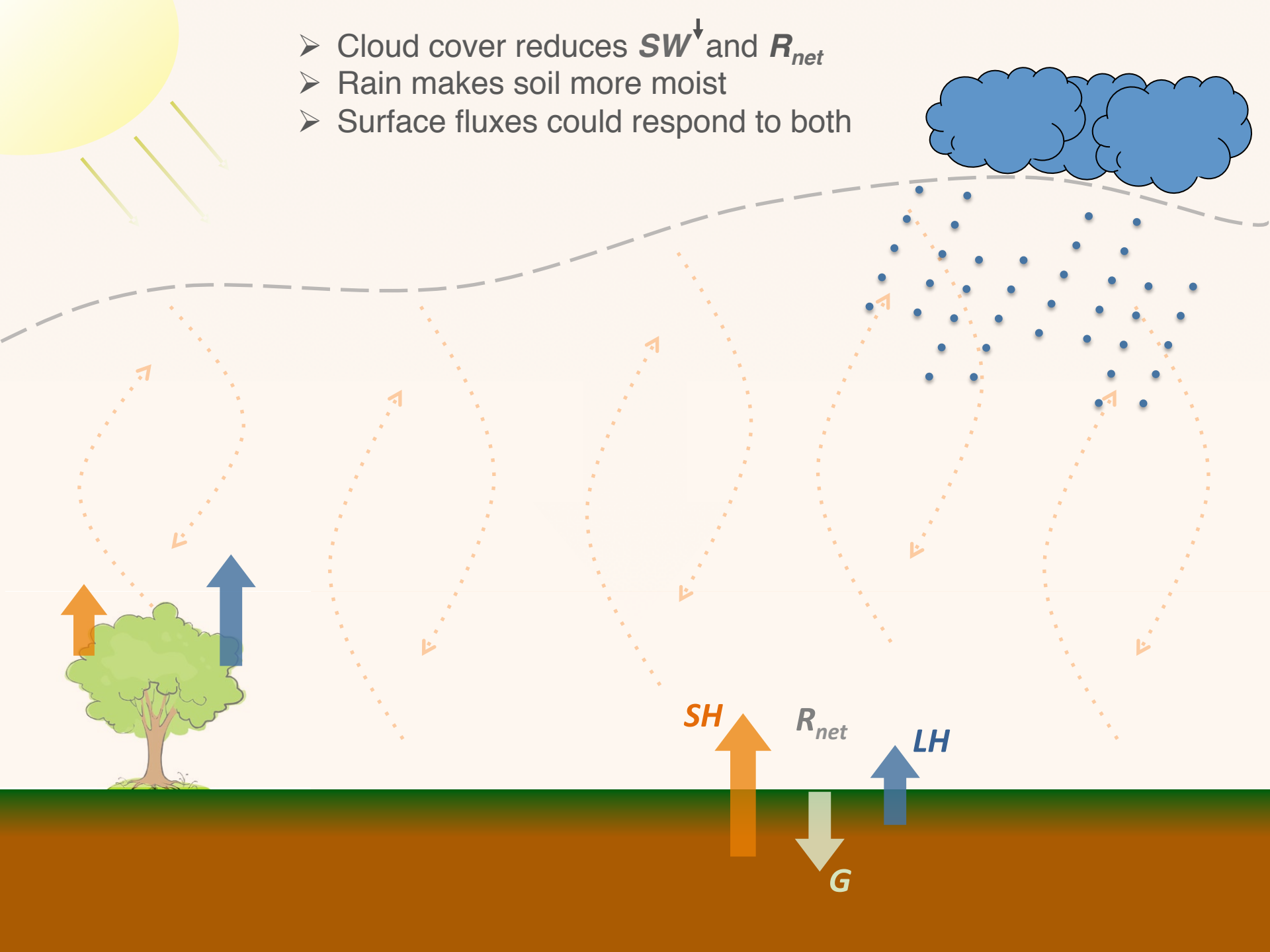
If saturation occurs at the **PBL** top  
then clouds and precip may develop  
due (*in part*) to sfc **SH** and **LH**



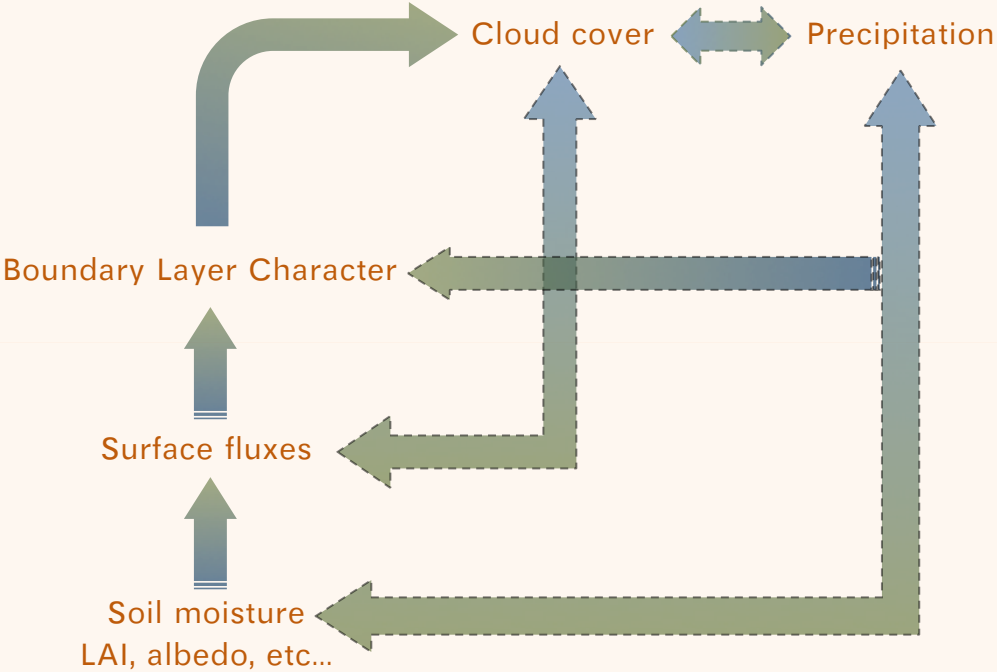
- Cloud cover reduces  $SW_{\downarrow}$  and  $R_{net}$
- Rain makes soil more moist



- Cloud cover reduces  $SW_{\downarrow}$  and  $R_{net}$
- Rain makes soil more moist
- Surface fluxes could respond to both



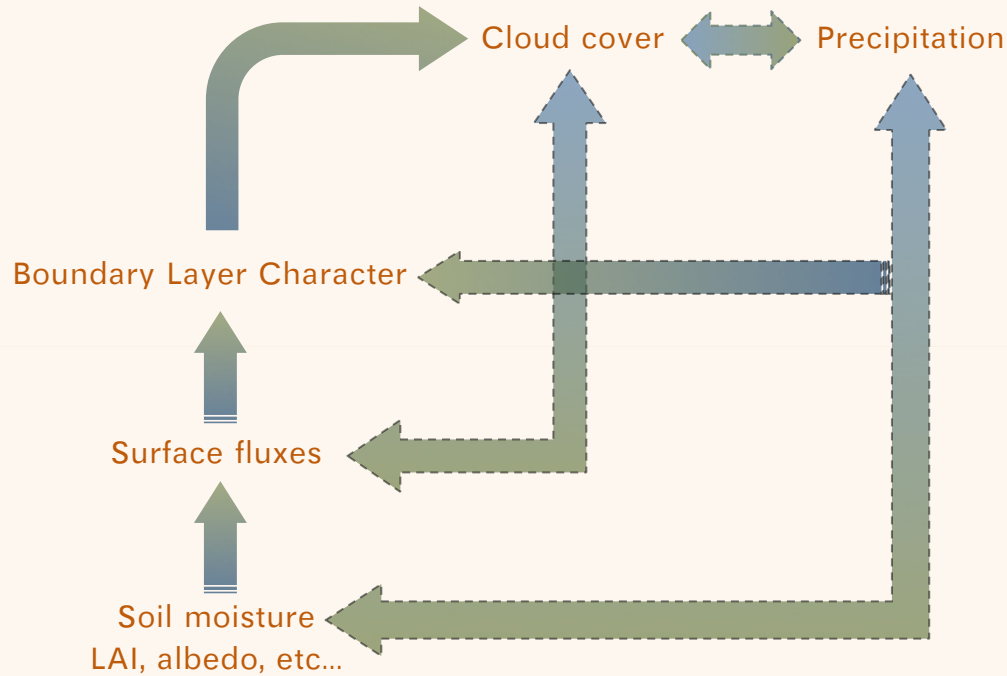
# Cascade of processes leading to **local** land-atmosphere interactions



# Cascade of processes leading to **local** land-atmosphere interactions

**Coupling** = how closely controlled is one variable by another

- Example: **Latent Heat** changes due to variations in **Soil Moisture**



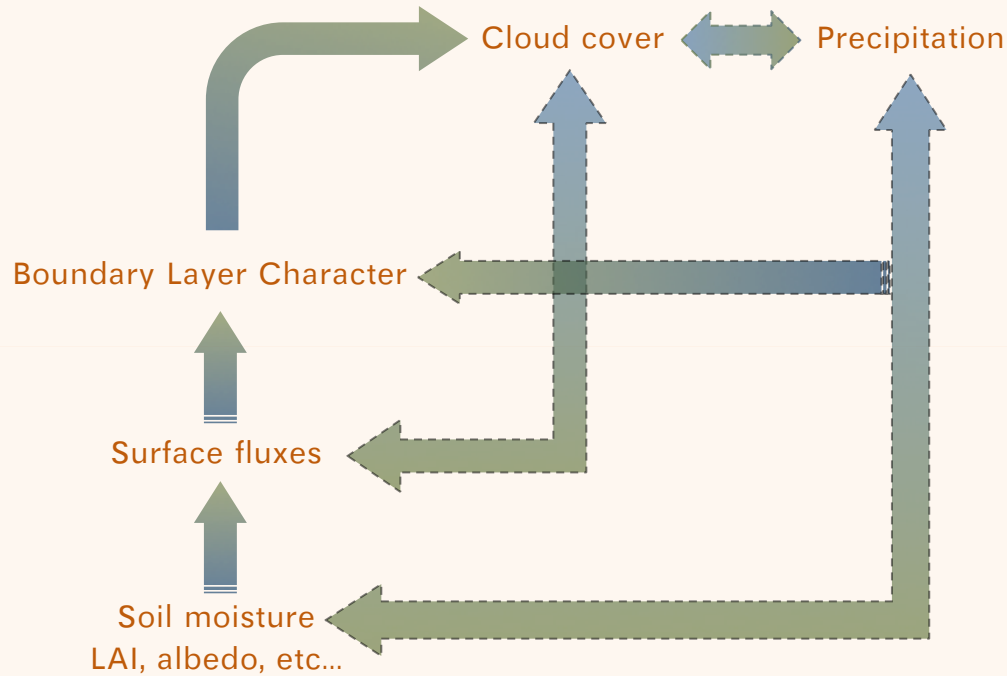
# Cascade of processes leading to **local** land-atmosphere interactions

*Coupling* = how closely controlled is one variable by another

➤ Example: *Latent Heat change due to variations in Soil Moisture*

**Feedback** = perturbation of a variables results in further perturbation

➤ Example: **Wet soil** produces **more rain** further wetting the soil (+)



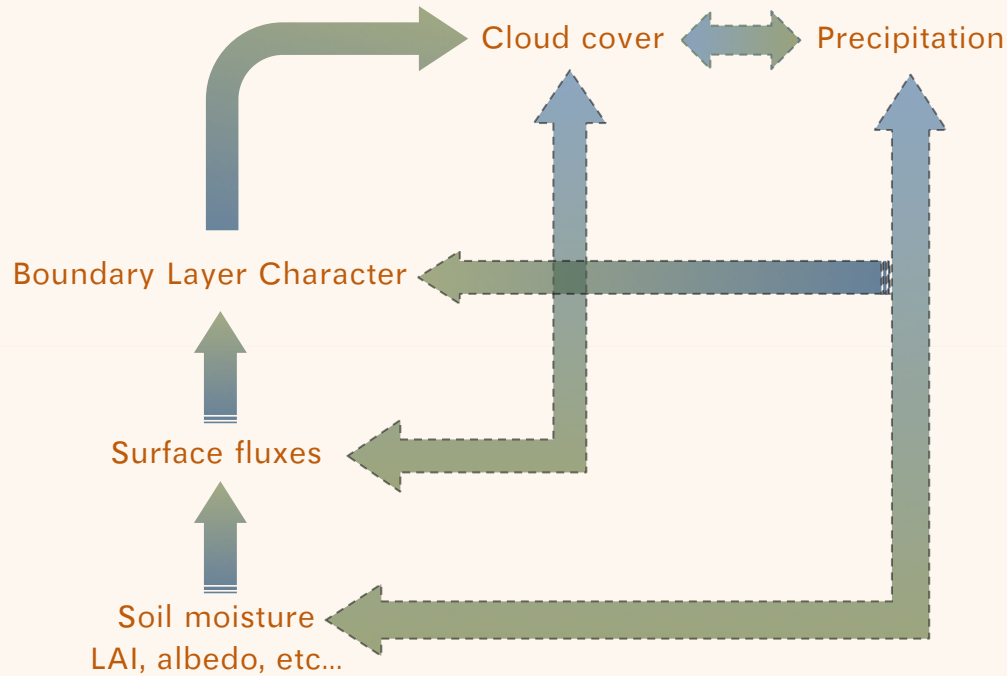
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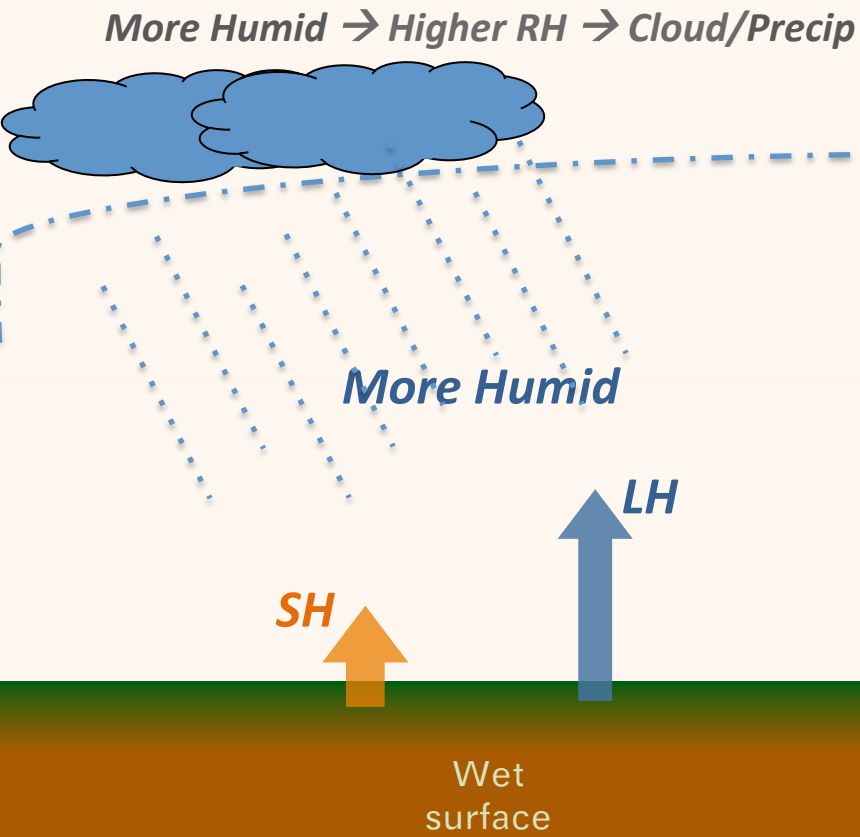
**Feedback** = perturbation of a variables results in further perturbation

➤ Example: **Wet soil** produces **less rain** results in soil drying (-)



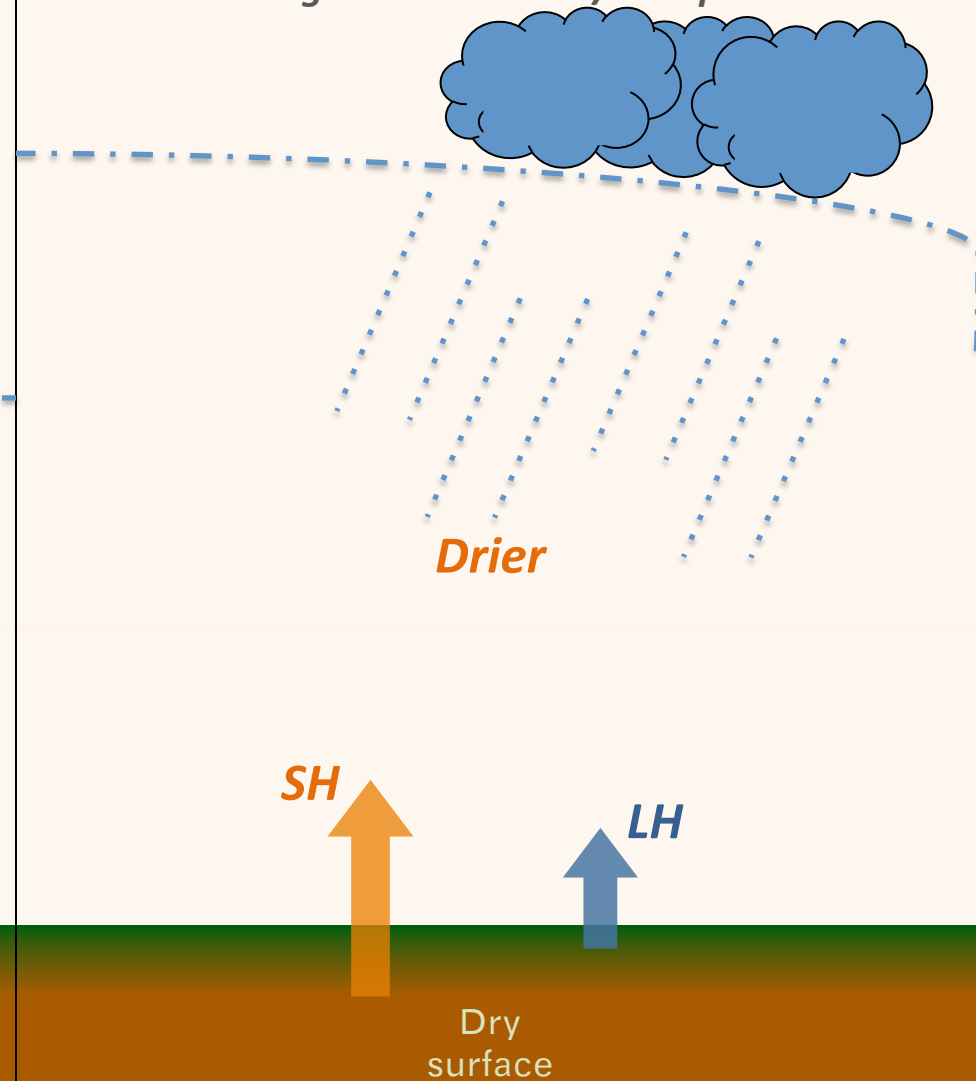


## Positive Feedback Story



## Negative Feedback Story

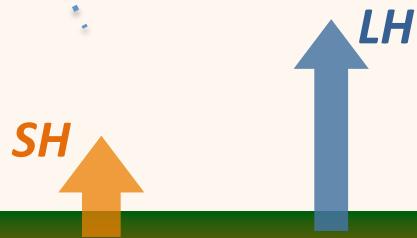
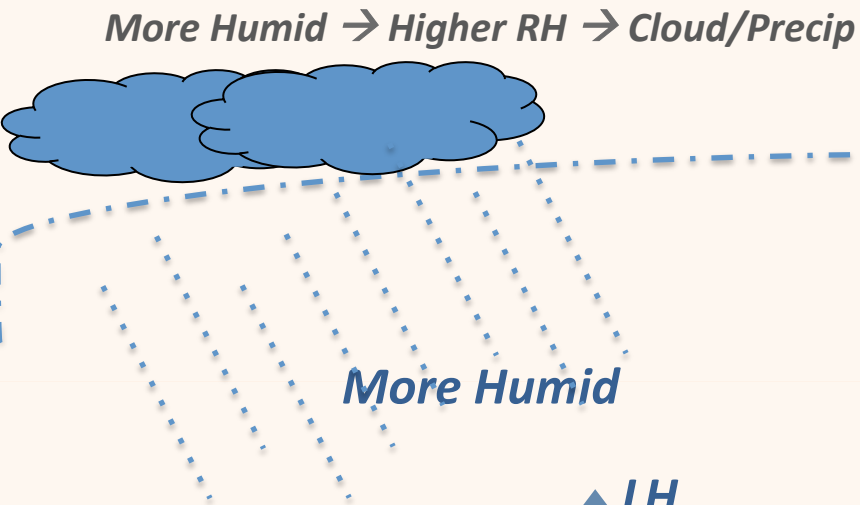
*Higher PBL  $\rightarrow$  Cooler Temps  $\rightarrow$   
Higher RH  $\rightarrow$  Cloud/Precip*



## Positive Feedback Story

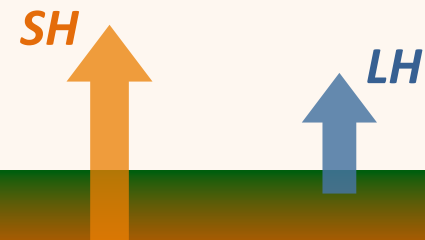
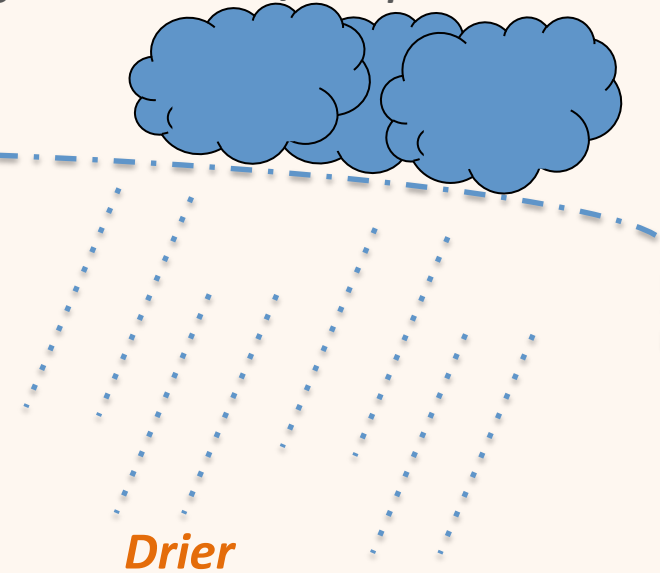
Which ones is it  
and when?

## Negative Feedback Story



Wet  
surface

*Higher PBL* → Cooler Temps →  
*Higher RH* → Cloud/Precip



Dry  
surface

## Positive Feedback Story

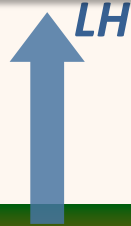
Which ones is it  
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## Negative Feedback Story

Higher PBL  $\rightarrow$  Cooler Temps  $\rightarrow$   
Higher RH  $\rightarrow$  Cloud/Precip



Need a way to quantify *coupling* and *feedbacks* in order to answer this question and others

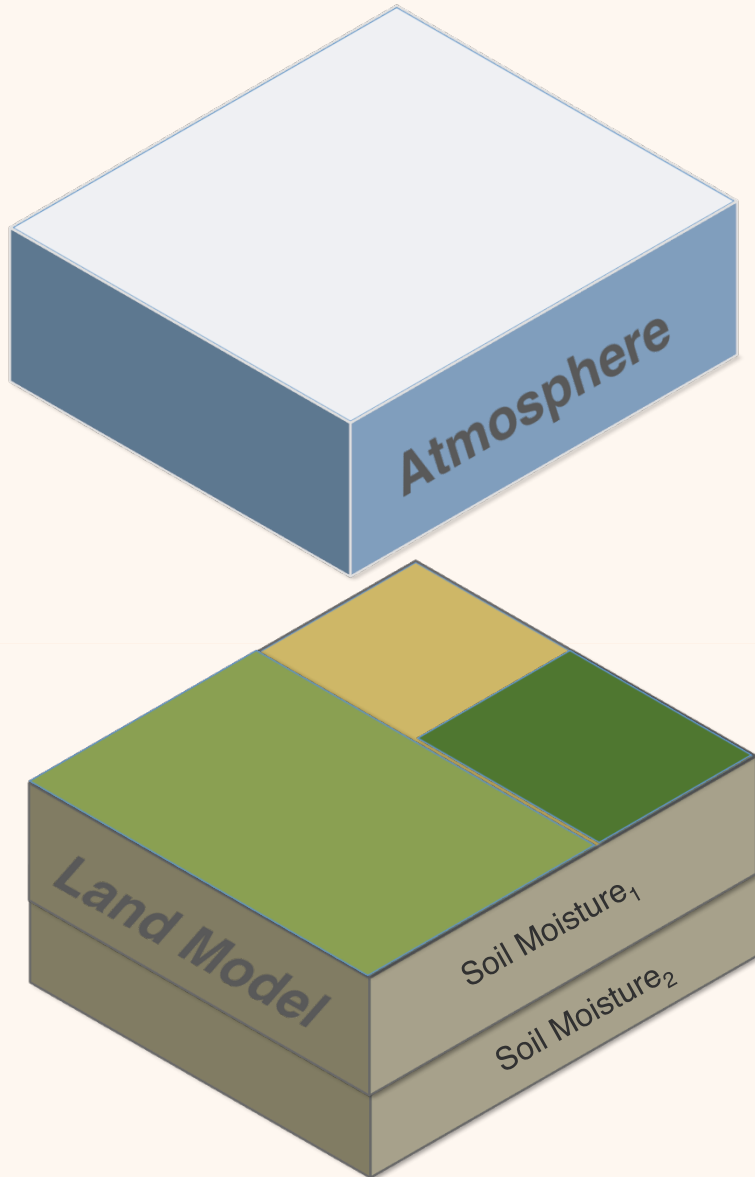


Wet  
surface



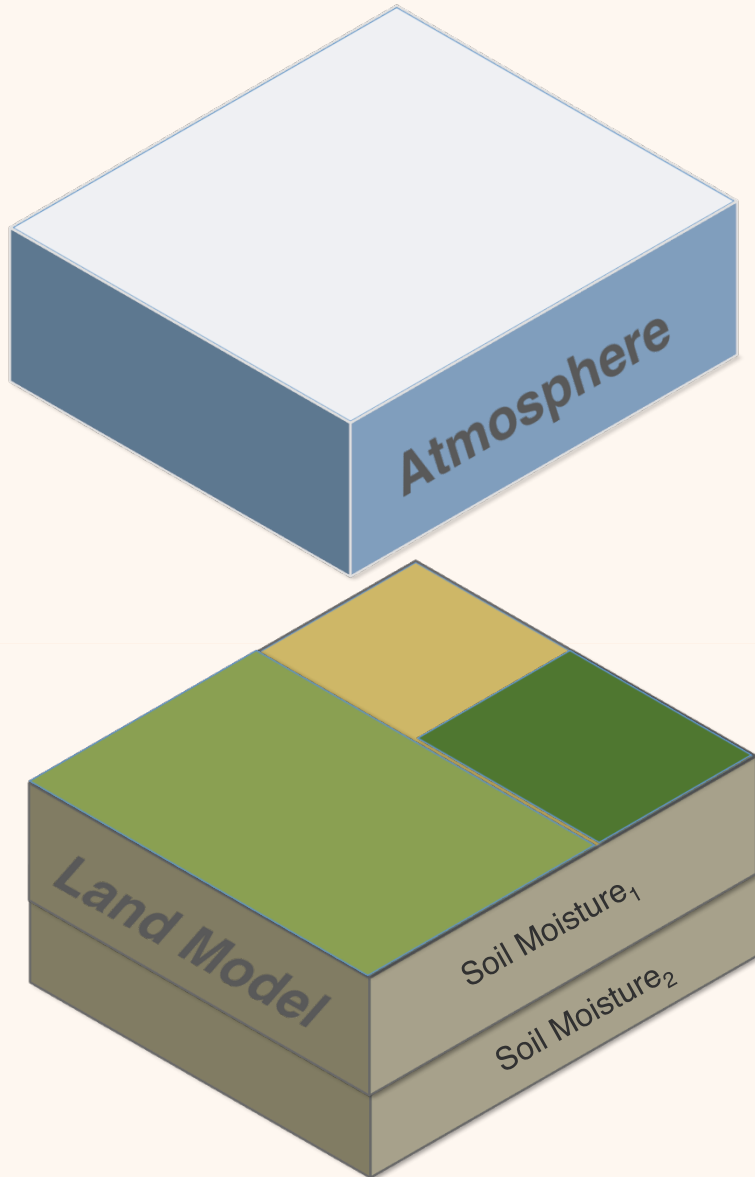
Dry  
surface

# Clever Model Design for Coupling and Feedback



In model world we can just prescribe or adjust soil moisture to explore L-A

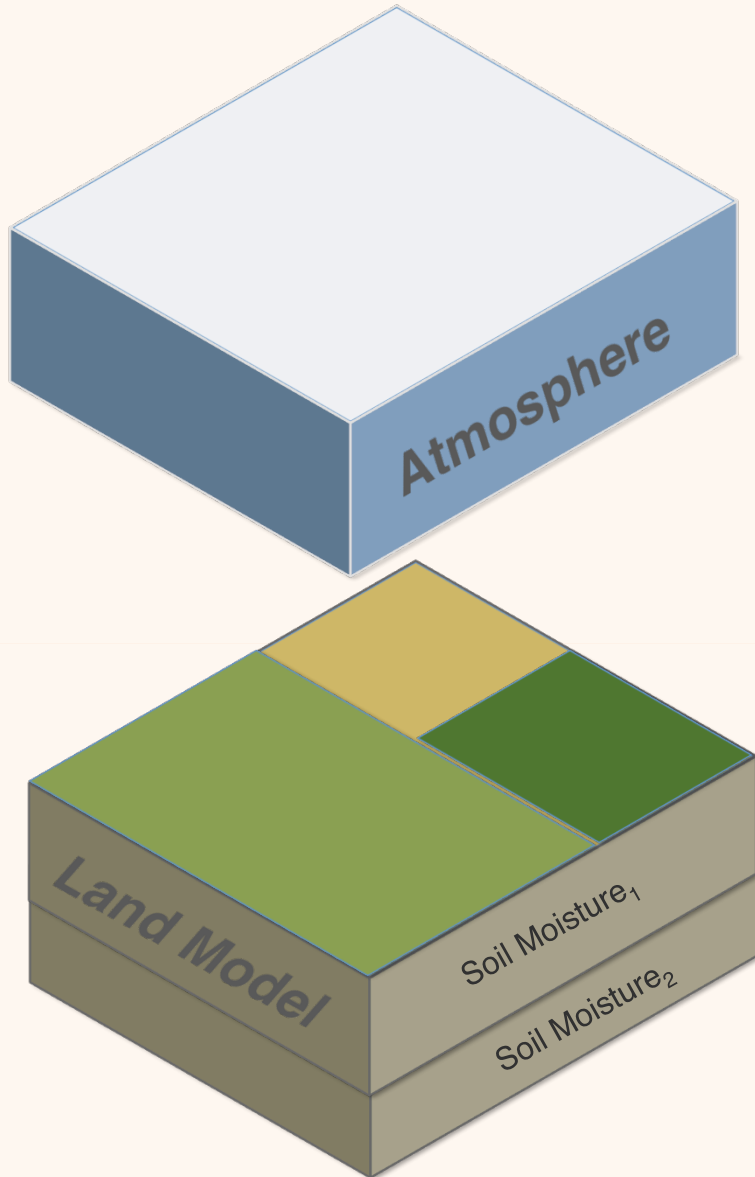
# Clever Model Design for Coupling and Feedback



In model world we can just prescribe or adjust soil moisture to explore L-A

- **Seasonal predictability:** A popular method was to initialize to dry or wet soil moisture and see how the model evolves (Betts et al. 1996; Fennessy and Shukla 1999; Pal and Eltahir 2001; Betts 2004; Wu et al. 2007; Kim and Wang 2007)

# Clever Model Design for Coupling and Feedback

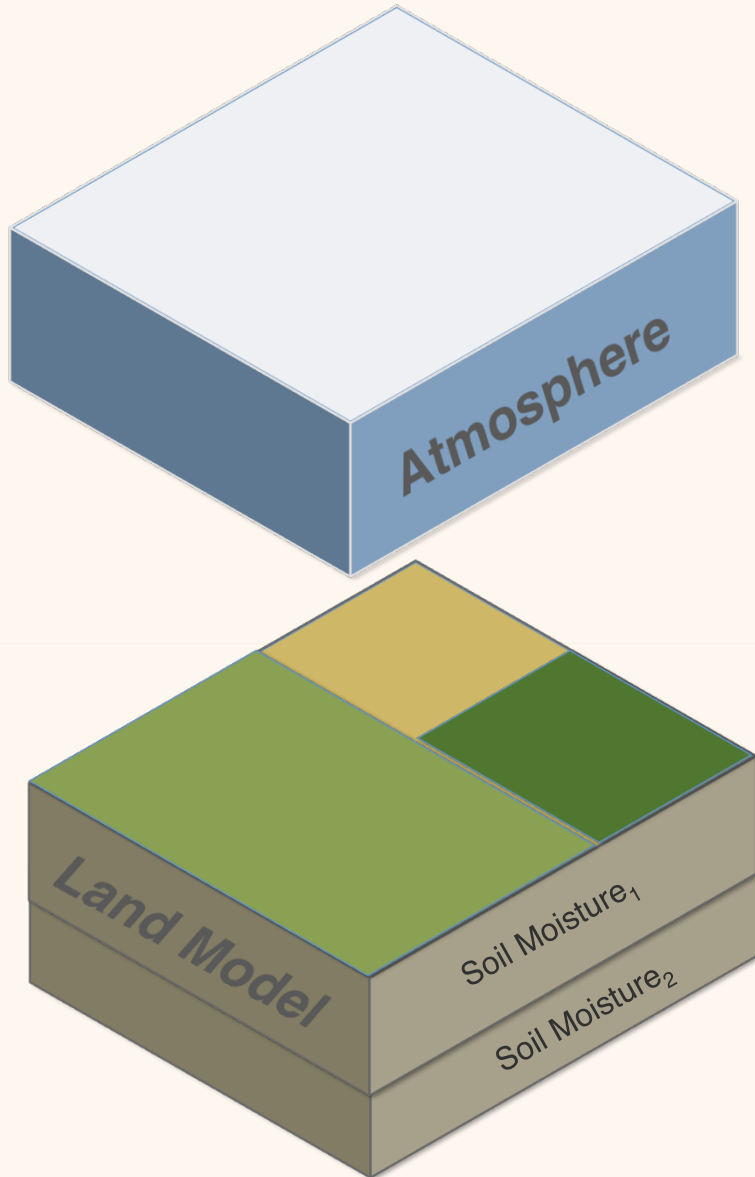


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- **Climate Feedbacks:** The Global Land Atmosphere Experiment (GLACE) explored soil moisture-precipitation interactions in 12 GCMs by performing two sets of ensembles for each model. A metric was then derived to isolate variations in precipitation due solely to soil moisture (Koster et al. 2004, 2006; Guo et al. 2006)

$$\Omega_P = \frac{16\sigma_{\hat{P}}^2 - \sigma_P^2}{15\sigma_P^2}.$$

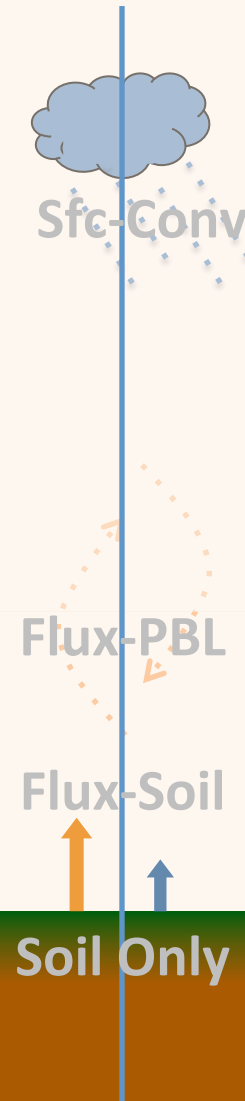
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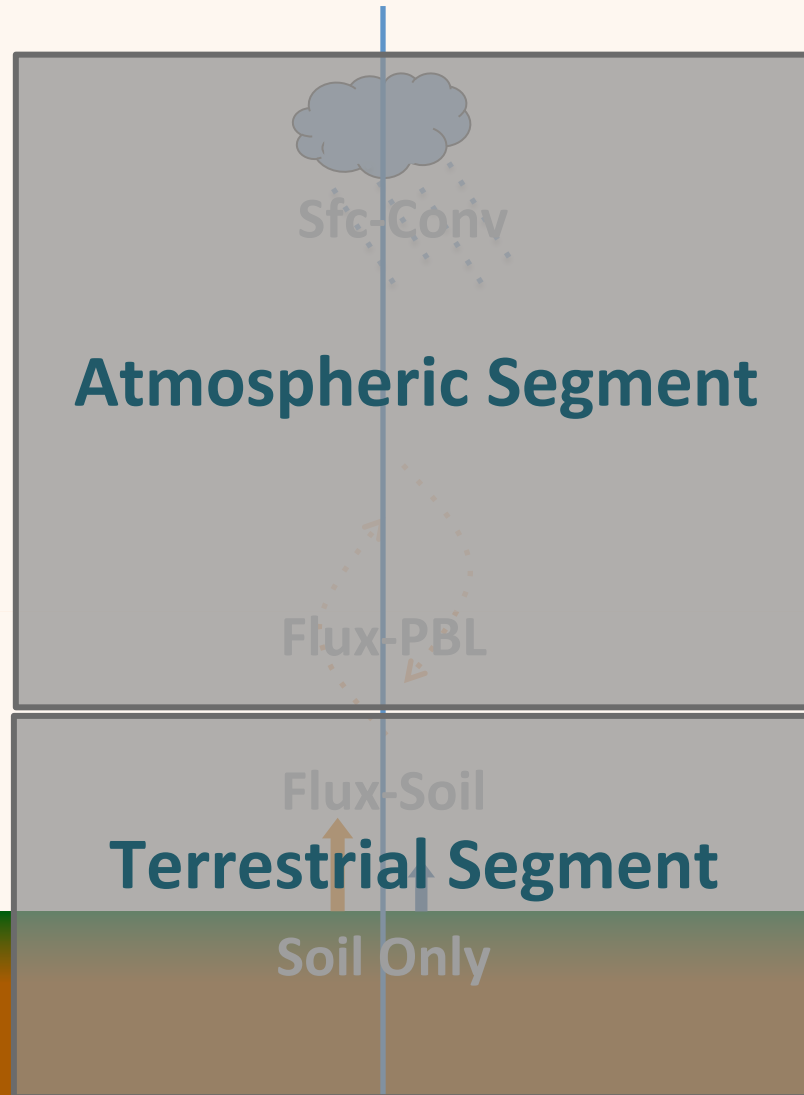
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- **Real-World:** Unfortunately, in the real-world you cannot just prescribe soil moisture in a massive controlled way. Need metrics that can be calculated from both models and observations

# Common Metrics for L-A Coupling and Feedbacks





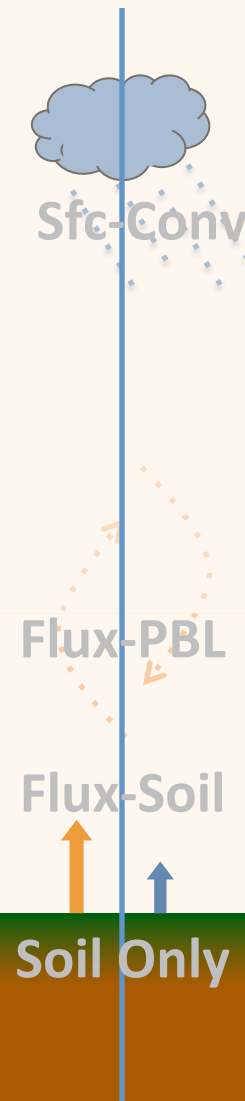
# Common Metrics for L-A Coupling and Feedbacks



# Common Metrics for L-A Coupling and Feedbacks

**Statistical**

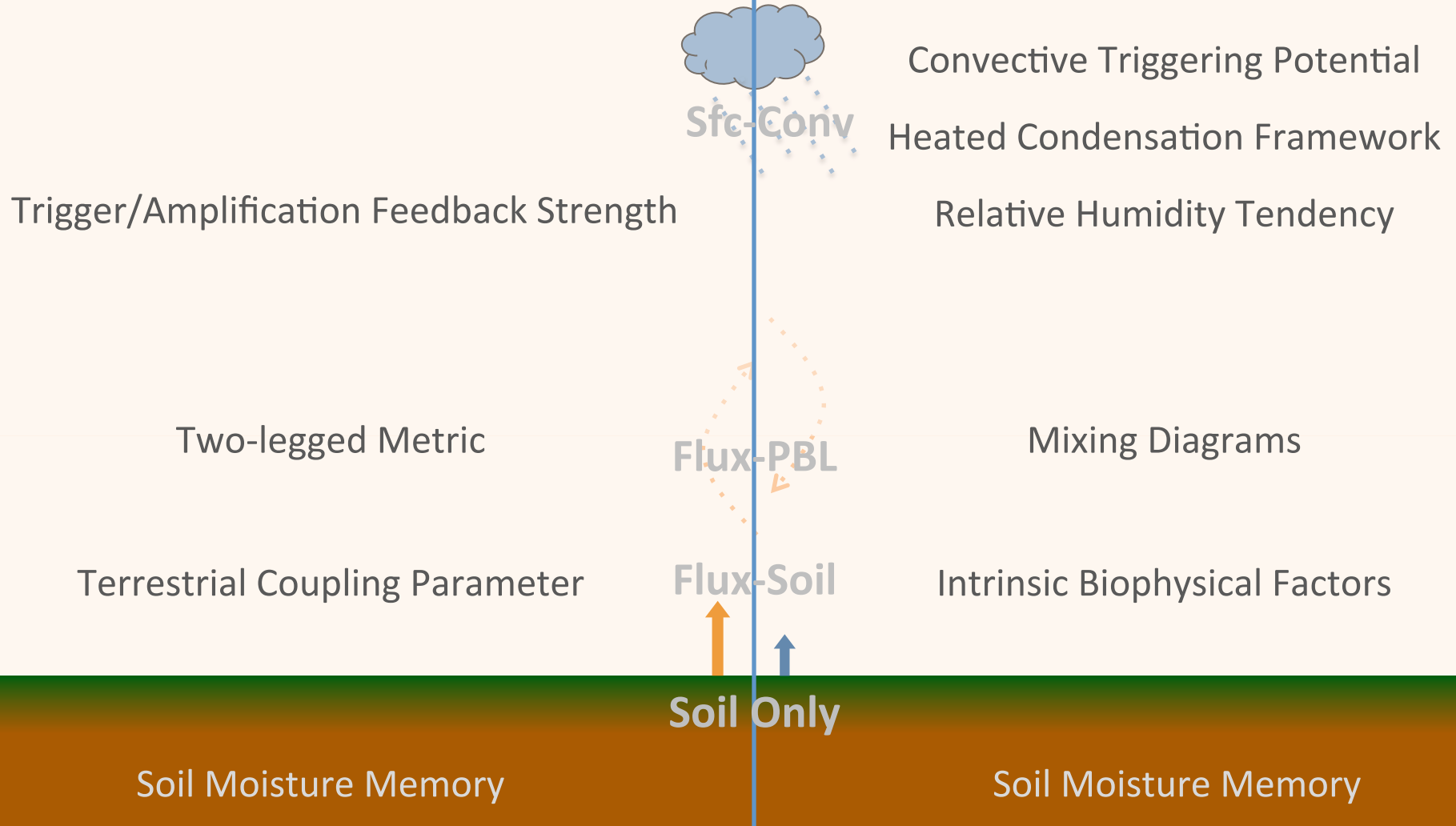
**Process-Based**



# Common Metrics for L-A Coupling and Feedbacks

## Statistical

## Process-Based



# Common Metrics for L-A Coupling and Feedbacks

## Statistical

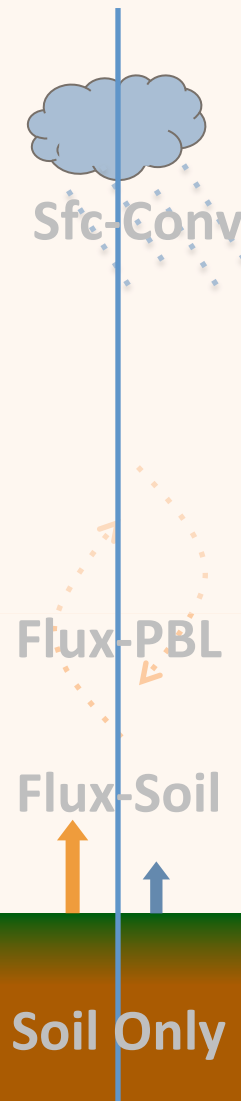
### Soil moisture memory

- How long a soil moisture anomaly is retained
- **Statistically** – using the lagged autocorrelation of soil moisture and identify when the correlation falls below some “information threshold”

### Good references:

- Dirmeyer 2016 – models versus observations  
Seneviratne 2012 – decent review and comprehensive process framework

Soil Moisture Memory



Soil Only

## Process-Based

- **Why we care?** Because persistence of an anomaly is potential for predictability!
- **Process** – using water balance equation to estimate the water storage

Soil Moisture Memory

# Common L-A Coupling Metrics

## Statistical

### Flux-Soil Moisture

- How changes in soil moisture control variations in latent and sensible heat flux
- TCP — standard deviation of soil moisture x linear slope of sm-sfc flux (Dirmeyer 2011)

Terrestrial Coupling Parameter

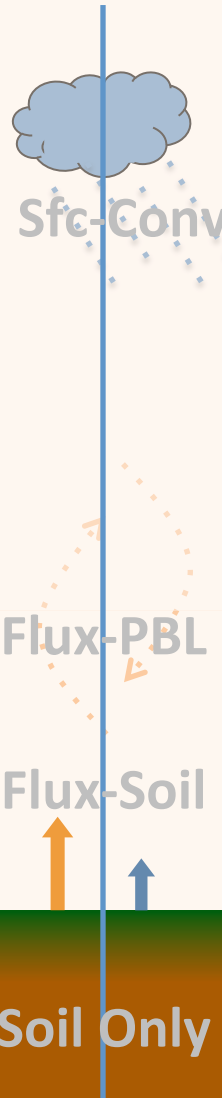
Soil Moisture Memory

## Process-Based

- **Why we care?** Because fluxes are the info being passed to the atmosphere so to know the surface control is to know the importance of the land surface
- IBF — makes assumptions about transfer process to back out contribution of roughness, bowen ratio and radiation to temperature change (Lee et al. 2011)

Intrinsic Biophysical Factors

Soil Moisture Memory



# Common Metrics for L-A Coupling and Feedbacks

## Statistical

### Flux-PBL Character

- How changes in fluxes control variations in PBL properties
- **TWO** – standard deviation of soil moisture x linear slope of sm-sfc flux (Dirmeyer 2014)

Two-legged Metric

Terrestrial Coupling Parameter

Soil Moisture Memory

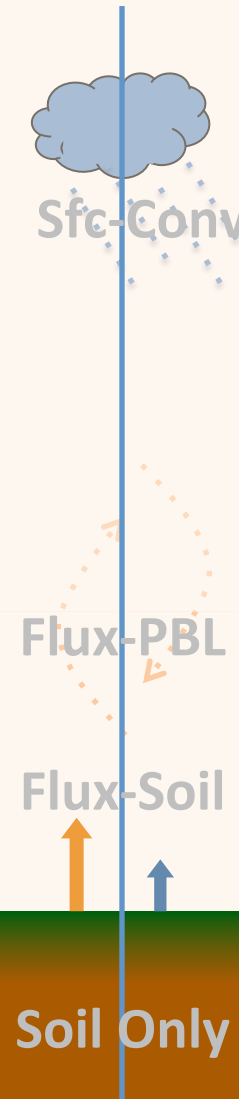
## Process-Based

- **Why we care?** Same reason as before but continuing along the process-chain
- **MIX** – Takes the diurnal evolution of T, q, and fluxes to see how much surface fluxes contribute to changes in T and q in the PBL (Santanello et al. 2009, 2011, 2013)

Mixing Diagrams

Intrinsic Biophysical Factors

Soil Moisture Memory



# Common Metrics for L-A Coupling and Feedbacks

## Statistical

### Trigger/Amplification Feedback Strength

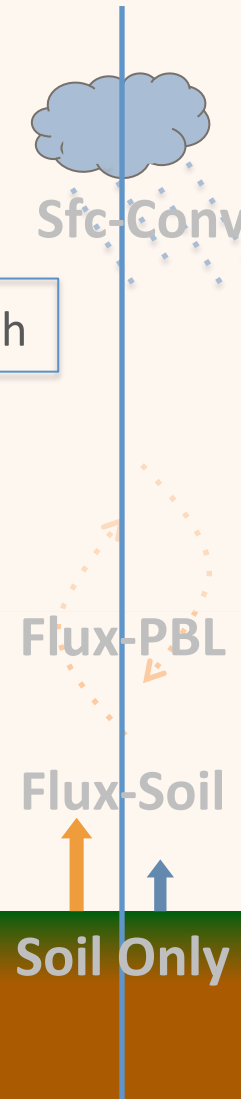
#### Surface-Convection

- Gets at **feedbacks**. How changes in fluxes control cloud cover and/or precipitation
- TFS/AFS – probabilistic way to estimate how surface fluxes contribute to triggering or intensification of precipitation (Findell et al. 2011)

## Process-Based

- Convective Triggering Potential
- Heated Condensation Framework
- Relative Humidity Tendency

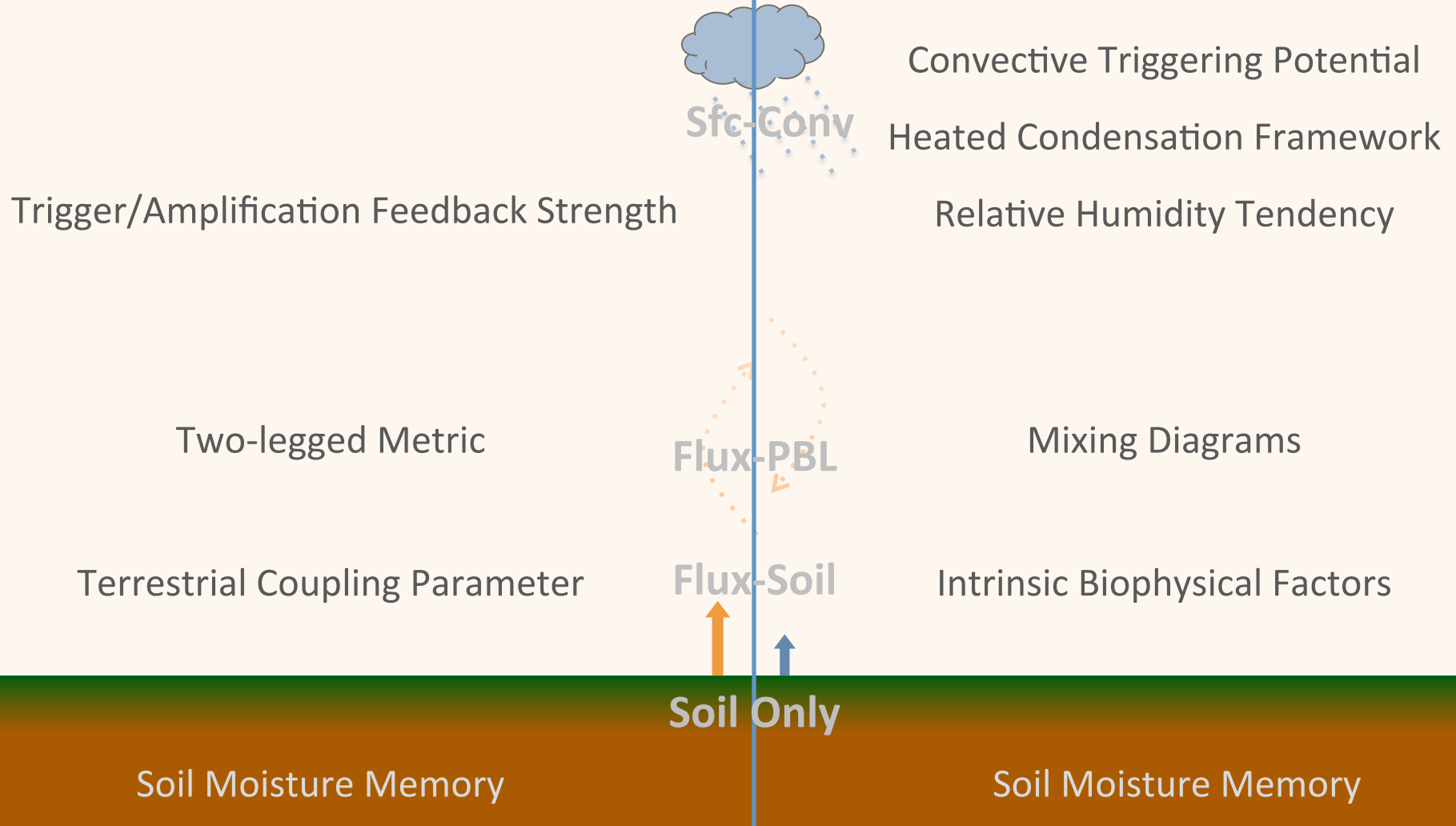
- RH-Tend – uses mixed layer model assumption to derive an equation for top of PBL relative humidity in terms of evaporative fraction (Ek and Holtslag 2004)
- CTP – evaluates morning conditions to determine whether wet or dry conditions favor convection (Findell and Eltahir 2003)
- HCF – asses atmospheric background state and identifies local versus non-local convection (Tawfik and Dirmeyer 2013, 2014)



# Common Metrics for L-A Coupling and Feedbacks

## Statistical

## Process-Based

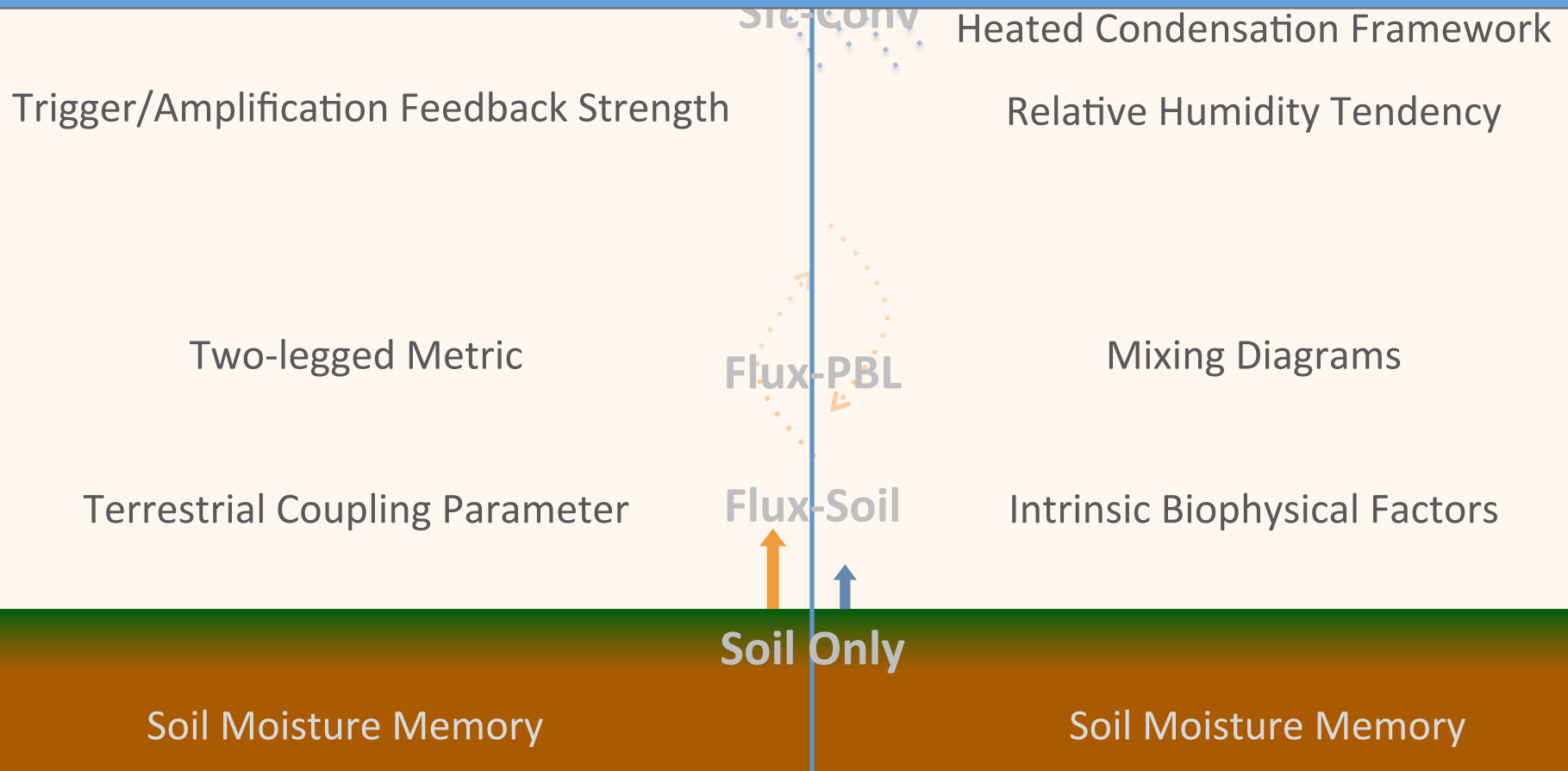




# Common Metrics for L-A Coupling and Feedbacks

[www.coupling-metrics.com](http://www.coupling-metrics.com)

To get Fortran 90 subroutines that calculate some of these metrics



# Common Metrics for L-A Coupling and Feedbacks

Statistical

Process-Based

Which metrics can we calculate using *CLM*?

Terrestrial Coupling Parameter

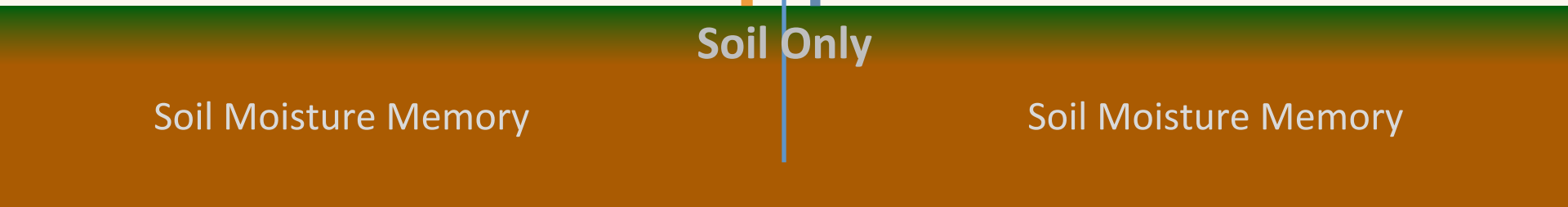
Flux-Soil

Intrinsic Biophysical Factors

Soil Only

Soil Moisture Memory

Soil Moisture Memory



# Common Metrics for L-A Coupling and Feedbacks

Statistical

Process-Based

Which metrics can we calculate using *CLM*?

*these  
coupling  
metrics*

Terrestrial Coupling Parameter

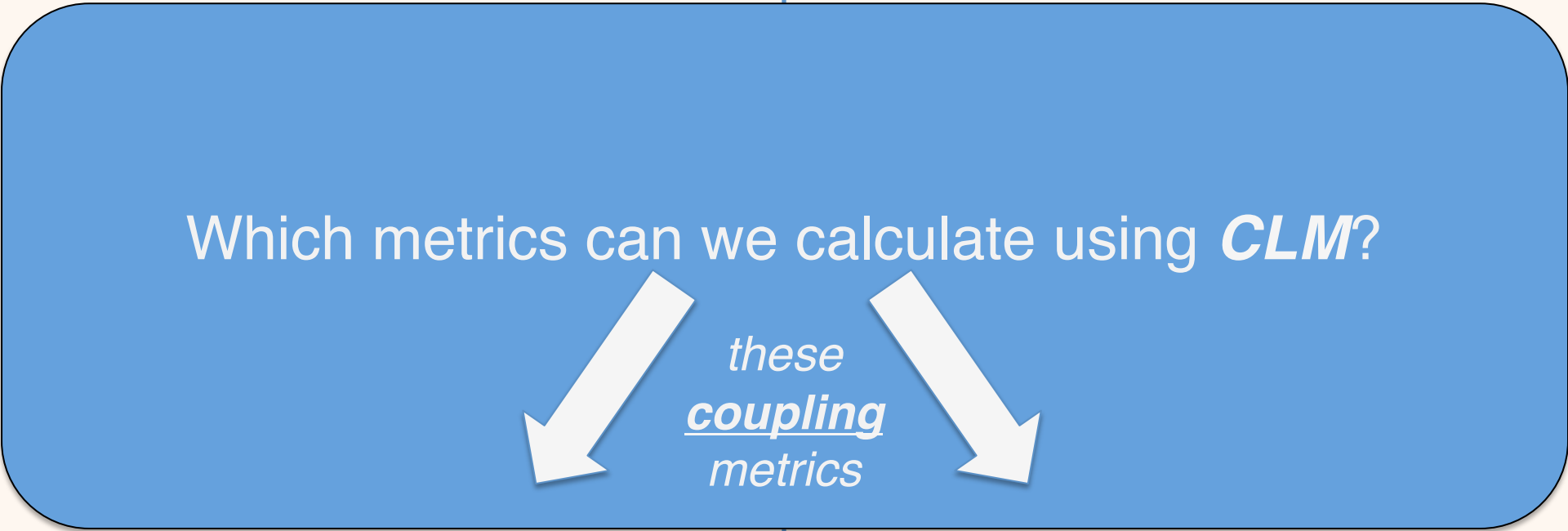
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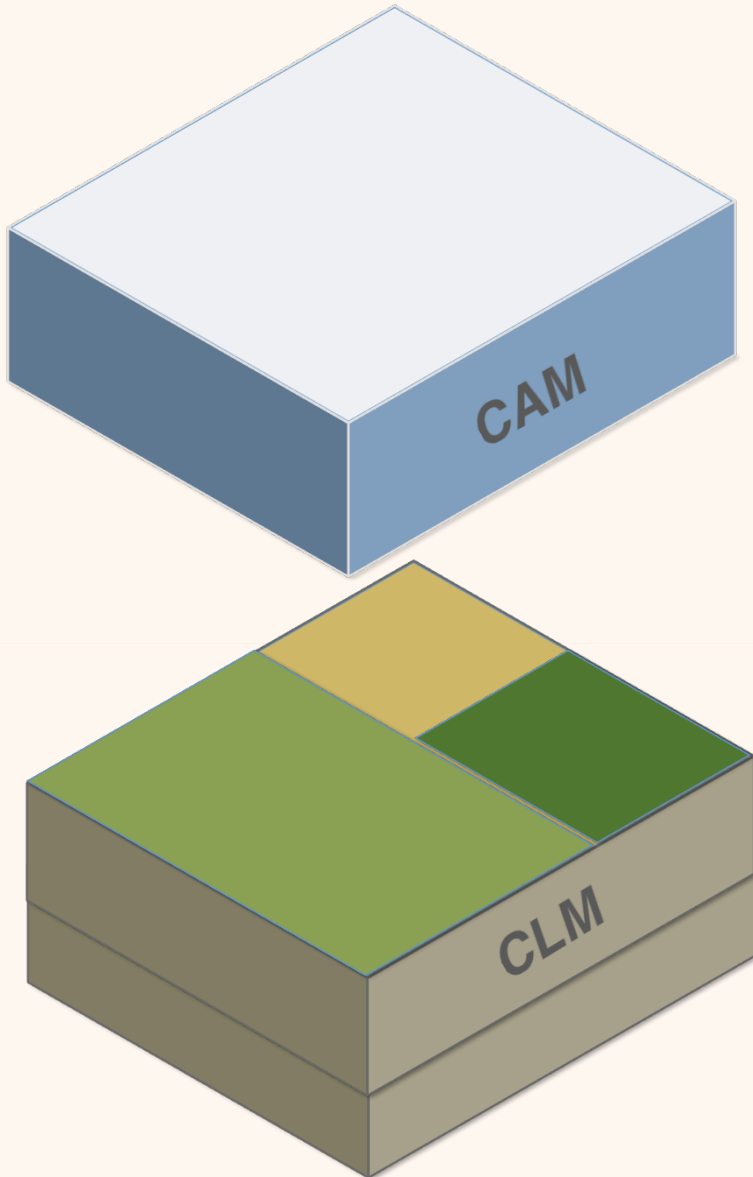
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Soil Moisture Memory

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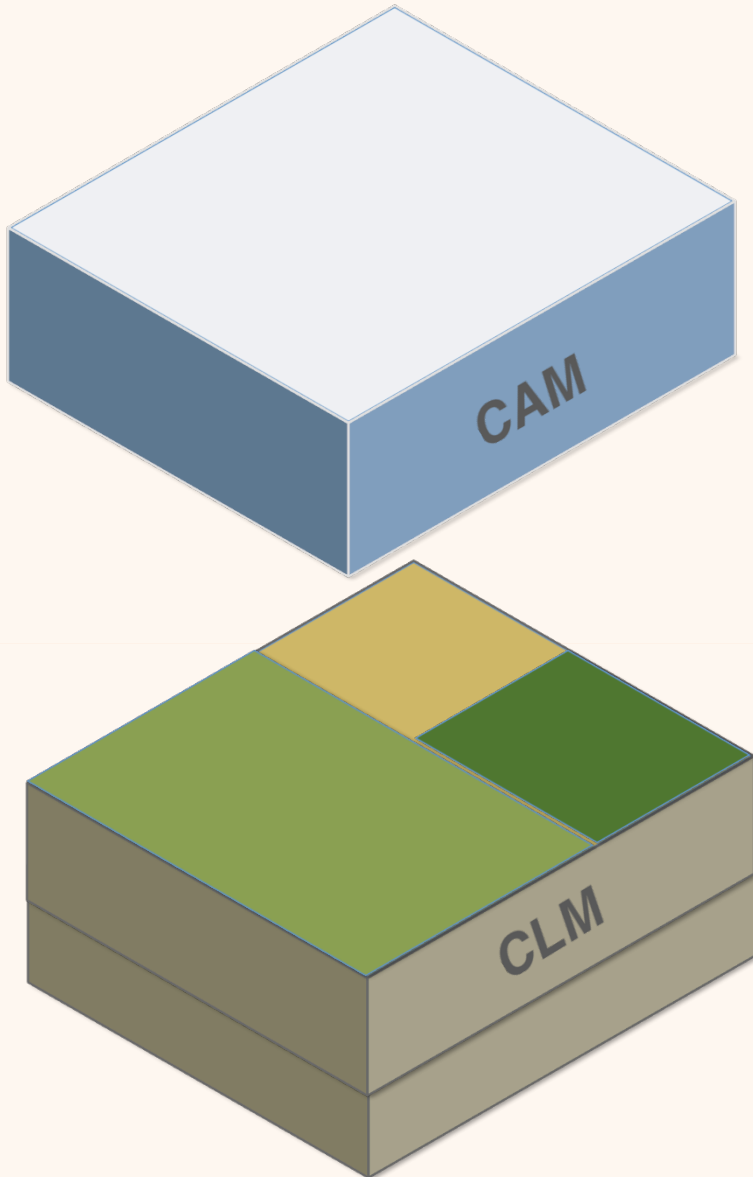
# The Community Earth System Model (CESM)



**CESM:** Stands for the Community Earth System Model

- CESM has ocean, atmosphere, land, sea ice, and wave component models; other features include atmospheric chemistry among others...
- These components when all run together are called “fully coupled”
- CAM is the atmosphere component of the CESM and there is a component set that includes just using CAM and CLM; the ocean temperatures are prescribed in this case
- CAM-CLM simulations can be used to look at feedbacks as well as coupling; CLM alone can quantify coupling

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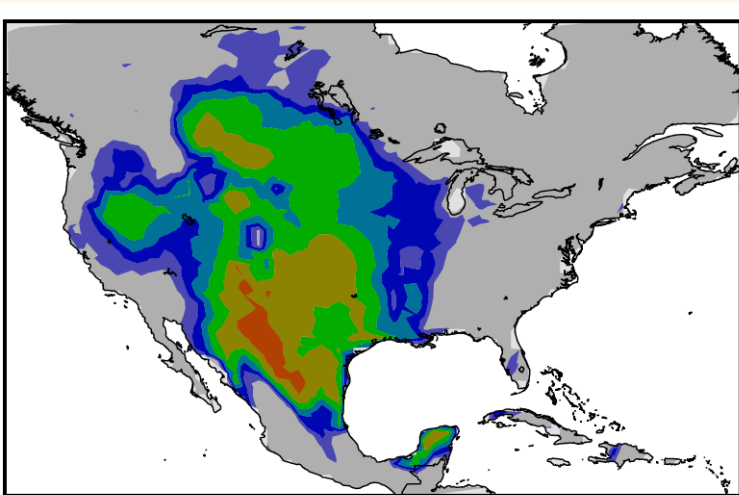
How does **terrestrial coupling** vary with model configuration?

Refresher: soil moisture control on latent heat flux



# Terrestrial Coupling and CESM

CLM 4.0 and CAM 5.3

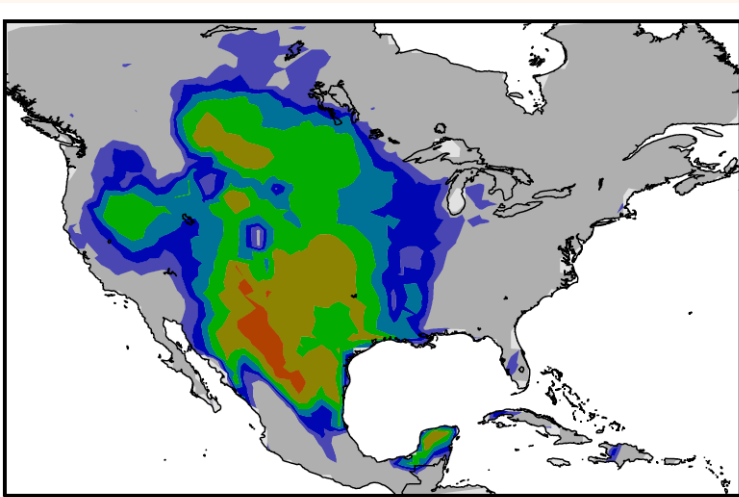


latent heat flux  
not sensitive to  
soil moisture  
variation  
(weak coupling)

latent heat flux  
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# Terrestrial Coupling and CESM

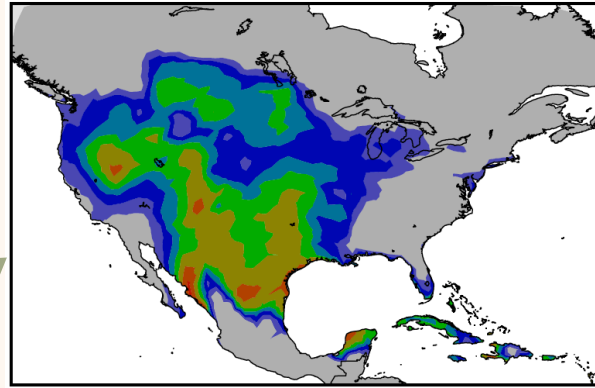
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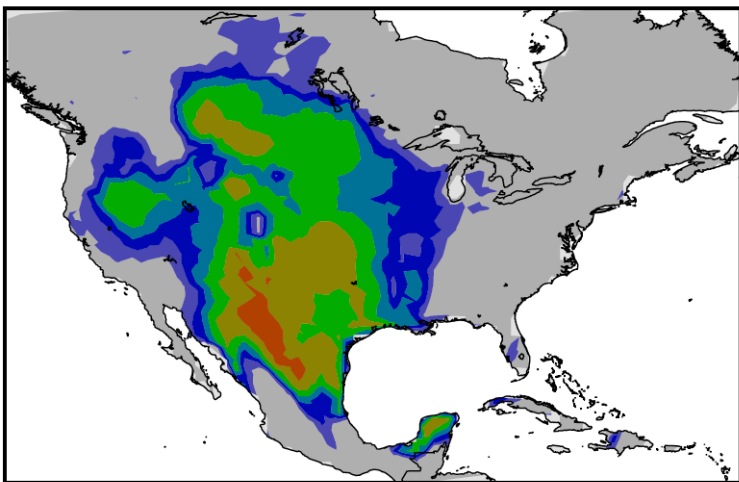
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CLM 4.5 effect

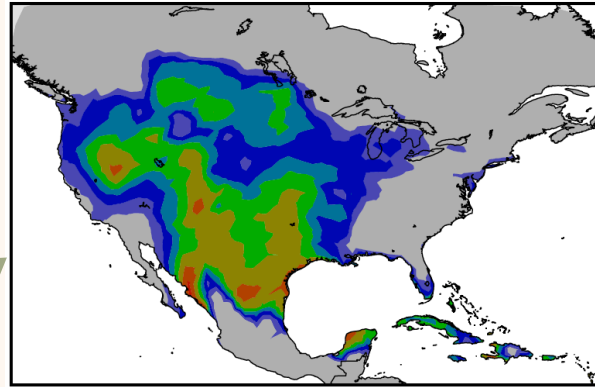


# Terrestrial Coupling and CESM

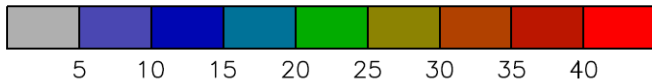
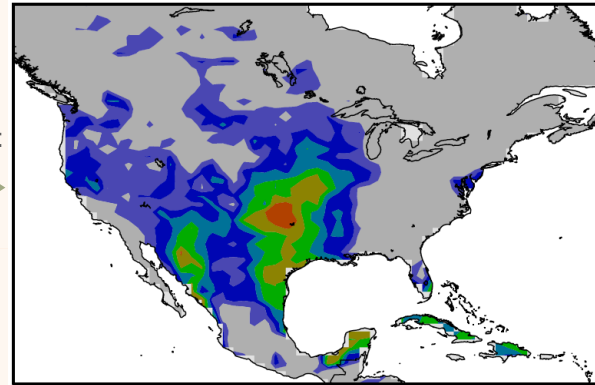
CLM 4.0 and CAM 5.3



CLM 4.5 effect



CLM 5.0 effect  
w/ new CAM



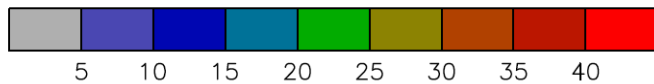
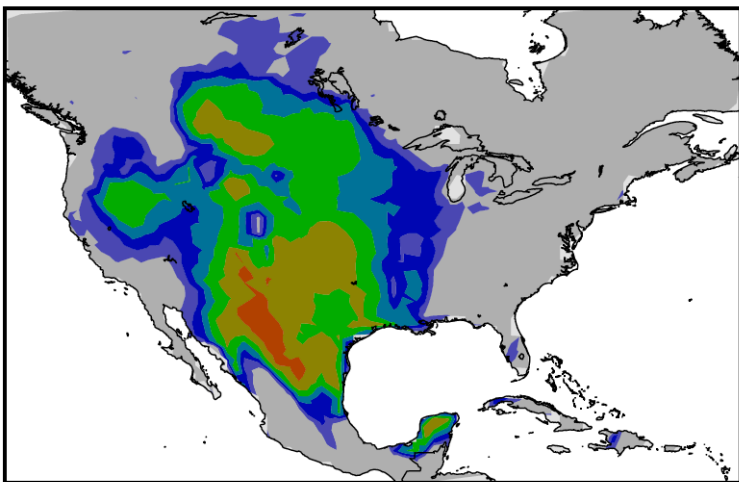
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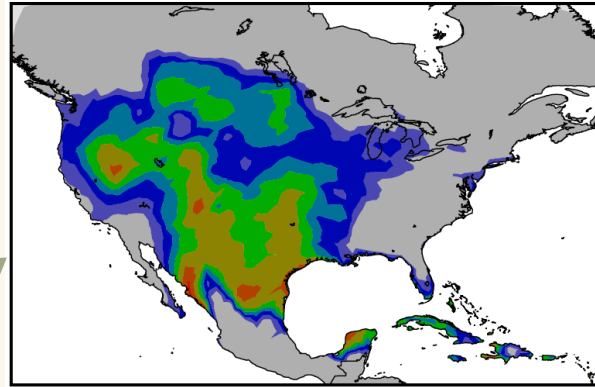
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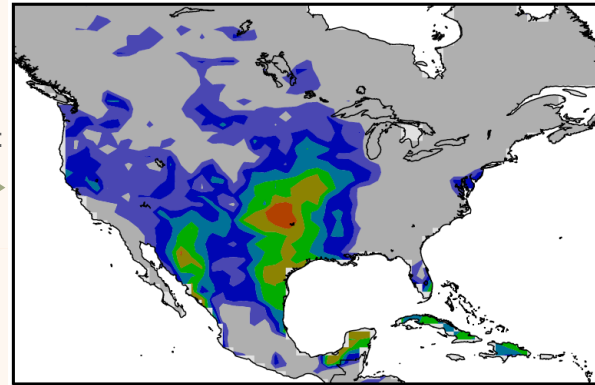
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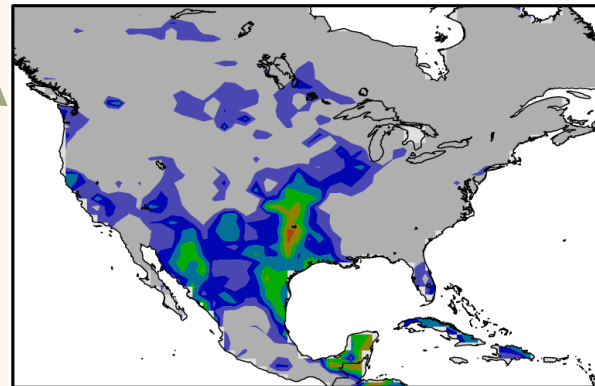
CLM 4.5 effect



CLM 5.0 effect  
w/ new CAM



CLM 5.0 effect  
w/ full CESM

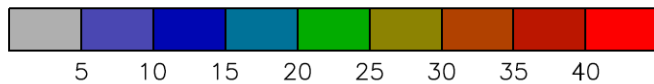
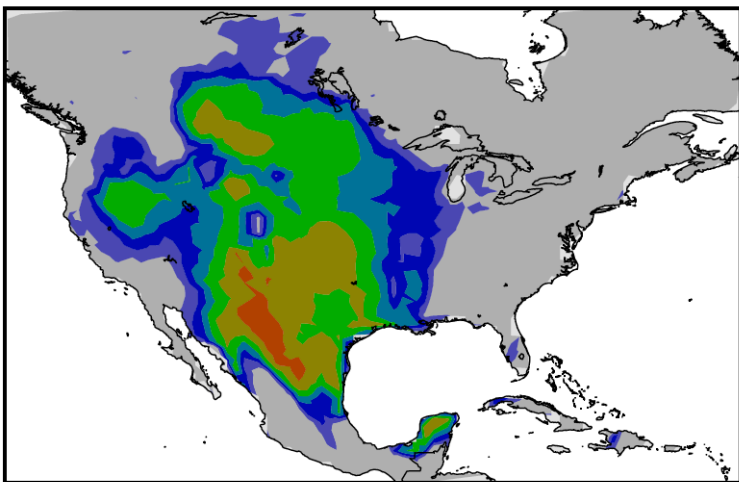


# Terrestrial Coupling and CESM

Which one is closer to observed?

**Answer:** not sure actually because soil moisture is hard to measure on large-scales

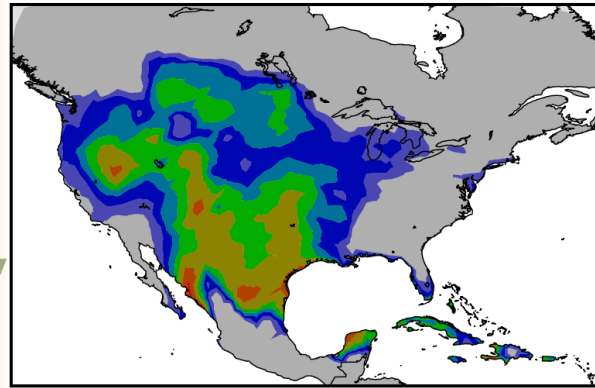
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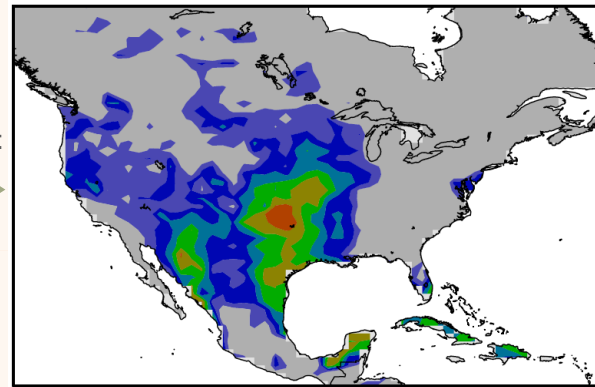
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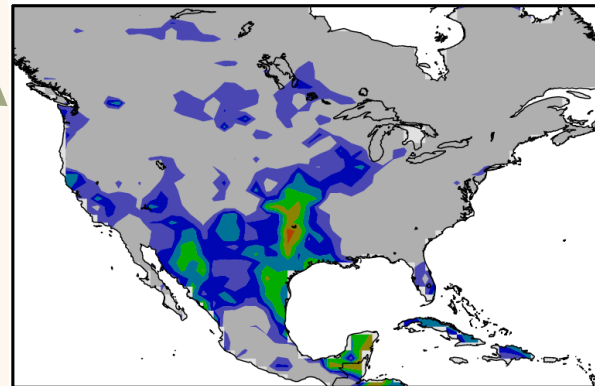
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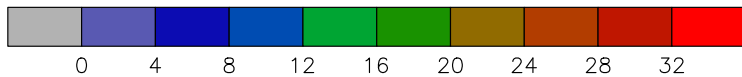
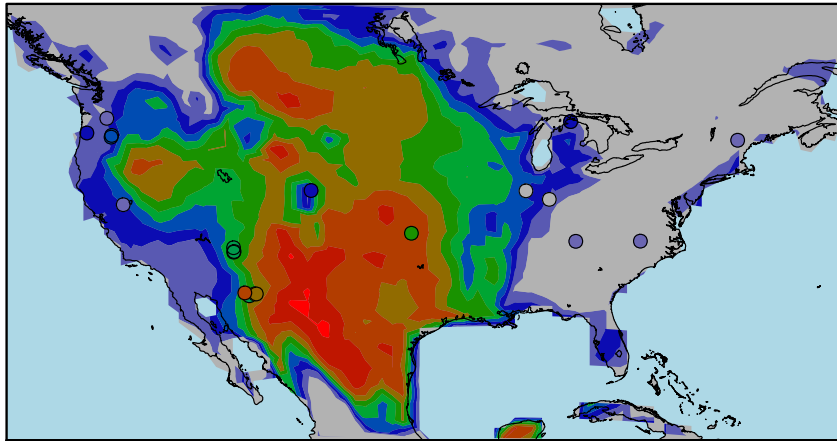
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# Terrestrial Coupling and CESM

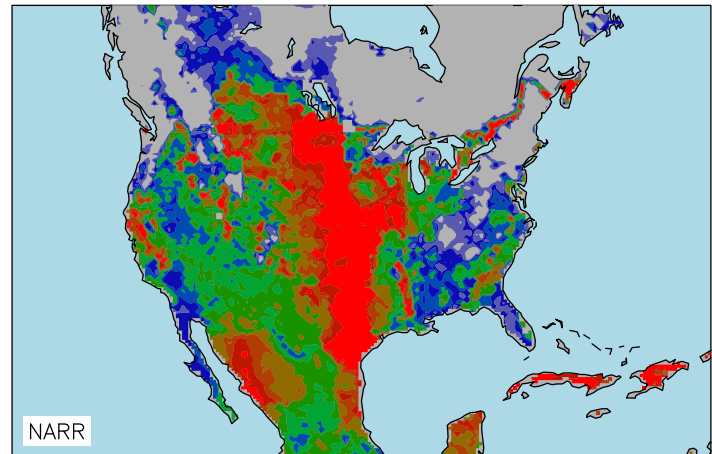
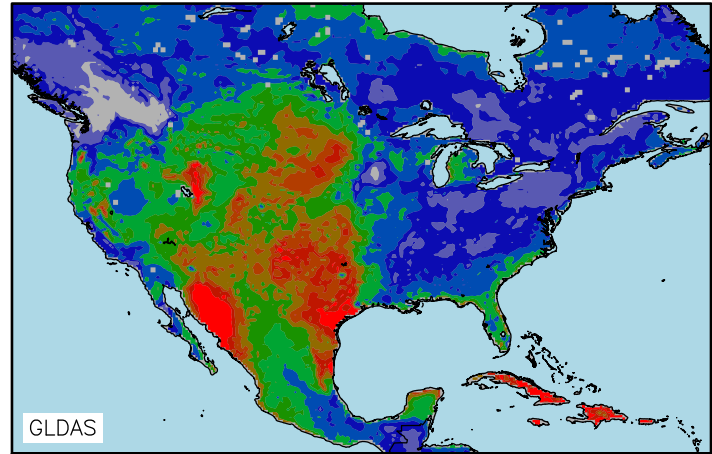
Comparison can be done against limited flux observations and **other models** that are given observed precipitation

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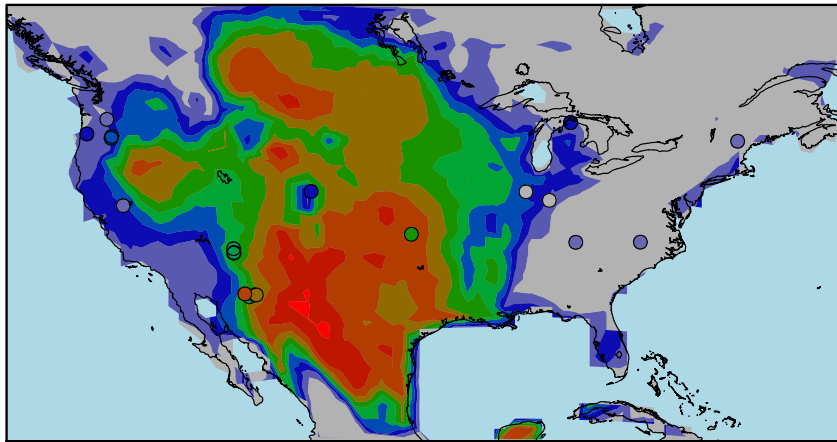
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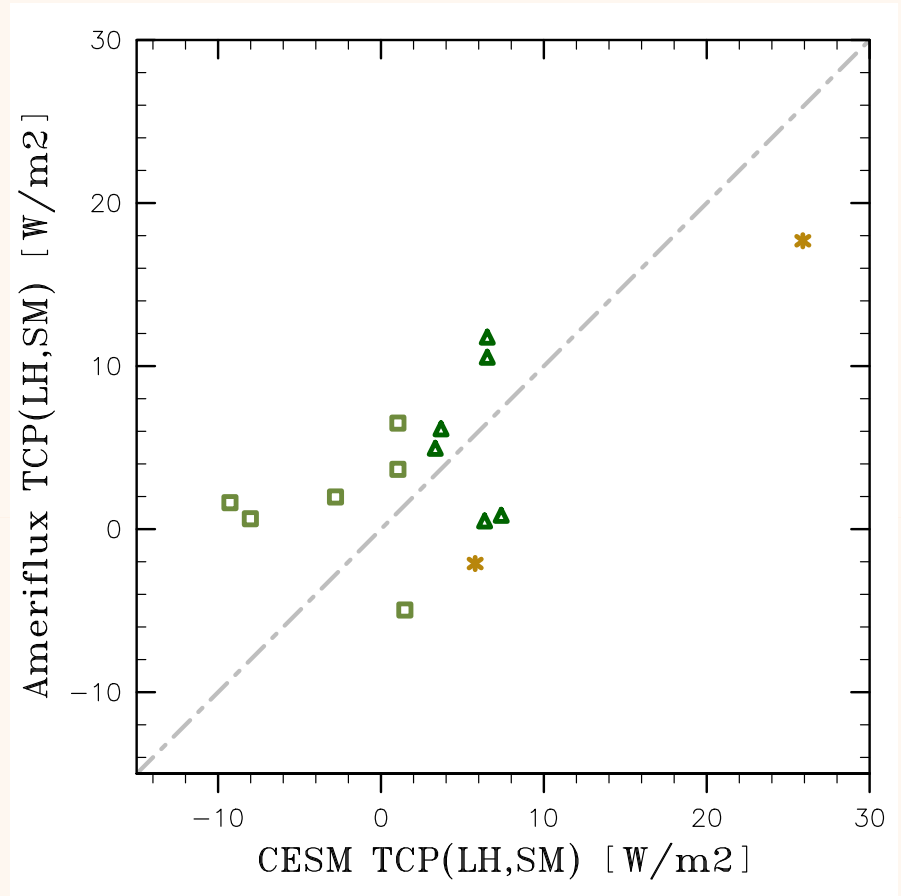
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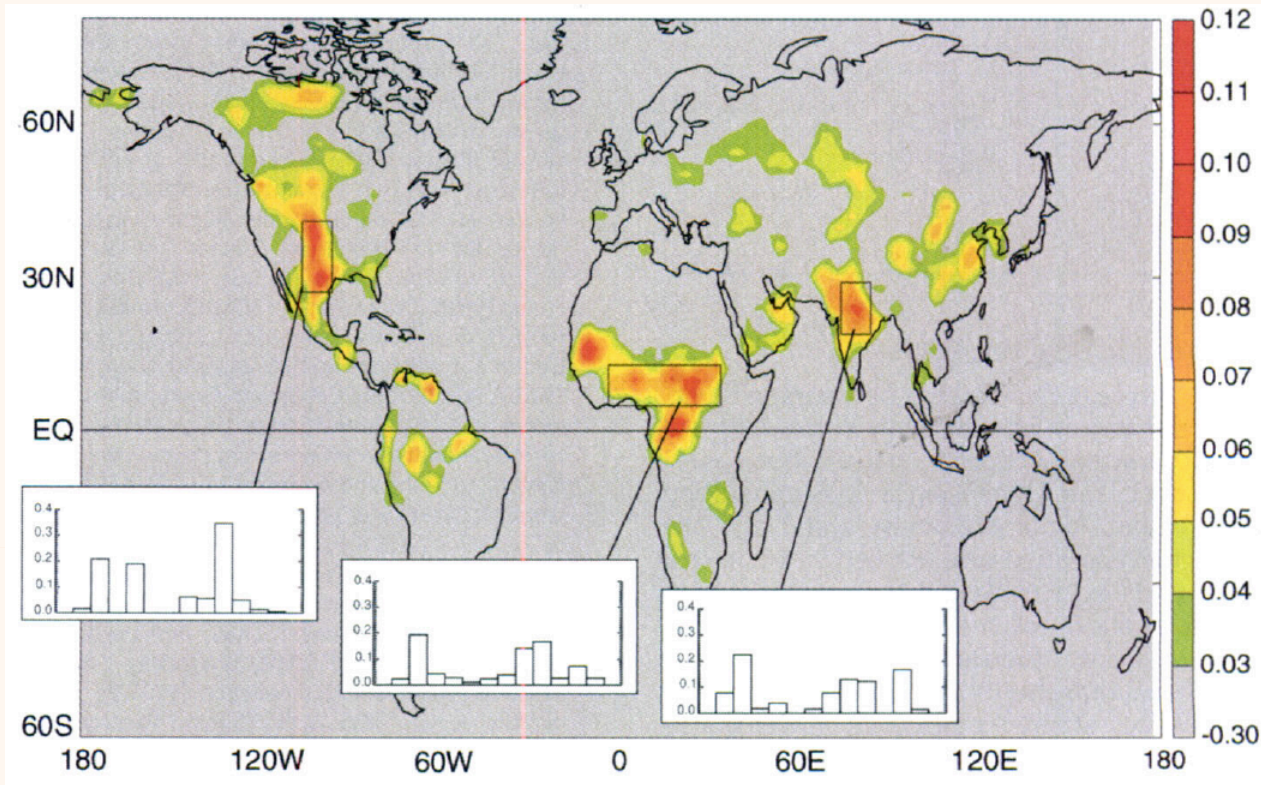
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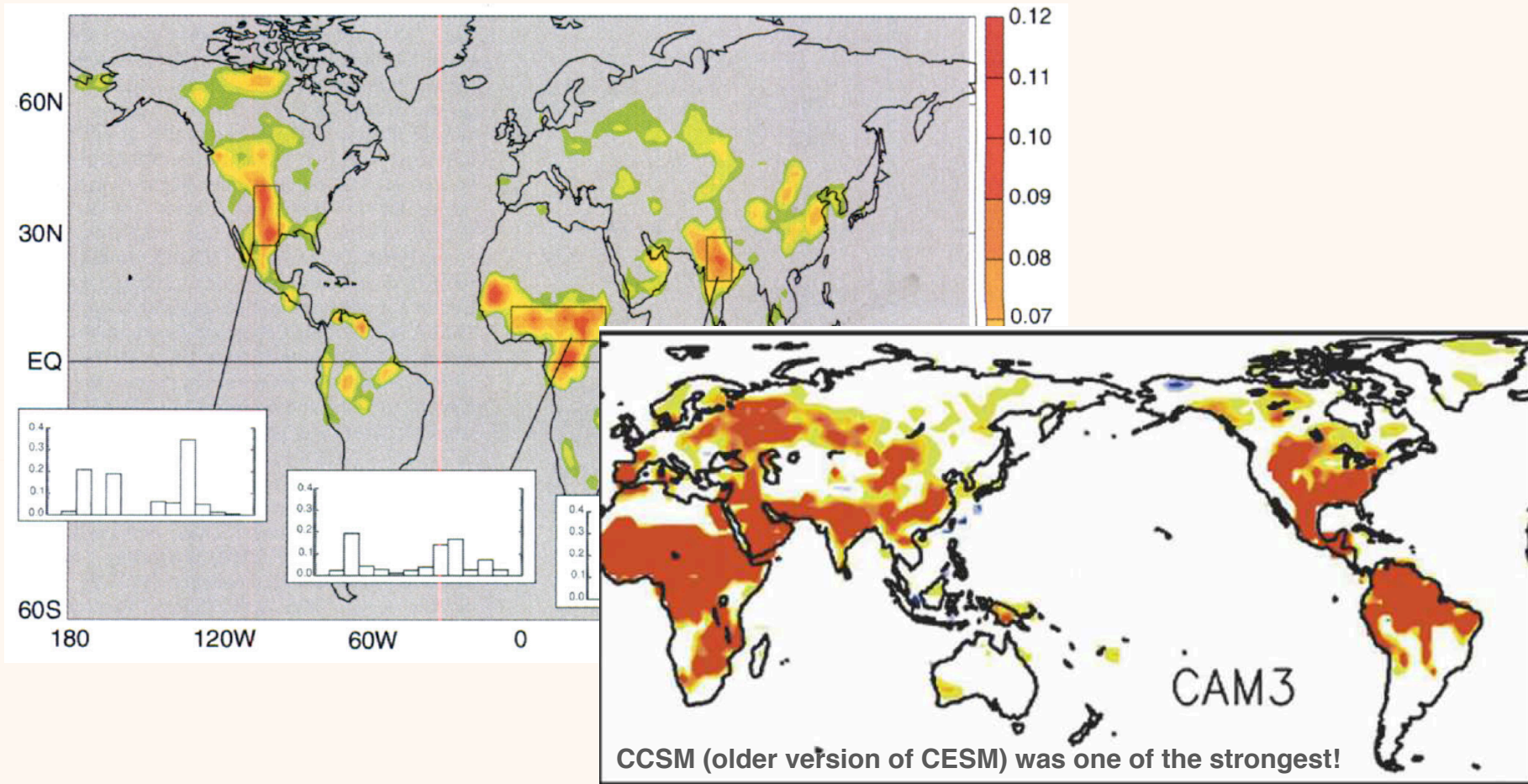
# Models: SM–Precipitation Feedback

Warm colors show positive soil moisture-precipitation feedback  
Models show wet soil → more rain



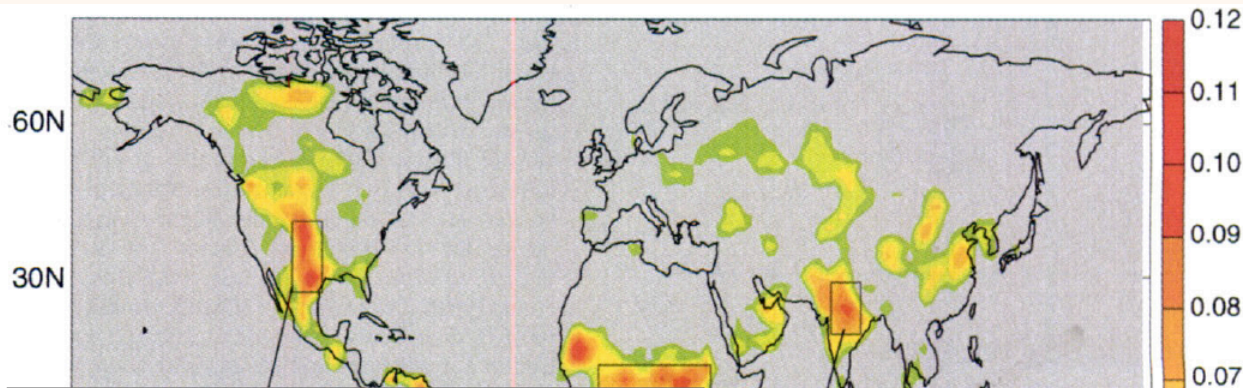
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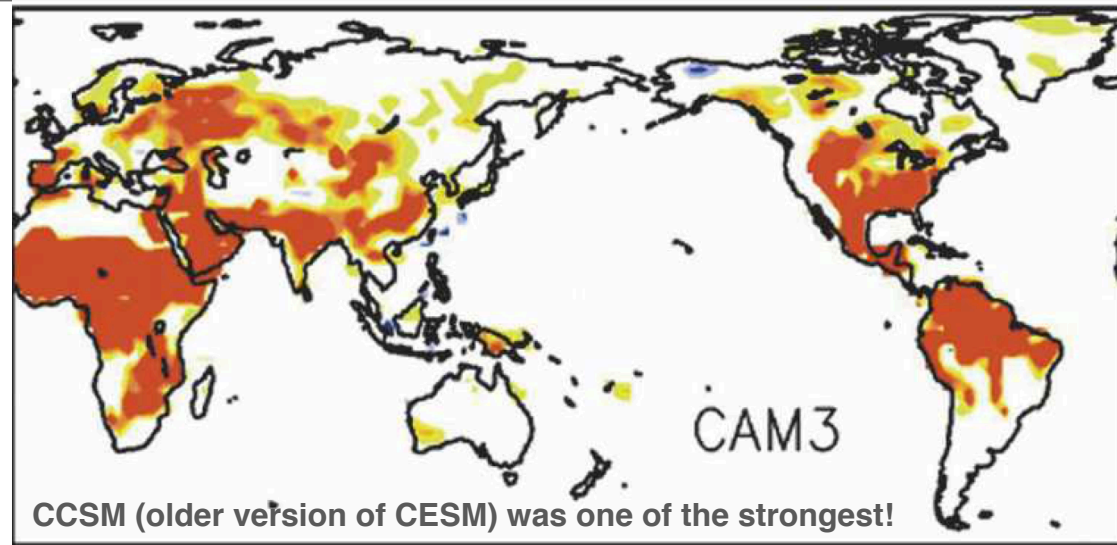


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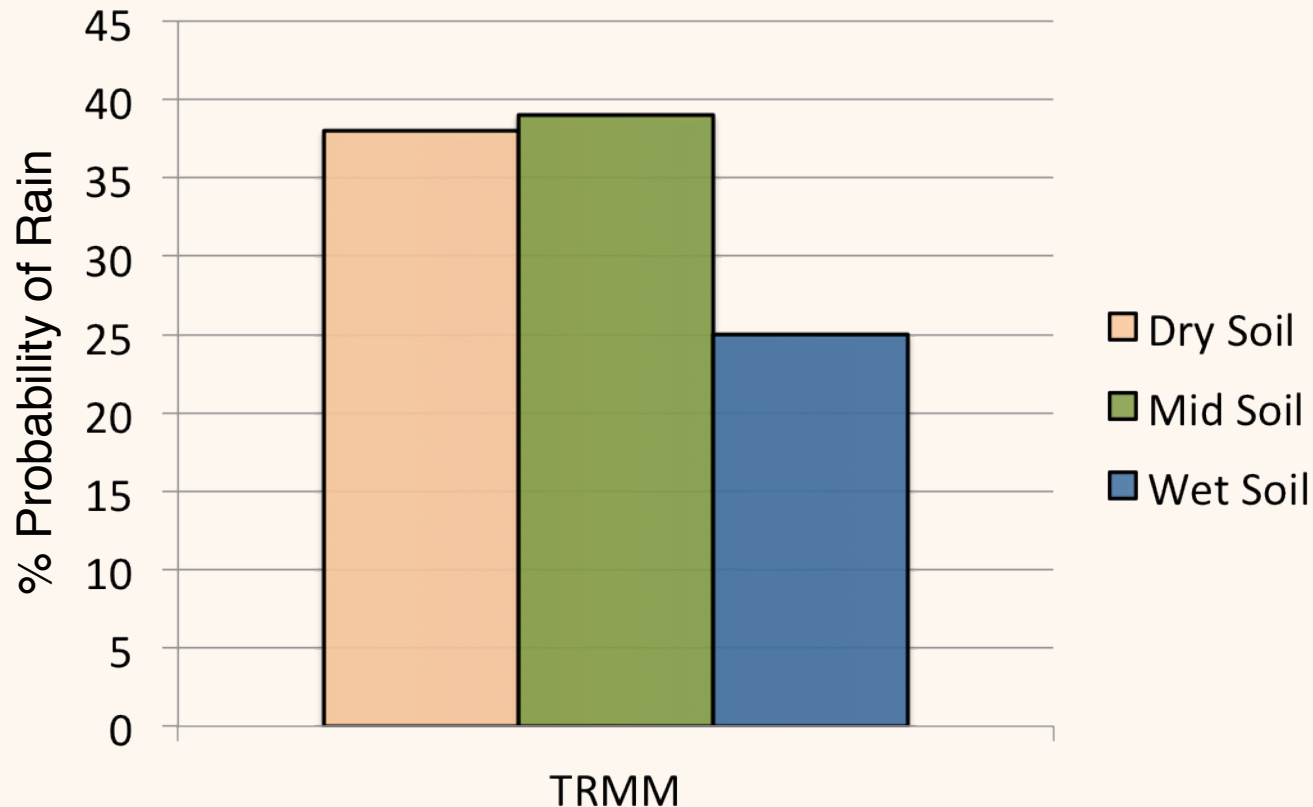


- Feedback has been shown to be most sensitive to things happening in CAM and is likely *not* from CLM (Mei and Wang 2012)



# SM-Precip Feedbacks in the Real-world

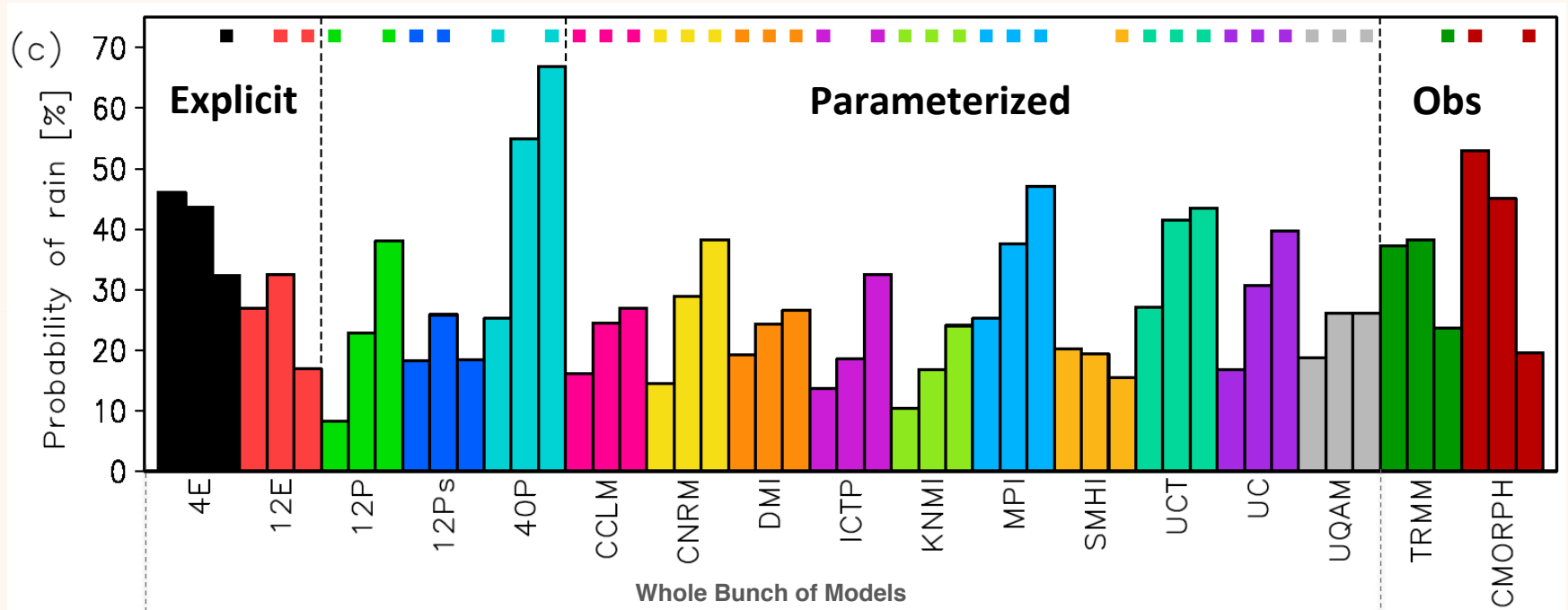
Drier soils have a higher probability of triggering rain in obs...





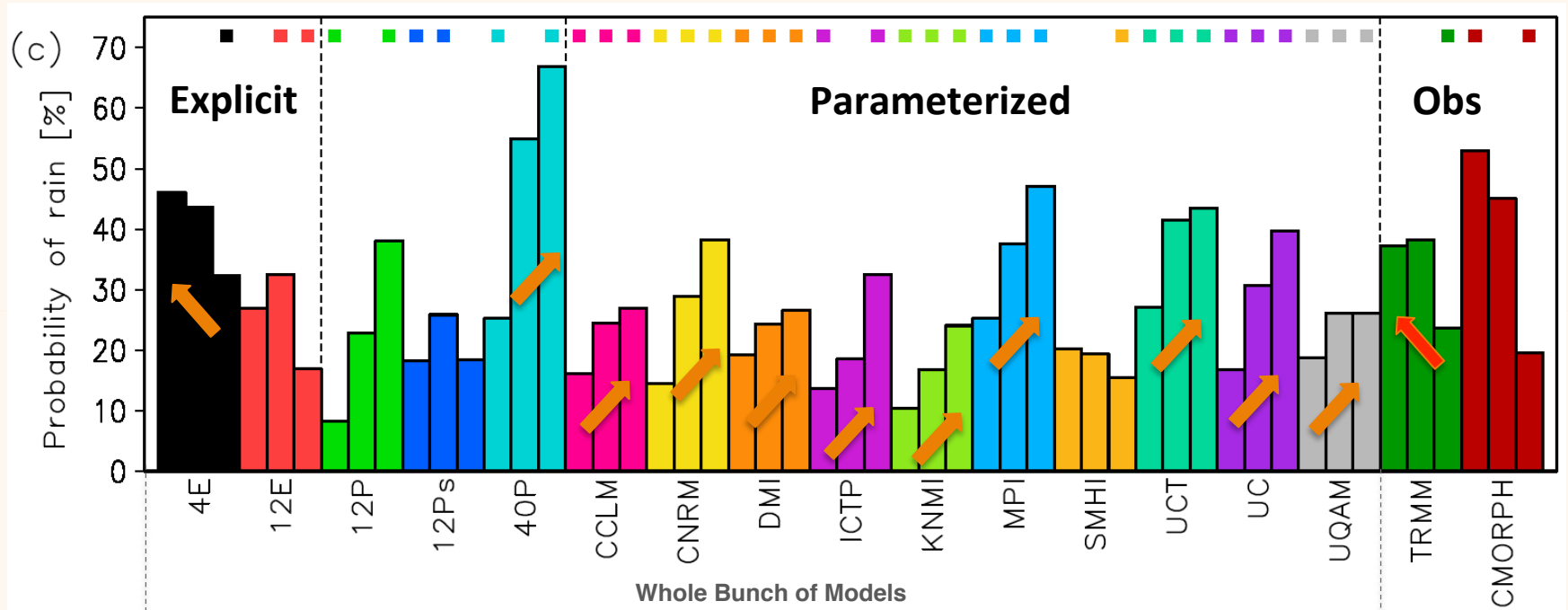
# SM-Precip Feedbacks in the Real-world

But models show the **opposite** signal



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But models show the **opposite** signal



# Take-home points

How can we quantify **Coupling** and **Feedbacks**?

Description of physical processes and defining terminology:

Common metrics and measures:

Land-Atmosphere interactions in **CESM** (CAM and **CLM**)

Change in coupling and feedbacks across model version

Model-world versus Real-world

# Take-home points

## How can we quantify **Coupling** and **Feedbacks**?

Description of physical processes and defining terminology:

- It's a complicated coupled system and coupling is different from a feedback

Common metrics and measures:

- There are a lot of metrics depending on what you want to quantify  
[www.coupling-metrics.com](http://www.coupling-metrics.com)

## Land-Atmosphere interactions in **CESM** (CAM and **CLM**)

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## Land-Atmosphere interactions in **CESM** (CAM and **CLM**)

Change in coupling and feedbacks across model version

- Changing model component sets and versions can modify the coupling signal

Model-world versus Real-world

- Observations are scarce but limited obs suggest models have the wrong sign of sm-precip feedbacks

# Word of Caution and Optimism

Although models don't seem to look like the "real-world" quantifying model feedbacks and coupling help identify missing or incomplete processes

- "Real-world" is still foggy
- Still much to be learned!

