#### Ocean Data Assimilation with DART<sup>1</sup> at LANL

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OMWG 2010, Santa Fe

<sup>1</sup>Thanks to Nancy, Tim, and Jeff

#### Outline

Motivation POP-DART Data Assimilation

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## POP Setup

- 200 year spinup with NYF Core v2 with corrections
- Subsequent 1948-2006 IAF Core v2 with corrections

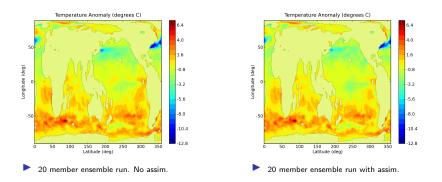
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- Ensemble initialized about Jan 1, 1990
- Default 6 mth. weak salinity restoring
- Ensemble size of 20
- Assimilation of T & S

# Diagnosis of Initial POP-DART Runs

Jan-Mar 1990; adaptive inflation (1.0,0.2)



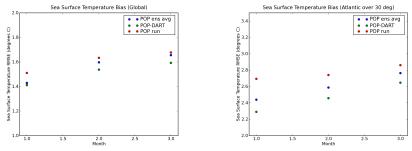
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- Anomaly wrt Levitus
- Very slight improvement ( $\approx 3\%$ )

## Diagnosis of Initial POP-DART Runs

#### Jan-Mar 1990: adaptive inflation (1.0.0.2)

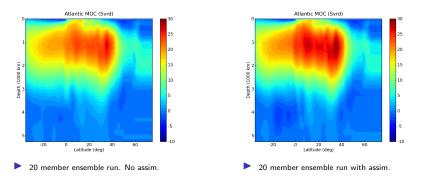


- Red: Single Free; Blue: Ensemble Free; Green: POP-DART
- 20 member ensemble
- Slight decrease of bias with assimilation (Blue-Green)
- 5% in N. Atl. vs. 3% globally
- Improvements are lower-bounds since computed with priors

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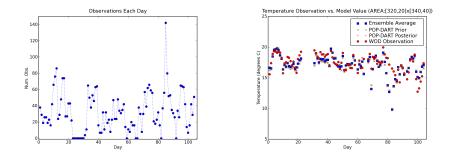
# Diagnosis of Initial POP-DART Runs

Jan-Mar 1990; adaptive inflation (1.0,0.2)



- Maxima large for the 6 mth. weak salinity restoring.
- Significant differences in MOC (intensified with assim.)
- Implies significantly different subsurface T and/or S

#### Are the assimilations working? Jan-Mar 1990; adaptive inflation (1.0,0.2)

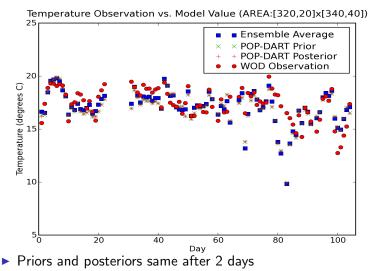


Observation space of a portion of N. Atlantic below 100m

- XBT Temperatures: About 40 a day
- Blue Symbols: Ensemble run with no assim.

## Are the assimilations working?

Jan-Mar 1990: adaptive inflation (1.0.0.2)



Previously noted differences at upto 3 months due to changes over first 2 days!

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#### Outline

Motivation POP-DART Data Assimilation

#### Two Main Points of This Talk

Atm. DA vs. Oceanic DA; Instabilites and Error Covariance

- There are differences between atmospheric data assimilation for short-range (weather) predictions and ocean data assimilation for long-range (climate) predictions.
- Taking into account flow-dependent forecast error-covariance may improve effectiveness of ocean data assimilation.

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- Taking into account flow-dependent forecast error-covariance may improve effectiveness of ocean data assimilation.

#### Predictability comes from large scales.

- Ocean dynamics of large scales is slow.
  - ▶ Topography at 0.1° is not used in 1° ocean model.
  - Parameterizations used in 0.1° resolution runs are not used in 1° simulations.
  - Suggests *filtering* of observations commensurate with resolution of simulation.

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- Time averages of chaotic trajectories are related to underlying unstable fixed points.
- Rather than hit ocean model with obs. frequently, repeatedly use averaged/filtered obs to convey information about average state rather than instantaneous state.
- Consistently average forcing as well.

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- Rather than hit ocean model with obs. frequently, repeatedly use averaged/filtered obs to convey information about average state rather than instantaneous state.
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- DA is a statistical procedure of blending 2 data streams—background and observations—depending on their respective uncertainities.
- Quality of DA determined by the accuracy of the estimate of background covariance (P<sup>b</sup>).
- One of the data streams comes from a dynamical model.
- Ensemble DA considers an ensemble of model forecasts to estimate P<sup>b</sup>.
- Phase space dimension enormous, but can afford only a few ensemble members. So assume forecast errors lie in a local and low dimensional subspace. (i.e., rank of P<sup>b</sup> is small)
  - This is the justification for localization.

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Can a knowledge of dynamical instabilities help in DA?

#### Adaptive Inflation in DART is statistics-based.

- Reduce dimension of subspace further by considering dominant unstable directions (dynamical information).
- Better following instabilities may be a recipe for better estimating background covariance.
- Instabilites bring coherence to otherwise Monte Carlo nature of ensemble simulations.
- Add info. about unstable directions in DART using Bred Vector and ETKF-based schemes

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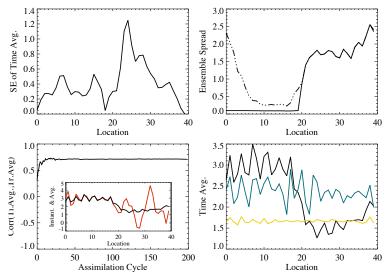
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#### Lorenz '96 Model Setup

# $\frac{dx_j}{dt} = (x_{j+1} - x_{j-2})x_{j-1} - x_j + F; \quad j = 1, \dots, 40$

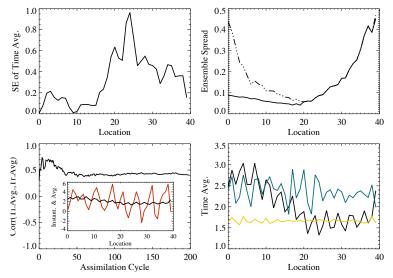
- Well-observed and poorly-observed regions
- An assimilation every 10 timesteps if not specified otherwise.
- Model error through different forcing for observations (F=8; more chaotic) and assimilation runs (F=5; sluggish)
  - Larger  $F \Rightarrow$  larger mean and variability
  - Diff. F for obs. and model run  $\Rightarrow$  bias

#### Highly Localized (c=0.02); Std. adaptive inflation



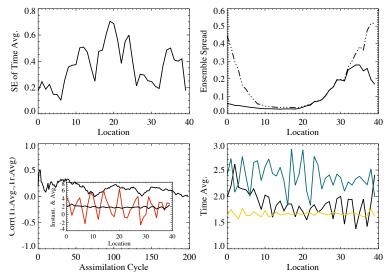
Inst. field nudged to time avg. obs!

#### Highly Localized (c=0.02); ETKF-based scheme



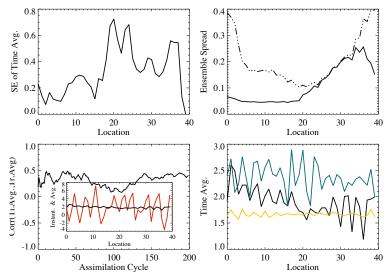
Inst. field not nudged to time avg. obs smaller errors; lower uncertainity

#### Reduced Localization (c=0.2); Std. adaptive inflation



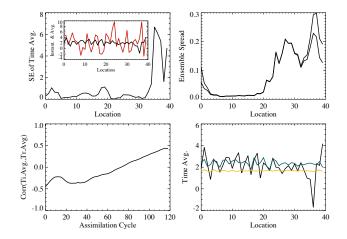
Bias not being corrected even where there is data large error; low correlation

#### Reduced Localization (c=0.2); ETKF-based scheme



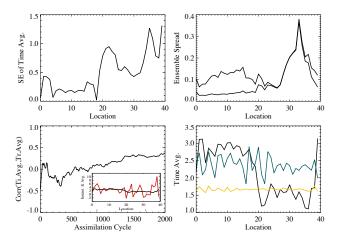
Better bias correction where there is data; reduced error better correlation; but no bias correction where there is no data

#### Reduced Localization (c=0.2) Blowup with std. adaptive inflation at 142 cycles Assimilation every timestep. Considering averages before blowup



# Reduced Localization (c=0.2) Stabilized with ETKF-based scheme

Assimilation every timestep.



Effect of reduced localization is seen in terms of trying to infer state of the system in poorly observed region  $(\Box) (\Box) (\Box) (\Box) (\Box) (\Box) (\Box)$ 

- After some missteps and false starts, we have gotten around to getting POP and DART work with our scripts. But we still have problems with some of the setups that we like to run.
- Even with short runs, collapse of ensemble spread is a serious problem.
- We propose to use a dynamics-based alternative to statistics based inflation.
- By repeatedly assimilating averaged obs, we propose to obtain the mean state of the ocean as a first step; later follow up with seasonally and interannually varying state estimation.

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