

# Spatial Roughness and Spatial Characterization of Sea Ice

Ute C. Herzfeld

Cooperative Institute for Research in Environmental Sciences  
Department of Electrical, Computer and Energy Engineering  
and  
Department of Applied Mathematics  
University of Colorado Boulder

CESM Polar Working Group Meeting  
NCAR March 1, 2011

## Thanks to my collaborators and students ...

**Geomath Team:** Brian McDonald, Phil Chen, Bruce Wallin (now NMTech), Steve Sucht, Ian Crocker, Maciej Stachura, Danielle Lirette, Patrick McBride, Scott Williams (now google)

**ICESat and ICESat-2:** Waleed Abdalati (CUB) and ICESat science team/ ICESat-2 SDT Alexander Marshak, Steve Palm; Thorsten Markus, Tom Neumann and the ICESat-2 Project, Kelly Brunt, Jay Zwally, John DiMarzio, Anita Brenner, Kristine Barbieri, LeeAnne Roberts (NASA Goddard Space Flight Center)

**IceBridge:** William Krabill, Serdar Manizade (NASA Goddard Space Flight Center) and collaborators;

**CASIE and SeaiCeIPY:** James Maslanik (CCAR, CU Boulder), Ron Kwok (JPL), John Heinrichs (Ft. Hays State Univ, KS), David Long (BYU Provo), Matt Fladeland and SIERRA Team at NASA Ames Res Center  
Al Gasiewski (ETL, ECE, CU Boulder), Michael Kuhn (U Innsbruck), David Korn (NSIDC)

... and for support through

- ▶ NASA Cryospheric Sciences
- ▶ NSF Hydrological Sciences
- ▶ Deutsche Forschungsgemeinschaft (DFG), Antarctic and Arctic Research Program
- ▶ University of Colorado UROP Program

## Avenues for contributions to improving sea-ice modeling in CESM

- (1) **Statistics and Geomathematics:** Approaches to capture complex spatio-temporal phenomena; scaling; parameterization of (subscale) physical phenomena for model input
- (2) **Observations:** Data and data analysis of sea-ice characteristics from satellite and airborne campaigns



Survey campaigns and satellite missions

→ tiers of observations

SCALE

# Objectives

## Cryospheric science objective:

Detect and quantify different forms of change in the cryosphere and attribute changes to sea-ice-morphogenetic processes

## Remote-sensing objective:

Present and analyze observations from new instruments (GLAS (ICESat), ICESat-2, UA laser profilometer, SAR, microSAR)

## Geomathematical objective:

– Realize new methodological components for spatial structure analysis  
– Identify, characterize and classify forms from hidden information in

- (a) Undersampled situations
- (b) Oversampled situations

# APPROACH

## Using Geomathematics to Connect Science and Engineering

- ← Understanding Environmental Change through Geomathematical Analysis of Remote-Sensing Data
- Applying Spatial Statistics to Design Cryospheric Observations, Instrumentation, Satellite, Airborne and Field Campaigns

# Measurement objective:

Development of instrumentation to survey (Micro-)topography and roughness of ice surfaces

- (1) Glacier Roughness Sensor (GRS)
- (2) UAV Laser Profilometer  
(UAV- Unmanned Aerial Vehicle)

Contribution to new Satellite and Airborne Observation Technology

- (1) ICESat-2
- (2) MABEL
- (3) SIGMA (data analysis)
- (4) CryoSat2



# Classification

## Spatial Surface Roughness

## Arctic Sea Ice

- (1) Sea-ice types and spatial surface roughness
- (2) Classification of sea ice near Pt Barrow from SAR data
- (3) CASIE 2009: Passive and active microwave observations from unmanned aircraft to characterize sea ice properties and their changes in the FRAM Strait
- (4) Simulation of scale-dependent roughness



Rubbled Ice (March 2003) (J. Maslanik photo)



Beaufort Sea, Ridge (March 2003) (J. Maslanik photo)

# Objectives of Ice Classification

- (1) Characterization of ice provinces: Establish a unique quantitative description of each ice type
- (2) Classification: Assign a given object to a surface class, using the characterization
- (3) Segmentation: Create a thematic map by applying the classification operator in a moving window

## (1.) What is spatial surface roughness?

- ▶ a derivative of (micro)topography
- characterization of spatial behavior

## (2.) Why do we need surface roughness?

- ▶ morphologic characteristics are captured in surface roughness (**not** in absolute elevation)
- ▶ subscale information for satellite data

## (3.) How do we measure surface roughness?

- ▶ Glacier Roughness Sensor (land ice)
- ▶ A UAV with laser profilometer

## (4.) How do we analyze surface roughness?

The analytically defined spatial derivative needs to be calculated numerically from a data set.

One way to do this:

$$\lim_{x \rightarrow x_0} \frac{z(x_0) - z(x)}{x_0 - x}$$

surface slope in a given location  $x_0$

To characterize morphology, better use averages...

# Definition of Vario Functions

$$V = \{(x, z) \text{ with } x = (x_1, x_2) \in \mathcal{D} \text{ and } z = z(x)\} \subseteq \mathcal{R}^3$$

discrete-surface case or

$$V = \{(x, z) \text{ with } x \in \mathcal{D} \text{ and } z = z(x)\} \subseteq \mathcal{R}^2$$

discrete-profile case

Define the **first-order vario function**  $v_1$

$$v_1(h) = \frac{1}{2n} \sum_{i=1}^n [z(x_i) - z(x_i + h)]^2$$

with  $(x_i, z(x_i)), (x_i + h, z(x_i + h)) \in \mathcal{D}$  and  $n$  the number of pairs separated by  $h$ .



# Higher-Order Vario Functions

The **first-order vario-function set** is

$$V_1 = \{(h, v_1(h))\} = \underline{v}(V_0)$$

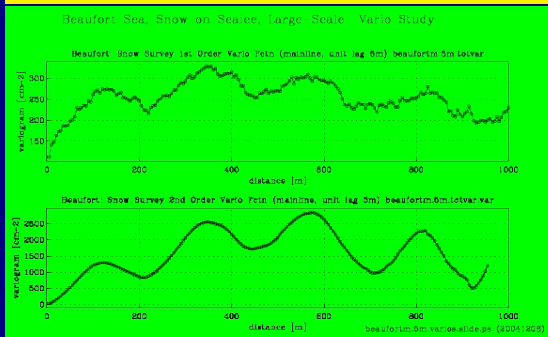
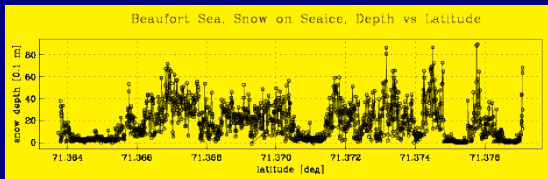
Then: get  $V_2$  from  $V_1$  in the same way you get  $V_1$  from  $V_0$ . The second-order vario function is also called **varvar function**.

Recursively, the **vario function set of order  $i + 1$**  is defined by

$$V_{i+1} = \underline{v}(V_i)$$

for  $i \in \mathcal{N}_0$ .

# Beaufort Sea



# Geostatistical Classification Parameters

significance parameters:

slope parameter:

$$p1 = \frac{\gamma_{max_1} - \gamma_{min_1}}{h_{min_1} - h_{max_1}}$$

relative significance parameter:

$$p2 = \frac{\gamma_{max_1} - \gamma_{min_1}}{\gamma_{max_1}}$$

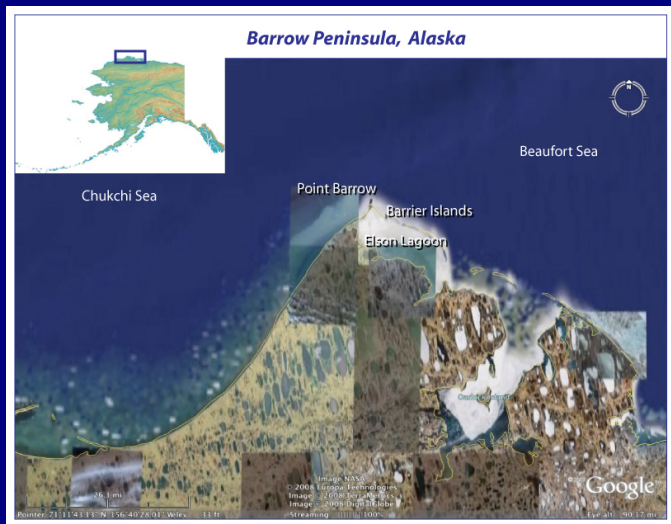
pond – maximum vario value

mindist – distance to first min after first max

$$avgspac = \frac{1}{n} \sum_{i=1}^n \frac{1}{i} h_{min_i}$$

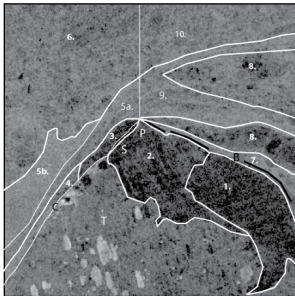
typically for  $n = 3$  or  $n = 4$

# Study areas near Point Barrow, Alaska: Chukchi Sea, Beaufort Sea and Elson Lagoon



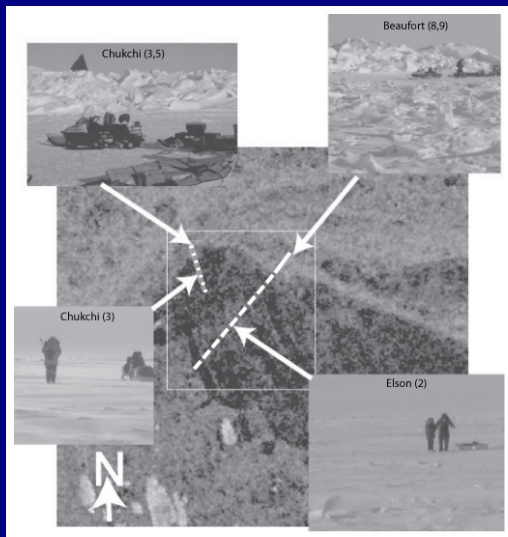
# Sea Ice Types Near Point Barrow, Alaska: SAR Data

Sea Ice Types Near Point Barrow, Alaska

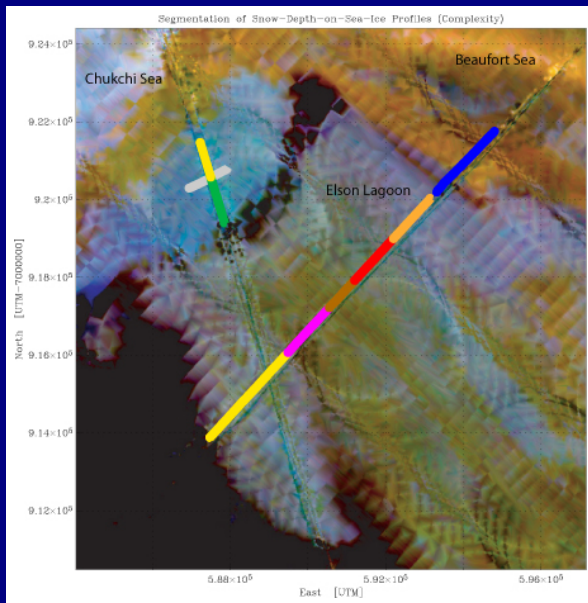


- 5. Barrow Spit
  - C. Coast
  - T. Tundra with Lakes
  - B. Barrier Islands
  - 1. Elson Lagoon, smooth ice
  - 2. Elson Lagoon, smooth ice with small structures
  - 3. Chukchi Sea, near-shore very smooth ice
  - 4. Chukchi Sea, near-shore smooth ice
  - 5. Chukchi Sea, stamukhi zone (grounded ice), a. large ridge bordering very smooth near-shore zone, b. uniformly ridged ice
  - 6. Chukchi Sea, mixed structures, mostly older ice in drifting ice pack
  - 7. Beaufort Sea, zone of large ridges bordering Barrier Islands
  - 8. Beaufort Sea, small-scale rubble ice
  - 9. Beaufort Sea, striated flows of ridged ice shearing off of Pt. Barrow drifting east
  - 10. Beaufort Sea, mixed structures, mostly older ice in drifting ice pack
- Field observations in areas 1, 2, 3, and 7

# Sea Ice Types Near Point Barrow, SAR Data and Photos

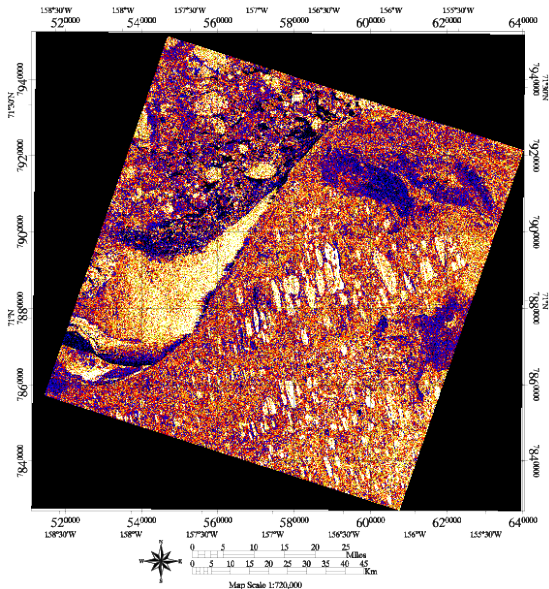


# Sea Ice Classification: PSR and Field Data (Snow Depth)



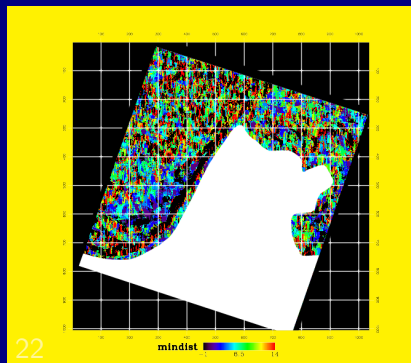
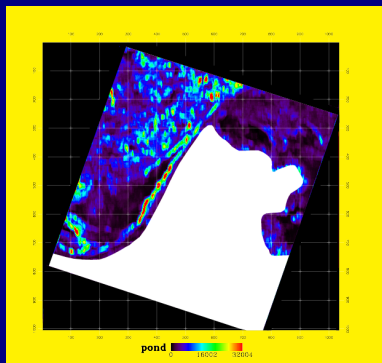
from  
Herzfeld, Maslanik  
and Sturm,  
IEEE TGRS 2006

# Sea Ice Classification: SAR Data

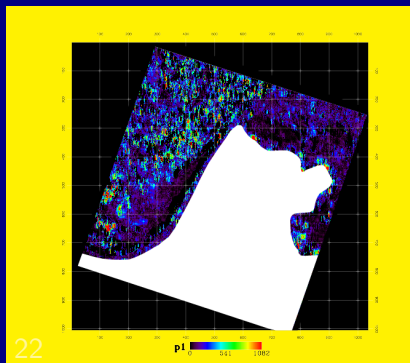
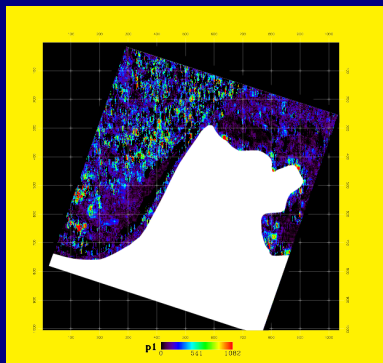




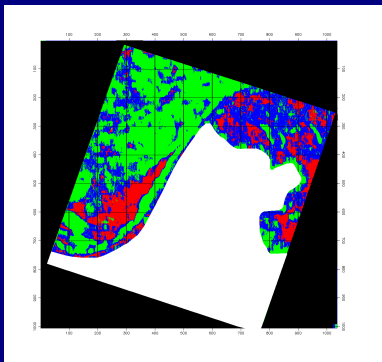
# parameter maps: pond and mindist



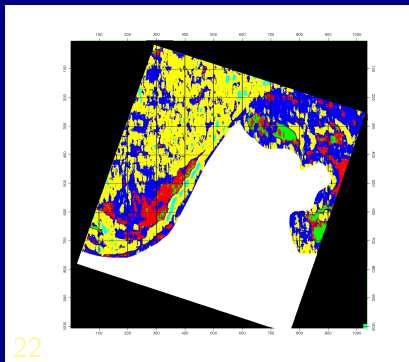
# parameter maps: p1 and p2



# statistical-geostatistical supervised classification: maximum-likelihood criterion



3 classes



5 classes

Herzfeld, Williams, Heinrichs, Maslanik, Sucht, JMG, in press 2010

# CASIE Experiment 2009

## Fram Strait

CASIE – Characterization of Arctic Sea Ice Experiment

July/ August 2009 from a base in Nye Alesund, Svalbard

**Obejective:** Collection of high-resolution microtopographic and roughness data

**SIERRA UAV, NASA AMES Research Center:** Matthew Fladeland and collaborators

**Experiment science:** Jim Maslanik (P.I.), Ute Herzfeld (Co-I.), David Long (Co-I.), R. Kwok (Co-I.), Ian Crocker, K. Wegrezyn

**NASA IPY sea-ice roughness project:** J. Maslanik, U. Herzfeld, J. Heinrichs, D. Long, R. Kwok



NASA AMES SIERRA: Cold-Weather System Test with CU-ULS (March 2009)

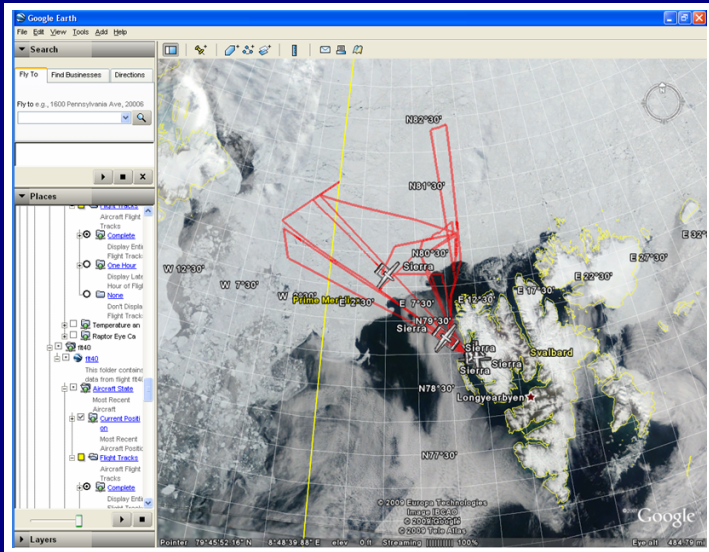
photograph by Don Herlth



BYU mSAR panels integrated in SIERRA



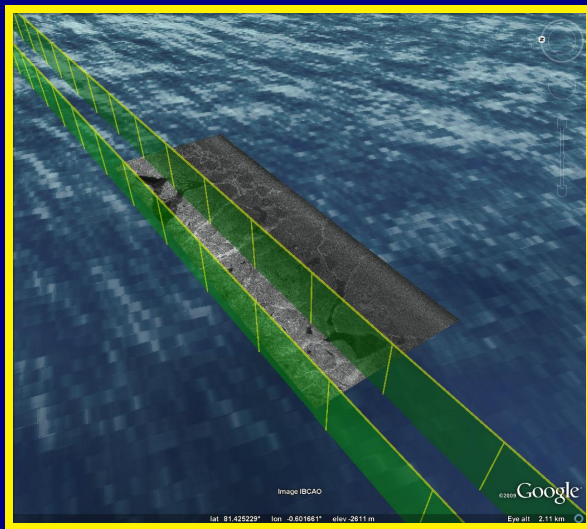
NASA AMES SIERRA: Ny Alesund, Svalbard  
photograph by Ian Crocker



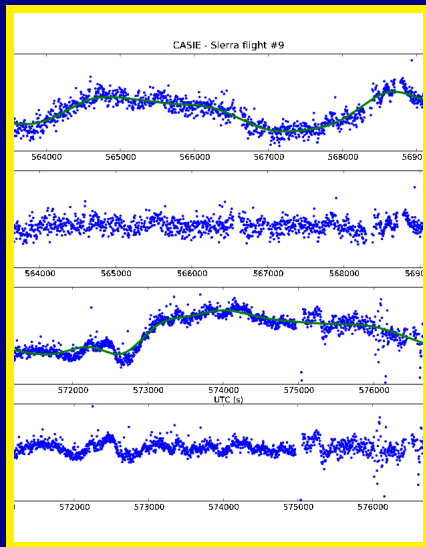
flight tracks



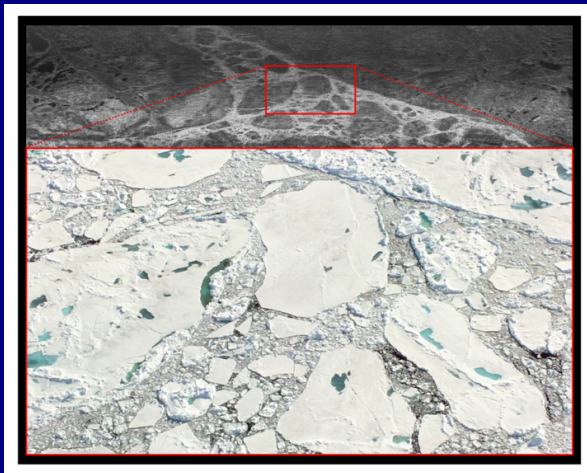
# Data Acquisition CASIE (Fram Strait): ULS and MicroSAR (July 2009)



## Laser altimeter data (corrected wrt GPS data)

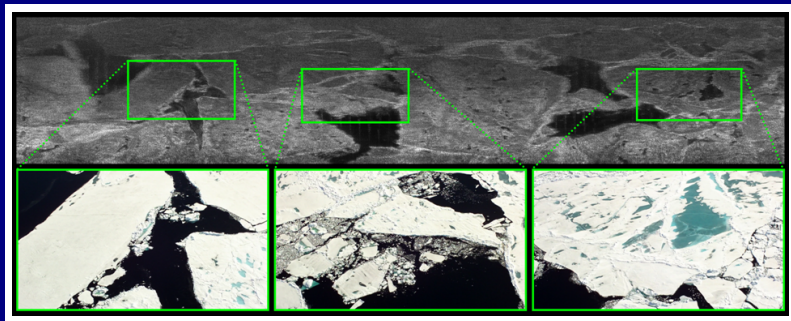


## BYU MicroSAR data and video data



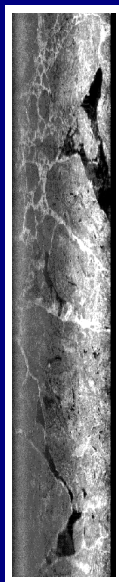
mSAR design and processing: David Long, Evan Zaugg, BYU  
data co-location by Ian Crocker

## BYU MicroSAR data and video data

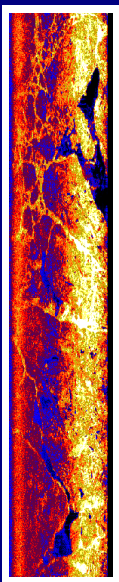


mSAR design and processing: David Long, Evan Zaugg, BYU  
data co-location by Ian Crocker

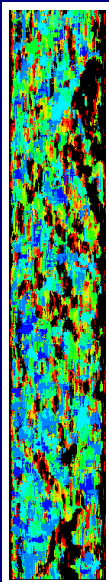
# BYU MicroSAR data and roughness parameters



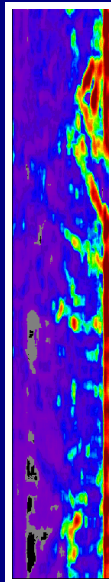
mSAR



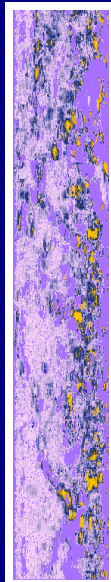
mSAR



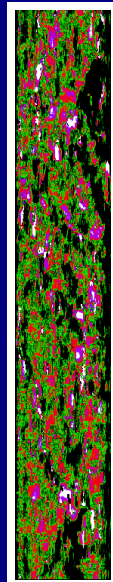
mindist



pond



p1



p2

# Conclusion



Physically-based geomathematical modeling of data as a bridge between ice observation and Earth system modeling.