



Energetically based mixing schemes

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Energetically based mixing schemes or how to construct a consistent ocean model

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- solid lines: dispersion relations of linear wave solutions
- red ellipses: dynamical regimes, grey boxes: ocean models
- *R*_o, *R*_i: Rossby radii of deformation,
 N buoyancy frequency, *f* Coriolis frequency



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- note that waves with negative m move upward (with $\dot{z} = c$), those with positive m move downward (with $\dot{z} = -c$)
- integrate over all vertical wavenumbers m > 0 and m < 0

$$E^{+} = \int_{-\infty}^{0} dm \int d^{2}k_{h}\mathcal{E}^{+}(\mathbf{k}_{h}, m, z, t)$$
$$E^{-} = \int_{0}^{\infty} dm \int d^{2}k_{h}\mathcal{E}^{-}(\mathbf{k}_{h}, m, z, t)$$

• integration of spectral energy $\mathcal{E}(\mathbf{k}, \mathbf{x}, t)$ $\frac{\partial \mathcal{E}}{\partial t} + \nabla_h \cdot (\dot{\mathbf{x}}_h \mathcal{E}) + \frac{\partial}{\partial \mathbf{k}_h} \cdot (\dot{\mathbf{k}}_h \mathcal{E}) + \frac{\partial}{\partial z} (\dot{z} \mathcal{E}) + \frac{\partial}{\partial m} (\dot{m} \mathcal{E}) = \omega S$

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$$\frac{\partial E^+}{\partial t} + \frac{\partial J^+}{\partial z} = \int_{-\infty}^0 dm \int d^2 k_h \, \omega (S_{diss} + S_{ww})$$

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- work with total energy $E = E^+ + E^-$ and $\Delta E = E^+ E^-$
- S_{ww} conserves total energy E
- assume that S_{ww} damps ΔE and that S_{diss} is symmetric in m

- total energy *E* and of difference of up/downward waves ΔE $\frac{\partial E}{\partial t} + \frac{\partial}{\partial z}c_0\Delta E = -\epsilon_{iw}$, $\frac{\partial \Delta E}{\partial t} + \frac{\partial}{\partial z}c_0E = -\Delta E/\tau_v$
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$$\epsilon_{iw} = \mu f \, E^2 / c_{\star}^2$$

with $c_{\star} = (j_{\star}\pi)^{-1} \int_{-h}^{0} N(z) dz$, vertical mode bandwidth j_{\star} , and a parameter $\mu = O(1)$

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• similar form of ϵ_{iw} is used for analysis of fine-structure measurements (Gregg, 1989)

• for
$$t \gg \tau_v$$

$$\frac{\partial E}{\partial t} = \frac{\partial}{\partial z} c_0 \tau_v \frac{\partial}{\partial z} c_0 E + \nabla_h \cdot v_0 \tau_h \nabla_h v_0 E - \mu f E^2 / c_\star^2$$

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- assumptions:
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 m v}$, j_{\star} and μ and au_h
- forcing by energy flux $c_0 \tau_v \partial (c_0 E) / \partial z$ at surface/bottom

• external forcing functions in $log_{10}(F/m^3s^{-3})$



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- tidal flow over topography 1.7 TW from Jayne (2009)
- and other sources

• external forcing functions in $log_{10}(F/m^3s^{-3})$



0.14 TW from NCEP (6 hourly, 1.875°) but 0.38 TW from CVFS (1 hourly, 0.35°) (Rimac et al, 2012)

• small-scale turbulent kinetic energy (TKE) equation

$$\frac{D\bar{E}}{Dt} = -\frac{\partial}{\partial z} (\text{fluxes}) - \overline{\mathbf{u}'w'}\frac{\partial \bar{\mathbf{u}}}{\partial z} + \overline{w'b'} - \nu \overline{(\boldsymbol{\nabla}\mathbf{u})^2}$$

• with
$$\overline{E} = \overline{(u'^2 + v'^2 + w'^2)}/2$$

- vertical shear production $\overline{{f u}'w'}\partial ar{f u}/\partial z$
- exchange with potential energy $\overline{w'b'}$
- dissipation, conversion to internal energy

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- assume that

$$\overline{b'w'} = -c_b K \frac{\partial \overline{b}}{\partial z}$$
, $\overline{u'w'} = -c_u K \frac{\partial \overline{u}}{\partial z}$ and $\nu \overline{(\nabla u)^2} \approx c_\epsilon \overline{E}^{3/2} L^{-1}$
with dimensionless parameters $c_u, c_b, c_\epsilon = O(1)$

and mixing length L

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$$\overline{b'w'} = -c_b K \frac{\partial \bar{b}}{\partial z} , \quad \overline{u'w'} = -c_u K \frac{\partial \bar{\mathbf{u}}}{\partial z} \text{ and } \nu \overline{(\nabla \mathbf{u})^2} \approx c_\epsilon \bar{E}^{3/2} L^{-1}$$

with dimensionless parameters $c_u, c_b, c_\epsilon = O(1)$ and mixing length L

$$\frac{D\bar{E}}{Dt} = \frac{\partial}{\partial z} c_E K \frac{\partial}{\partial z} \bar{E} + c_u K \left(\frac{\partial \bar{\mathbf{u}}}{\partial z}\right)^2 - c_b K N^2 - c_\epsilon \bar{E}^{3/2} L^{-1}$$

• TKE based mixed layer closure model

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• close with $K = \bar{E}^{1/2}L$ and $L = \sqrt{2E/N}$ (Gaspar et al, 1990)

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- but shear production is related to breaking internal waves \rightarrow add ϵ_{iw} from IDEMIX and set $E_{min} = 0$

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$$rac{DE_{iw}}{Dt} = \mathbf{\nabla} \cdot (\mathsf{fluxes}) - \epsilon_{iw}$$

- small-scale turbulence closure with TKE equation forced by
 - direct wind input of TKE
 - internal wave breaking

$$\frac{DE_{tke}}{Dt} = \boldsymbol{\nabla} \cdot (\text{fluxes}) + c_u K \left(\frac{\partial \bar{\mathbf{u}}}{\partial z}\right)^2 + \epsilon_{iw} - c_b K N^2 - \epsilon_{tke}$$

Performance of IDEMIX



• K using TKE eq. (left) and TKE + IDEMIX (right) in model



meso-scale eddy kinetic energy

$$rac{Dar{E}}{Dt} = - oldsymbol{
abla} \cdot (ext{fluxes}) + ar{S} + \overline{b'w'} - \epsilon_{eke}$$

- with meso-scale eddy kinetic energy (EKE) $\overline{E} = \overline{(u'^2 + v'^2)}/2$
- and barotropic instability production $\overline{S} = -\overline{\mathbf{u'u'}} \cdot \nabla_h \overline{\mathbf{u}}$
- and baroclinic instability production $\overline{b'w'}$
- and dissipation of EKE ϵ_{eke}

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- meso-scale eddy potential energy $ar{P}=\overline{b'^2}/(2N^2)$

$$\frac{D\bar{P}}{Dt} = -\nabla \cdot \text{flux} - \overline{\mathbf{u}'_h b'} \cdot \nabla_h \bar{b} N^{-2} - \overline{b' w'}$$

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• adding and assuming $\overline{{f u}_h^\prime b^\prime} = -K_{gm} {f
abla}_h ar{b}$

$$\frac{D}{Dt} \left(\bar{E} + \bar{P} \right) = -\boldsymbol{\nabla} \cdot (\text{fluxes}) + \bar{S} + K_{gm} (\boldsymbol{\nabla}_h \bar{b})^2 N^{-2} - \epsilon_{eke}$$

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• close with $K_{gm} = c_g \sqrt{\bar{E}L}$, and $\epsilon_{eke} = c_e \bar{E}^{3/2} / L$ as in (Eden + Greatbatch, 2008)

consistent ocean model

802

160**

external forcing functions in $log_{10}(F/m^3s^{-3})$



100°E

160*

40°E

40°E 0.67 TW of $K_{gm}(\nabla_h \bar{b})^2 N^{-2}$ and 0.49 TW of \bar{S}

• internal wave closure (IDEMIX)

$$rac{DE_{iw}}{Dt} = \mathbf{\nabla} \cdot (ext{fluxes}) + \epsilon_{eke} - \epsilon_{iw}$$

• meso-scale eddy closure

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- or assume ∫⁰_{−h} ε_{eke} dz is injected at bottom only (generation of lee waves by meso-scale eddies?)

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- assume local dissipation (interior loss of balance?)
- or assume $\int_{-h}^{0} \epsilon_{eke} dz$ is injected at bottom only (generation of lee waves by meso-scale eddies?)



 construct a consistent ocean model without spurious energy sources and sinks

$$\frac{DE_m}{Dt} = \nabla \cdot (\text{fluxes}) + \bar{b}\bar{w} - \bar{S} - c_u K \left(\frac{\partial \bar{\mathbf{u}}}{\partial z}\right)^2$$

$$\frac{DP_m}{Dt} = \nabla \cdot (\text{fluxes}) - \bar{b}\bar{w} - K_{gm}(\nabla_h \bar{b})^2 N^{-2} + c_b K N^2$$

$$\frac{DE_{eke}}{Dt} = \nabla \cdot (\text{fluxes}) + \bar{S} + K_{gm}(\nabla_h \bar{b})^2 N^{-2} - \epsilon_{eke}$$

$$\frac{DE_{iw}}{Dt} = \nabla \cdot (\text{fluxes}) + \epsilon_{eke} - \epsilon_{iw}$$

$$\frac{DE_{tke}}{Dt} = \nabla \cdot (\text{fluxes}) + c_u K \left(\frac{\partial \bar{\mathbf{u}}}{\partial z}\right)^2 + \epsilon_{iw} - c_b K N^2 - \epsilon_{tke}$$

- construct a consistent ocean model without spurious energy sources and sinks
- IDEMIX connects different forcing functions, small-scale, and meso-scale turbulence closures

$$\frac{DE_m}{Dt} = \nabla \cdot (\text{fluxes}) + \bar{b}\bar{w} - \bar{S} - c_u K \left(\frac{\partial \bar{\mathbf{u}}}{\partial z}\right)^2$$

$$\frac{DP_m}{Dt} = \nabla \cdot (\text{fluxes}) - \bar{b}\bar{w} - K_{gm}(\nabla_h \bar{b})^2 N^{-2} + c_b K N^2$$

$$\frac{DE_{eke}}{Dt} = \nabla \cdot (\text{fluxes}) + \bar{S} + K_{gm}(\nabla_h \bar{b})^2 N^{-2} - \epsilon_{eke}$$

$$\frac{DE_{iw}}{Dt} = \nabla \cdot (\text{fluxes}) + \epsilon_{eke} - \epsilon_{iw}$$

$$\frac{DE_{tke}}{Dt} = \nabla \cdot (\text{fluxes}) + c_u K \left(\frac{\partial \bar{\mathbf{u}}}{\partial z}\right)^2 + \epsilon_{iw} - c_b K N^2 - \epsilon_{tke}$$

- construct a consistent ocean model without spurious energy sources and sinks
- IDEMIX connects different forcing functions, small-scale, and meso-scale turbulence closures
- unknown dissipation of meso-scale eddy energy

$$\frac{DE_m}{Dt} = \nabla \cdot (\text{fluxes}) + \bar{b}\bar{w} - \bar{S} - c_u K \left(\frac{\partial \bar{\mathbf{u}}}{\partial z}\right)^2$$

$$\frac{DP_m}{Dt} = \nabla \cdot (\text{fluxes}) - \bar{b}\bar{w} - K_{gm} (\nabla_h \bar{b})^2 N^{-2} + c_b K N^2$$

$$\frac{DE_{eke}}{Dt} = \nabla \cdot (\text{fluxes}) + \bar{S} + K_{gm} (\nabla_h \bar{b})^2 N^{-2} - \epsilon_{eke}$$

$$\frac{DE_{iw}}{Dt} = \nabla \cdot (\text{fluxes}) + \epsilon_{eke} - \epsilon_{iw}$$

$$\frac{DE_{tke}}{Dt} = \nabla \cdot (\text{fluxes}) + c_u K \left(\frac{\partial \bar{\mathbf{u}}}{\partial z}\right)^2 + \epsilon_{iw} - c_b K N^2 - \epsilon_{tke}$$