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# A Sensitivity Study of cloud properties to CLUBB Parameters in the Single Column Community Atmosphere Model (SCAM5)

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# Applying Uncertainty Quantification (UQ) approach to CAM5\_CLUBB

- ▶ Uncertainty Quantification (UQ) provides a way to systematically while efficiently examine the parameter sensitivity in a complex system
- ▶ UQ has been demonstrated to be a useful tool for advancing climate modeling. Several examples from PNNL:
  - Model Calibration and Optimization:
    - *Yang, Qian et al., 2012; Yan, Qian et al., 2013* (WRF, deep convection);
    - *Yang, Qian et al., 2013* (CAM5, stratiform-convection precipitation partition)
  - Quantifying parameter uncertainty and sensitivity:
    - *Zhao et al., 2013* (CAM5, radiation flux);
    - *Wan et al., 2015* (CAM5, short ensembles vs. long term climate simulation);
    - *Qian et al., 2014* (CAM5, precipitation including extremes and diurnal cycle)
  - Determining source-receptor relationship
    - *Ma et al., 2013* (CAM5, black carbon)
- ▶ Applying UQ to CAM5\_CLUBB
  - Quantifying the parameter uncertainty and sensitivity

- ▶ Model: Single-column version of CAM5.3 with CLUBB
- ▶ Sampling approach: Quasi-Monte Carlo

	Regime type	Vertical level	QMC Samples	Time Period
BOMEX (Barbados Oceanographic and Meteorological Experiment)	Maritime shallow cumulus	30	P16:256	5~6hr
		240	P29:1024 P35:2048	
DYCOMS-II RF01 (Dynamics and Chemistry of Stratocumulus)	Maritime Stratocumulus	30	P16:256	3~4hr
		240	P29:1024 P35:2048	
RICO (Rain in Cumulus Over Ocean)	Precipitating marine shallow cumulus	30	P16:256	5~6hr
		240	P29:1024 P35:2048	

- ▶ Emulator: a Generalized linear model (GLM) is constructed to determine how individual parameters contribute to the total variance of simulated model fields

# Performance metric (Taylor, 2001; Yang et al., 2013)

$$score = \log\left[\frac{\left(\frac{\sigma_{LES}}{\sigma_{mod}} + \frac{\sigma_{mod}}{\sigma_{LES}}\right)^2 (1 + R_0)^k}{(1 + R)^k}\right]$$

$$\sigma = \sqrt{\frac{1}{N} \sum_{i=1}^N (x_i - \bar{x})^2}$$

$$R = \frac{\frac{1}{N} \sum_{i=1}^N (x_{modi} - \bar{x}_{mod})(y_{LESi} - \bar{y}_{LES})}{\sigma_{mod}\sigma_{LES}}$$

$\sigma$  is the spatial standard deviation,

N is total number of vertical grid point,

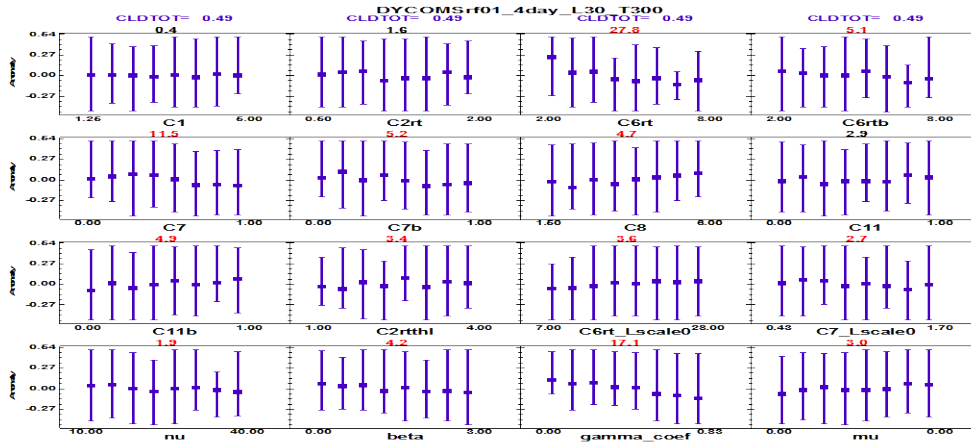
i represents individual grid point,

R is the correlation coefficient between single-column (mod) and LES.

*$R_0 = 1$  maximum possible spatial correlation coefficient*

*$k=4$  control the relative weight of spatial correlation*

# Stratocumulus case (DYCOMSII\_RF01): cloud fraction in L30



- ▶ Parameters related to the total water flux equation is the most effective ones.
- ▶ C6rt (27.8%), C7 (11.5%) contribute the most to cloud fraction variance

Total water flux  
Eq.

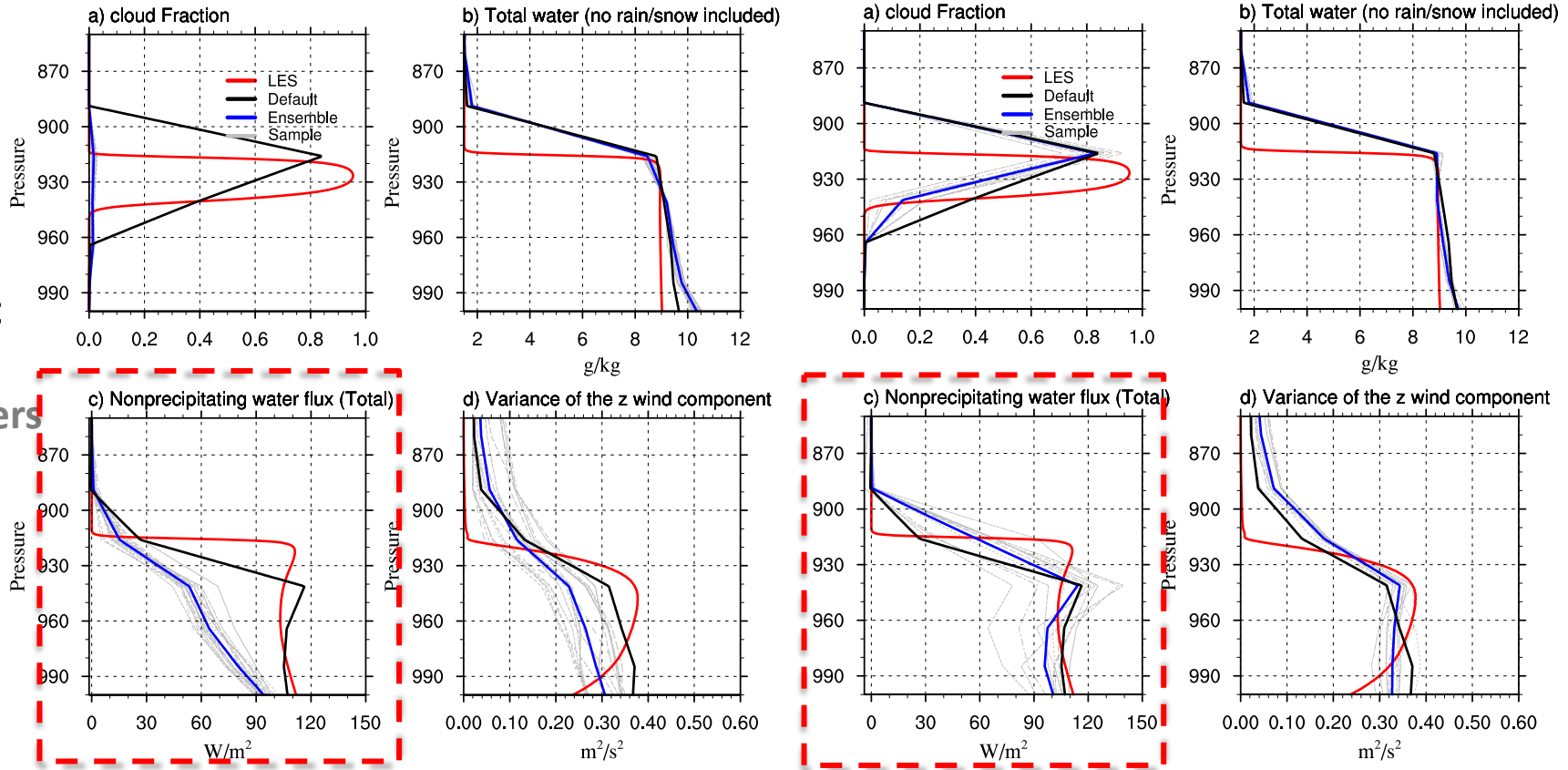
$$\begin{aligned}
 \frac{\partial \overline{w'q'_t}}{\partial t} = & -\overline{w} \frac{\partial \overline{w'q'_t}}{\partial z} - \frac{1}{\rho_s} \frac{\partial \overline{\rho_s w'^2 q'_t}}{\partial z} - \overline{w'^2} \frac{\partial \overline{q_t}}{\partial z} - \overline{w'q'_t} \frac{\partial \overline{w}}{\partial z} + \frac{g}{\theta_{vs}} \overline{q'_t \theta'_v} \left( \frac{C_6}{\tau} \overline{w'q'_t} \right) \\
 & + C_7 \overline{w'q'_t} \frac{\partial \overline{w}}{\partial z} - C_7 \frac{g}{\theta_{vs}} \overline{q'_t \theta'_v} + \frac{\partial \left[ (K_w + \nu) \frac{\partial \overline{w'q'_t}}{\partial z} \right]}{\partial z} + \left. \frac{\partial \overline{w'q'_t}}{\partial t} \right|_{sicl} \\
 & + \left. \frac{\partial \overline{w'q'_t}}{\partial t} \right|_{cl} + \left. \frac{\partial \overline{w'q'_t}}{\partial t} \right|_{mfl}
 \end{aligned}$$

# Stratocumulus case: Why C6, C7 are effective

## Top 10 worst members

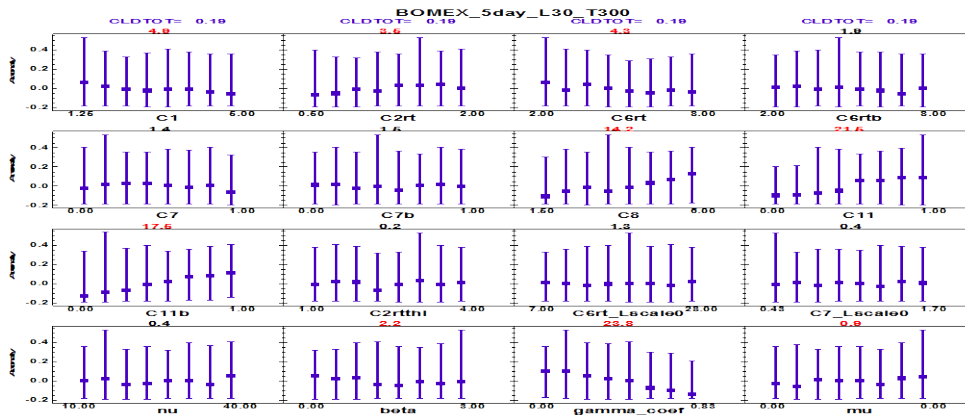
## Top 10 best members

LES  
Default  
Mean  
Members



► Total water flux is critical for stratocumulus cloud simulations

# Shallow cumulus case (BOMEX): Cloud Fraction



- ▶ Parameters related to skewness of vertical velocity are critical
- ▶  $\gamma$  (23.8%), C11 (21.5%), C11b (17.5%) and C8 (14.2%) contribute the most to cloud fraction variance

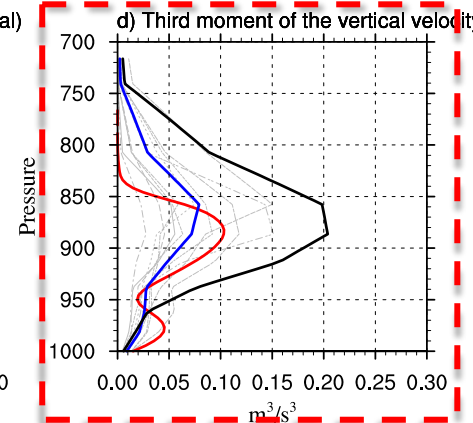
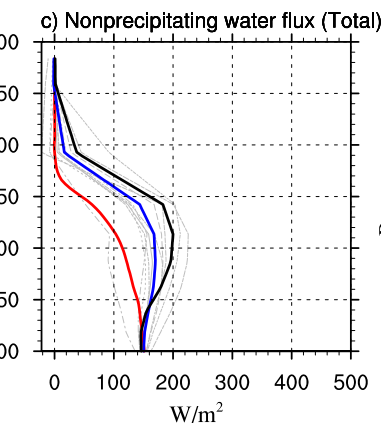
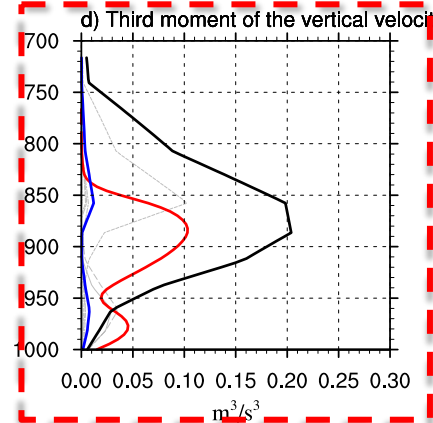
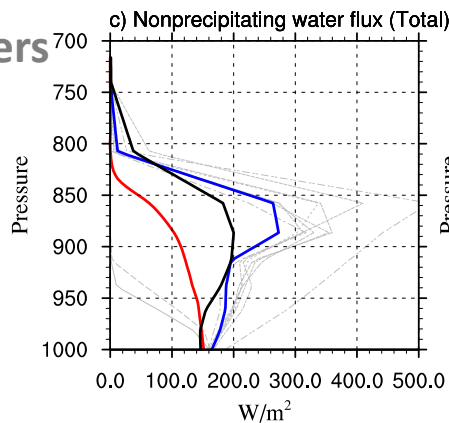
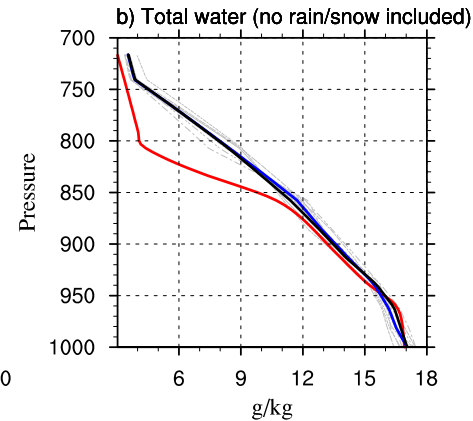
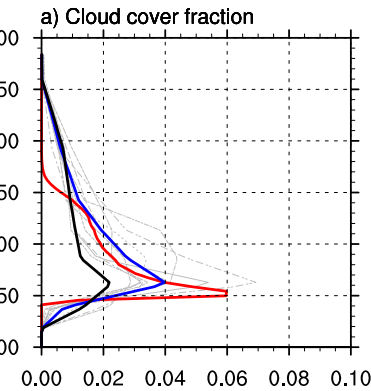
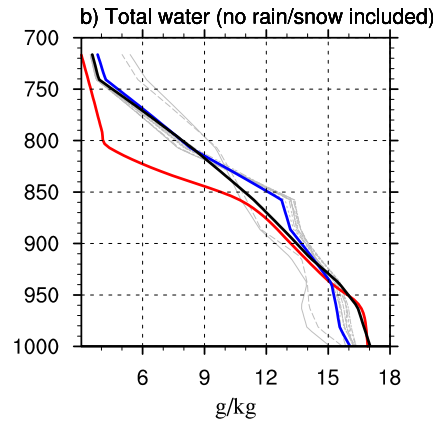
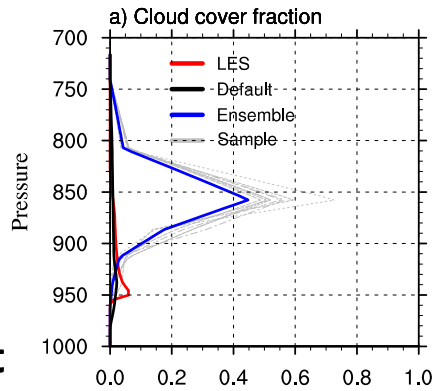
$w'^3$  Eq.

$$\begin{aligned} \frac{\partial \overline{w'^3}}{\partial t} = & -\overline{w} \frac{\partial \overline{w'^3}}{\partial z} - \frac{1}{\rho_s} \frac{\partial \overline{w'^4}}{\partial z} + 3 \frac{\overline{w'^3}}{\rho_s} \frac{\partial \rho_s \overline{w'^2}}{\partial z} - 3 \overline{w'^3} \frac{\partial \overline{w}}{\partial z} + \frac{3g}{\theta_{vs}} \overline{w'^2 \theta'_v} \\ & + C_{15} K_m \left( \frac{g}{\theta_{vs}} \frac{\partial \overline{w' \theta'_v}}{\partial z} - \left( \frac{\partial \overline{u'v'}}{\partial z} \frac{\partial \overline{u}}{\partial z} + \frac{\partial \overline{u'v'}}{\partial z} \frac{\partial \overline{v}}{\partial z} \right) \right) \\ & - \frac{C_8}{\tau} (-3C_{8b} Sk w^4 + 1) \overline{w'^3} - C_{11} \left( 3 \overline{w'^3} \frac{\partial \overline{w}}{\partial z} + \frac{3g}{\theta_{vs}} \overline{w'^2 \theta'_v} \right) \\ & + \frac{\partial \left[ (K_w + \nu) \frac{\partial \overline{w'^3}}{\partial z} \right]}{\partial z} \end{aligned}$$

# Shallow cumulus case: Why C11, C8 and $\gamma$ are effective L30

## Top 10 worst members

## Top 10 best members

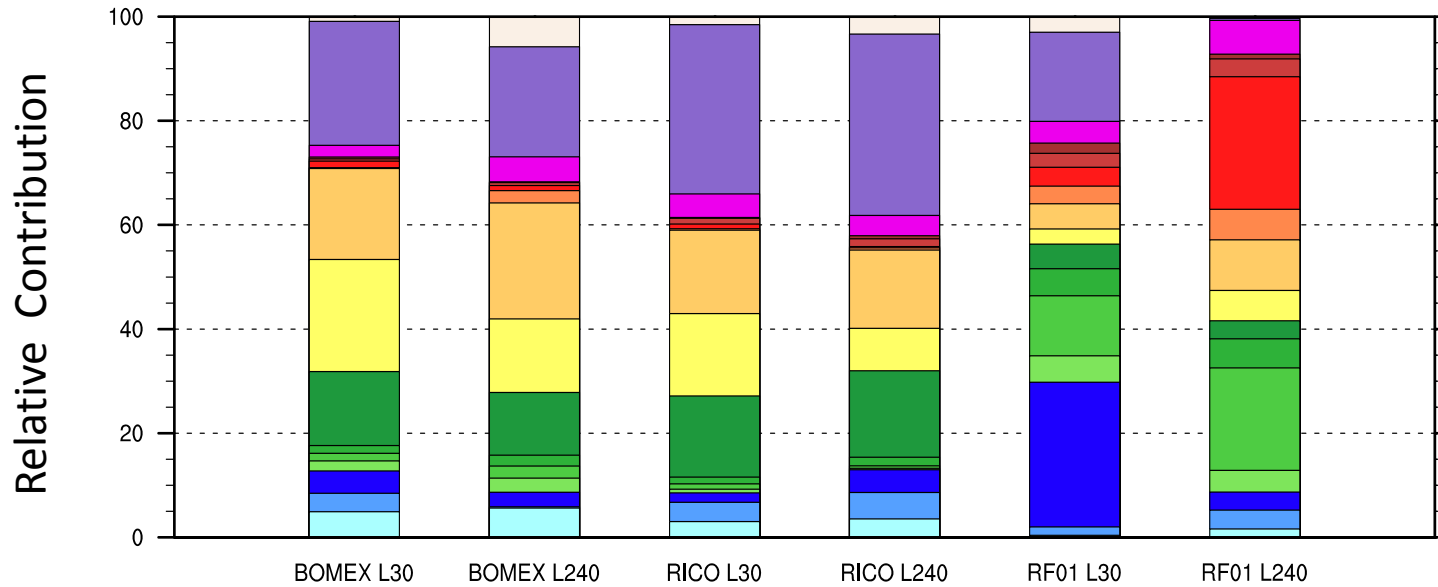


- The third-order moment of vertical velocity is critical for shallow convection simulation

LES  
Default  
Mean  
Members



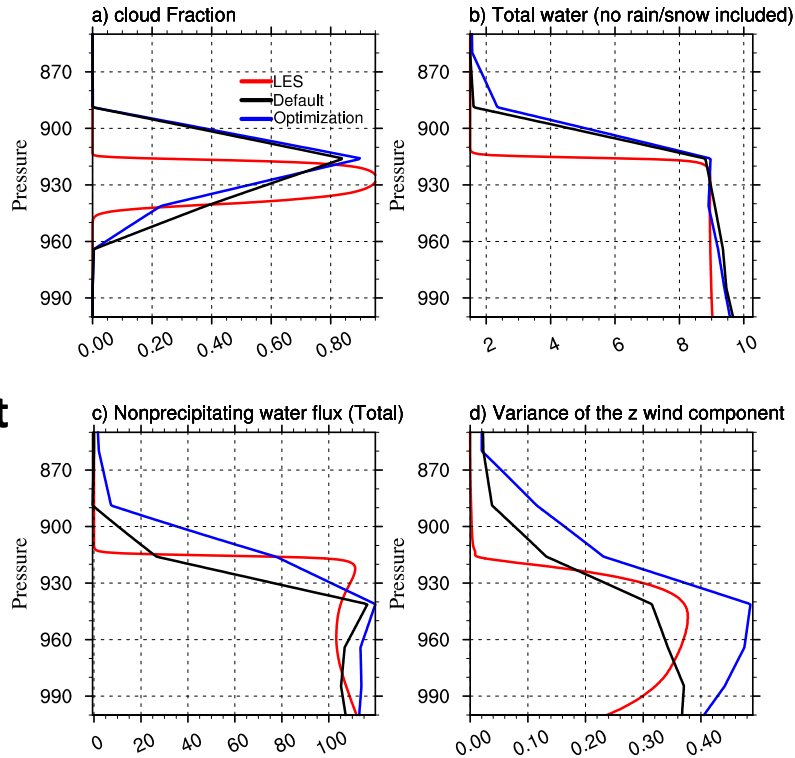
# Dependence of Vertical Resolution



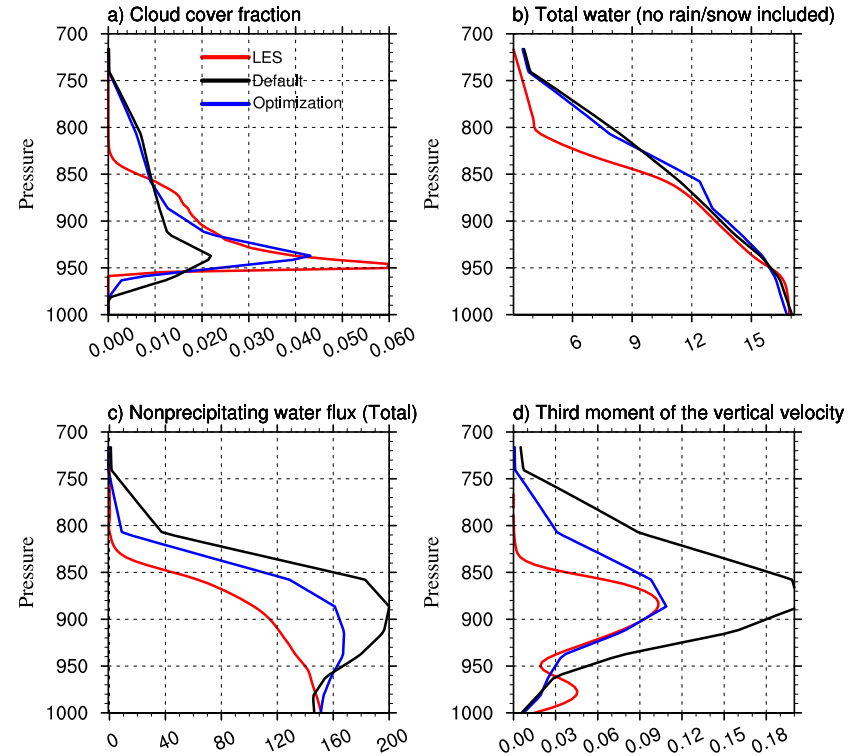
**30 Levels vs. 240 Levels  
for BOMEX, RICO and DYCOMS RF01**

# Best Performance Member

## DYCOMS\_RF01 (stratocumulus)



## BOMEX (shallow convection)



- A set of “best” parameters substantially improve shallow convection simulation for BOMEX (effects are small for DYCOMS\_RF01)

Change in Key parameters:

C6rt: 4.00 → 2.34; C7: 0.50 → 0.33; C6rt\_Lscale0: 14.00 → 23.94; C2rt: 1.00 → 0.60

γ: 0.32 → 0.80; C11: 0.80 → 0.65; C11b: 0.35 → 0.53; C8: 3.00 → 2.34

LES  
Default  
Best

# Conclusions and Future work

- ▶ Only a small number of tunable parameters are needed to explain most of the variance in simulated cloud fields.
- ▶ The most effective tunable parameters for stratocumulus clouds are those related to the total water flux equation, while the most effective tunable parameters for shallow convection are those related to the skewness of vertical velocity
- ▶ Vertical Resolution has a small impact on the sensitive parameters in shallow convection, but a huge impact in stratocumulus.
- ▶ We are currently applying UQ approach to quantify the parameter sensitivity of the global simulations of CAM5 with CLUBB. (ne16np4 & 30 levels & 16 selected parameters)



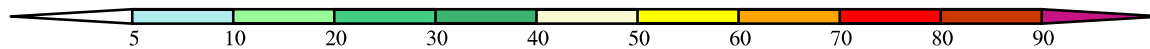
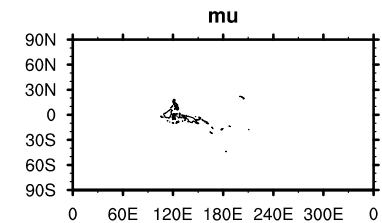
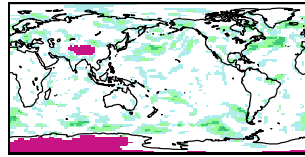
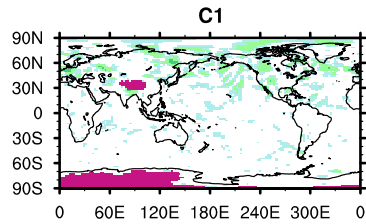
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# Thanks

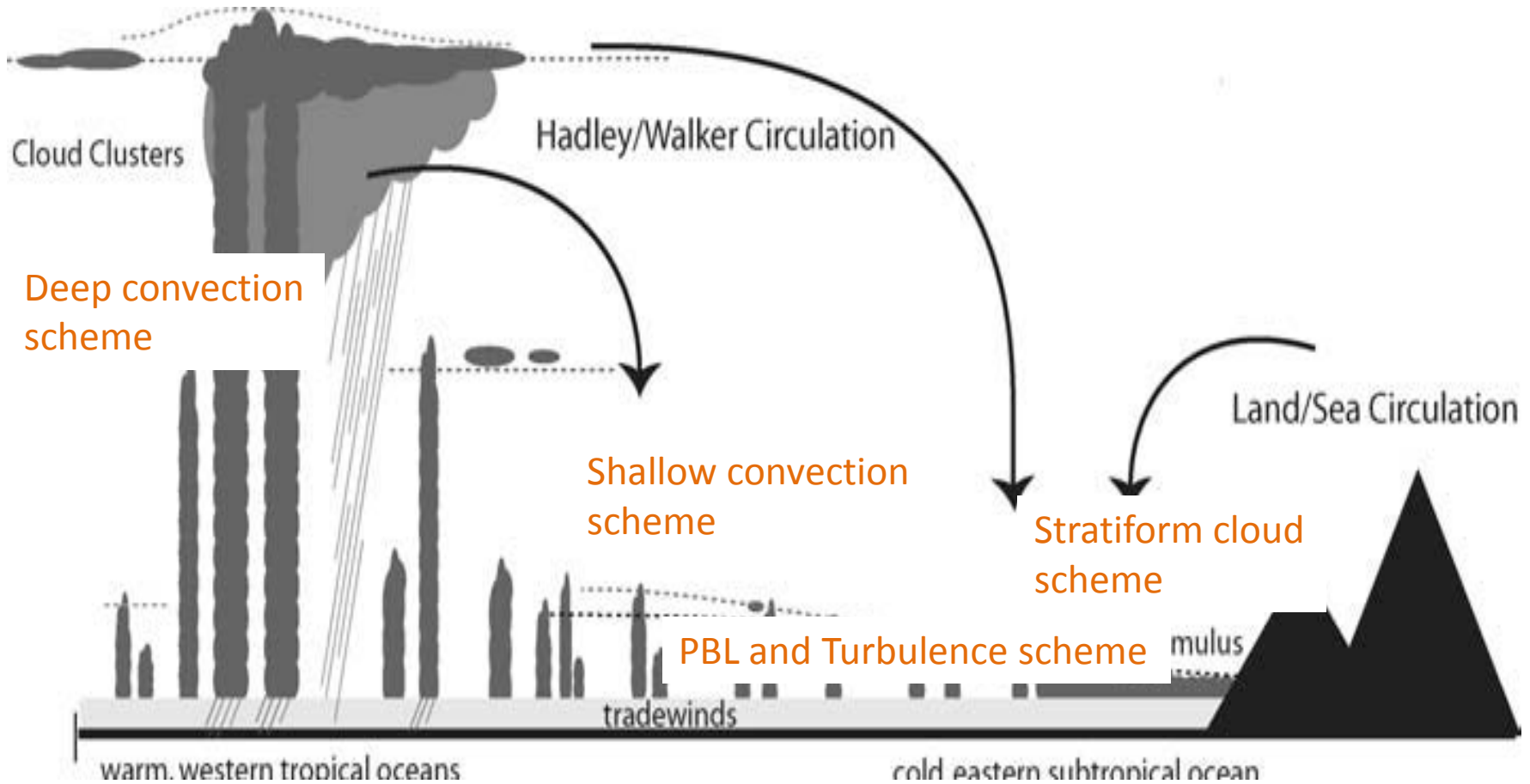


# Spatial distribution of contribution (Jan)



►  $\gamma$  contribute the most to cloud fraction variance

# A unified cloud parameterization has long been the goal of modeling communities



Arawaka, 1975

- ▶ Model: Single-column version of CAM5.3 with CLUBB (vertical resolution: 30, 240 levels) (*Bogenschutz et al., 2012; 2013*)
- ▶ Three cases (Large-eddy simulations are used as benchmark):
  - DYCOMS\_RF01: Maritime Stratocumulus
  - BOMEX: Maritime shallow cumulus
  - RICO: precipitating marine shallow cumulus
- ▶ Sampling approach: Quasi-Monte Carlo, 2048/1024/256 samples for each ensemble with 35/29/16 tunable CLUBB parameters
- ▶ Emulator: a Generalized linear model (GLM) is constructed to determine how individual parameters contribute to the total variance of simulated model fields



# Selection of parameters (35/29/16)

## **Tuning Parameter**

range calculation of Lscale

0.1

# Methodology (Sampling and quantification)

## ► a generalized linear model (GLM)

where  $p_j^i$  represents the  $i^{\text{th}}$  realization of the  $j^{\text{th}}$  parameter;  $Y_i$  represents the  $i^{\text{th}}$  response variable; and  $\beta_j$  and  $\beta_{j,k}$  represent the coefficients of linear and two-way interaction terms

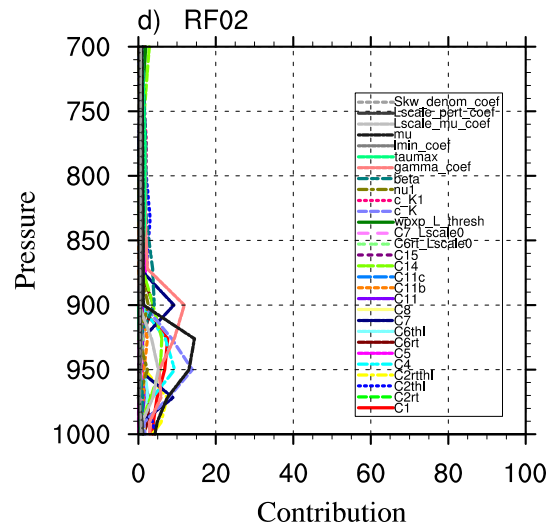
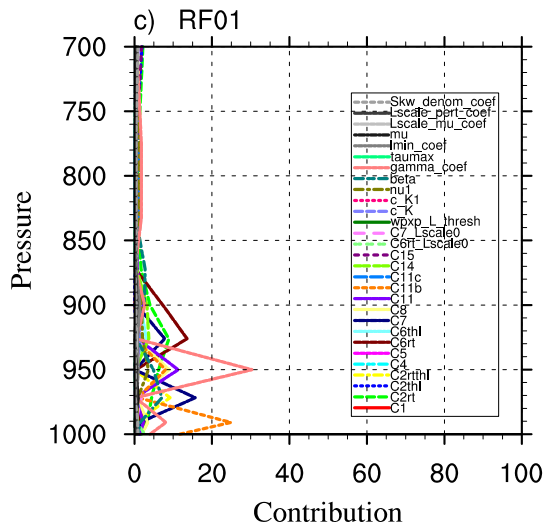
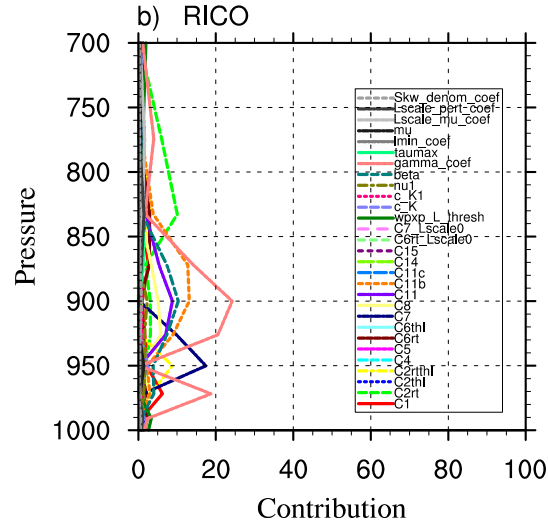
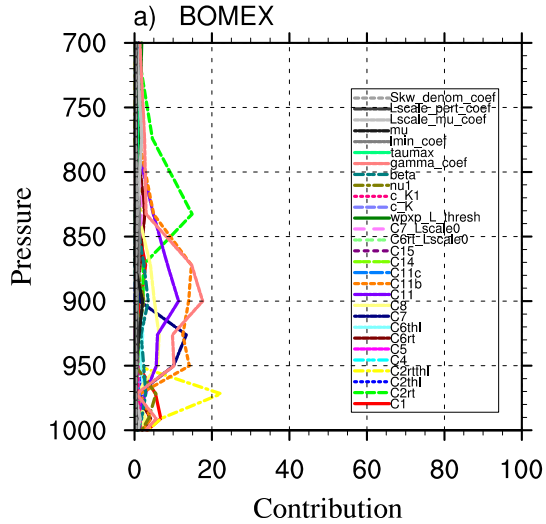
$$Y^i = \beta_0 + \sum_{j=1}^n \beta_j * p_j^i + \sum_{j=1}^n \sum_{k=1}^n \beta_{j,k} * p_j^i * p_k^i + \varepsilon_i, \quad \varepsilon_i \stackrel{iid}{\sim} N(0, \sigma^2)$$

# Vertical profile of absolute contribution



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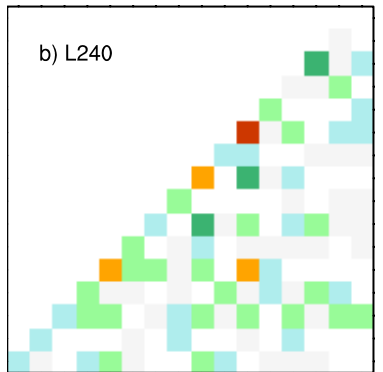
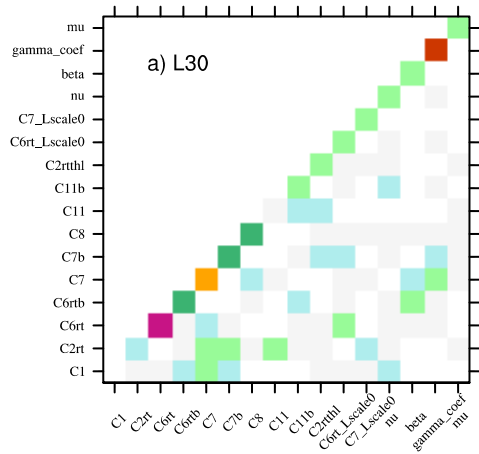


# Additional Damping

$$C6_{rt\_skw\_fnc} = \begin{cases} C6_{rt\_Lscale} + \frac{C6_{rt\_skw\_fnc} - C6_{rt\_Lscale}}{wpxp\_L\_thresh} \times Lscale; & Lscale < wpxp\_L\_thresh \\ C6_{rt\_skw\_fnc}; & \end{cases}$$

# Interact Contributions

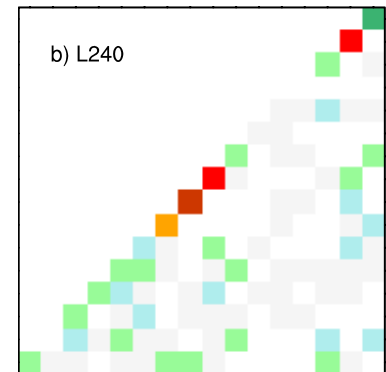
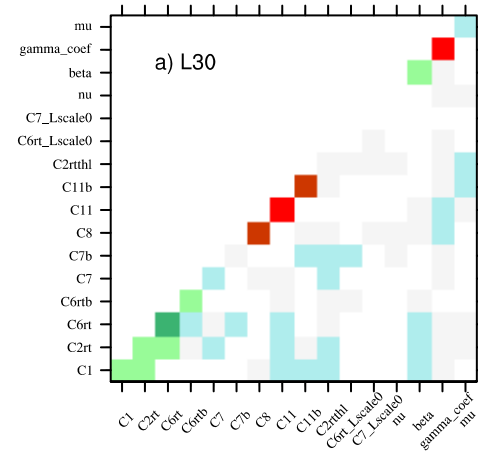
## Stratocumulus



00 5.00 10.00 15.00 20.00

%

## Shallow convection

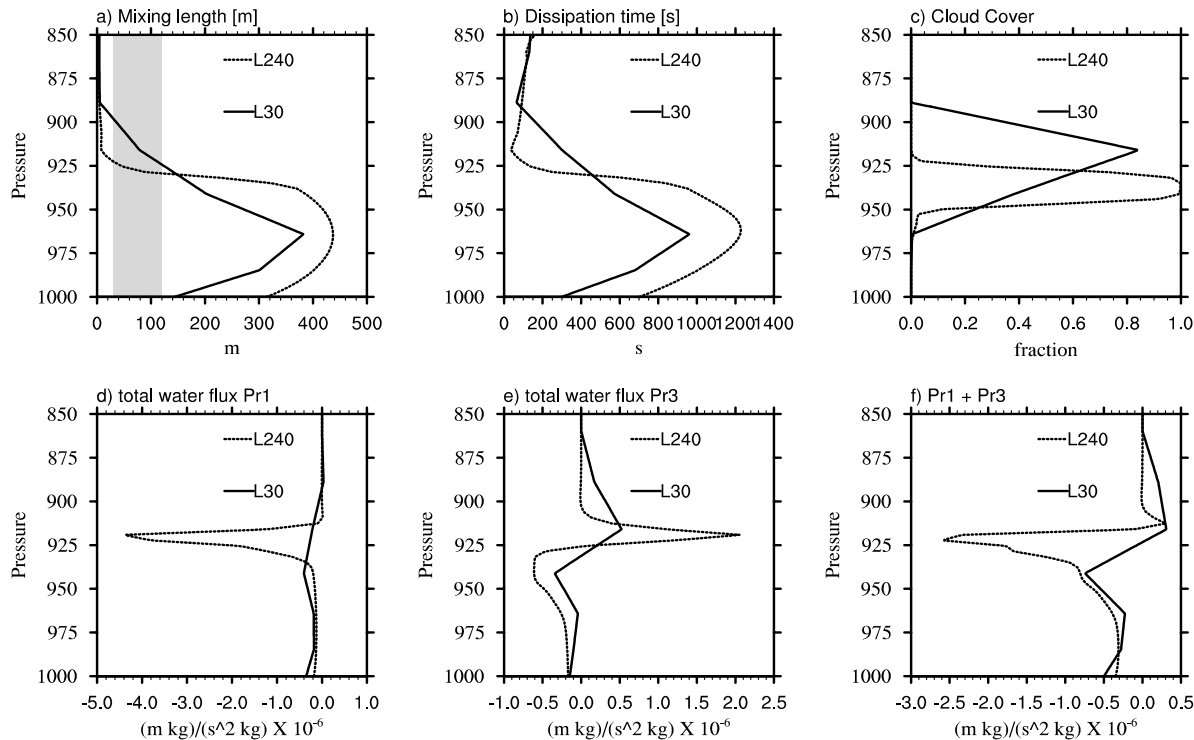


00 5.00 10.00 15.00 20.00

%

# Dependents on Vertical Resolution

## Stratocumulus



eddy mixing length (or dissipation time) plays a more important role in stratocumulus simulations and depends more strongly on the vertical resolution in stratocumulus than in shallow convections



## CLUBB provides a potential solution as a unified parameterization

- ▶ Cloud Layers Unified By Binomalls (CLUBB): High-order closure, assumed PDF approach

$$P = P(w, q_t, \theta_l)$$

$w$ , vertical velocity;  $q_t$ , total water mixing ratio;  $\theta_l$  liquid water potential temperature

- ▶ CLUBB has been implemented into NCAR CAM5 and GFDL AM3 to replace their turbulence, shallow convection, and stratiform cloud schemes and has been shown to produce credible global simulations (*Bogenschutz et al., 2013; Guo et al., 2013*)
- ▶ Under SciDAC, CLUBB is being extended to deep convection
- ▶ Multiple tunable parameters are included in CLUBB's prognostic equations



# CLUBB provides a potential solution as a unified parameterization

Advance 10 prognostic equations

$$\overline{w}, \overline{\theta}_l, \overline{q}_t, \overline{w'^2}, \overline{w'^3}, \overline{q_t'^2}, \overline{\theta_l'^2}, \overline{q_t'\theta_l'}, \overline{w'q_t'}, \overline{w'\theta_l'}$$

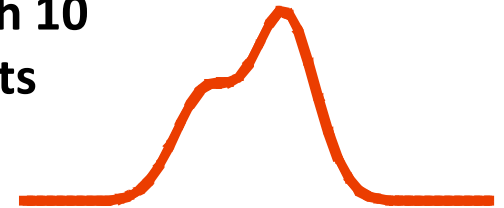
Golaz et al. (2002a)

Use PDF to close higher-order moments, buoyancy terms

$$\overline{w'q_t'^2}, \overline{w'\theta_l'^2}, \overline{w'q_t'\theta_l'}, \overline{w'^2q_t'}, \overline{w'^2\theta_l'}, \overline{w'^4},$$
$$\overline{q_t'\theta_v'}, \overline{\theta_l'\theta_v'}, \overline{w'\theta_v'}, \overline{w'^2\theta_v'}$$

$\Delta t$

Select PDF from given family to match 10 moments



Diagnose cloud fraction, liquid water from PDF



