



# Cumulus Convection, Climate Sensitivity, and Heightened Imperatives for Physically Robust Cumulus Parameterizations in Climate Models

Leo Donner  
GFDL/NOAA, Princeton University

NCAR, 11 February 2014





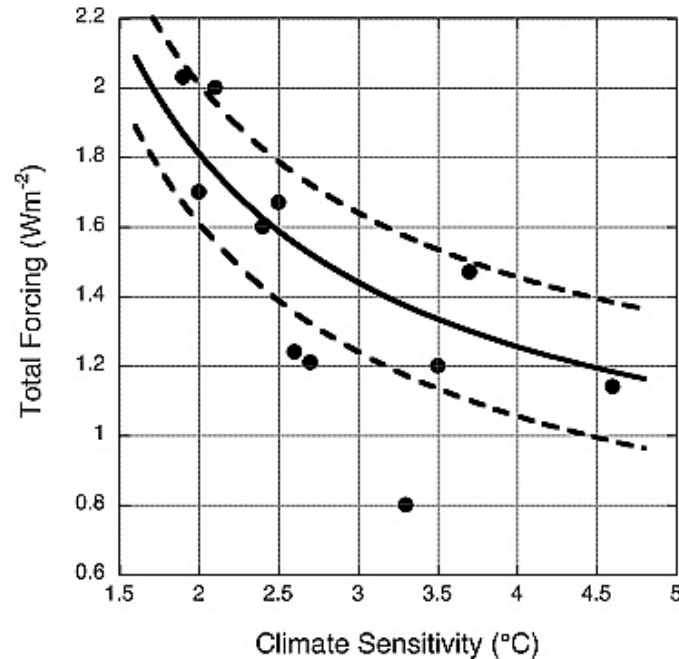
# Key Points

- In climate models with aerosol-cloud interactions, historical simulations depend strongly on model parameter choices, resolution, and emission specifications.
- Parameterized cumulus convection is a key factor determining model climate sensitivity.
- Knowledge of controls on forcing and sensitivity reduces utility of historical simulations as independent test of model realism.
- Increased physical robustness for cumulus and cloud parameterizations essential for reducing uncertainty and increasing model credibility.



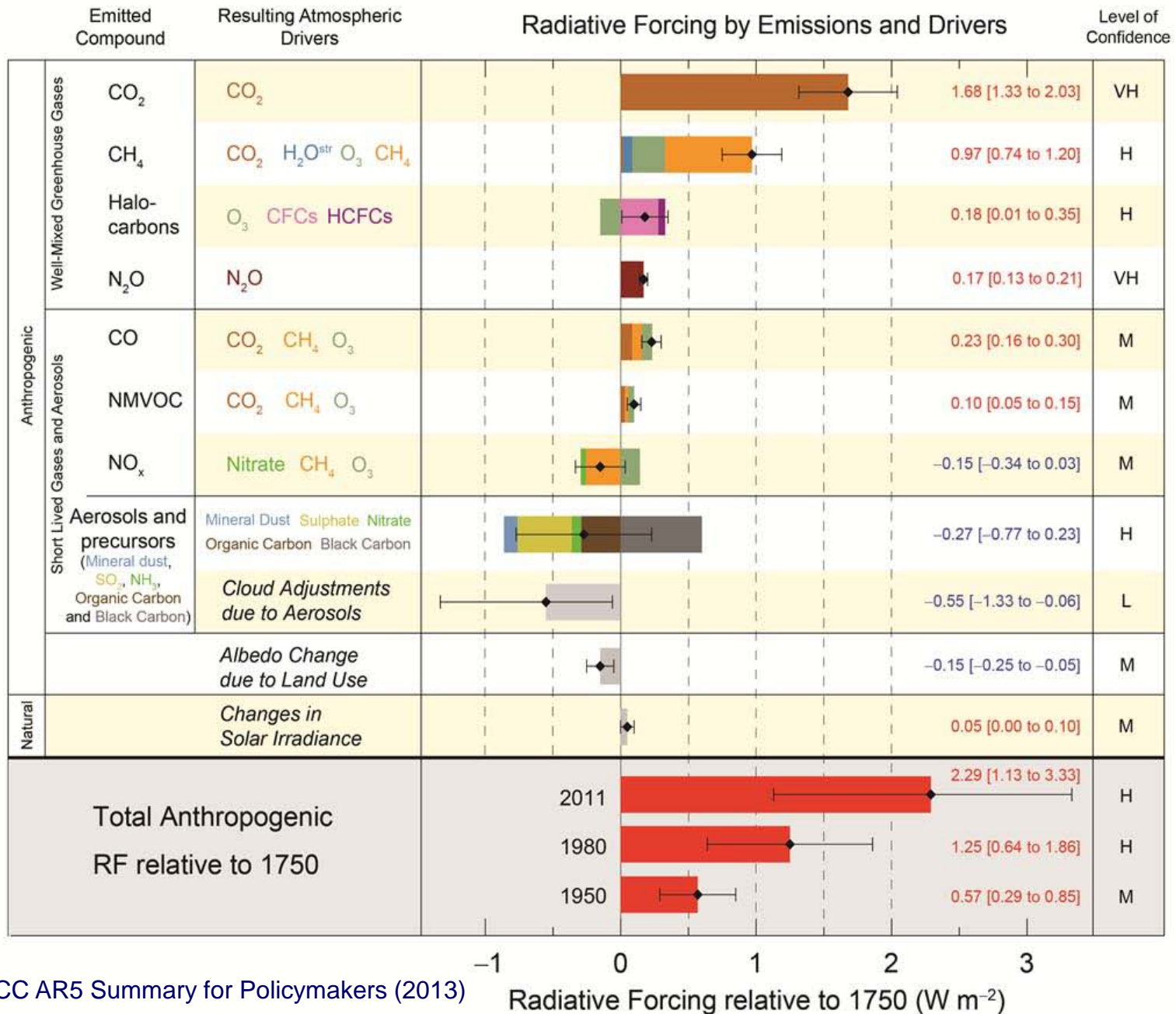
In models with aerosol-cloud interactions, historical simulations depend strongly on parameter choices, model resolution, and emission specifications.

## Twentieth century climate model response and climate sensitivity



Most forcing uncertainty related to threefold range in aerosol forcing. For CMIP5 models, Forster *et al.* (2013, *J. Geophys. Res.*) find no significant relationship between “adjusted forcing” and equilibrium climate sensitivity.

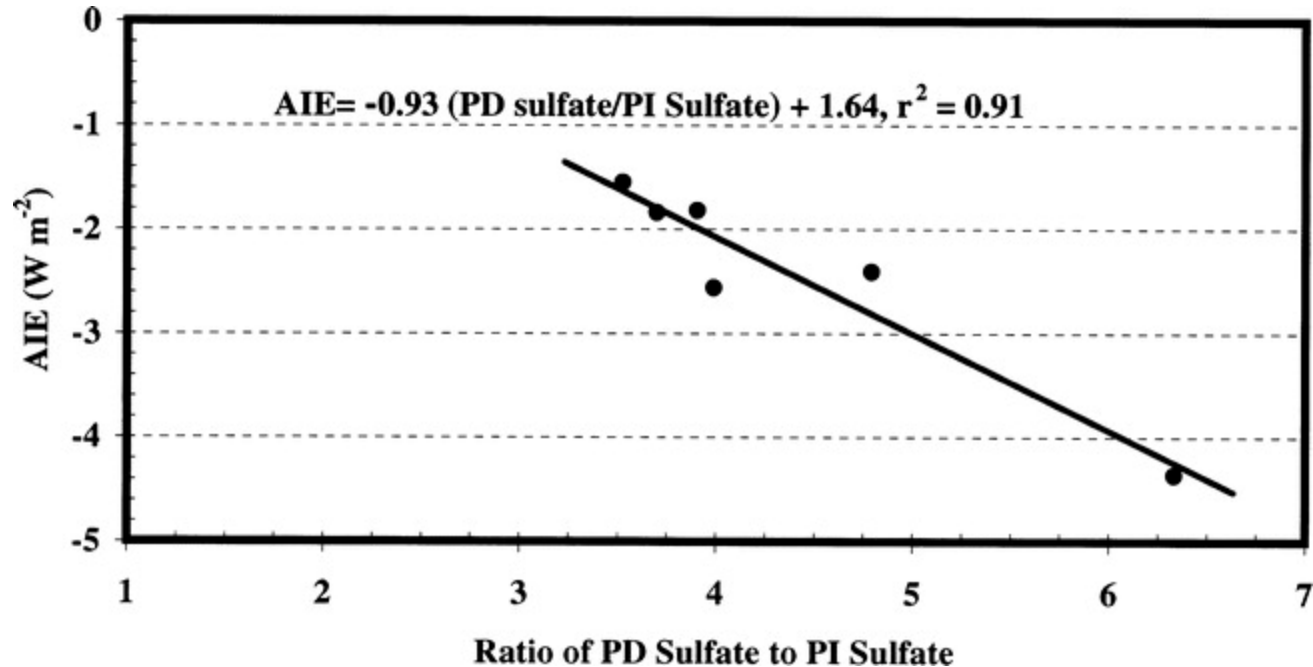
# IPCC AR5 estimates total aerosol forcing to be $-0.9$ $[-1.9$ to $-0.1]$ $W m^{-2}$ .



from IPCC AR5 Summary for Policymakers (2013)

Radiative Forcing relative to 1750 ( $W m^{-2}$ )

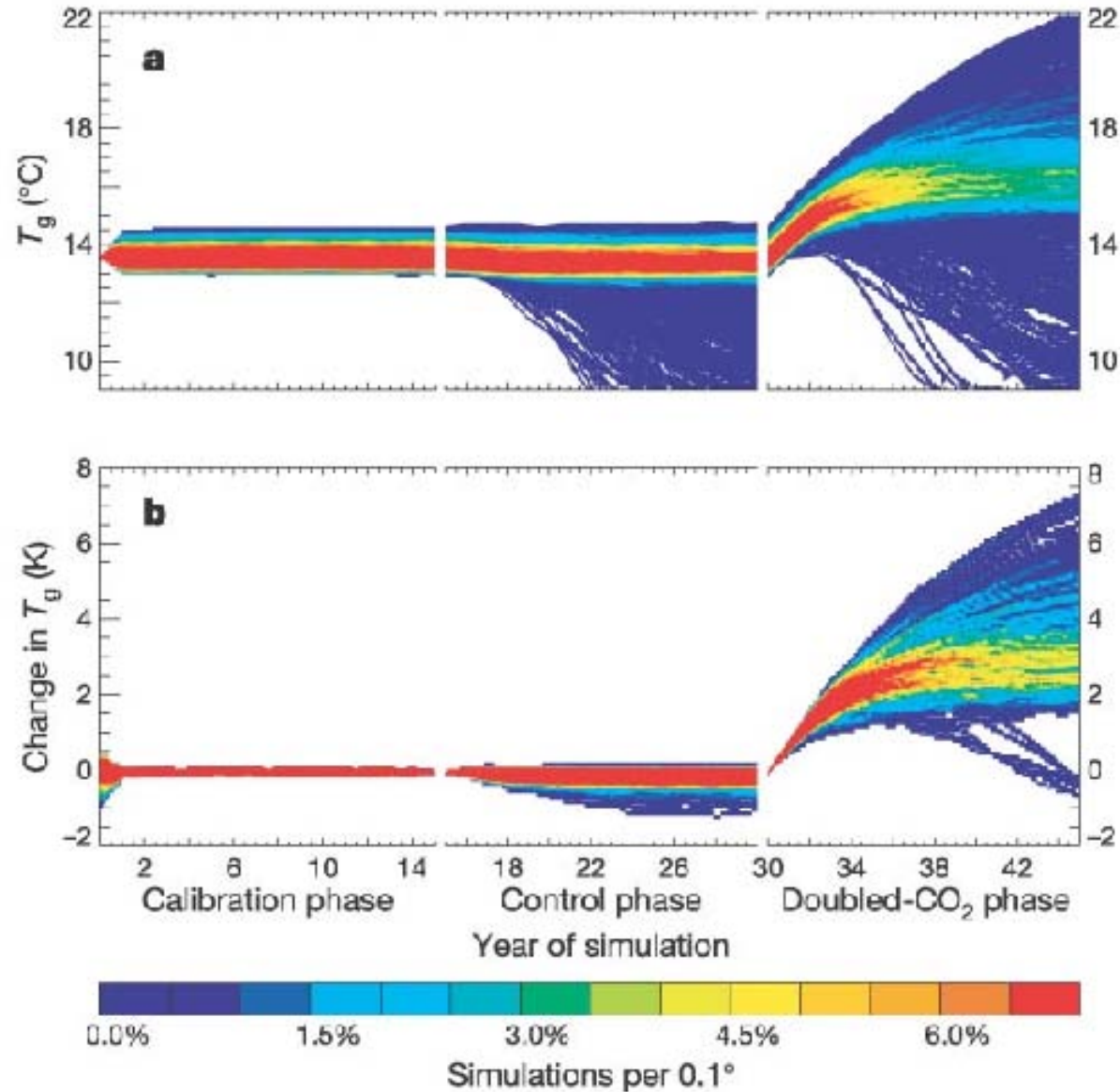
Emissions are major control on historical simulation through aerosol-cloud interactions.



from Menon *et al.* (2002, *J. Atmos. Sci.*)

Strong dependence of radiative forcing by anthropogenic aerosols also discussed by Carslaw *et al.* (2013, *Nature*).

## Parameteric Control on Simulations without Cloud-Aerosol Interactions

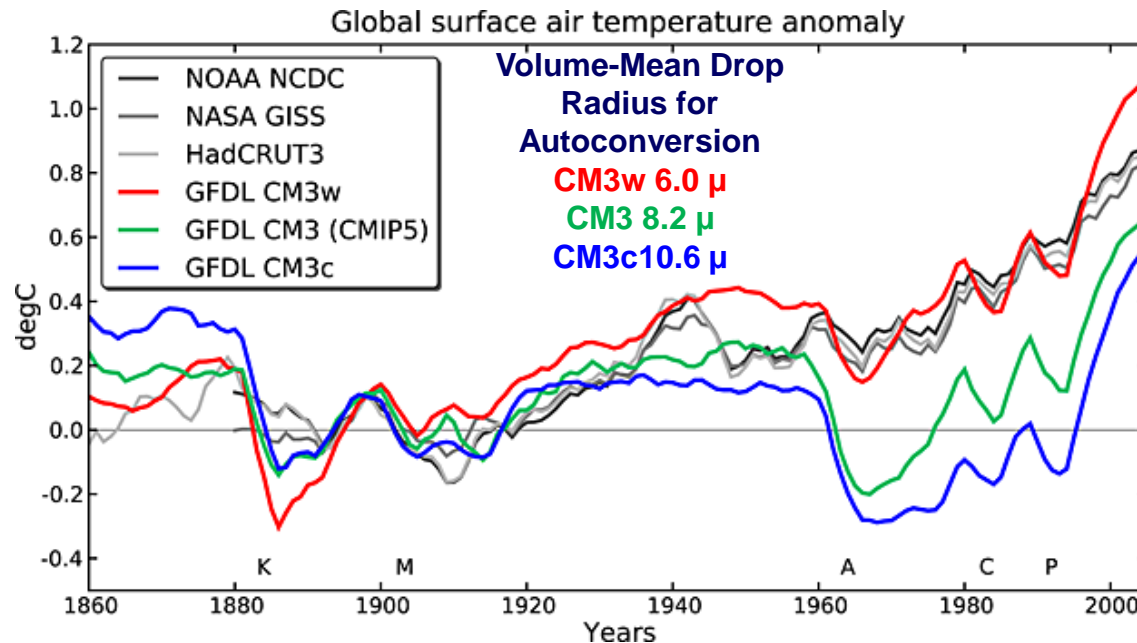


From Stainforth *et al.* (2005, *Nature*)

# Parametric Control on Simulations with Aerosol-Cloud Interactions

## Cloud tuning in a coupled climate model: Impact on 20th century warming

Aerosol Effective Forcing ranges from  $-2.3 \text{ W m}^{-2}$  for CM3c to  $-1.0 \text{ W m}^{-2}$  for CM3w.  
Cess sensitivity ranges only from 0.65 to 0.67 K/(W m<sup>-2</sup>).



Models tuned for radiation balance using cloud erosion scales and width of SGS vertical velocity PDF.  
Strong impact of autoconversion formulation also found by Rotstayn (2000, *J. Geophys. Res.*)

Geophysical Research Letters Golaz *et al.* (2013)

Volume 40, Issue 10, pages 2246-2251, 27 MAR 2013 DOI: 10.1002/grl.50232

<http://onlinelibrary.wiley.com/doi/10.1002/grl.50232/full#grl50232-fig-0003>

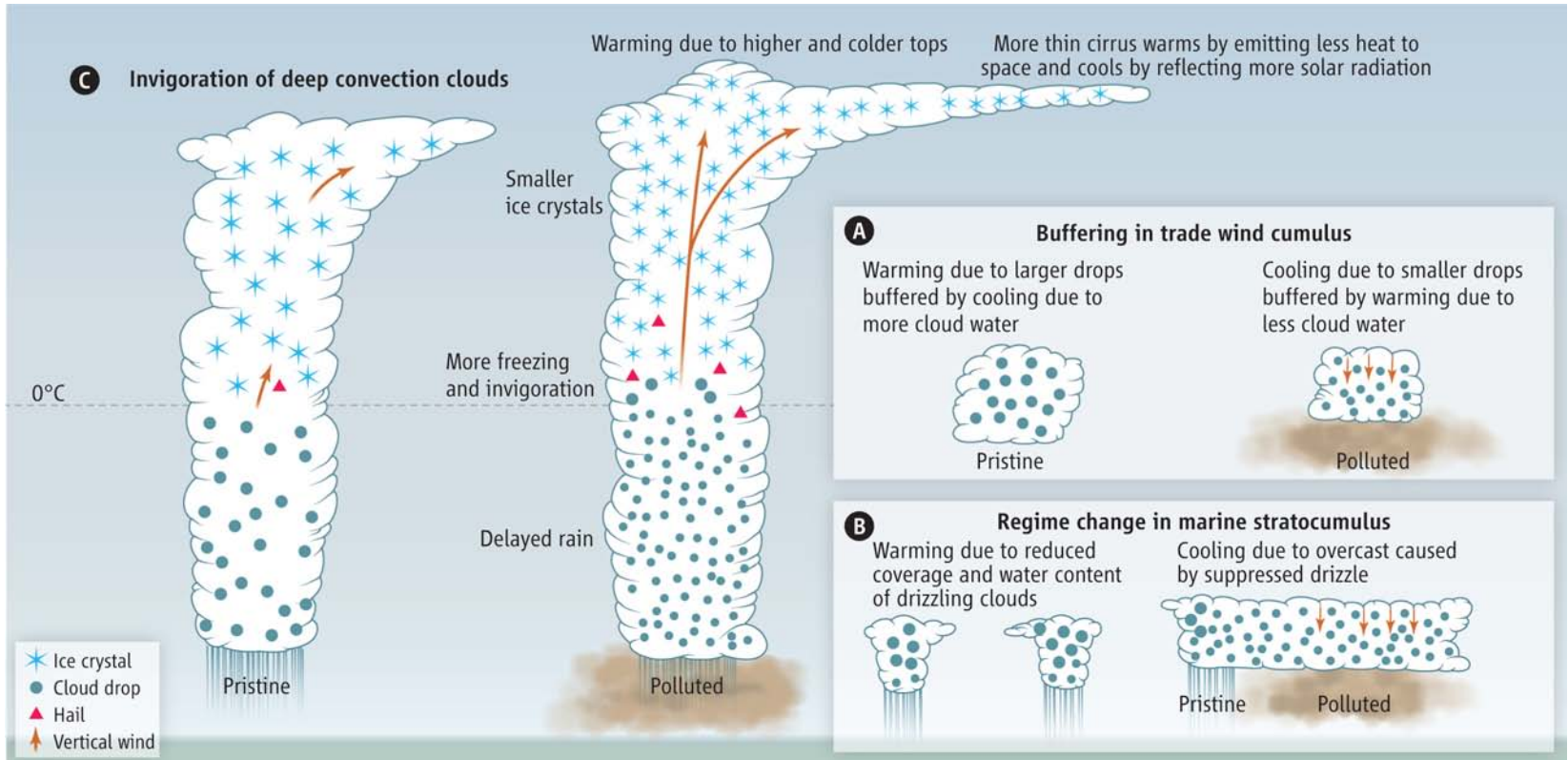




# Credible Parameter Choices: VMDR for Precipitation

- Golaz et al. (2013, *GRL*) show choice of VMDR impacts 20<sup>th</sup> century simulation: 6.0 $\mu\text{m}$  yields fairly realistic warming; 10.6 $\mu\text{m}$  no warming until after 1990
- CM3 used 8.2 $\mu\text{m}$
- Field experiments show VMDR for precipitation initiation 10-12 $\mu\text{m}$ : Gerber (1996, *JAS*), Boers et al. (1998, *QJRMS*), Pawlowska and Brengueir (2003, *JGR*), and Turner (2012, *GMD*)
- CloudSat radiances show VMDR for precipitation 10-15 $\mu\text{m}$  (Suzuki et al., 2013, *GRL*)

# How aerosols affect the radiative properties of clouds. By nucleating a larger number of smaller cloud drops, aerosols affect cloud radiative forcing in various ways.

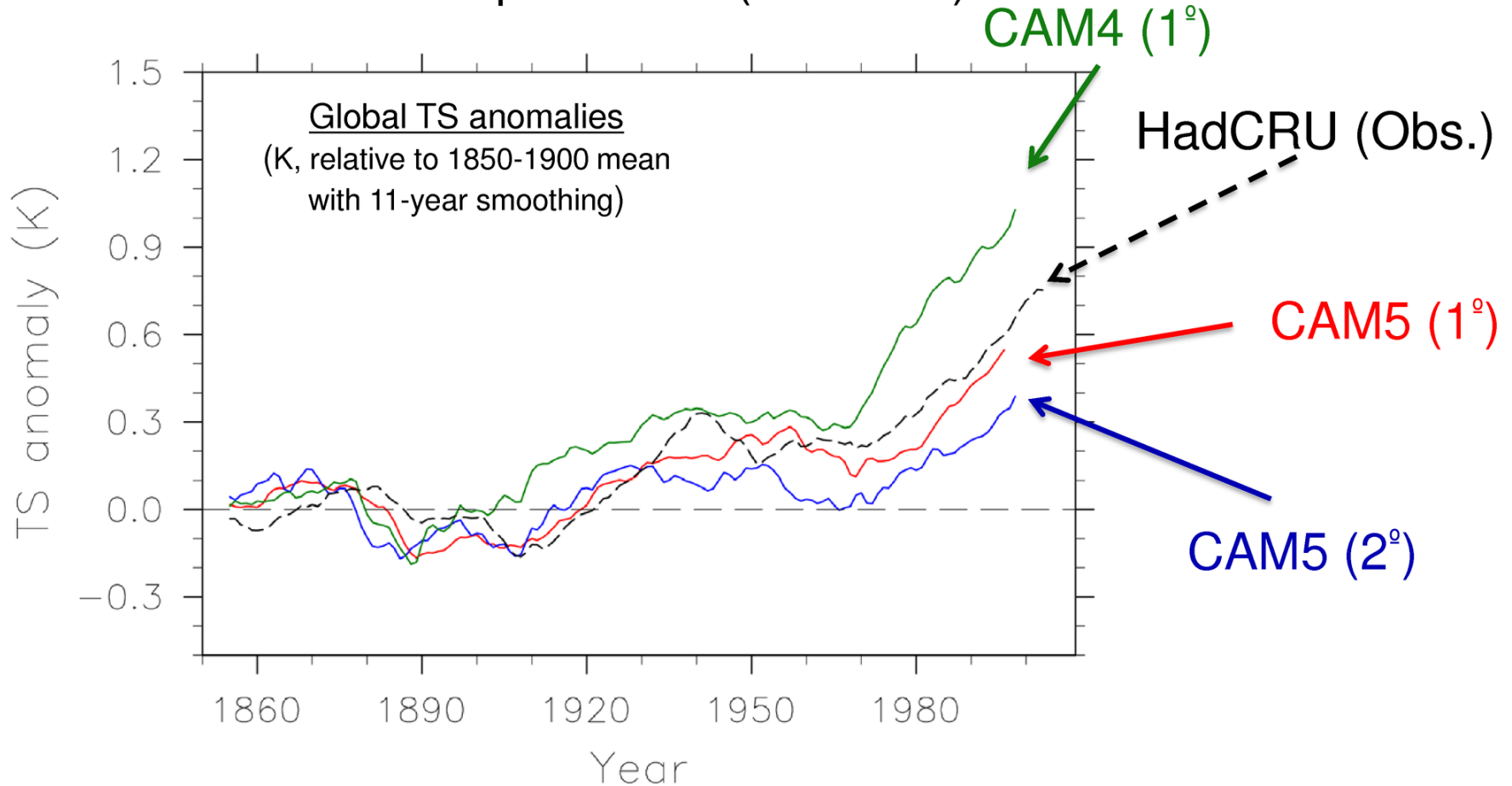


D Rosenfeld et al. Science 2014;343:379-380



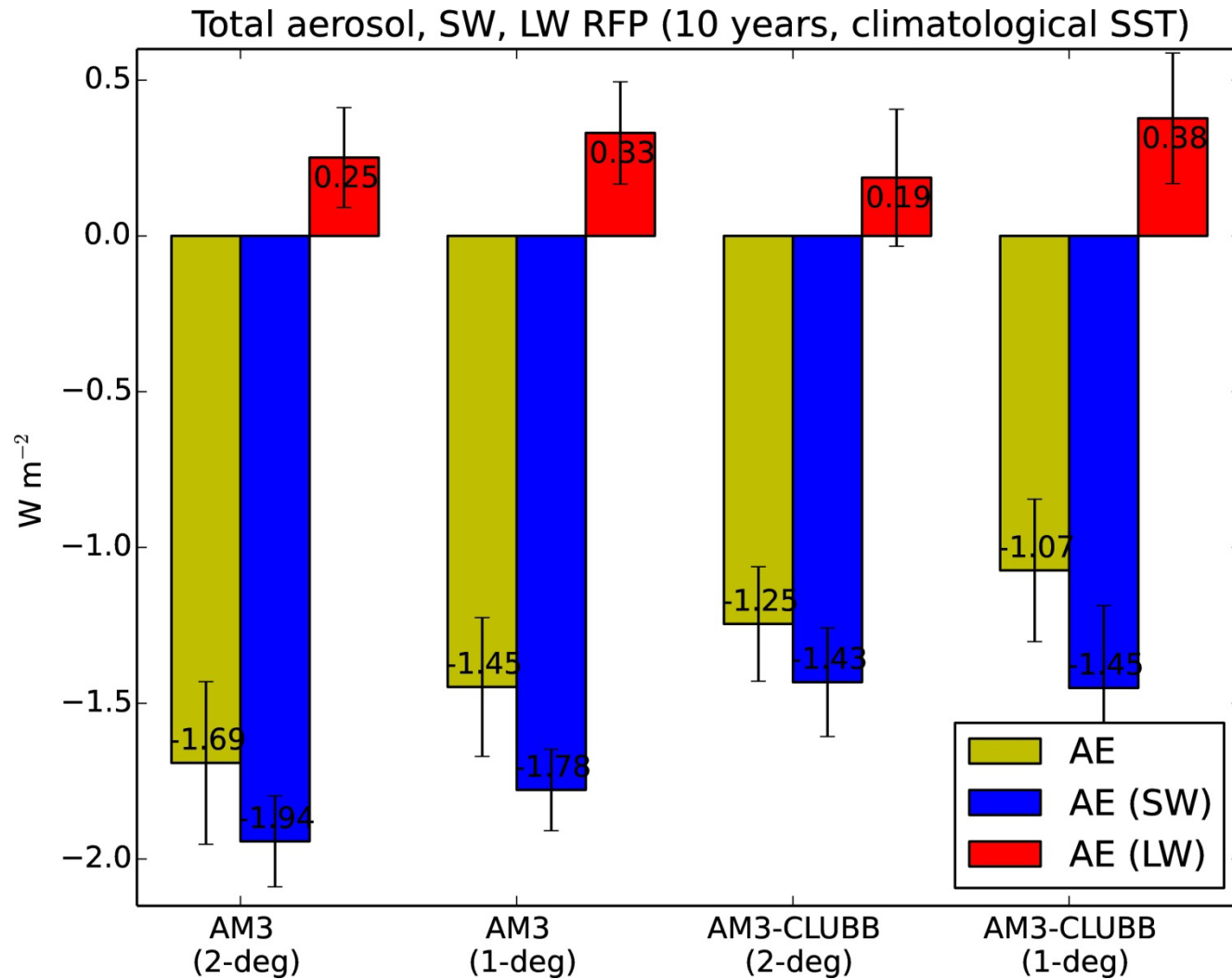
# Dependence of Historical Simulations on Resolution

## 20<sup>th</sup> Century Coupled Experiments (1° ocean)



Thanks: Cecile Hannay

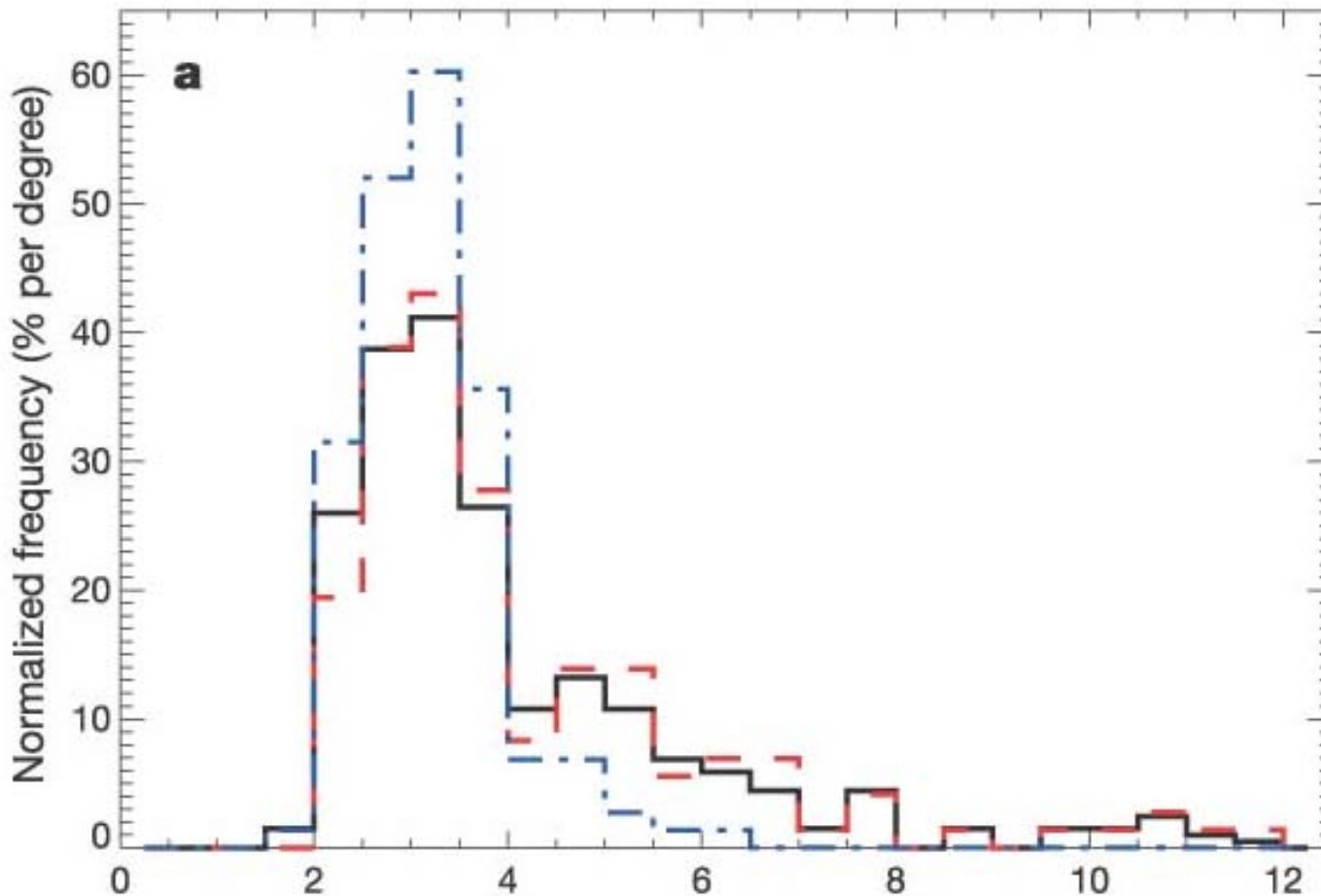
# Dependence of Aerosol Forcing on Resolution



from Huan Guo, GFDL



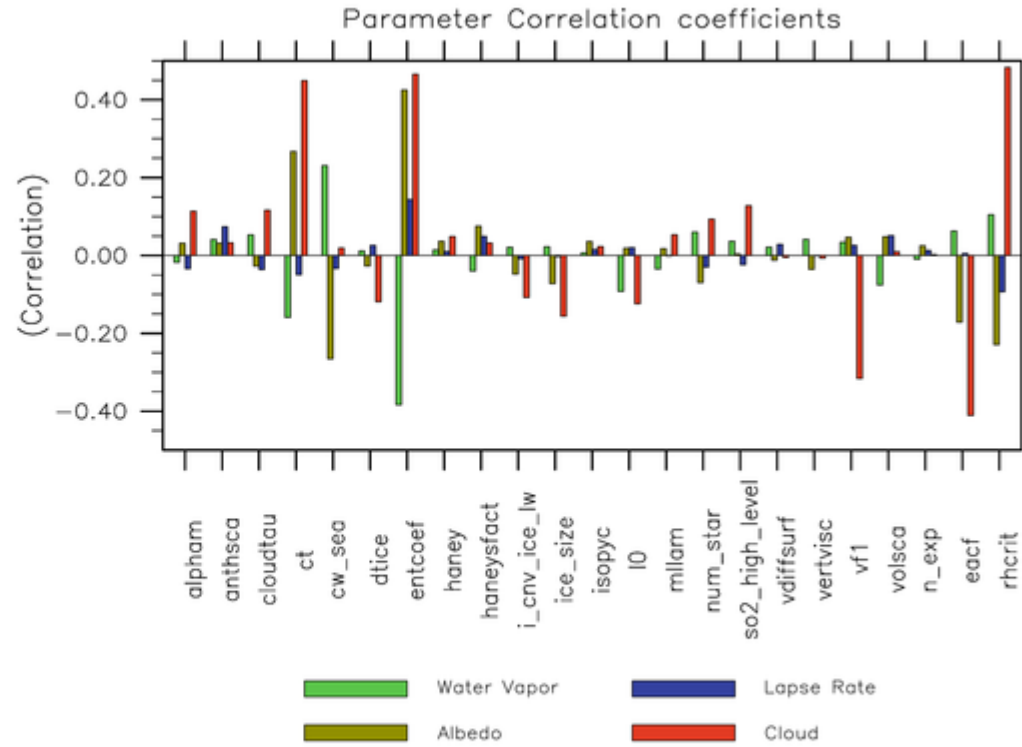
Parameterized cumulus convection is a key factor determining model climate sensitivity.



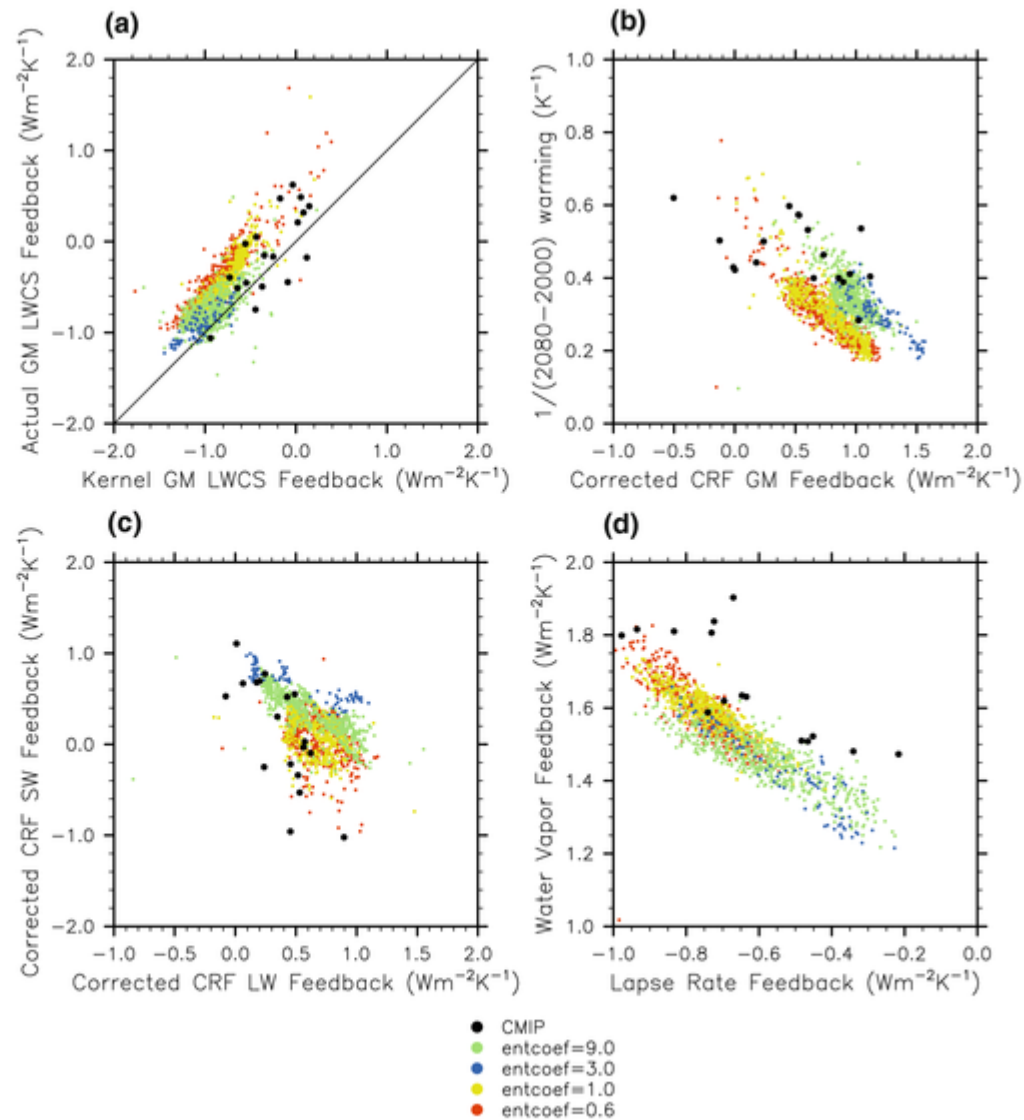
**Global-mean temperature increase due to CO<sub>2</sub> doubling**

from Stainforth *et al.* (2005, *Nature*) Blue: No Entrainment Variation  
 Red: No Autoconversion Variation

**Fig. 5** Correlation coefficients between perturbed parameter values in *climateprediction.net* and various kernel-derived global mean feedbacks



**Fig. 3** Scatter plots showing the relationship between various global mean feedback quantities in both *climateprediction.net* and the CMIP-3 ensemble. *Black points* represent members of the CMIP-3 ensemble, while *colored points* are members of the *climateprediction.net* ensemble. Coloring is indicative of the value of the 'Entrainment Coefficient' parameter in the *climateprediction.net* parameter sampling scheme. 'GM' refers to global mean values, while 'CRF' refers to cloud radiative forcing



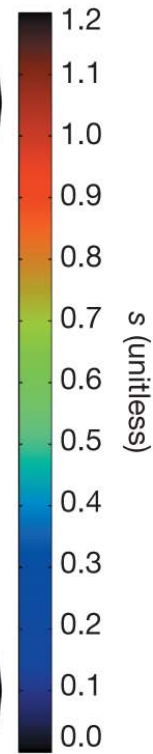
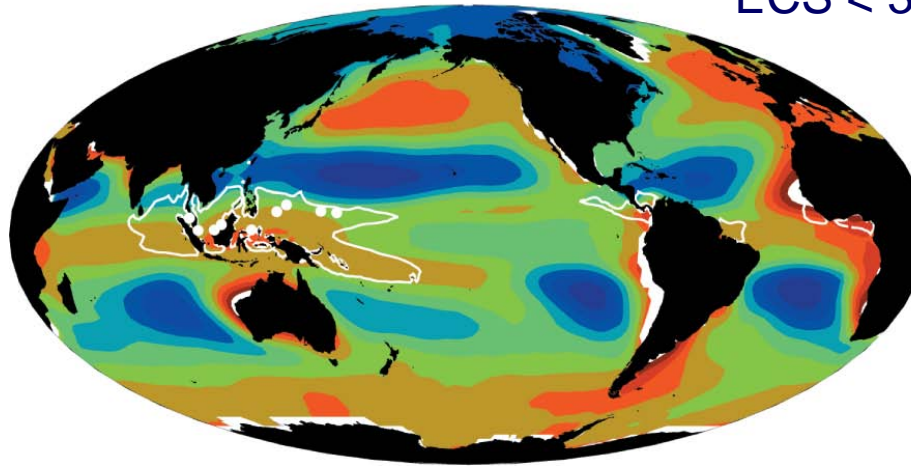


# Multi-model mean local stratification parameter

**a**

Low sensitivity

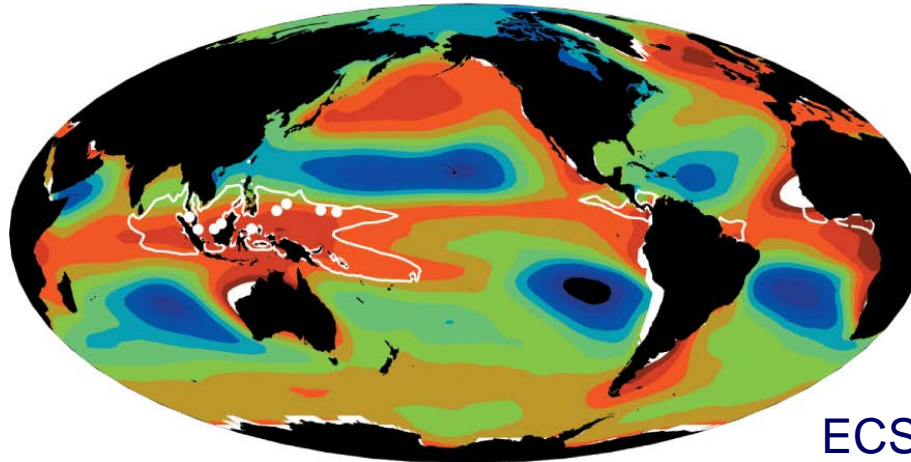
ECS < 3.0° C



**b**

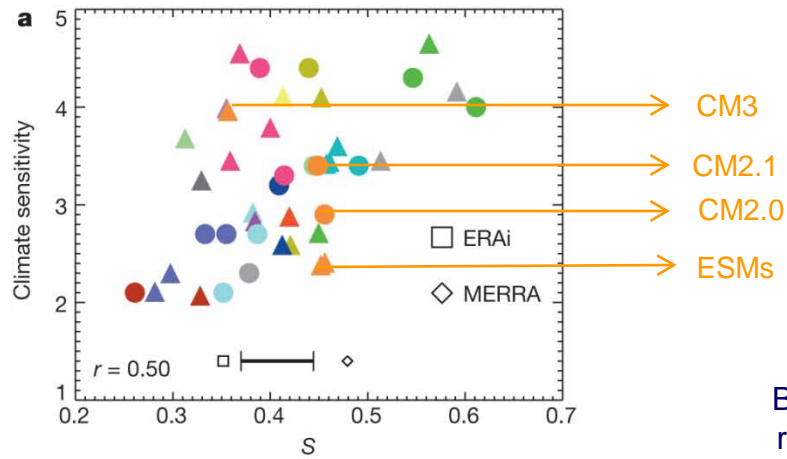
High sensitivity

ECS > 3.5° C

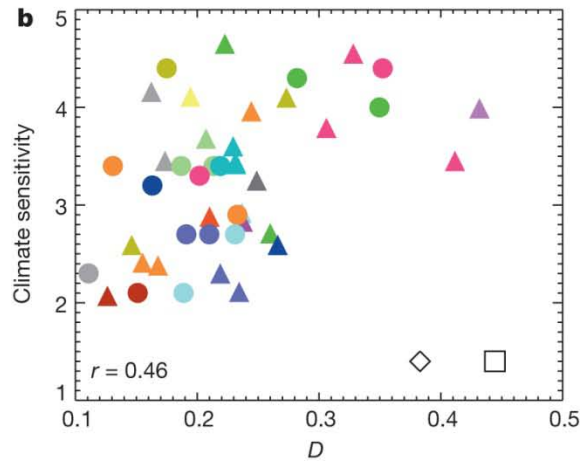


Global stratification parameter  $S$  defined within white contours. Radiosondes at white squares.

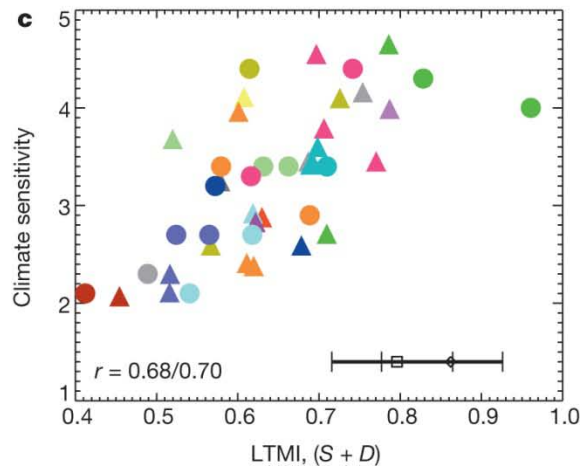
# Relation of lower-tropospheric mixing indices to ECS



Bar indicates  $2\sigma$  range of radiosonde observations

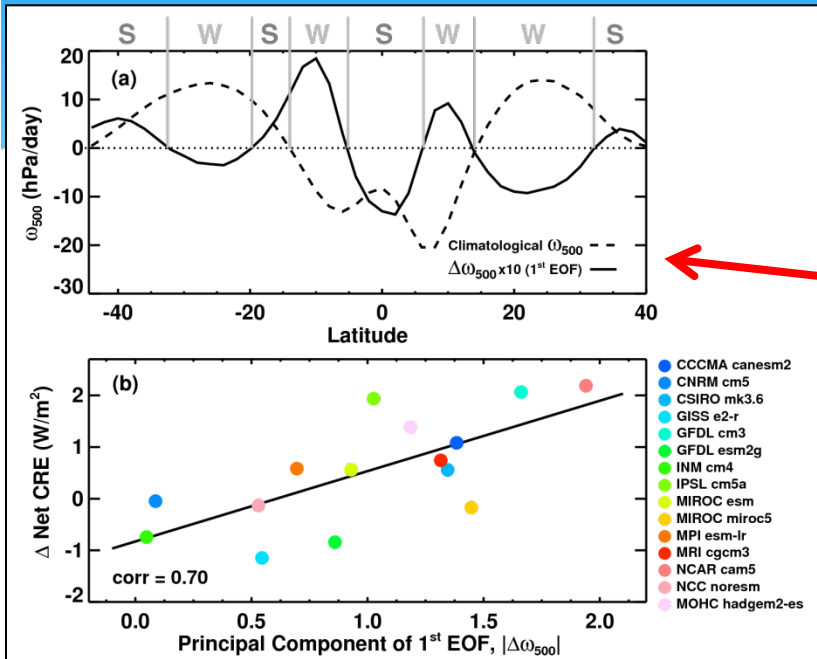


LTMi explains about 50% of ECS variance



from Sherwood *et al.* (2014, *Nature*)

# Quantifying the Model Differences in Circulation and Relation with Cloud Radiative Effect Changes

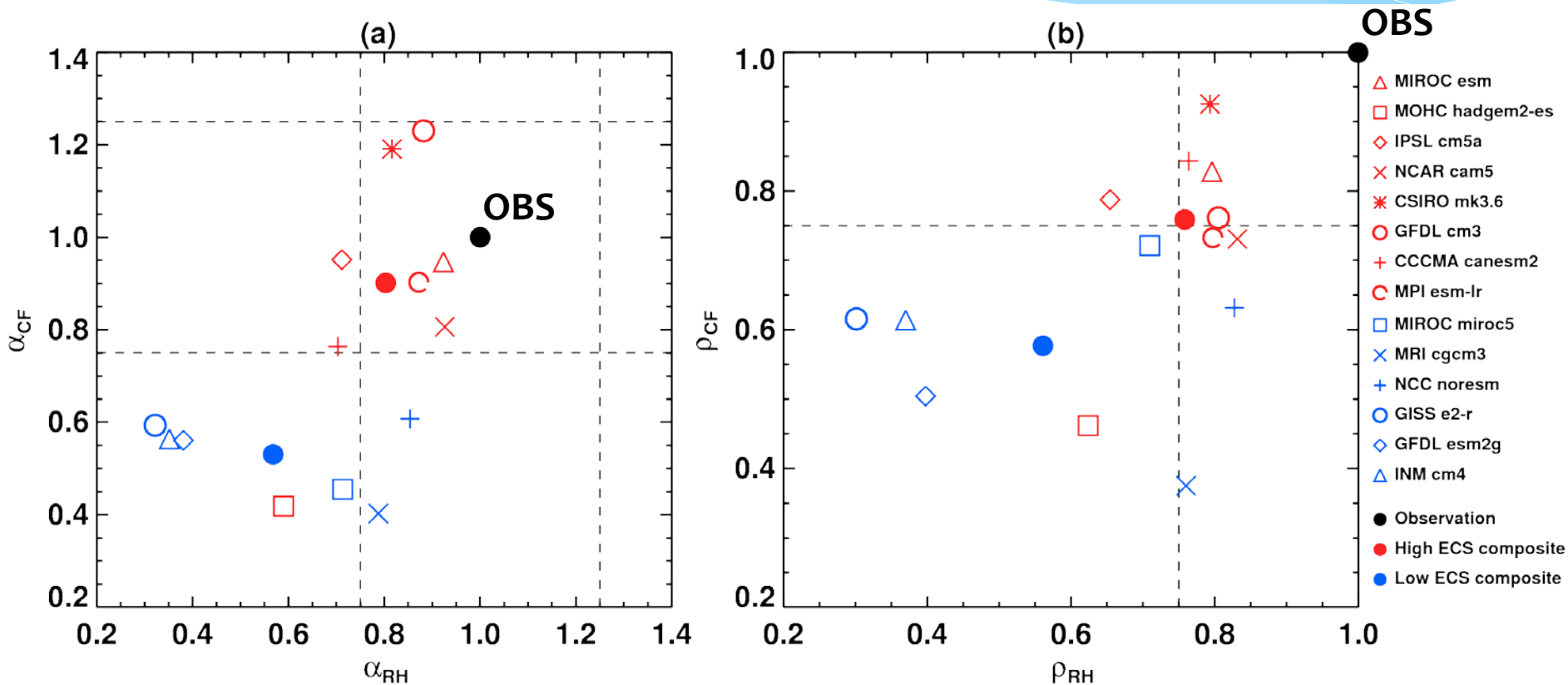


The explained variance by the 1<sup>st</sup> EOF is **57%**

- Area-weighted CRE changes for the weakening and strengthening segments account for **54%** and **46%** of the total CRE change within the HC.
- The amplitudes of the 1<sup>st</sup> EOF mode differ **by two orders of magnitude** in models.
- Model differences in the HC change explains **~50%** of model spread in CRE change.

cf., Su *et al.* (2014, in review)

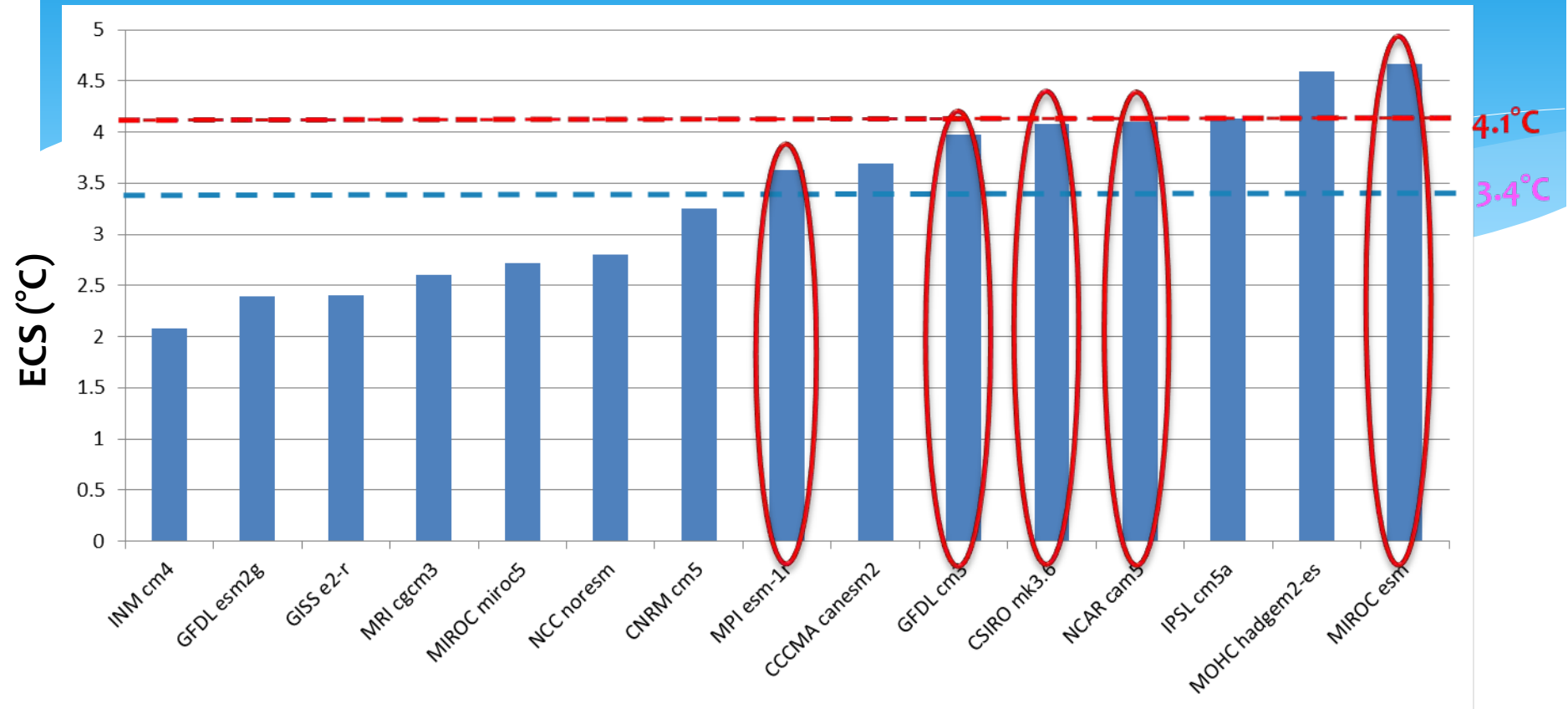
# Quantitative Model Performance Metrics to Represent the Hadley Circulation Structure



cf., Su *et al.* (2014, in review)

# Satellite-based “Best Estimates” of ECS

## “better” models



The best estimates of ECS range from 3.6 to 4.7°C, with a mean of **4.1°C** and a standard deviation of **0.4°C**, compared to the multi-model-mean of **3.4°C** and a standard deviation of **0.9°C**.



# Implications of “Convective Controls” on Climate Sensitivity

- If 20<sup>th</sup>-century trends optimized, physical robustness of model components determining trend essential.
- Stainforth et al. (2005, *Nature*) and Sanderson et al. (2010, *Clim. Dyn.*), and Zhao (2013, *JCL*) have found entrainment coefficient in deep convection to be major control on climate sensitivity => Especially important cumulus parameterization be validated outside climate model.
- GFDL AM3 cumulus parameterizations extensively tested outside AM3: Deep vertical velocities and vertical structures for heating and drying in Donner (1993, *JAS*), closures in Donner and Phillips (2003, *JGR*), forecast mode in Lin et al. (2012, *JGR*). Shallow using BOMEX observations and LES by Bretherton et al. (2004, *MWR*)
- Important to evaluate physical robustness of cumulus parameterizations outside of GCM environment



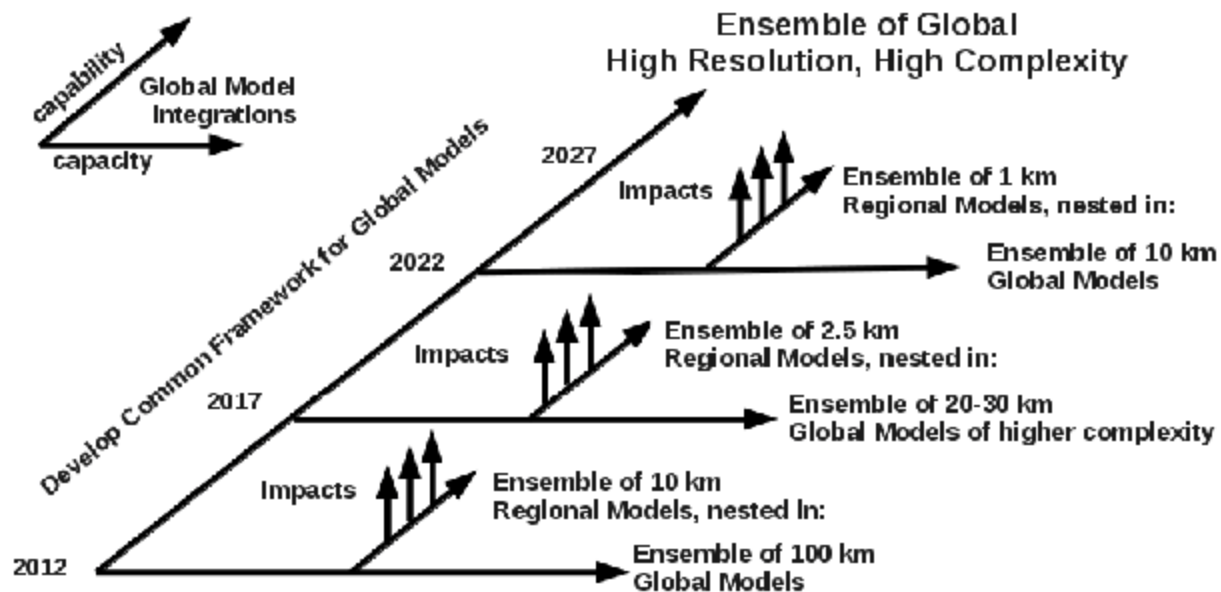
# Recent Developments and Opportunities in Cumulus Parameterization (Holloway *et al.*, *Atmos. Sci. Lett.*, 2014, submitted)



# To What Extent Can Improved Resolution Supplant Cumulus Parameterization over the Next 5-10 Years in Climate Models?



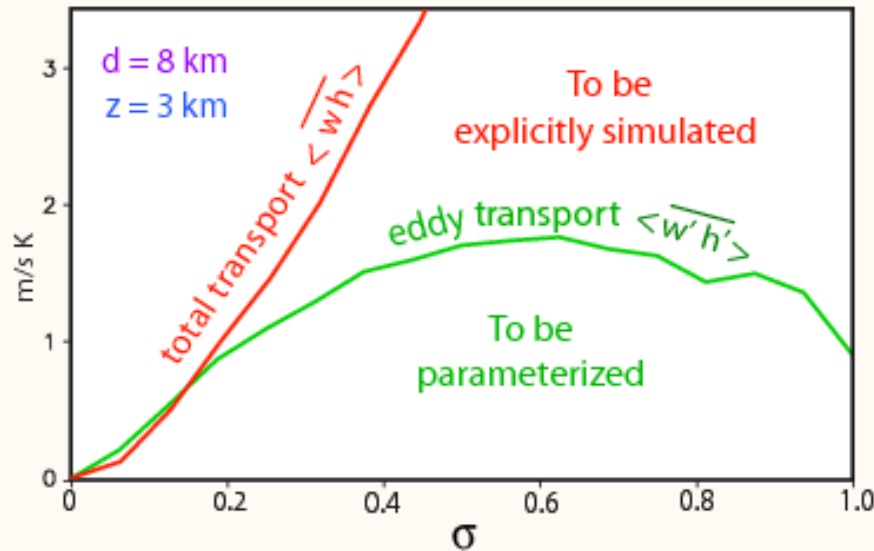
A grand Challenge :  
towards 1 km scale  
global climate model



from *Infrastructure Strategy for the European Earth System Modelling Community 2012-2022*

Horizontal resolutions in GCMs for climate simulation are moving toward deep convective scales (e.g., Noda *et al.*, 2012, *J. Clim.*, 7 km). At what resolutions is physically sound NOT to parameterize deep convection?

## DIAGNOSED VERTICAL TRANSPORT OF MOIST STATIC ENERGY



$h$  : Deviation of moist static energy from a reference state

$\overline{(\ )}$  : Average over all CRM grid points in the sub-domain

$\langle \ \rangle$  : Ensemble average over cloud-containing ( $\sigma > 0$ ) sub-domains during the analysis period (12 hr)

$(\ )' : (\ ) - \overline{(\ )}$

**Fractional area covered by updrafts**

– a measure of cloud population in the grid cell –

Parameterization must not overdo its job so that explicitly-simulated transport is not over-stabilized.

from Akio Arakawa, UCLA



# Convective Organization and Cumulus Parameterizations on Single Grid Columns: Mesoscale Structures, Vertical Velocities, and Entrainment

# Observational View of Convective Organization (Leary and Houze, 1980)

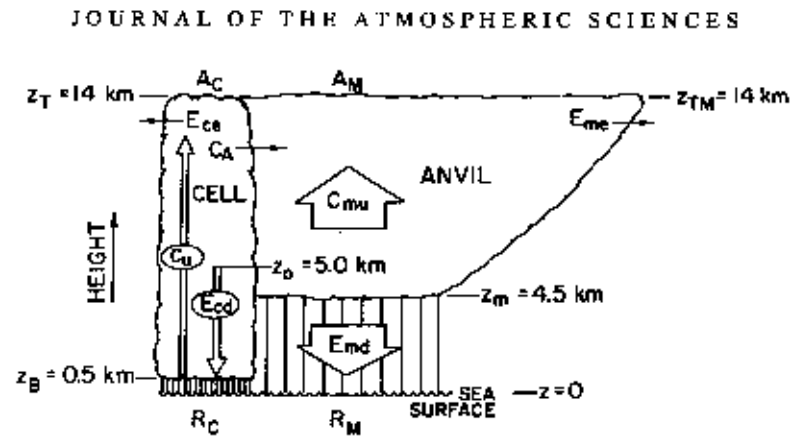
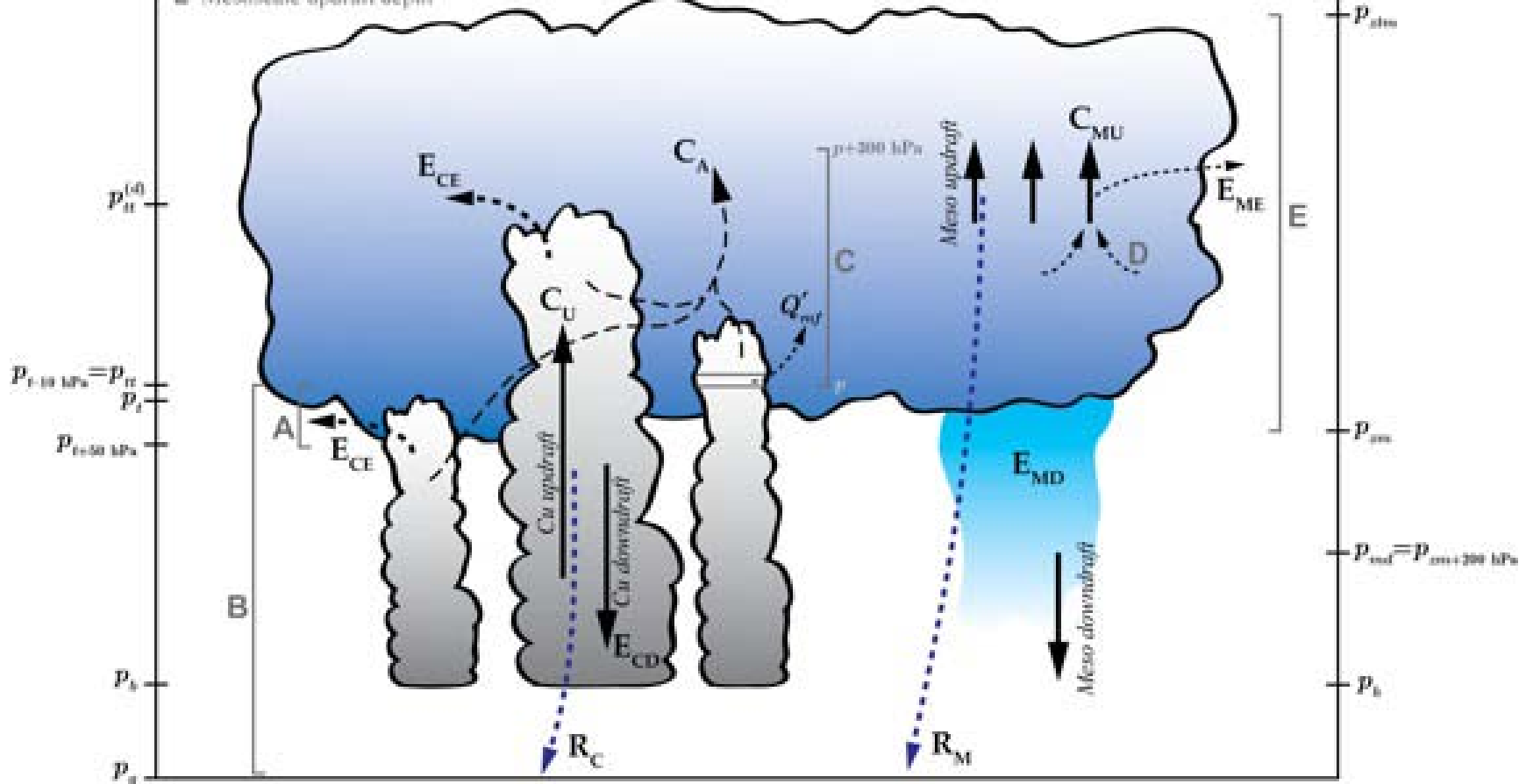


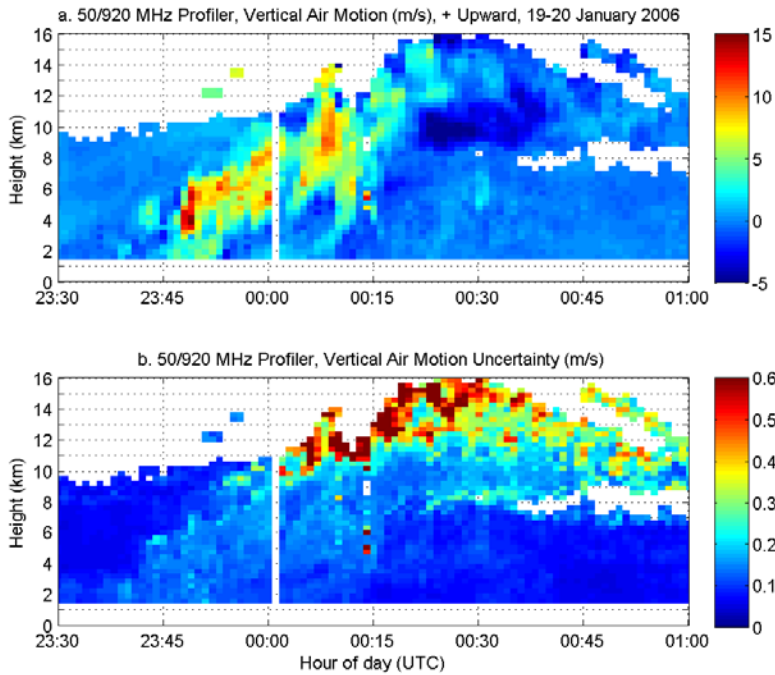
FIG. 2. Schematic vertical cross section of the idealized mesoscale system showing sources and sinks of condensed water. Symbols are defined in Section 2 of the text.

## Donner Deep Convection Scheme

- A Uniform distribution of  $E_{CE}$  evaporation from cumulus updrafts
- B Uniform distribution of  $E_{CD}$  evaporation in cumulus downdrafts
- C Uniform distribution of water vapor, provided by cumulus updrafts, available to mesoscale clouds
- D Water vapor in cumulus environment advected by mesoscale updrafts
- E Mesoscale updraft depth



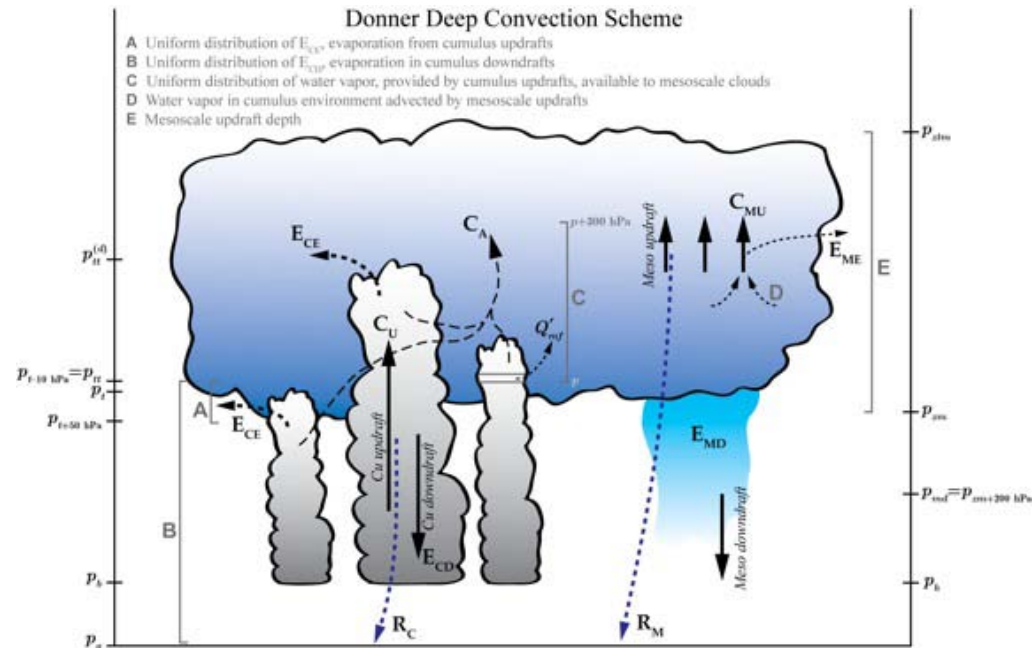
from Benedict *et al.* (2013, *J. Climate*)



Convective vertical velocities from radar show general structural agreement with AM3 deep convection parameterization (multiple deep updrafts with large vertical velocities, mesoscale updraft with lower vertical velocities, mesoscale downdraft).

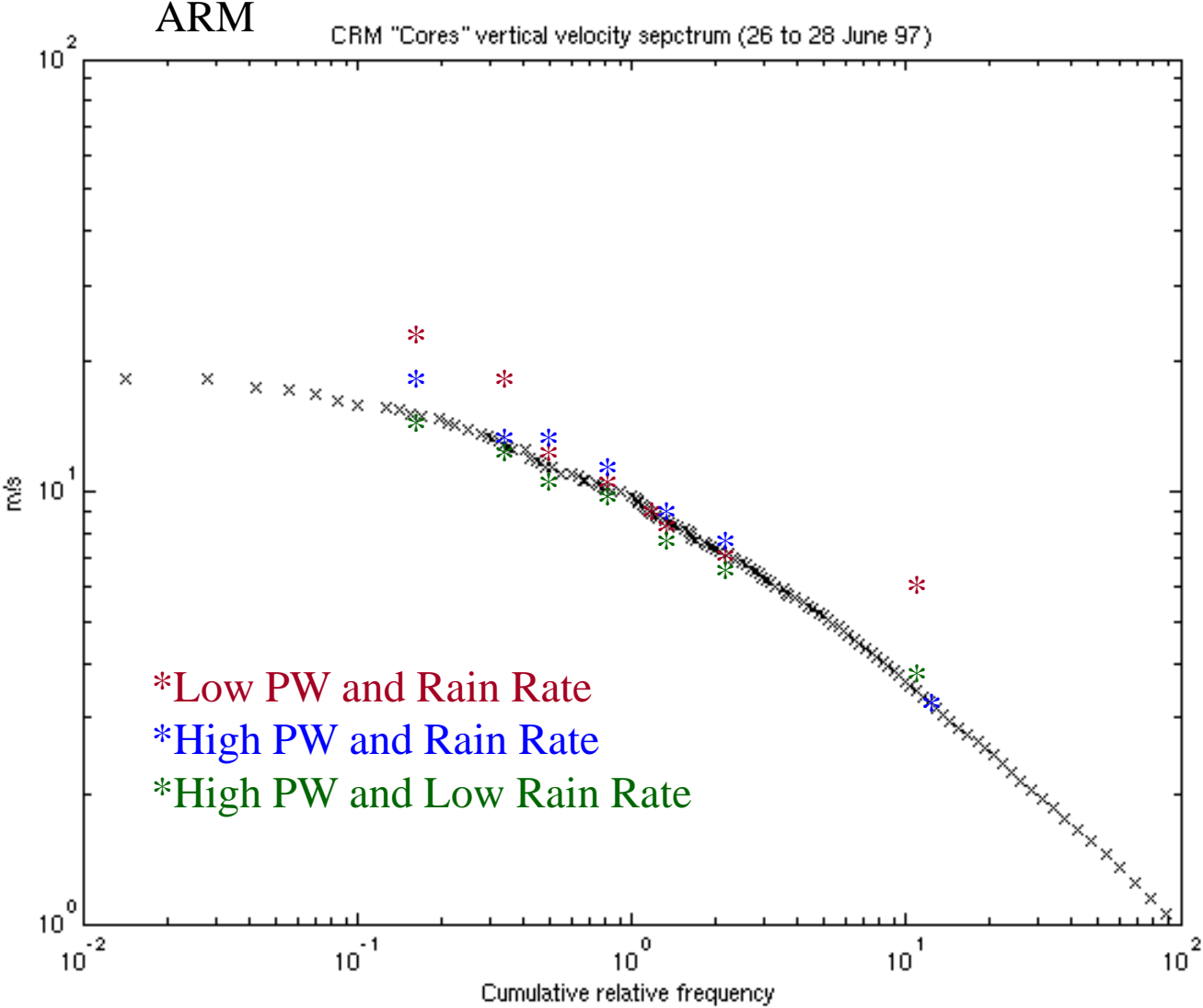
from Collis *et al.* (2013, *J. Appl. Meteor. Climatol.*)

Quantitative assessment of parameterized vertical velocity PDFs using radar observations is an urgent priority.



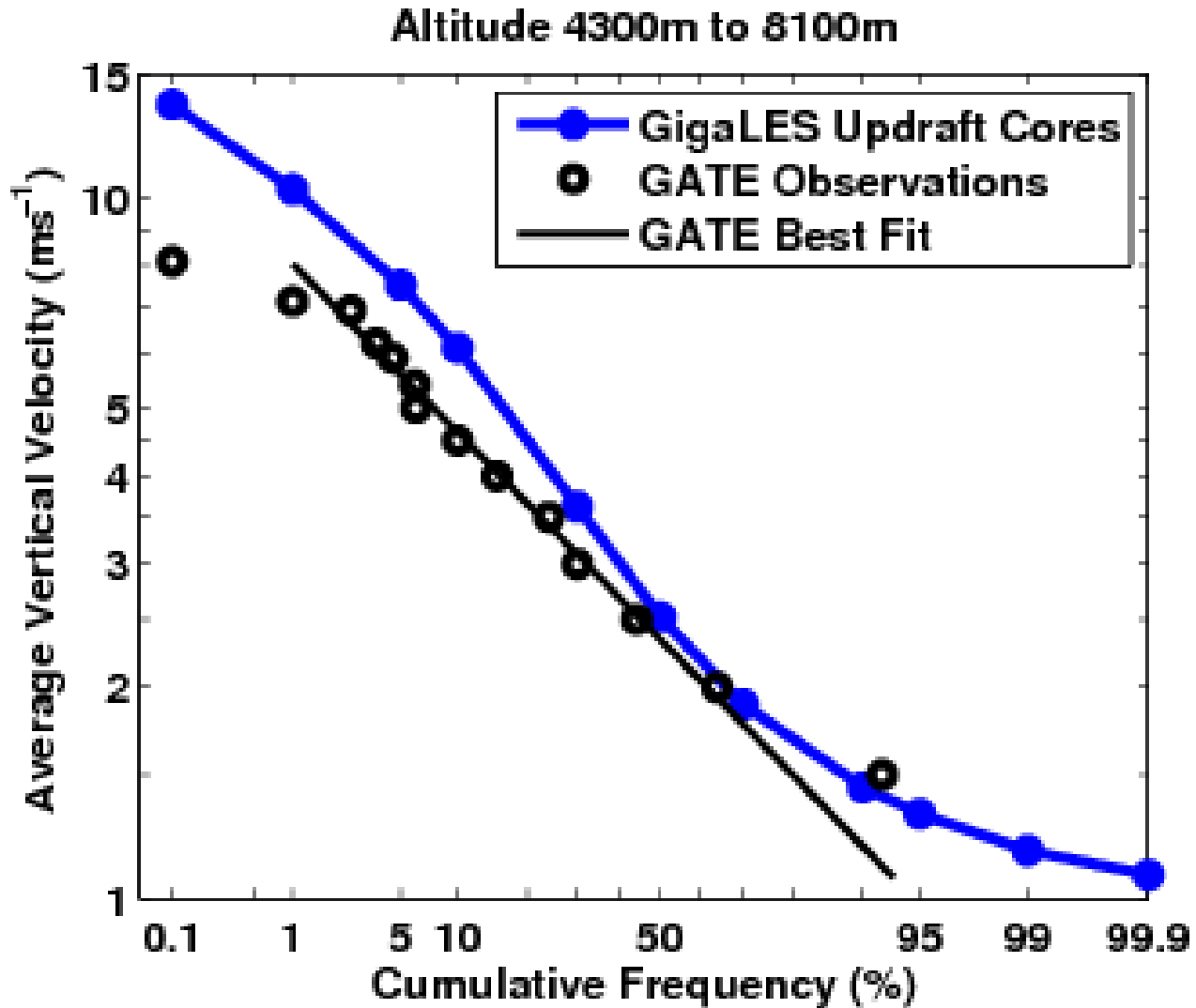
from Benedict *et al.* (2013, *J. Climate*)

# CRM results provide independent evaluation of entrainment PDF



CRM results from Cris Batstone, CDC; \*,\*,\* from Donner (1993, *JAS*) entrainment PDF

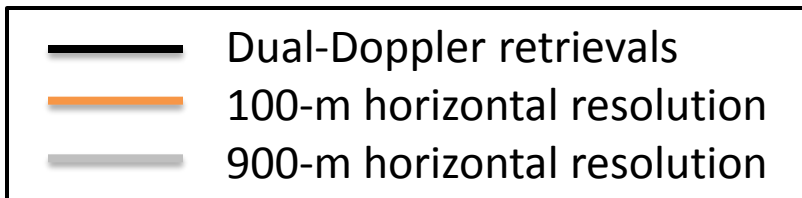
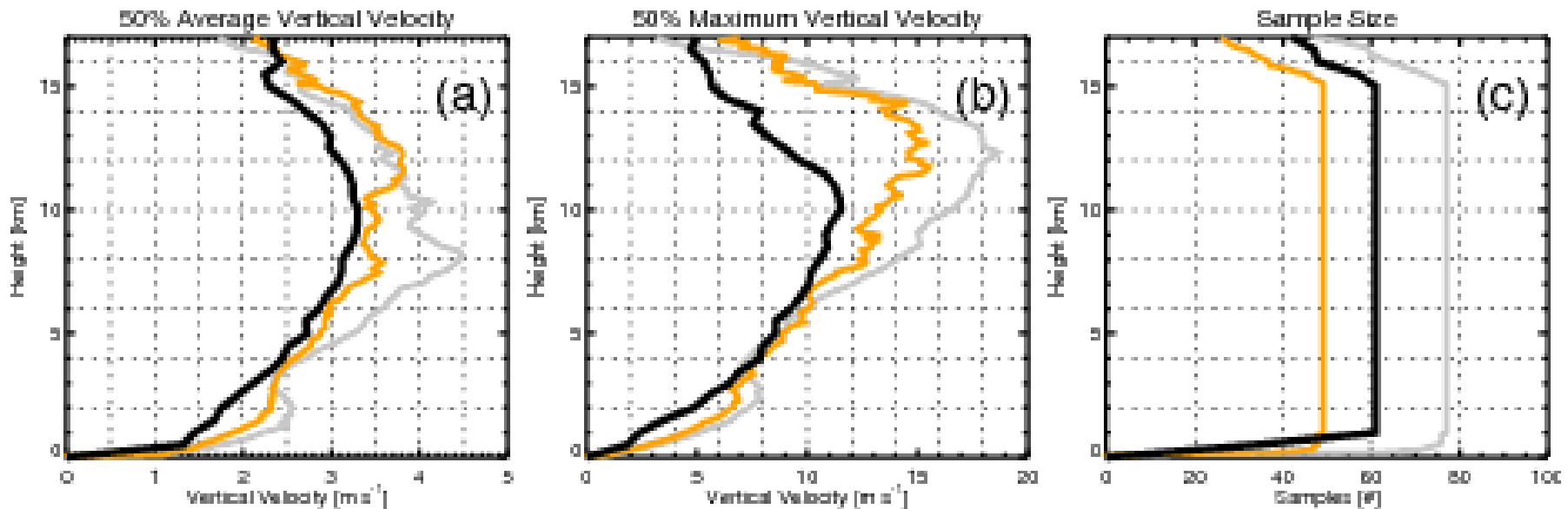
100-m horizontal resolution w PDFs from giga-LES agree reasonably well with observations.



Analysis by Ian Glenn and Steve Krueger, University of Utah

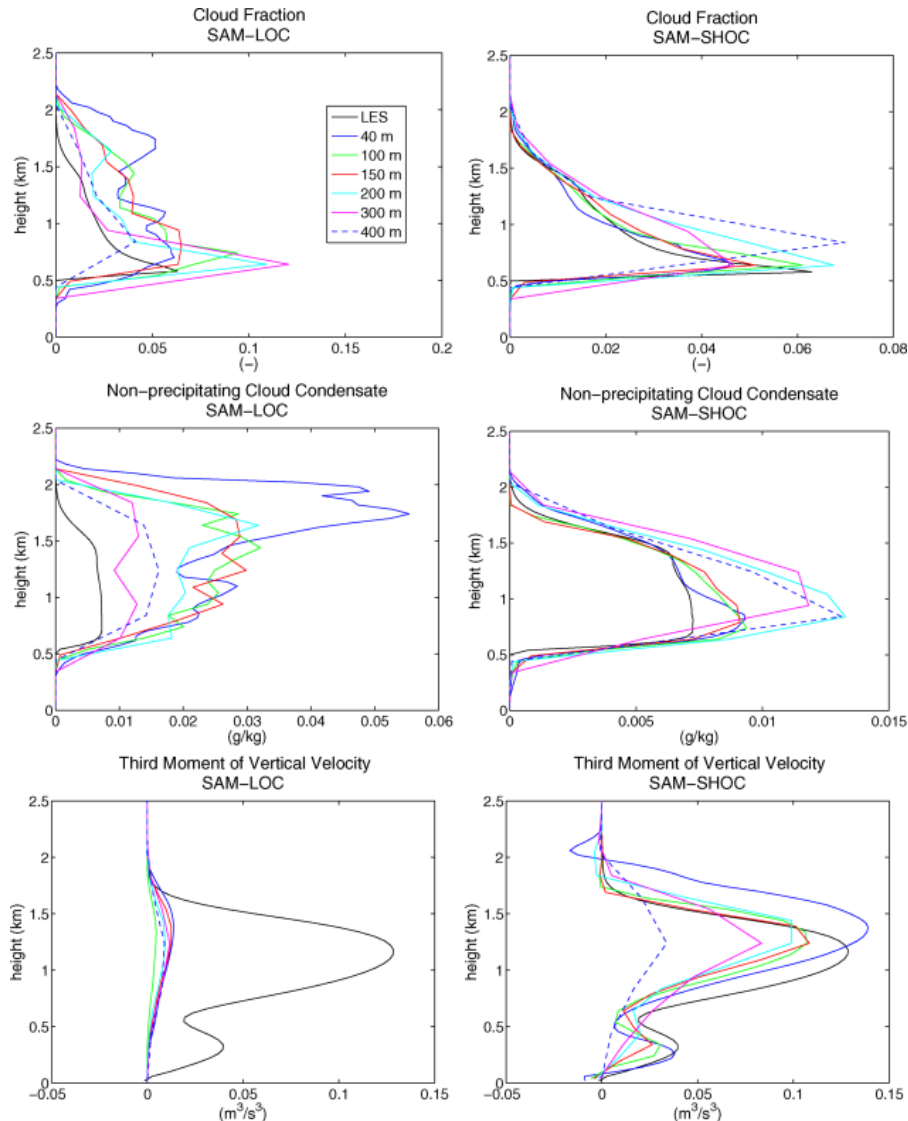


# TWP-ICE, 23 January 2006: Vertical Velocities from DHARMA CRM with Double-Moment Microphysics



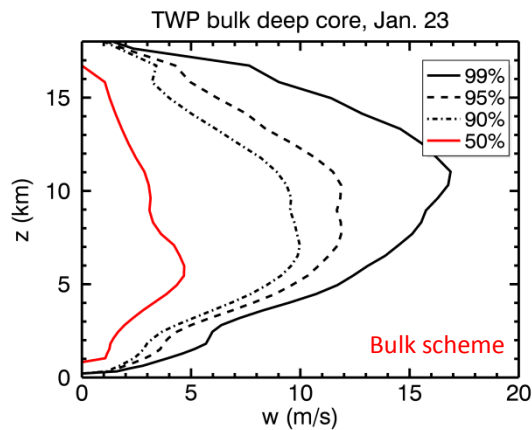
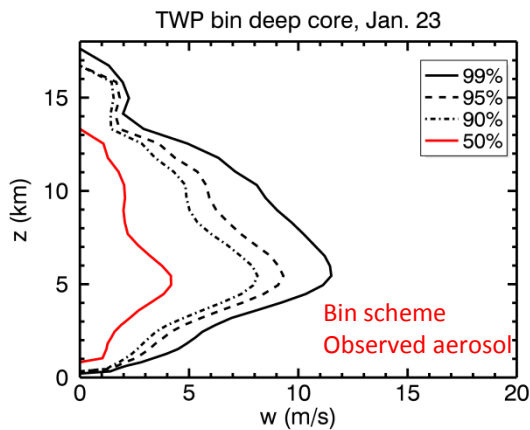
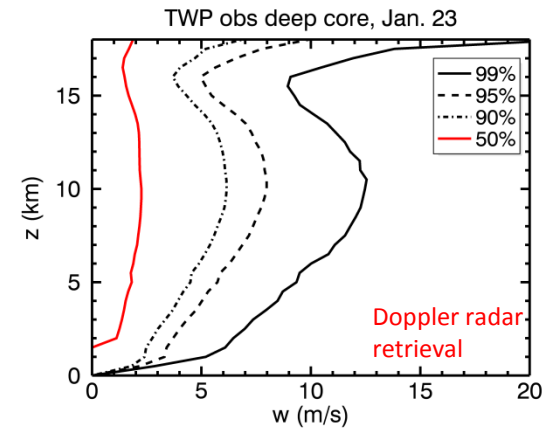
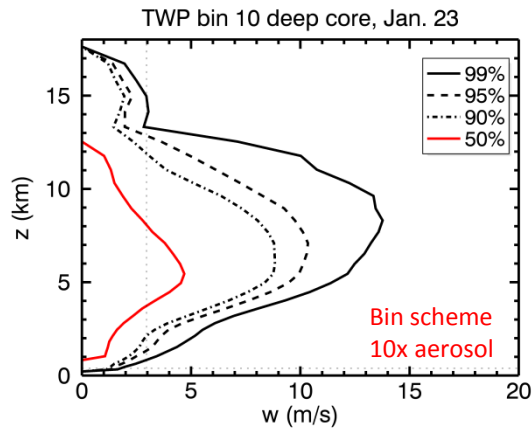
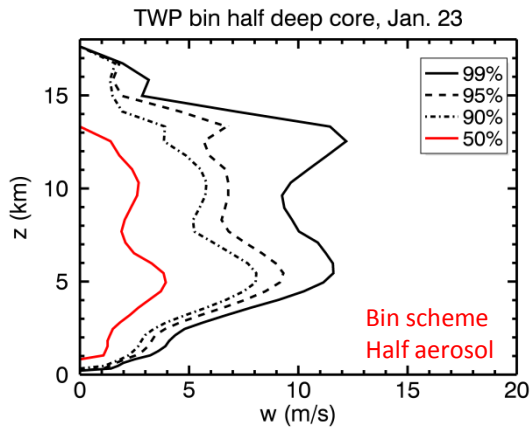
DHARMA integrations by Ann Fridlind, NASA GISS  
Analysis by Adam Varble, University of Utah

# A simplified PDF parameterization of subgrid-scale clouds and turbulence for cloud-resolving models



# Vertical Velocity in Convective Cores: Sensitivities to Aerosol and Microphysics

TWP-ICE case study



A convective core is defined as a column where  $w$  exceeds 1m/s for at least 4 km continuously.

By Xiaowen Li and Wei-Kuo Tao,  
NASA GSFC

# ENSEMBLE-AVERAGE VERTICAL EDDY TRANSPORT

— THE EFFECT OF MULTIPLE STRUCTURE OF CLOUDS —

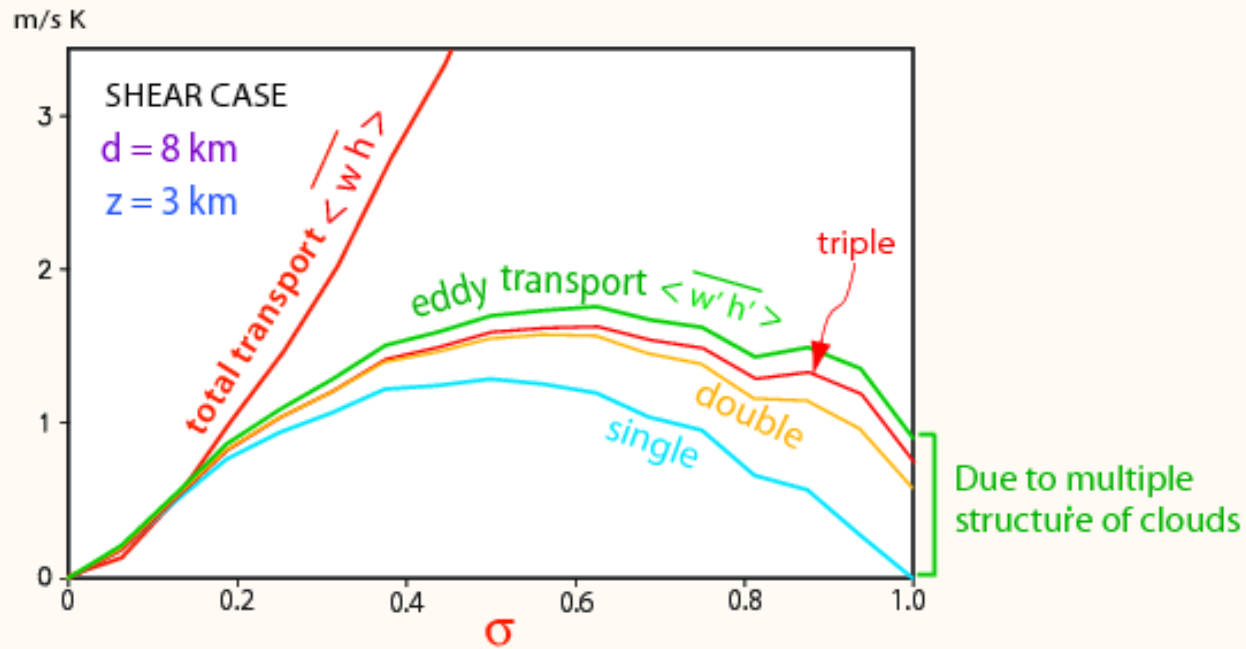
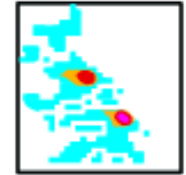
single  
 $0.5 \text{ m/s} < w$



double  
 $2 \text{ m/s} < w$   
 $0.5 \text{ m/s} < w < 2 \text{ m/s}$

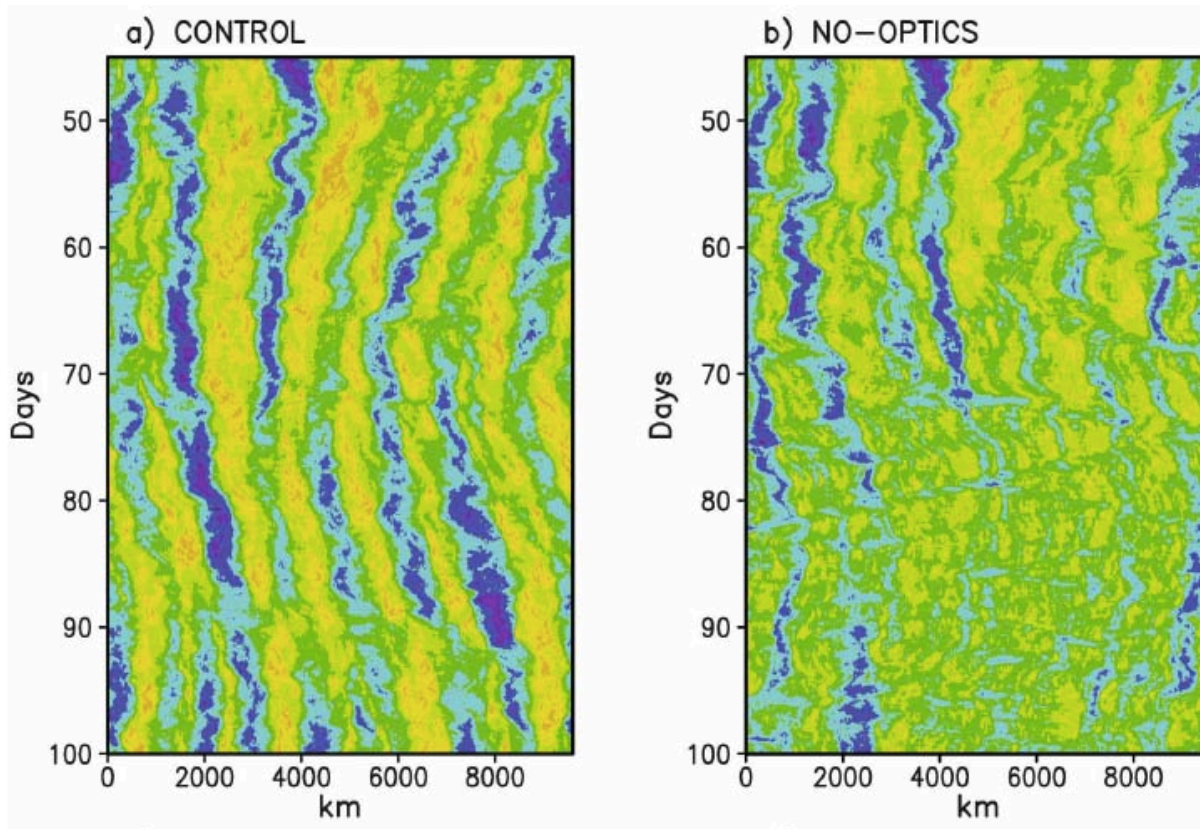


triple  
 $4 \text{ m/s} < w$   
 $2 \text{ m/s} < w < 4 \text{ m/s}$   
 $0.5 \text{ m/s} < w < 2 \text{ m/s}$



from Akio Arakawa, UCLA

# Radiative Influences

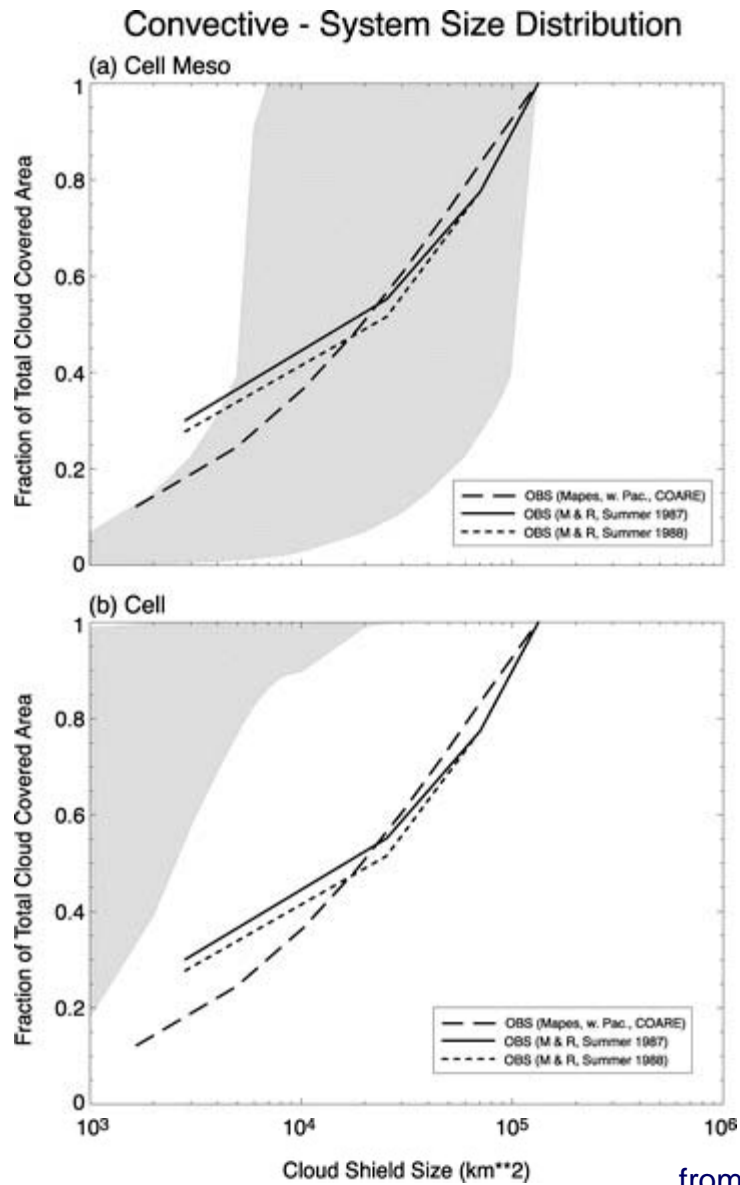


Time series of precipitable water (mm) for fully interactive radiation scheme (left) and interactive radiation without contributions by clouds and precipitation (after Stephens, van den Heever and Pakula, 2008)

- Breakdown of banded organization
- Effects of clouds on radiative heating and feedbacks to convective organization important

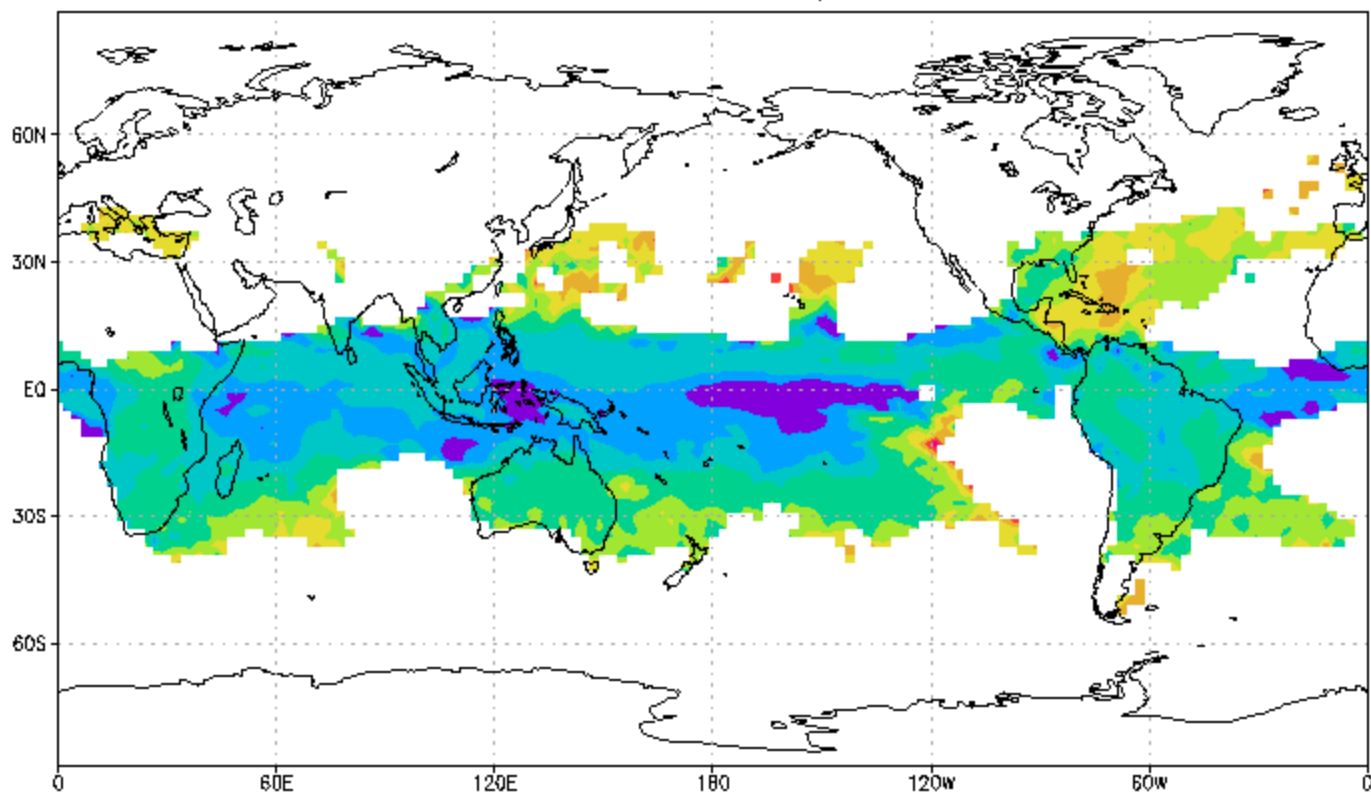
from Sue Van Den Heever, CSU

# Sizes of Convective Systems in GFDL AGCM



from Donner *et al.* (2001, *J. Climate*)

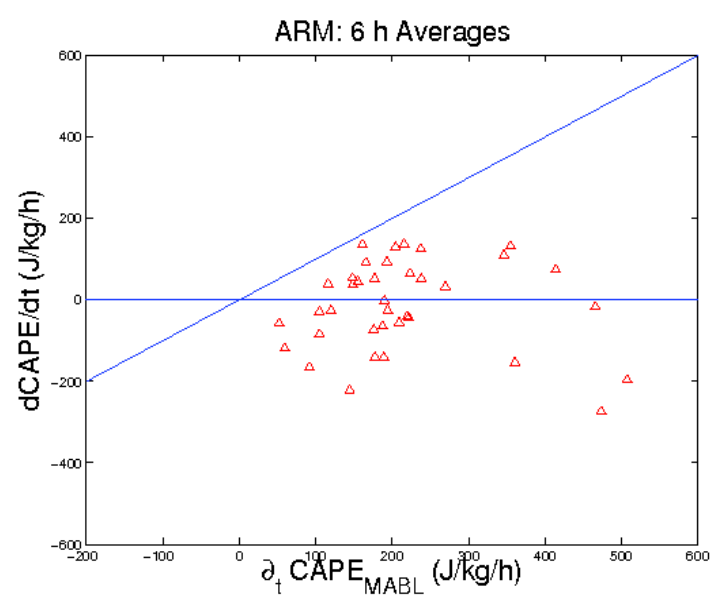
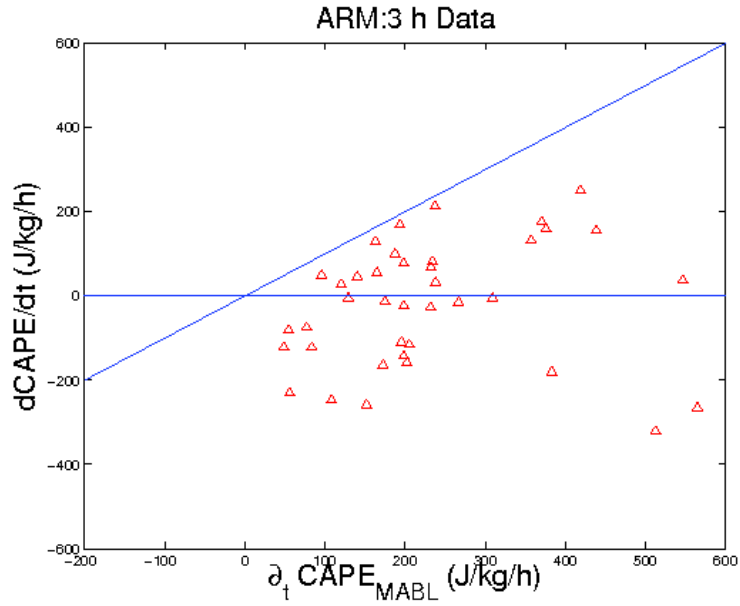
### AM3 50km Mesoscale Precipitation Fraction (DJF)





Until recently, cumulus closures have mostly been based on a grid-mean view of interactions between cumulus plumes and their environment, *e.g.*, quasi-equilibrium.





from Donner and Phillips (2003, *J. Geophys. Res.*)

Fig. 4c

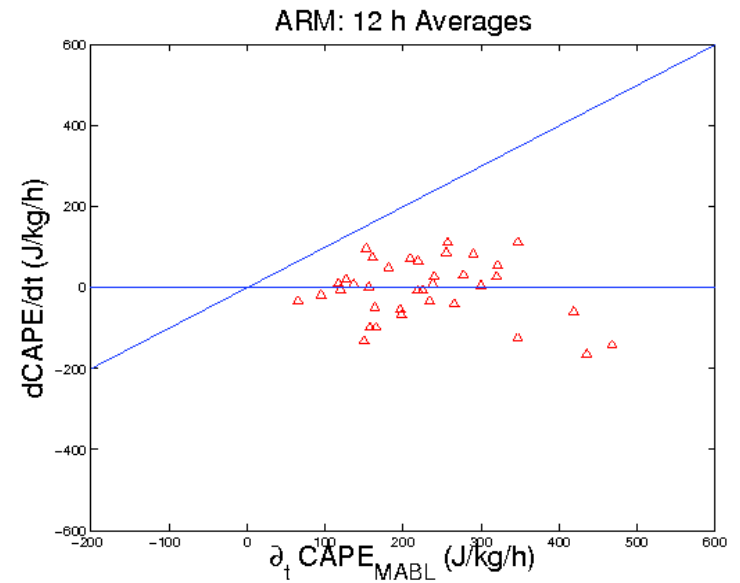
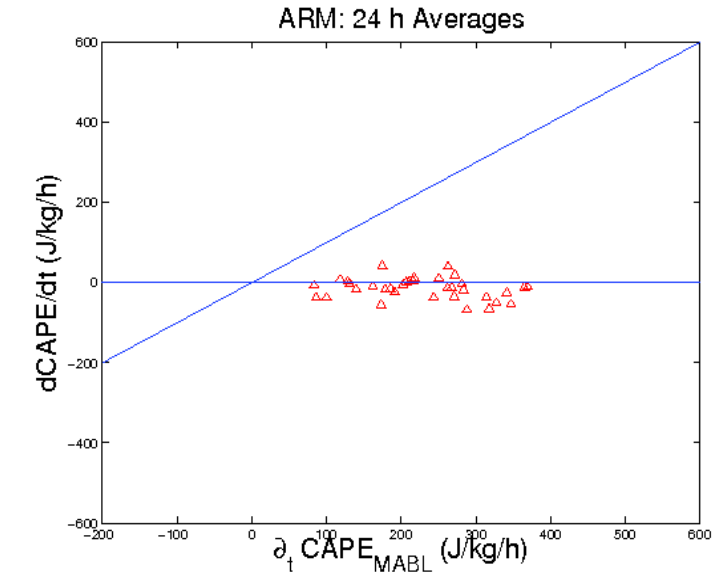


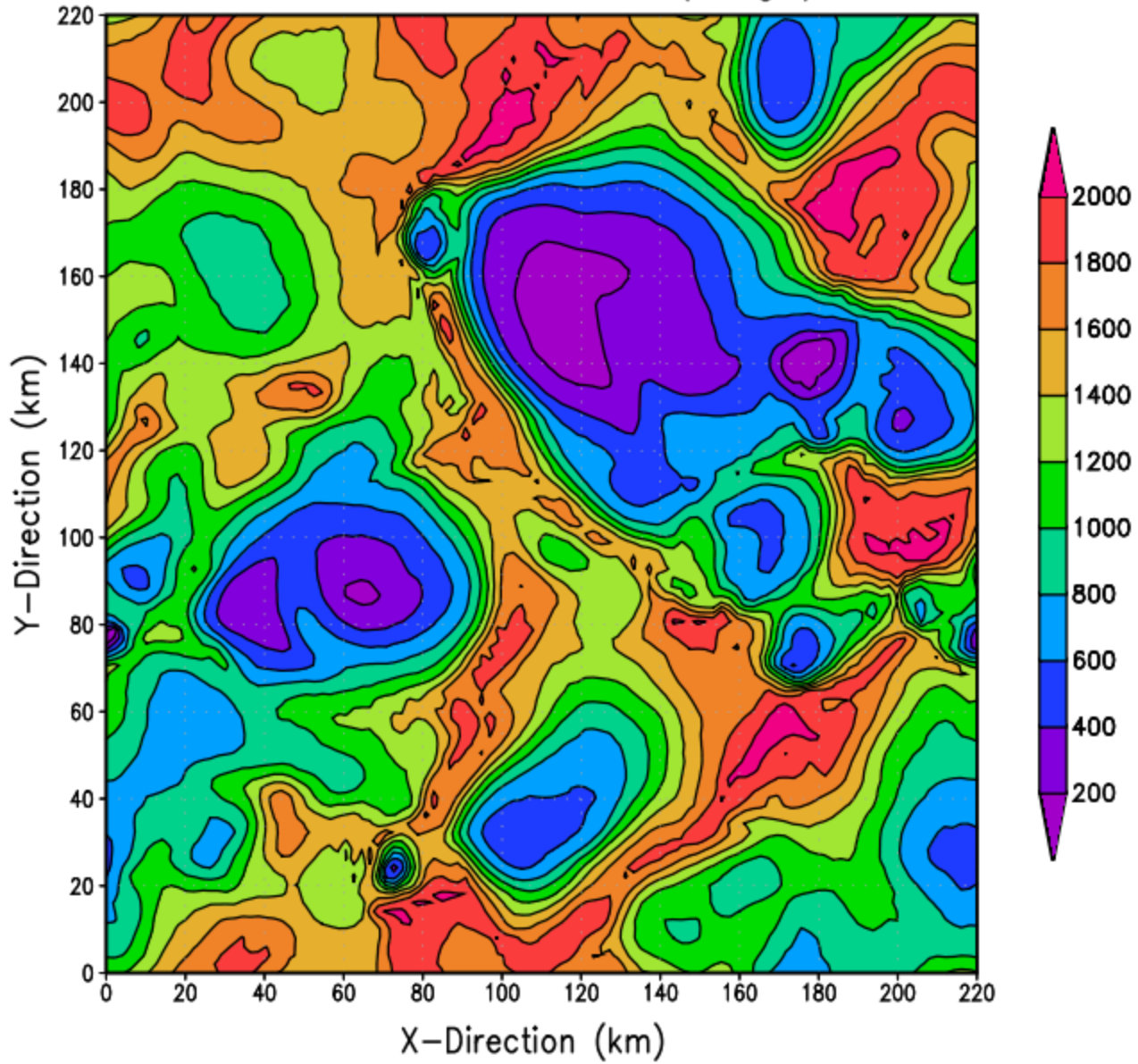
Fig. 4d





Cloud-resolving models suggest few cumulus plumes “see” grid-mean properties. Sub-grid variability in cloud environments is more relevant.

### 3-D CAPE at 20 hr ( $\text{J kg}^{-1}$ )



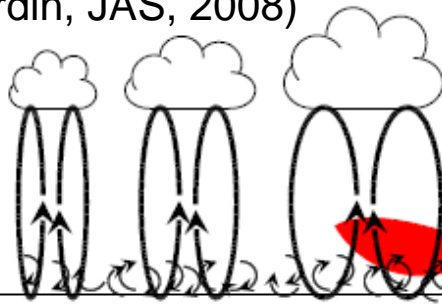
from Donner *et al.* (2001, *J. Atmos. Sci.*)

# Control of deep convection by sub-cloud lifting processes: The ALP closure in the LMDZ5B general circulation model

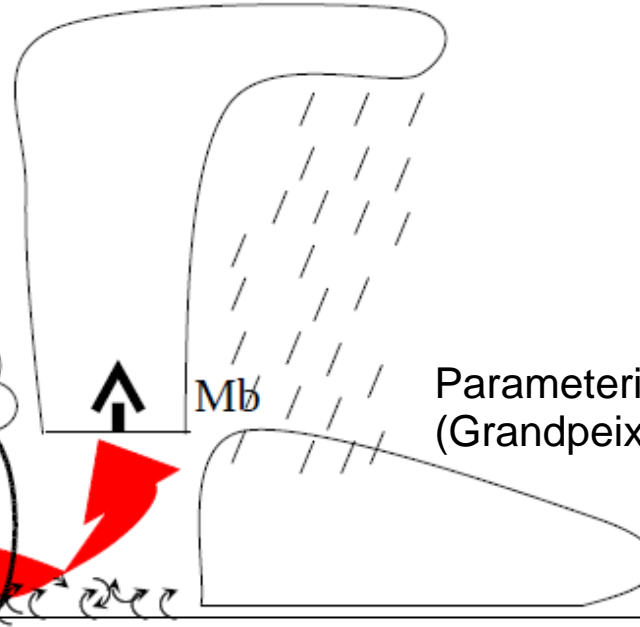
Rio et al., *Clim. Dyn.*, 2012

Sub-cloud lifting processes, boundary-layer thermals (th) and cold pools (wk), provide:  
> an available lifting energy: ALE (J/kg) and  
> an available lifting power: ALP (W/m<sup>2</sup>)  
that control deep convection

Parameterization of boundary-layer thermals (Rio et Hourdin, JAS, 2008)



Parameterization of cold pools (Grandpeix & Lafore, JAS, 2011)



**Triggering:**

$$\text{MAX}(\text{ALE}_{\text{th}}, \text{ALE}_{\text{wk}}) > |\text{CIN}|$$

**Closure:**

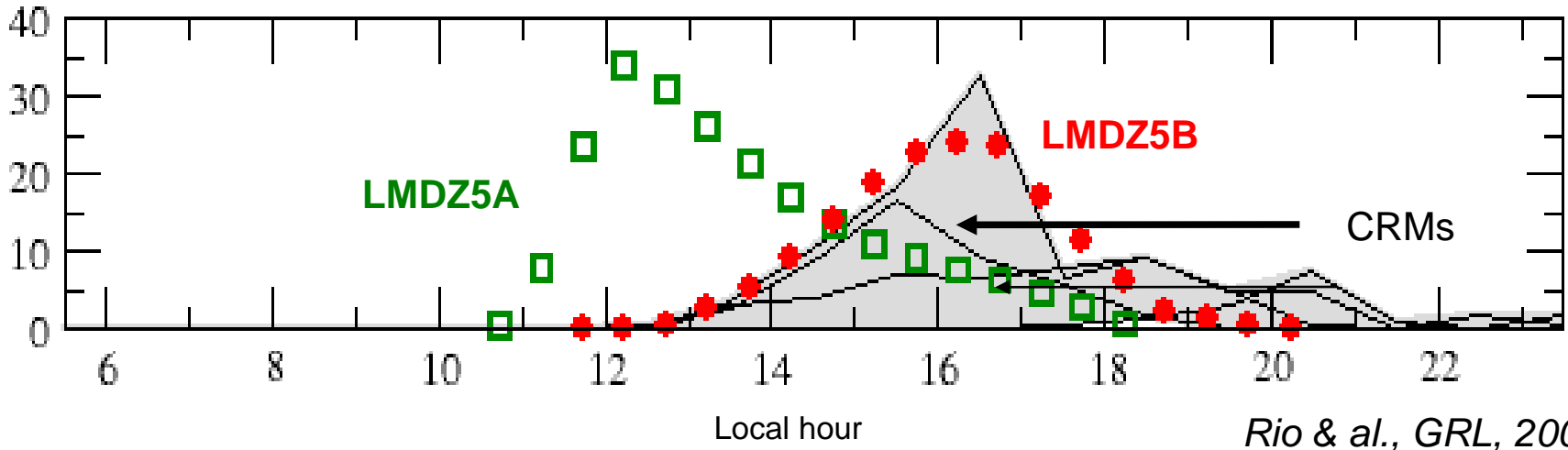
$$M_b = \frac{ALP}{[|\text{CIN}| + 2w_b^2]}$$

ALP = ALP<sub>th</sub> + ALP<sub>wk</sub> ~ w'<sup>3</sup>

w<sub>b</sub> = f(PLFC)

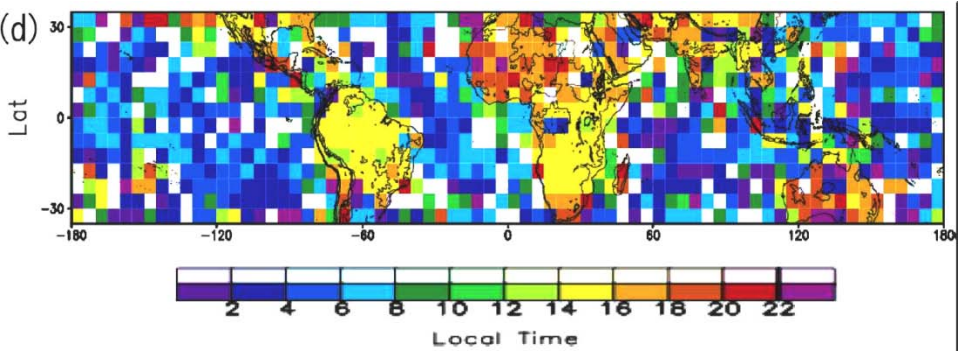
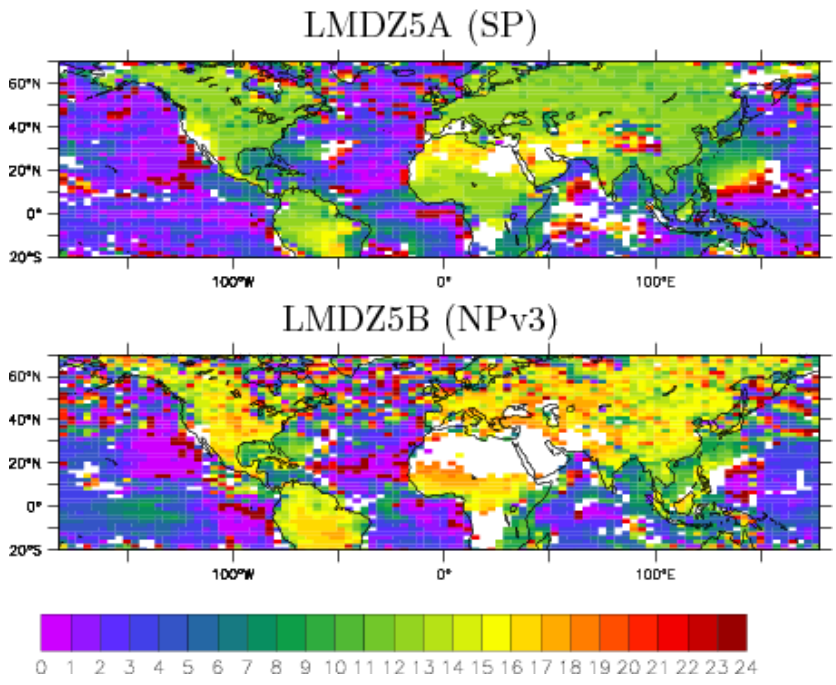
# Diurnal cycle of convection over land: From 1D to global simulations

Diurnal cycle of precipitation (mm/day) the 27 of June 1997 in Oklahoma (EUROCS case)



*Rio & al., GRL, 2009*

Shift of the local hour of maximum rainfall in 1D and 3D simulations



Observations (TRMM, from Hirose et al., 2008)

LMDZ5A: CAPE Closure    LMDZ5B: ALP Closure

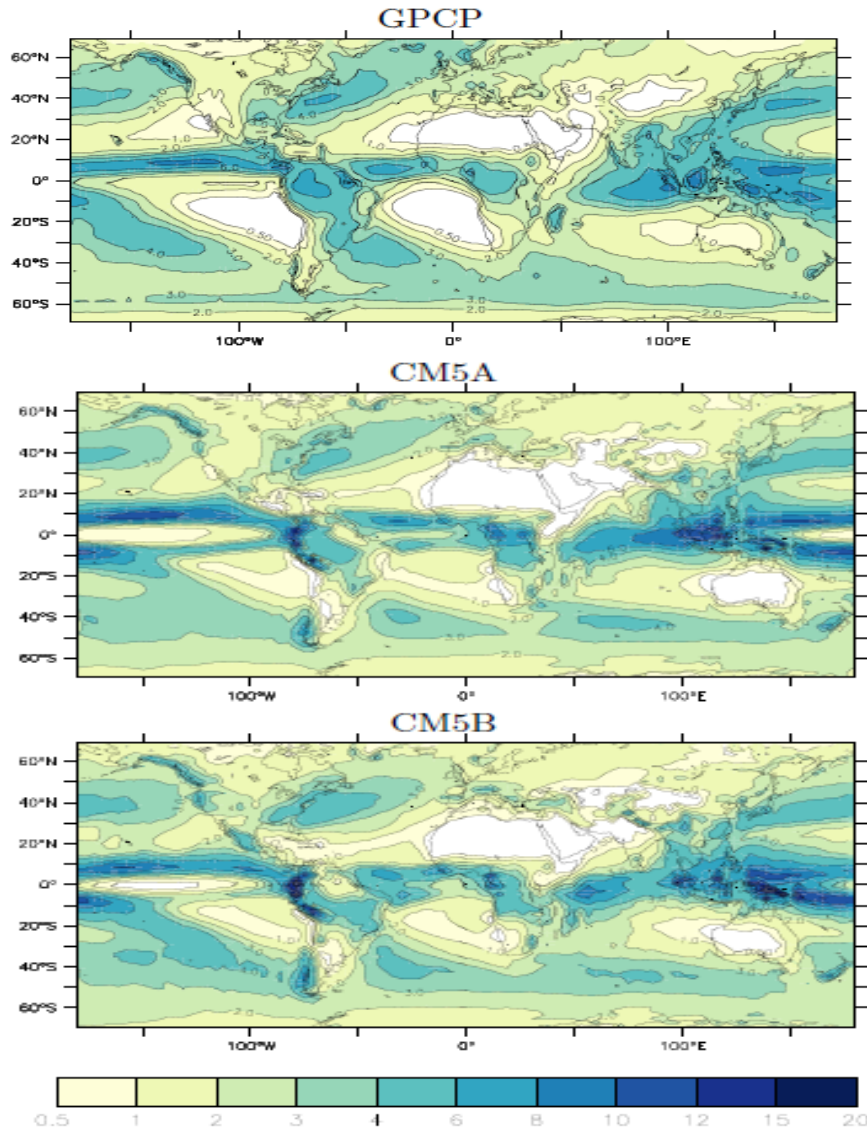
*Rio & al., 2012*



# Impact on precipitation mean and variability

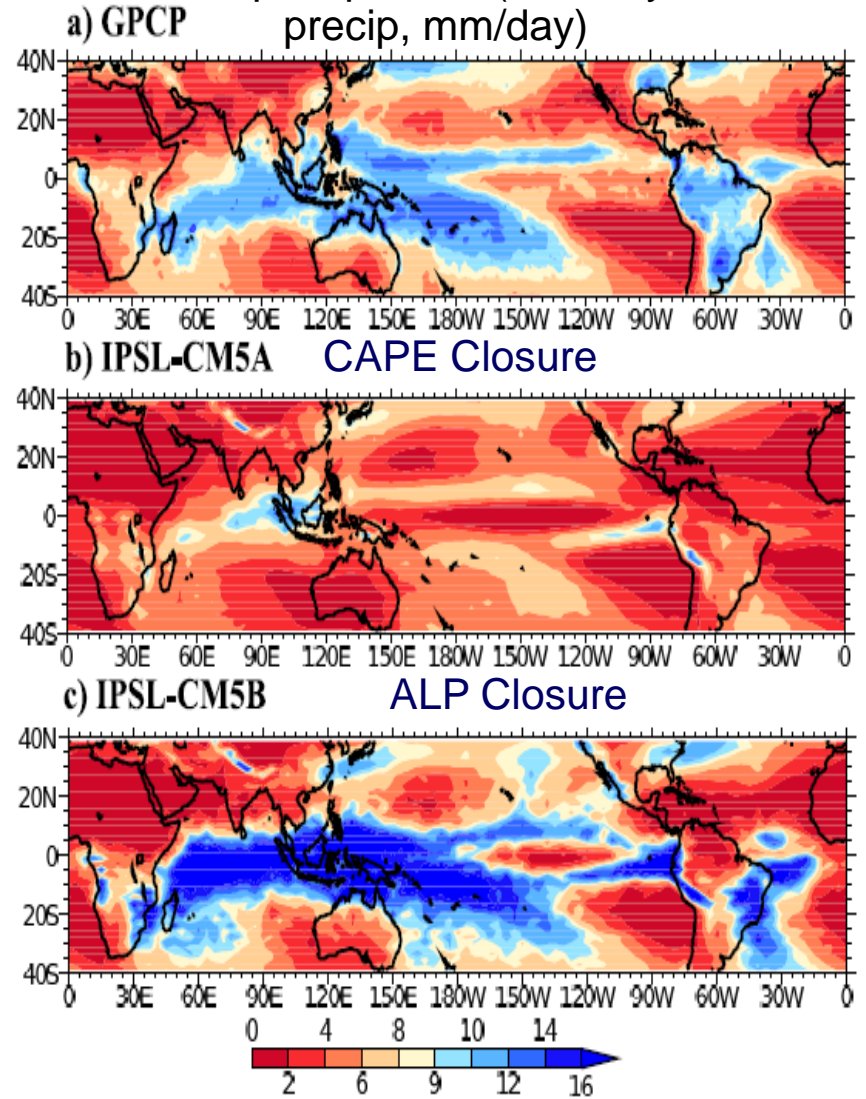
IPSL-CM5A/CM5B: 10 years of coupled pre-industrial simulations

Mean precipitation (mm/day)



Some impact on precipitation annual mean

Intra-seasonal variability of precipitation (SD daily precip, mm/day)



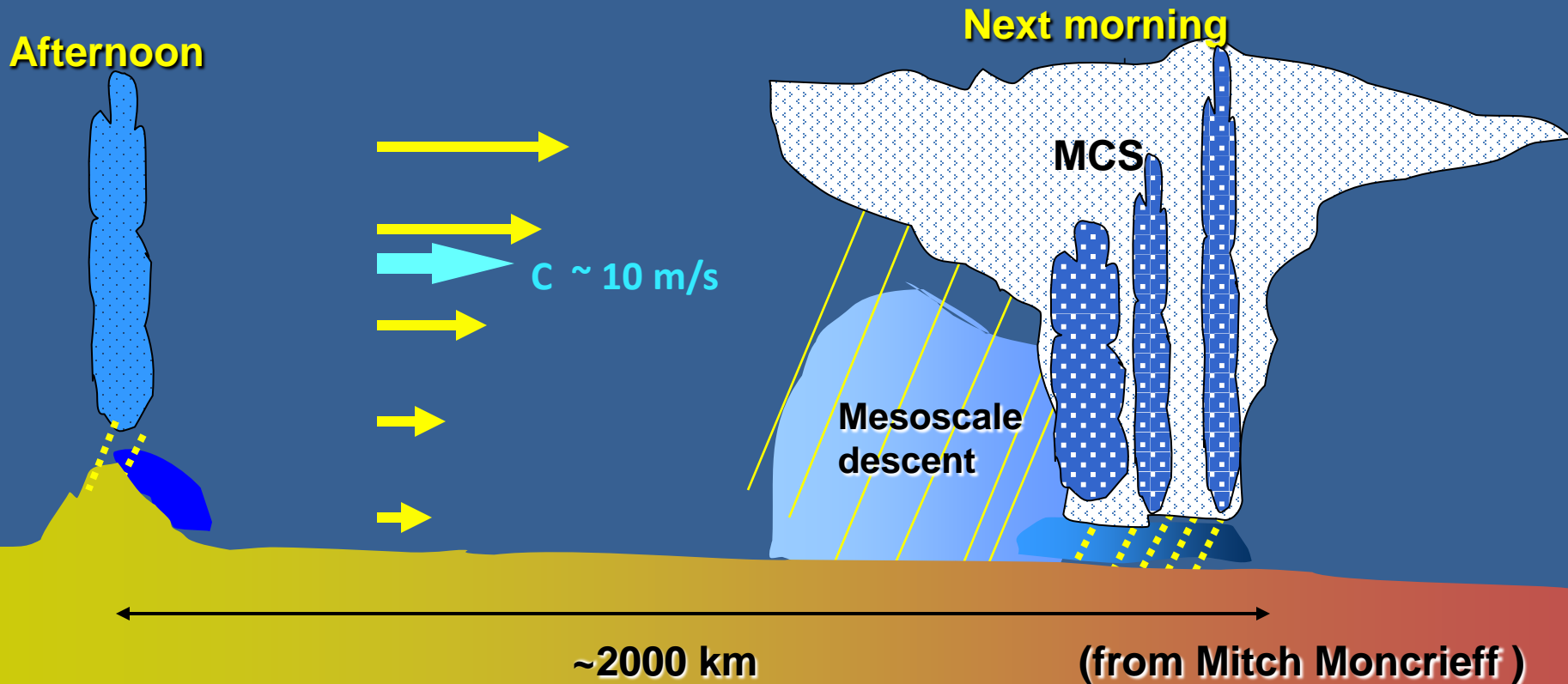
Strong impact on intra-seasonal variability



Some types of organized convection have such large space and time scales that they are most easily modeled explicitly in high-resolution models.

# Orogenic MCS and the diurnal cycle of precipitation

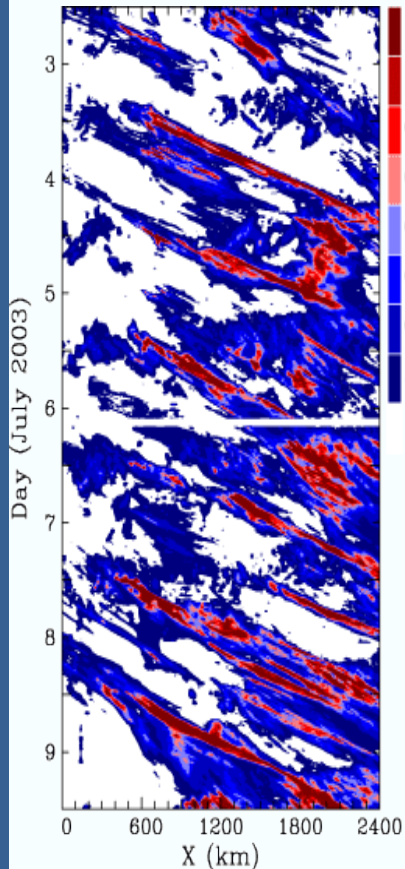
Vertical shear organizes sequences of cumulonimbus into long-lasting mesoscale convective systems (MCS), which propagate across continents, efficiently transporting heat, moisture and momentum



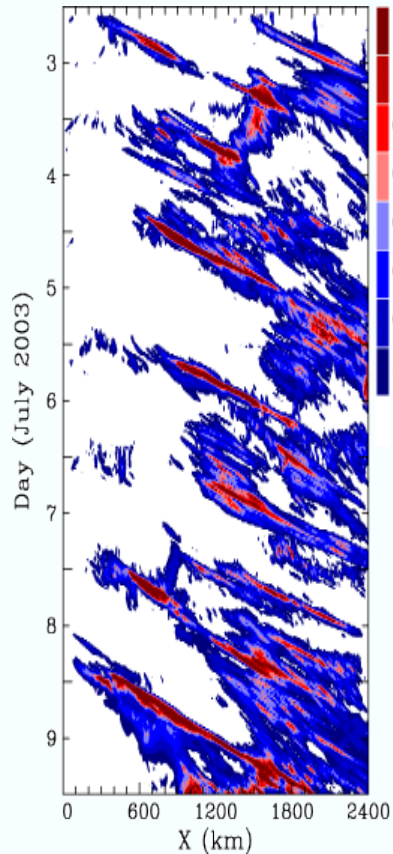


# Propagating MCS over U.S. continent

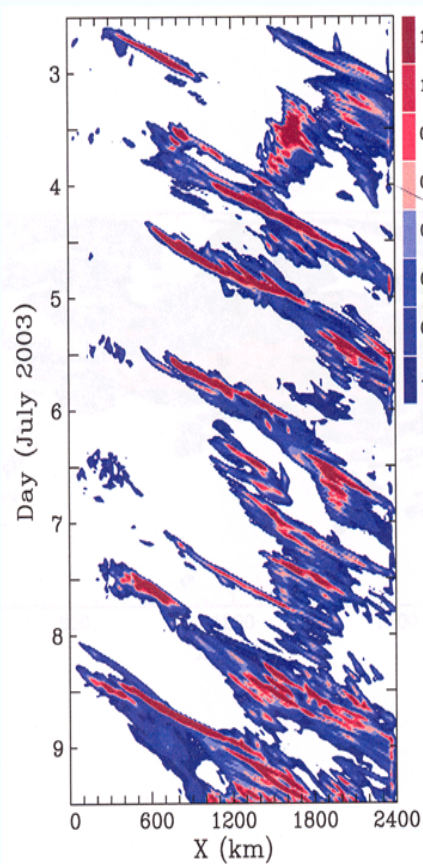
**NEXRAD analysis**  
Carbone et al. (2002)



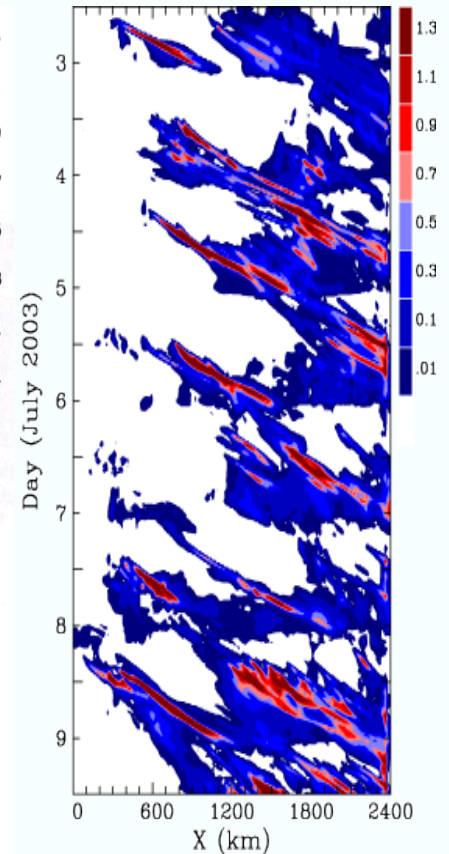
**3-km explicit**



**10-km explicit**



**10-km Betts-Miller**

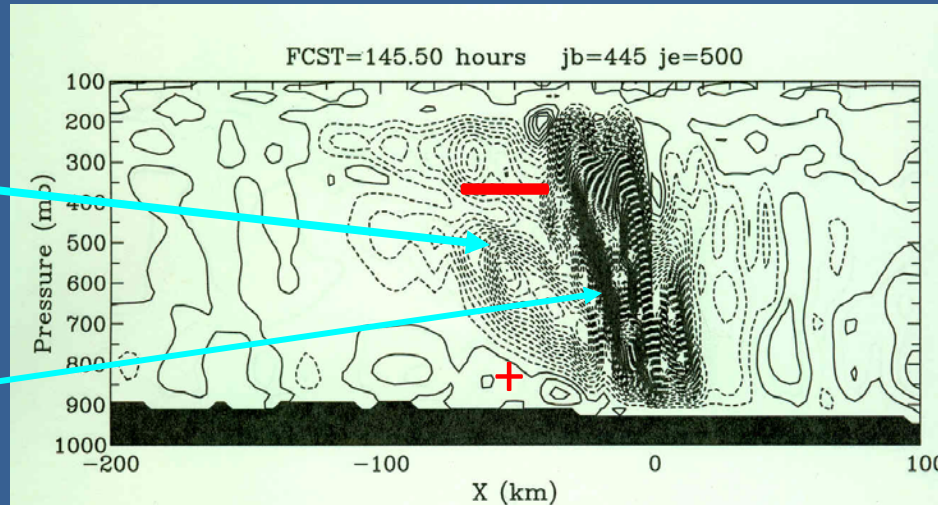


# Effect of resolution on CMT:

Negative for 3 km & 10 km grids, positive (incorrect) for 30 km grid

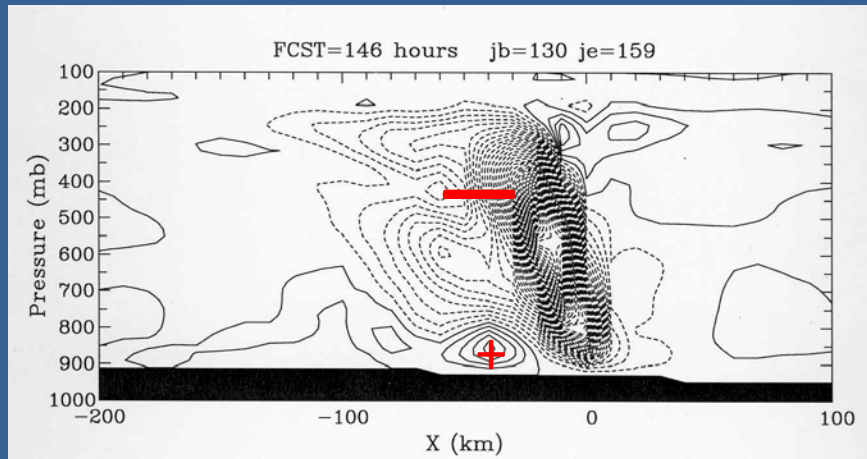
Mesoscale  
circulation

Cumulonimbus  
family

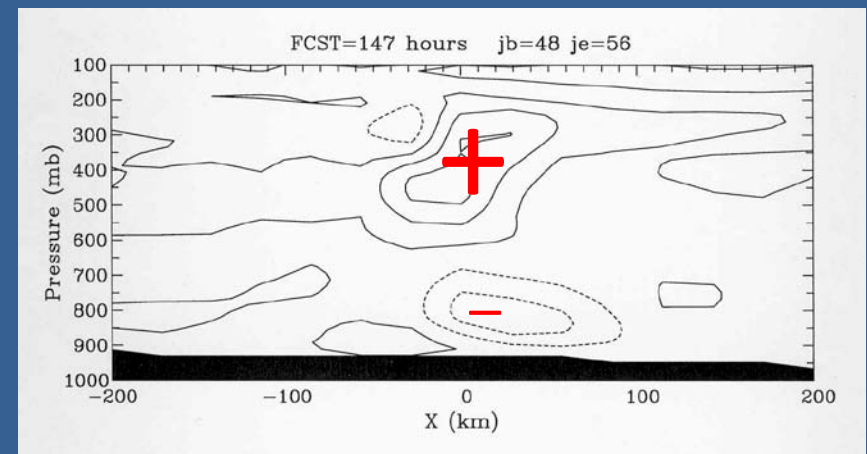


$\Delta = 3 \text{ km}$

**→**  
**C**  
Sign of CMT is negative -- opposite to propagation vector (C) -- due to rearward-tilted airflow



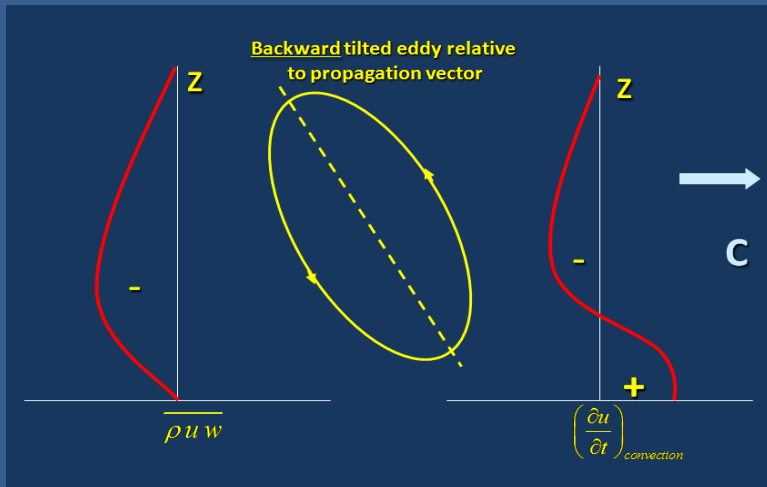
$\Delta = 10 \text{ km}$



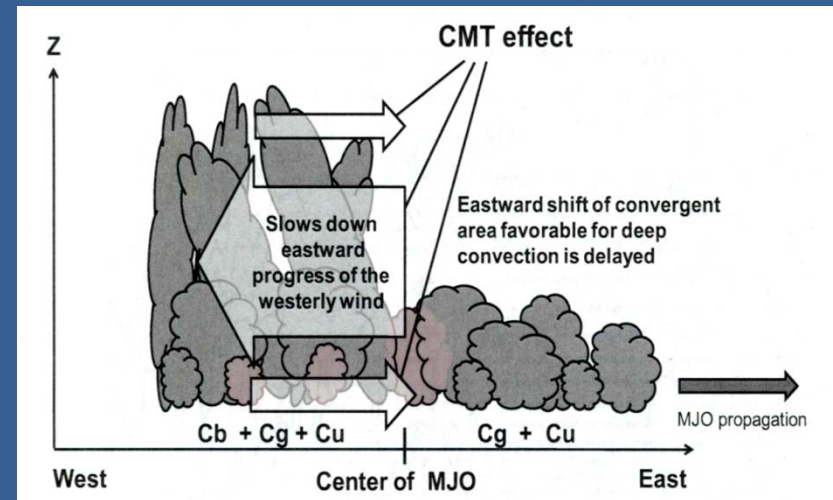
$\Delta = 30 \text{ km}$

from Mitch Moncrieff

# Convective momentum transport by MCS in MJOs simulated by a global cloud-system resolving model (NICAM)



$$\frac{\partial \bar{u}}{\partial t} + \dots = - \frac{\partial}{\partial z} (\overline{u_m w_m}) = \left( \frac{\delta u}{\delta t} \right)_{convection}$$



Miyakawa et al. (2011)

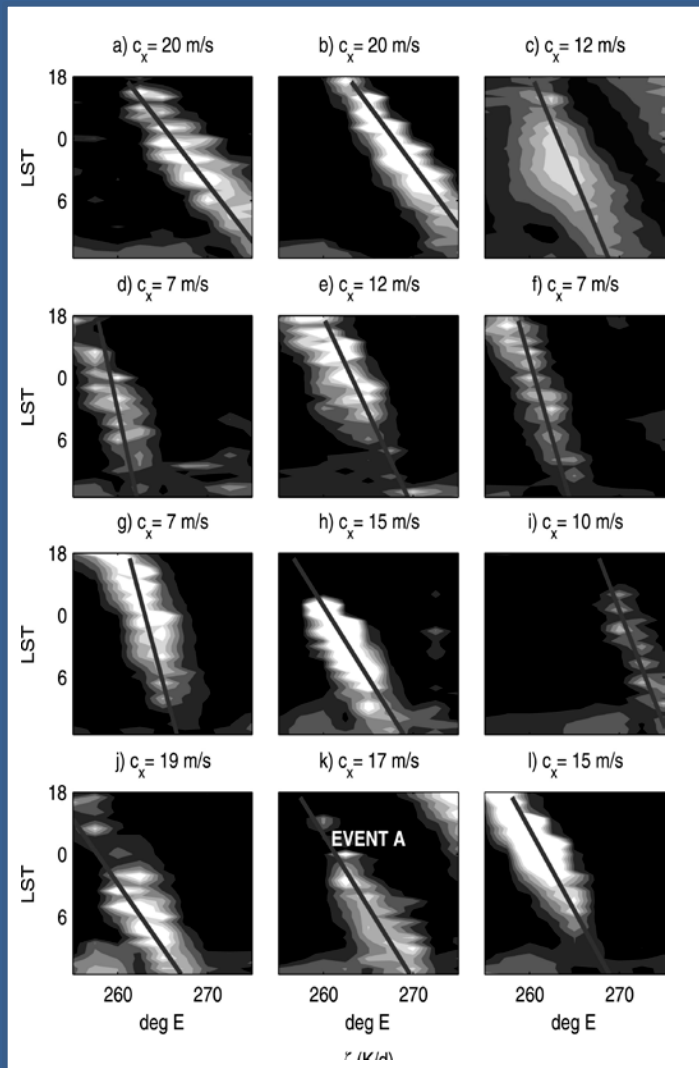


Even convective organization with large space and time scales can be simulated to some extent using appropriately cumulus parameterizations.



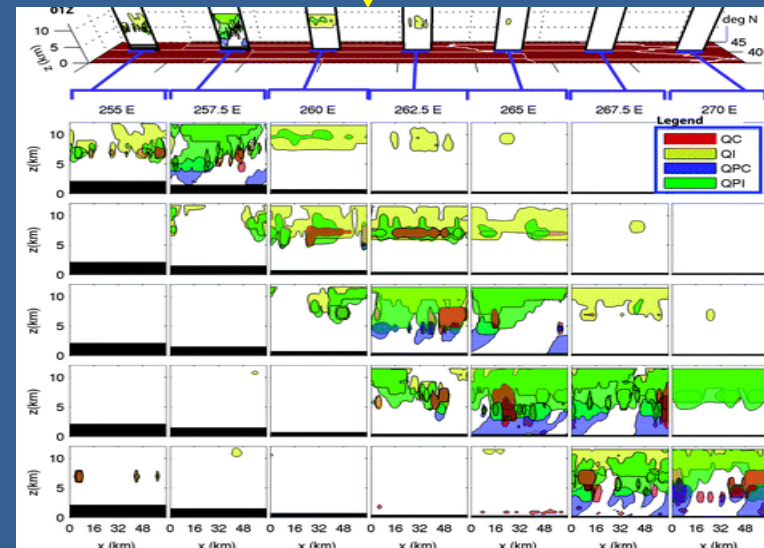
# Orogenic MCS over U.S. continent

## Superparameterized Community Atmospheric Model (SPCAM)

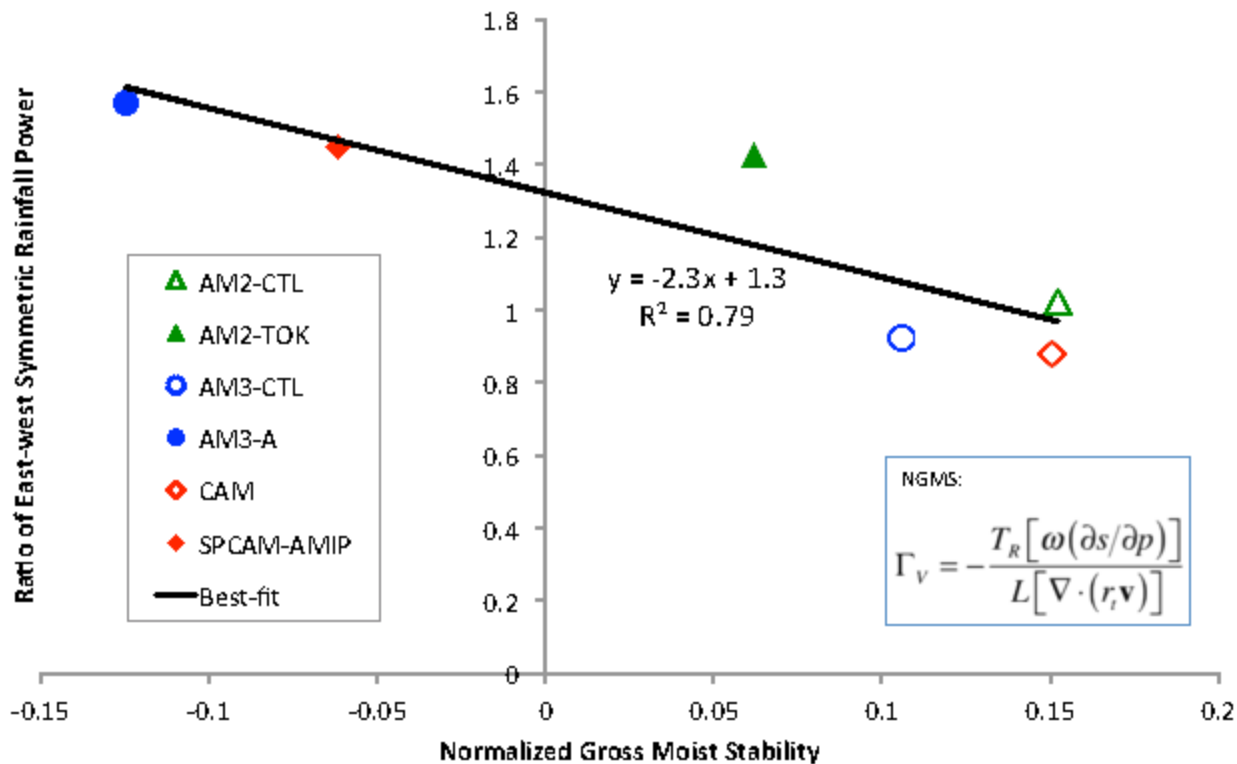


CAM: standard convection parameterization – No MCS

SPCAM: convective heating generated on 2-D CRM grid is organized by large-scale shear into propagating MCS on the climate model grid



**Ratio of East-west Symmetric Rainfall Power vs.  
Vertical Component of Mean Winter Warm Pool NGMS**



AM3-CTL and AM3-A differ in their deep convective closures and triggers.

from Jim Benedict



# Summary

- Parameter sensitivities and “emergent constraints” link convection to climate sensitivity.
- Vertical velocities, entrainment central elements-new observations available for process-level evaluation of parameterizations.
- Non-equilibrium, prognostic closures and sub-grid variability elements of recently developed cumulus parameterizations.
- Limited representation of convective organization, for coarse-resolution model.
- Scale-aware formulation can be used to deal with variable grid and convective system sizes.