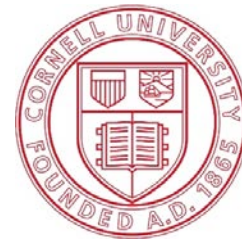


Quantifying Isentropic Stratosphere- Troposphere Exchange (STE) of Ozone

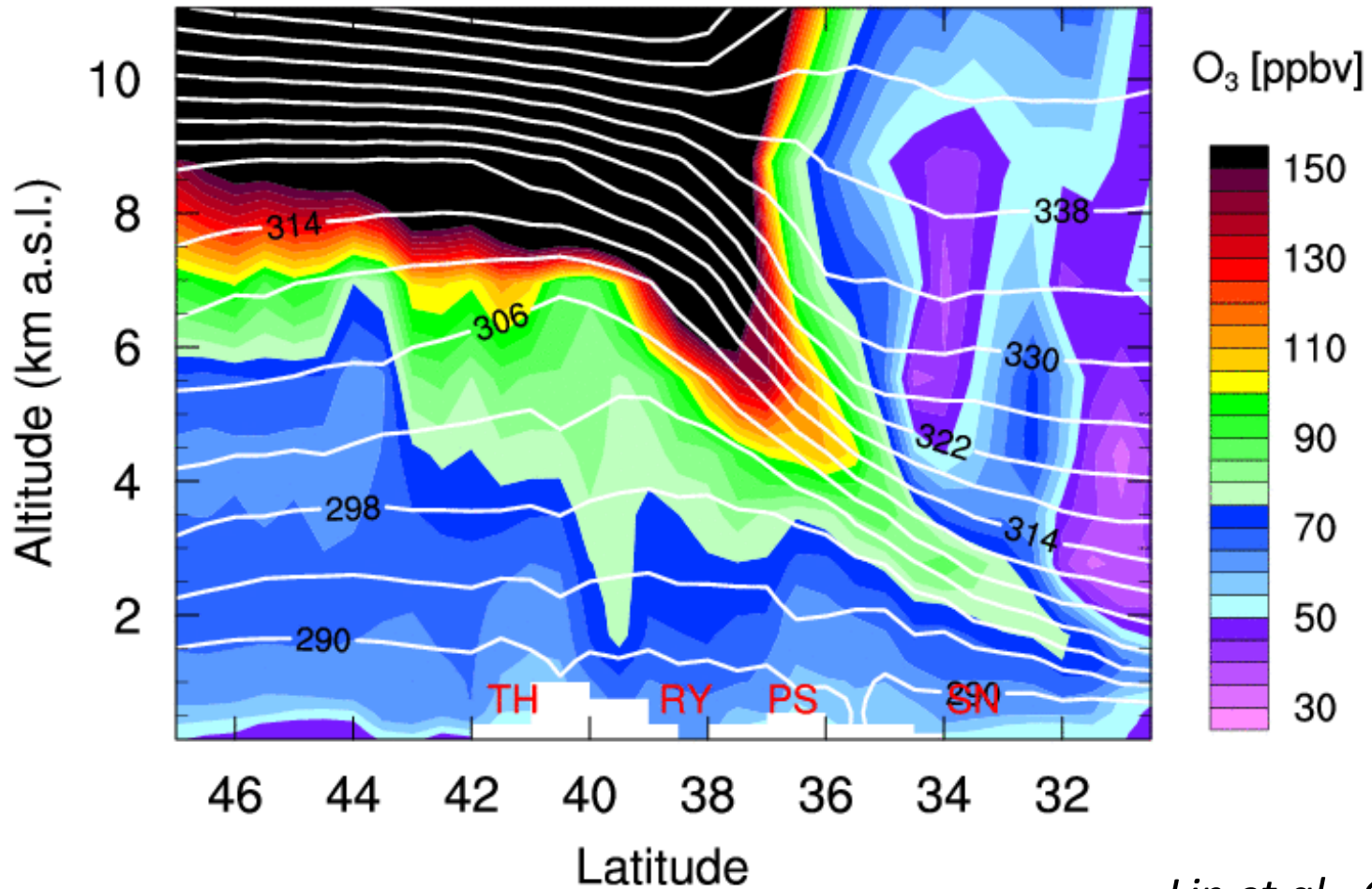


Huang Yang¹, Gang Chen¹,
Qi Tang^{2,3}, Peter Hess² and
David Plummer⁴

¹ EAS, Cornell University; ² BEE, Cornell University; ³
LLNL; ⁴ CCCma, Environment Canada

Ozone STE

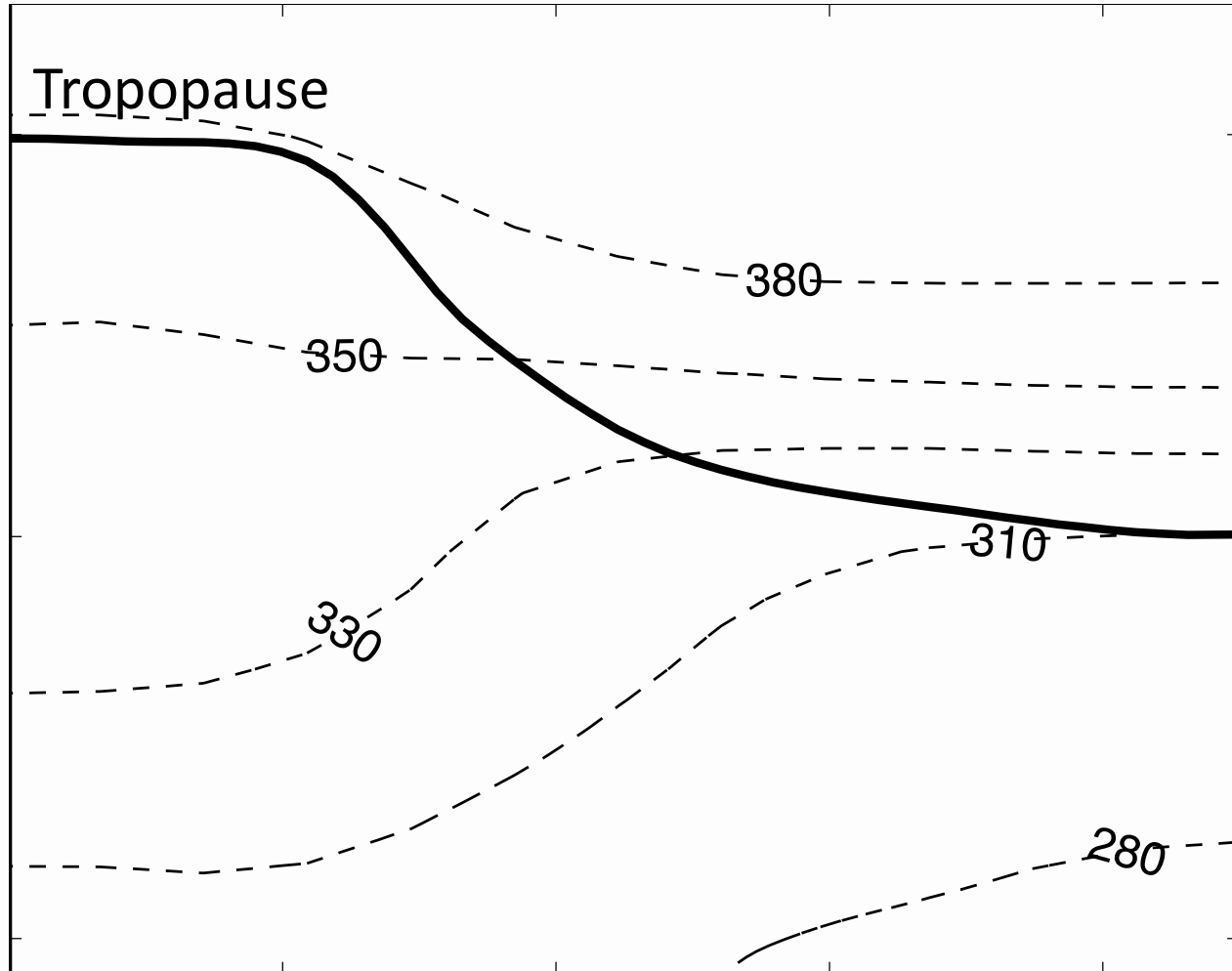
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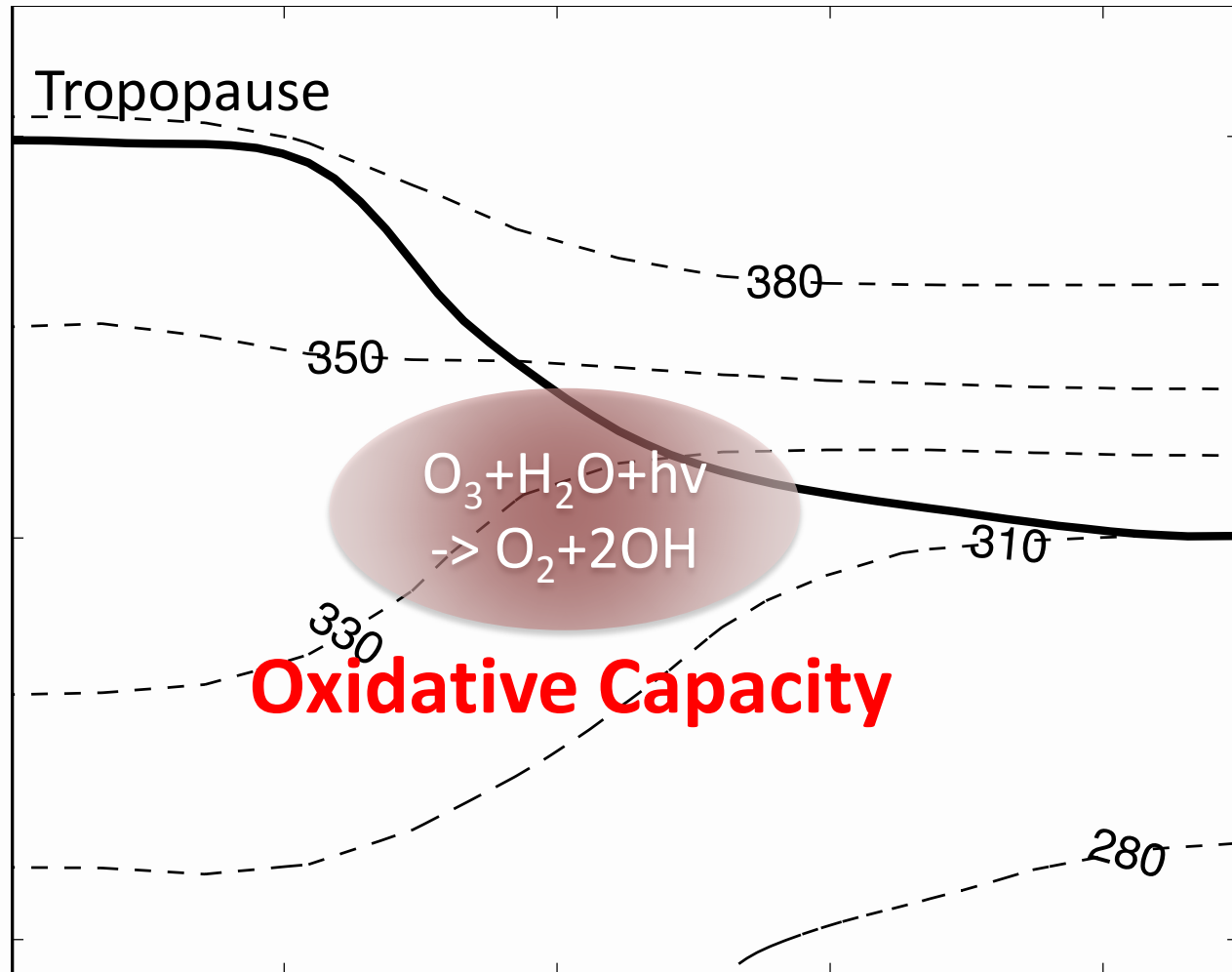
Lin et al., GFDL

<http://www.gfdl.noaa.gov/news-app/story.74/title.springtime-high-surface-ozone-events-over-the-western-united-states-quantifying-the-role-of-stratospheric-intrusions>

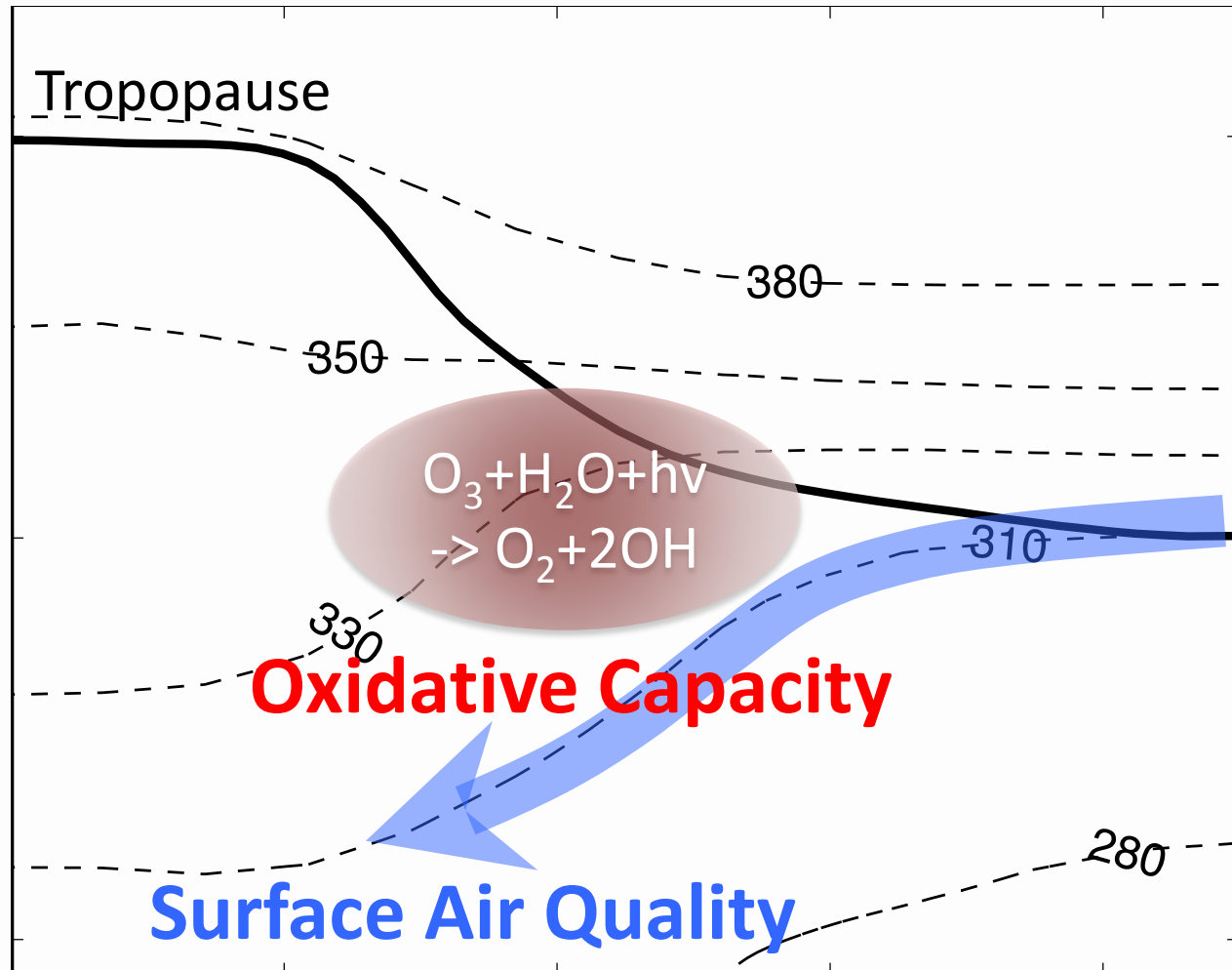
Impacts of ozone STE



Impacts of ozone STE

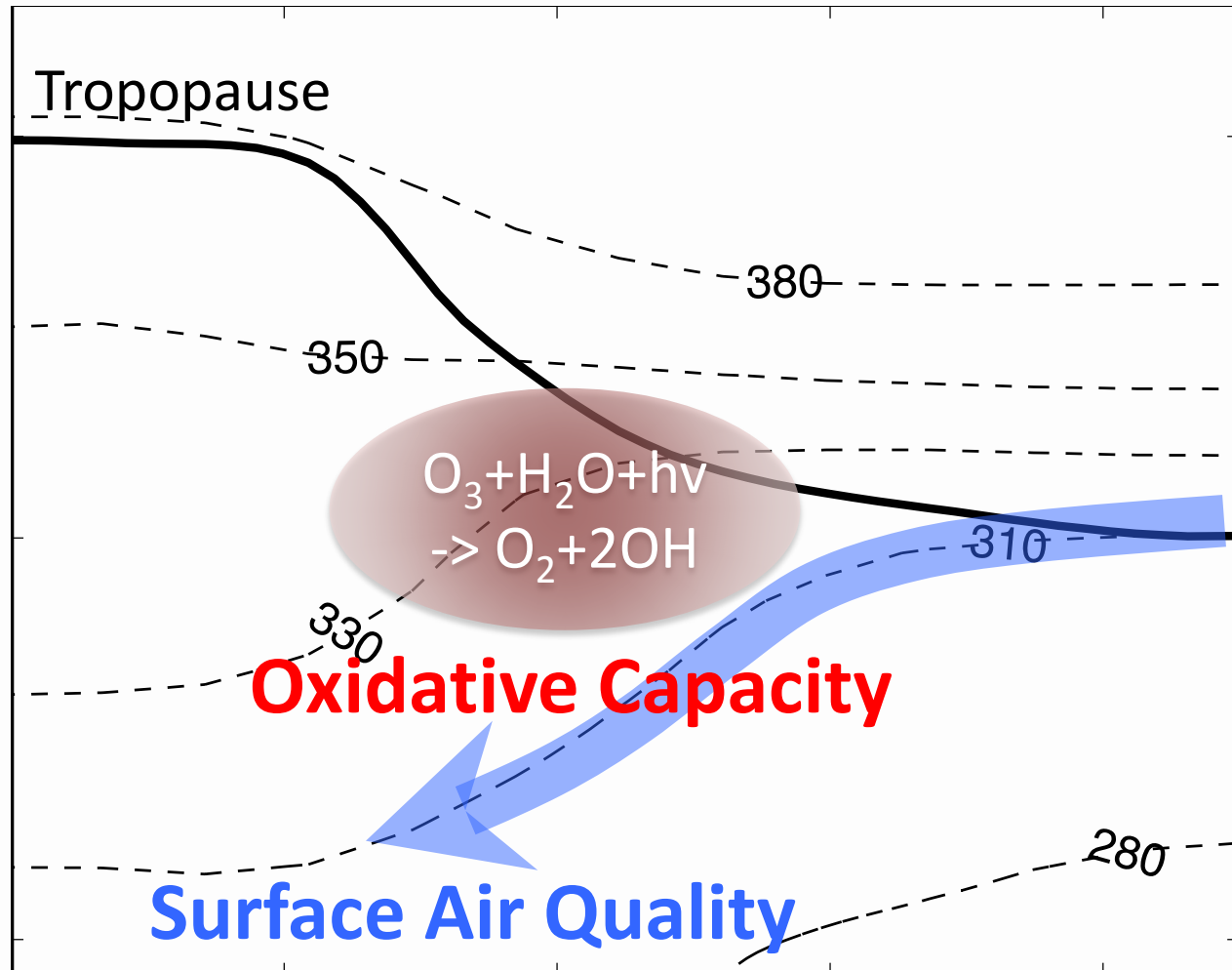


Impacts of ozone STE



Impacts of ozone STE

Spatially NOT uniform



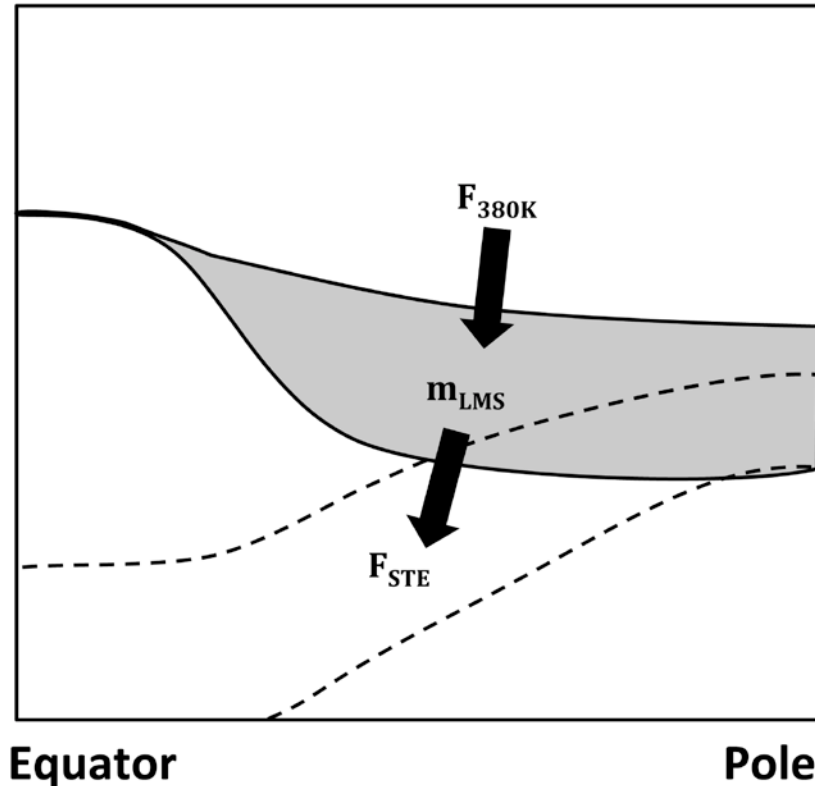
It is important to quantify the **spatial distribution** of ozone STE

- What does the spatial distribution of ozone STE look like?
- What causes such a spatial distribution of ozone STE?

Lowermost Stratosphere (LMS) STE vs. Isentropic STE

Appenzeller et al., 1996

(a) Budget of lowermost stratosphere



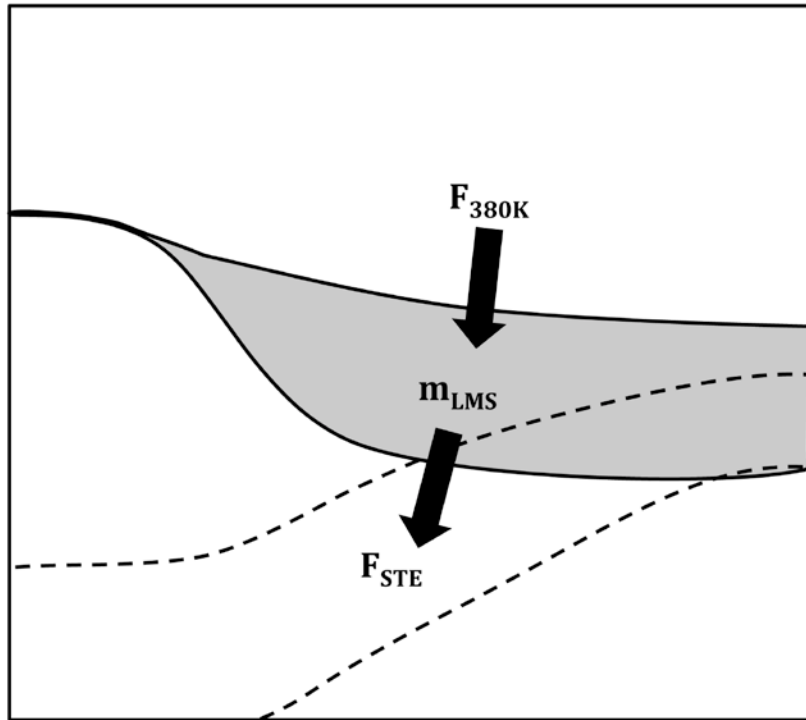
$$\frac{\partial m_{LMS}}{\partial t} = F_{STE} - F_{380K}$$

NO spatial distribution

Lowermost Stratosphere (LMS) STE vs. Isentropic STE

Appenzeller et al., 1996

(a) Budget of lowermost stratosphere



Equator

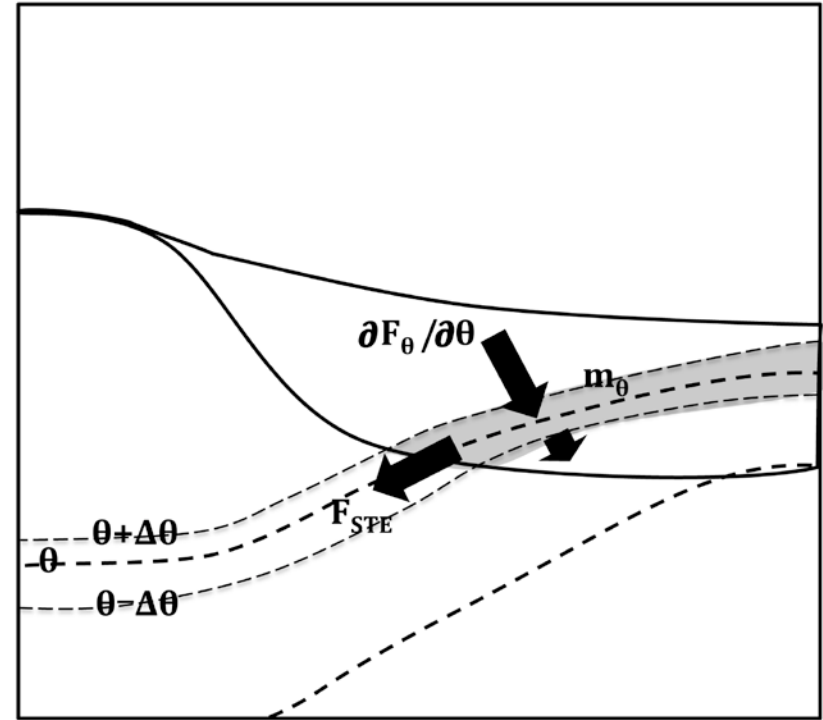
Pole

$$\frac{\partial m_{LMS}}{\partial t} = F_{STE} - F_{380K}$$

NO spatial distribution

Nakamura, 2007

(b) Budget of stratospheric isentrope



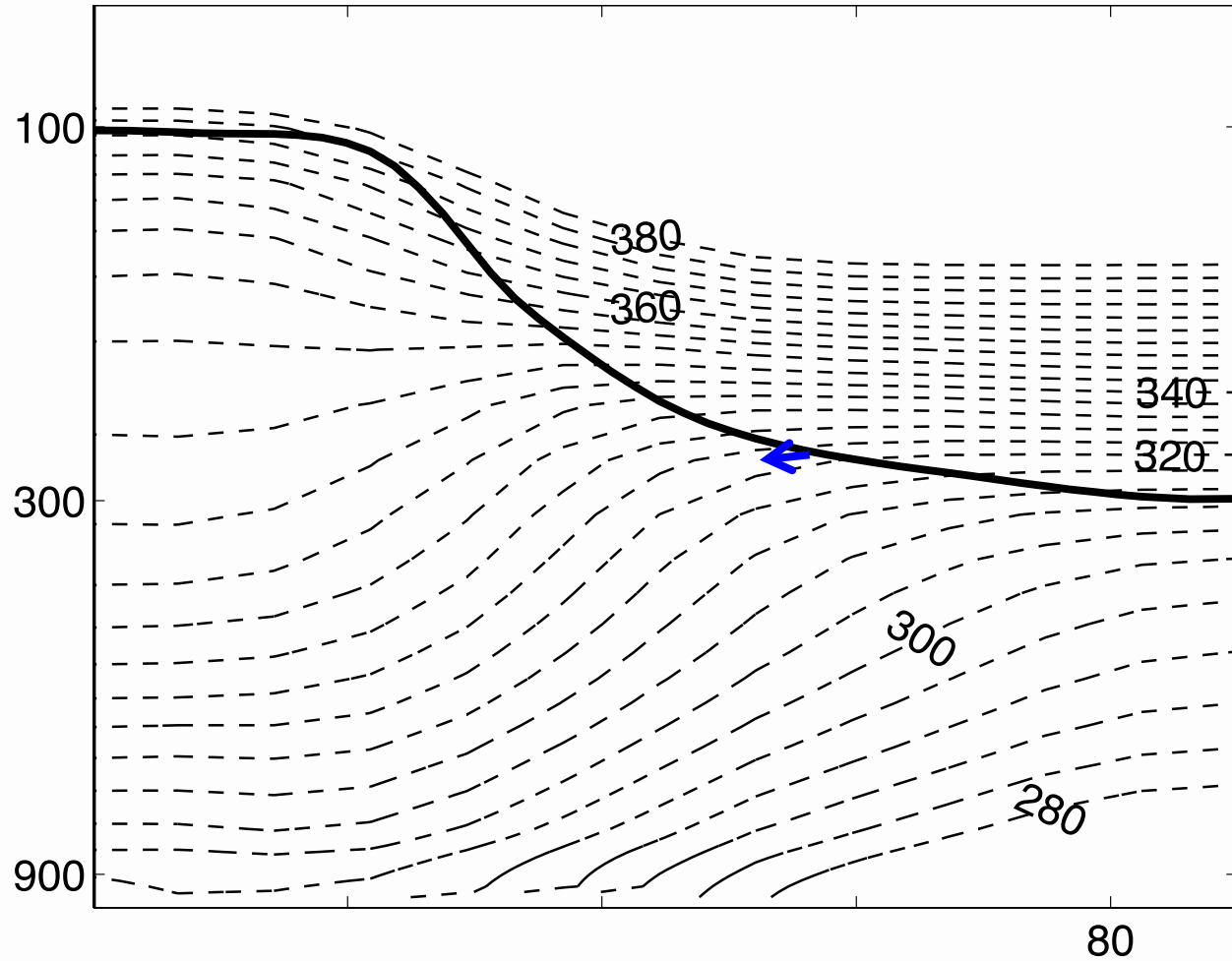
Equator

Pole

$$\frac{\partial m_{\theta}}{\partial t} = F_{STE} - \frac{\partial F_{\theta}}{\partial \theta}$$

spatial distribution **YES**

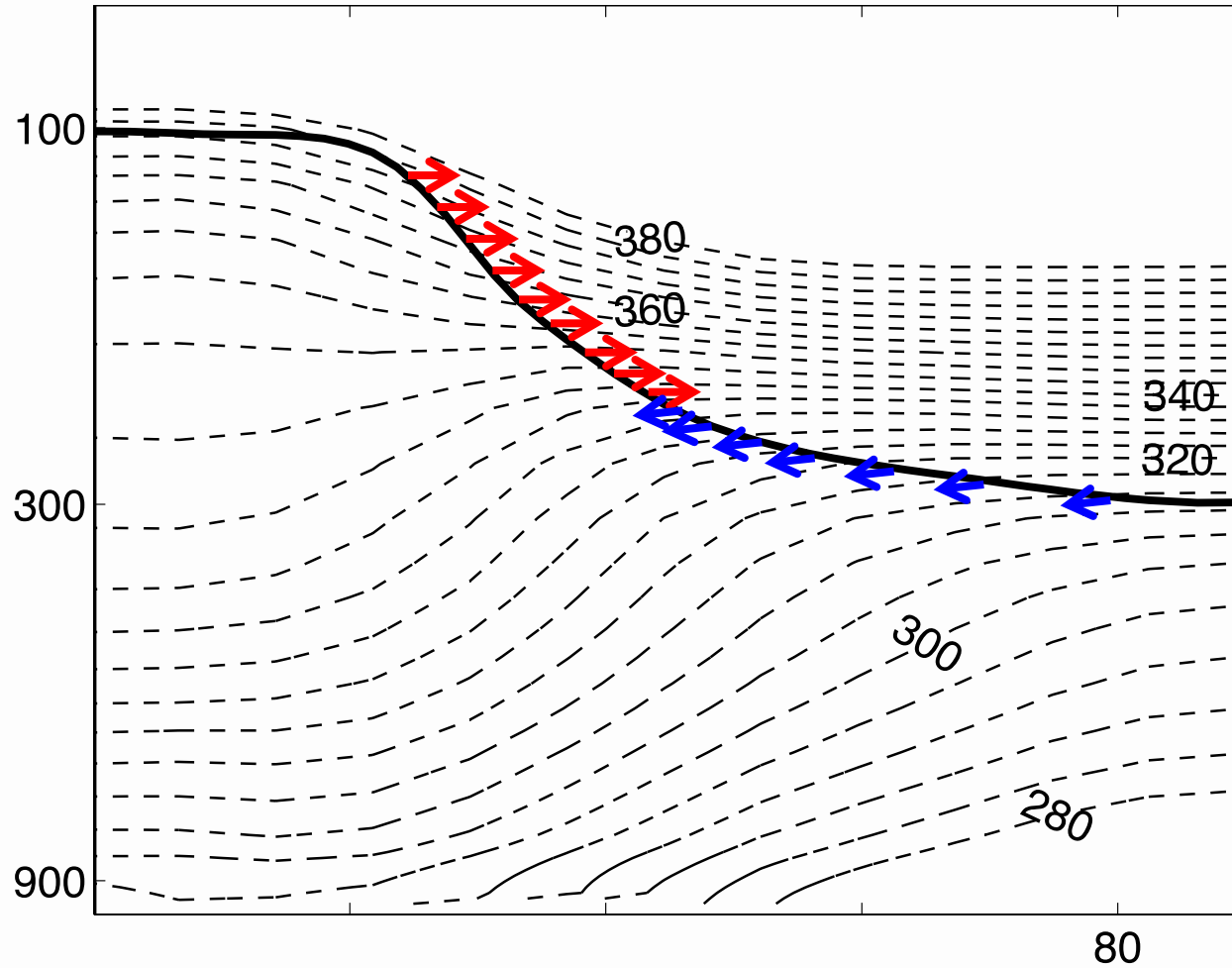
Isentropic STE \leftrightarrow Meridional distribution of STE



Bold – tropopause

Dashed – isentropes

Isentropic STE \leftrightarrow Meridional distribution of STE



High isentropes \leftrightarrow **Low latitudes**

Low isentropes \leftrightarrow **High latitudes**

Air mass STE

$$\frac{\partial m_{\theta}}{\partial t} = F_{STE} - \frac{\partial F_{\theta}}{\partial \theta}$$

isentropic
air mass
tendency

air mass
STE

air mass
diabatic
flux div

Isentropic
ozone
tendency

ozone
STE

ozone
diabatic
flux div

ozone
chemical
tendency

Ozone STE

$$\frac{\partial(\chi m_{\theta})}{\partial t} = F_{STE}^{\chi} - \frac{\partial F_{\theta}^{\chi}}{\partial \theta} + S_{\theta}^{\chi}$$

χ – ozone
mixing ratio

Budget diagnostics: net STE flux

unlike Lagrangian **Trajectory** diagnostics: one-way STE flux

Models

- **WACCM** – Whole Atmosphere Community Climate Model at NCAR
- **CMAM** – Canadian Middle Atmosphere Model at Environment Canada

Models	Hor. Res.	Vert. Res.	Top	Duration	Nudging
SD-WACCM	1.5°×2°	88	0.0006Pa	1991-2009	MERRA
SD-CMAM	3.75°×4°	63	0.07Pa	1981-2010	ERA-interim

Tropopause

- **O3S** – stratospheric ozone
- **e90** – idealized tracer
- **WMO** – lapse rate
- **3PVU** – potential vorticity

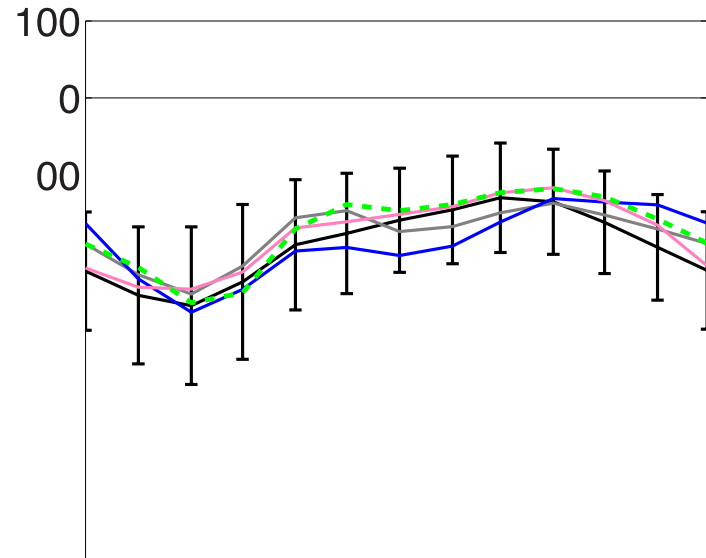
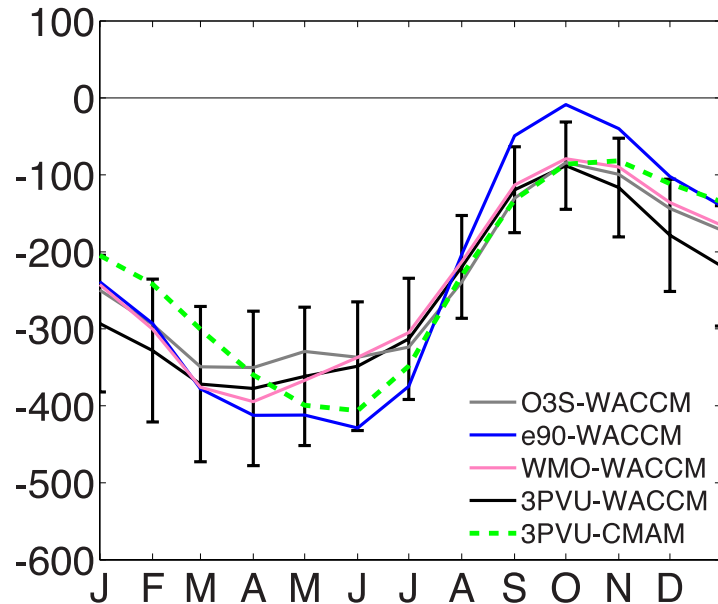
LMS STE

LMS STE

The global ozone STE is **410 ~ 450 Tg/yr** from stratosphere to troposphere (in both models and for all tropopauses).

LMS STE

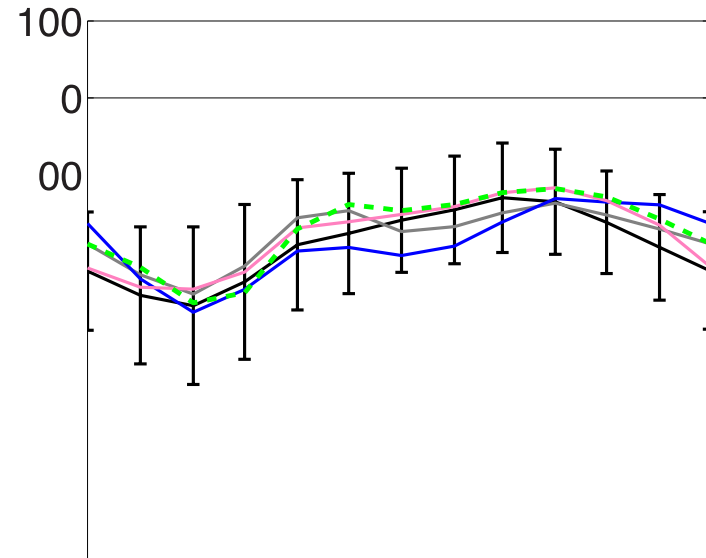
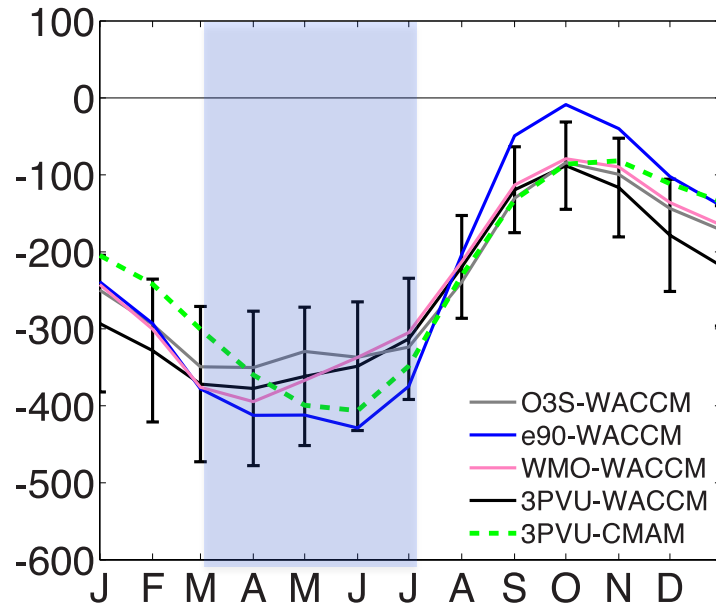
The global ozone STE is **410 ~ 450 Tg/yr** from stratosphere to troposphere (in both models and for all tropopauses).



Positive – troposphere to stratosphere Negative – stratosphere to troposphere

LMS STE

The global ozone STE is **410 ~ 450 Tg/yr** from stratosphere to troposphere (in both models and for all tropopauses).



Positive – troposphere to stratosphere Negative – stratosphere to troposphere

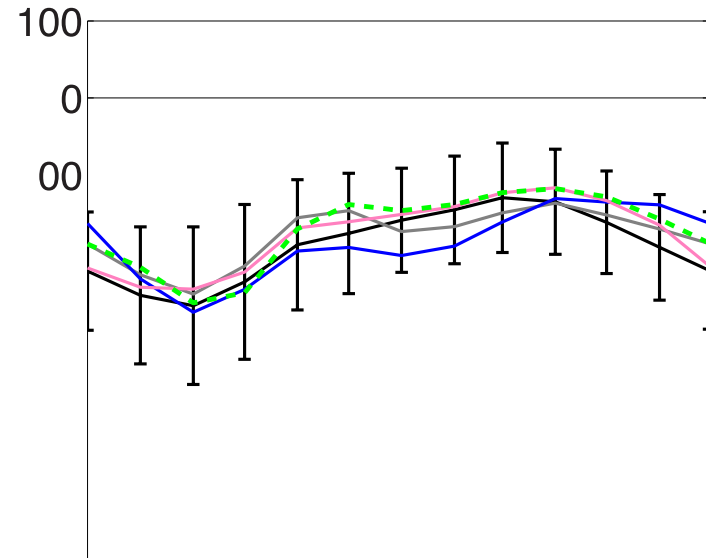
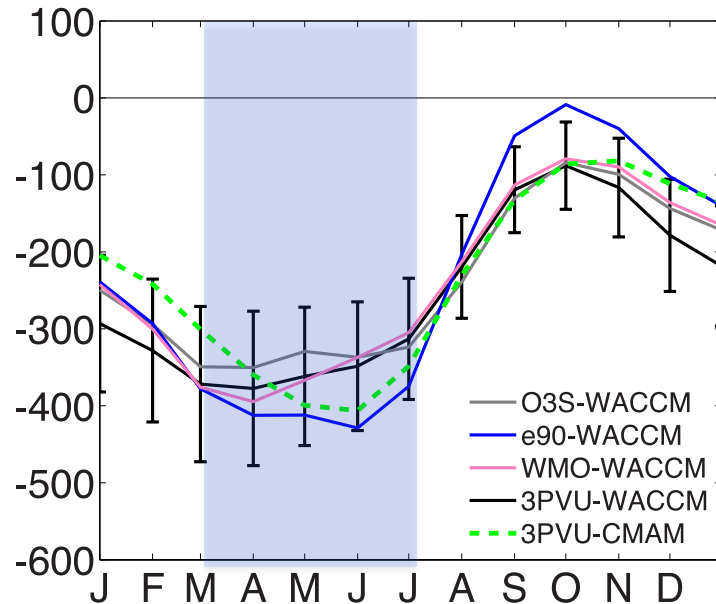
NH - maximum in late spring and early summer

SH – much less seasonality, **focus on NH hereafter**

LMS STE

Consistent with previous works

The global ozone STE is **410 ~ 450 Tg/yr** from stratosphere to troposphere (in both models and for all tropopauses).

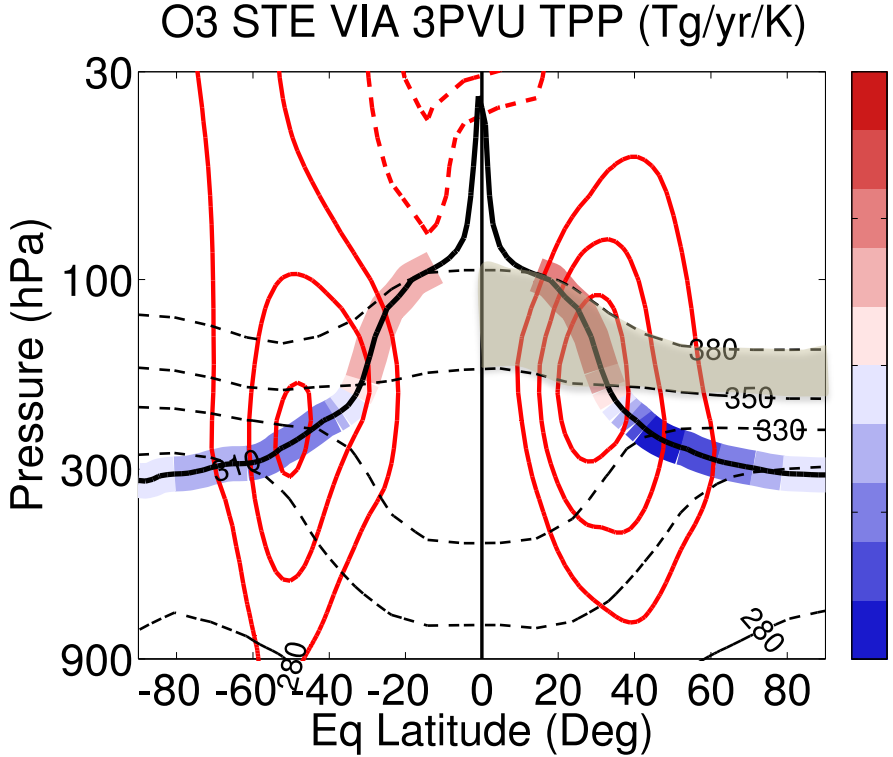


Positive – troposphere to stratosphere Negative – stratosphere to troposphere

NH - maximum in late spring and early summer

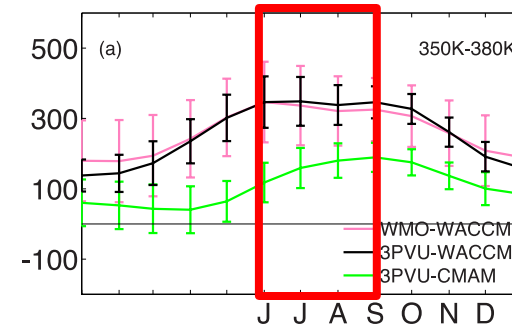
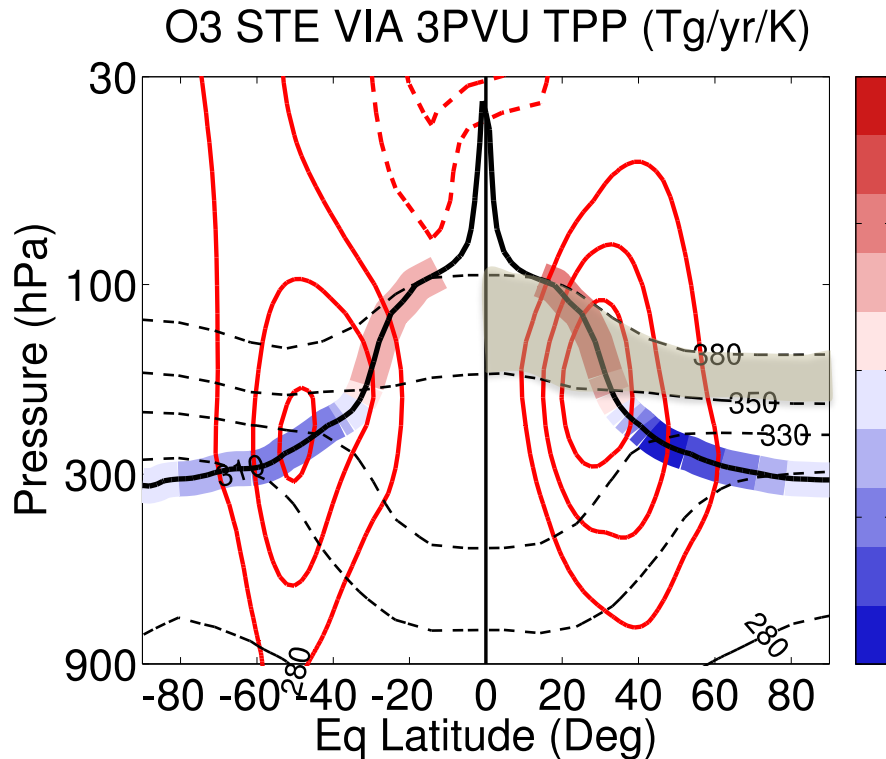
SH – much less seasonality, **focus on NH hereafter**

Meridional Structure of Ozone STE



Subtropical region (350K-380K):

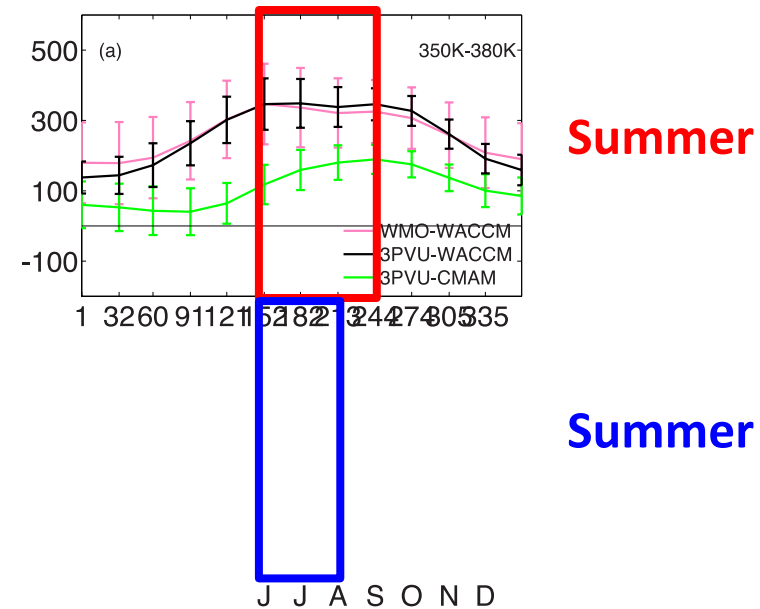
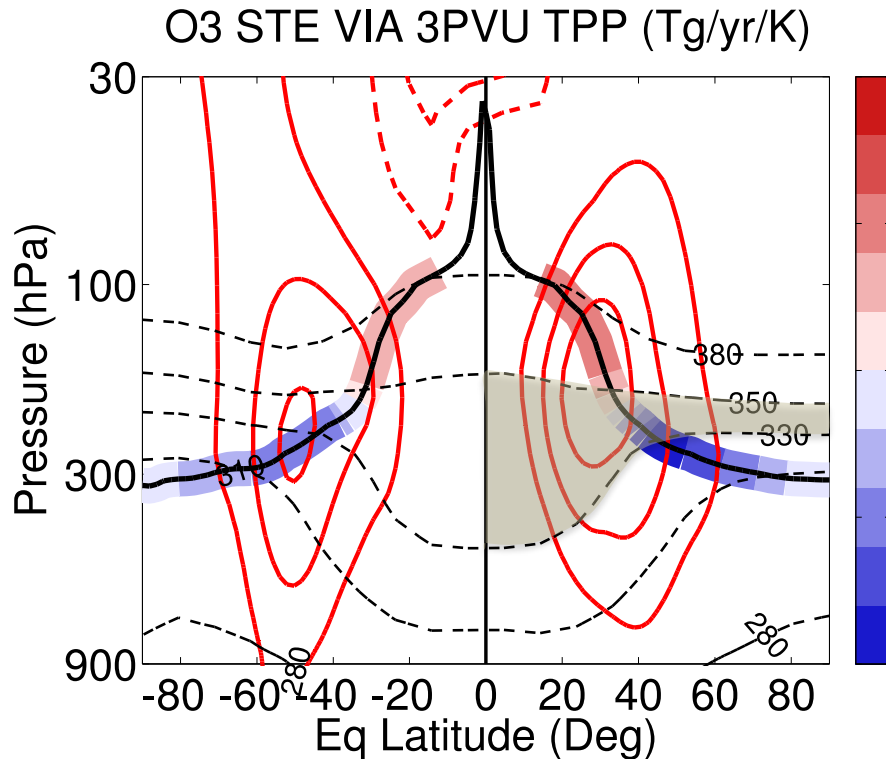
Meridional Structure of Ozone STE



Summer

Subtropical region (350K-380K):
Troposphere-to-stratosphere ozone STE,
with maximum in summer.

Meridional Structure of Ozone STE

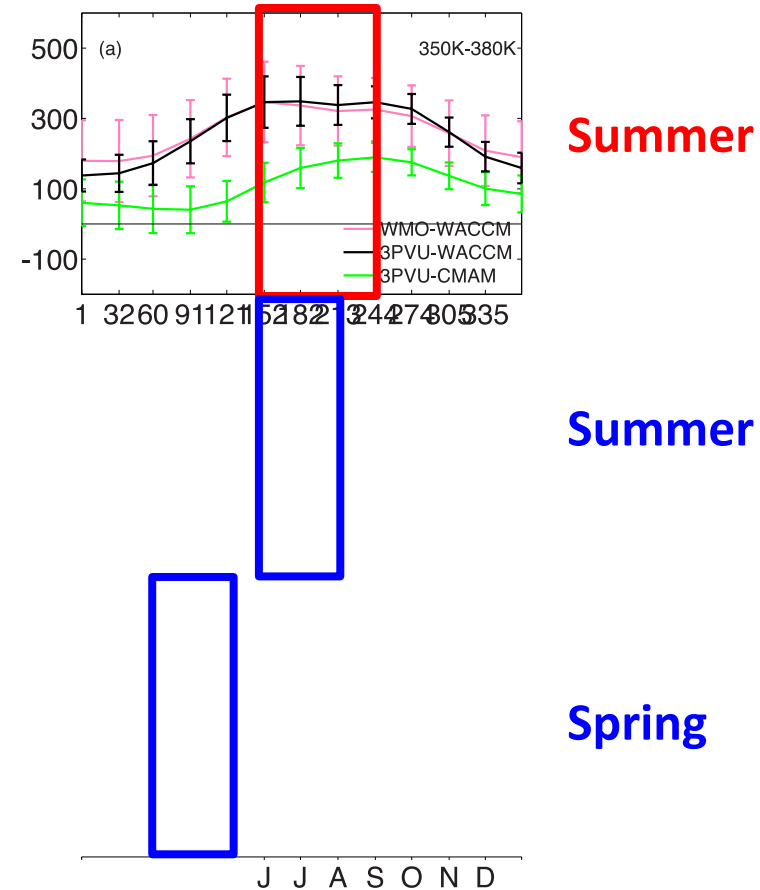
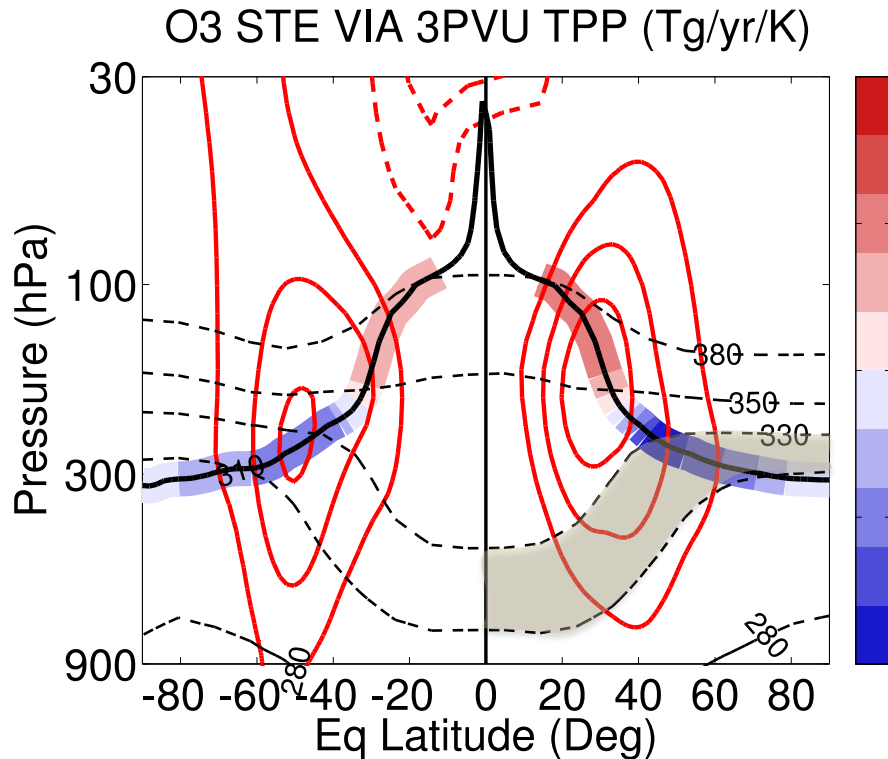


Core of jet (330K-350K):

Stratosphere-to-troposphere ozone STE,
with maximum in summer;

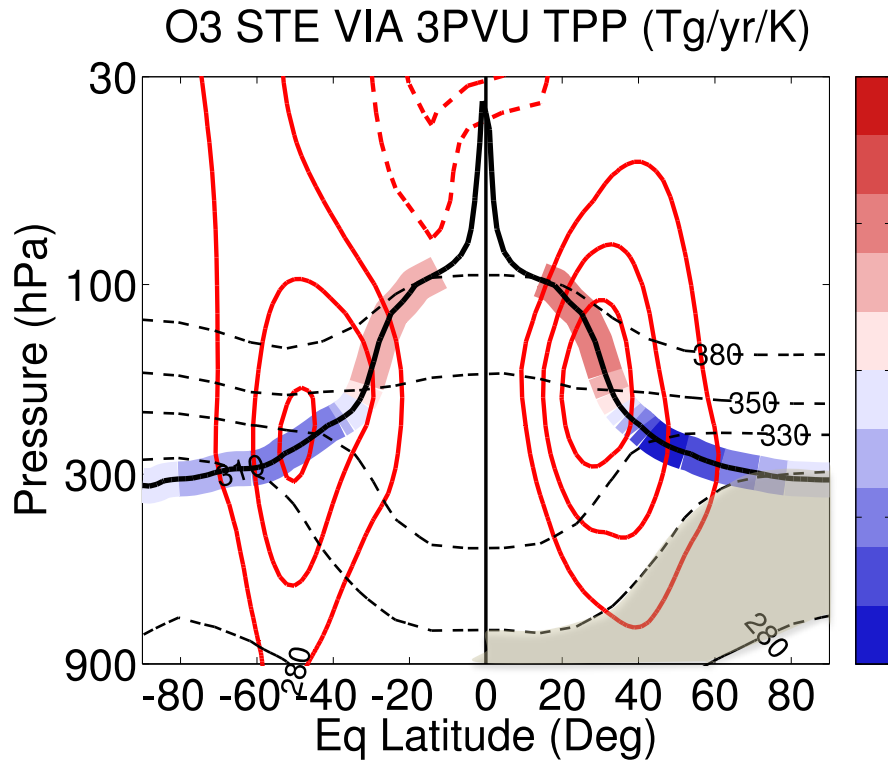
Cancel greatly with the subtropical
troposphere-to-stratosphere ozone STE.

Meridional Structure of Ozone STE

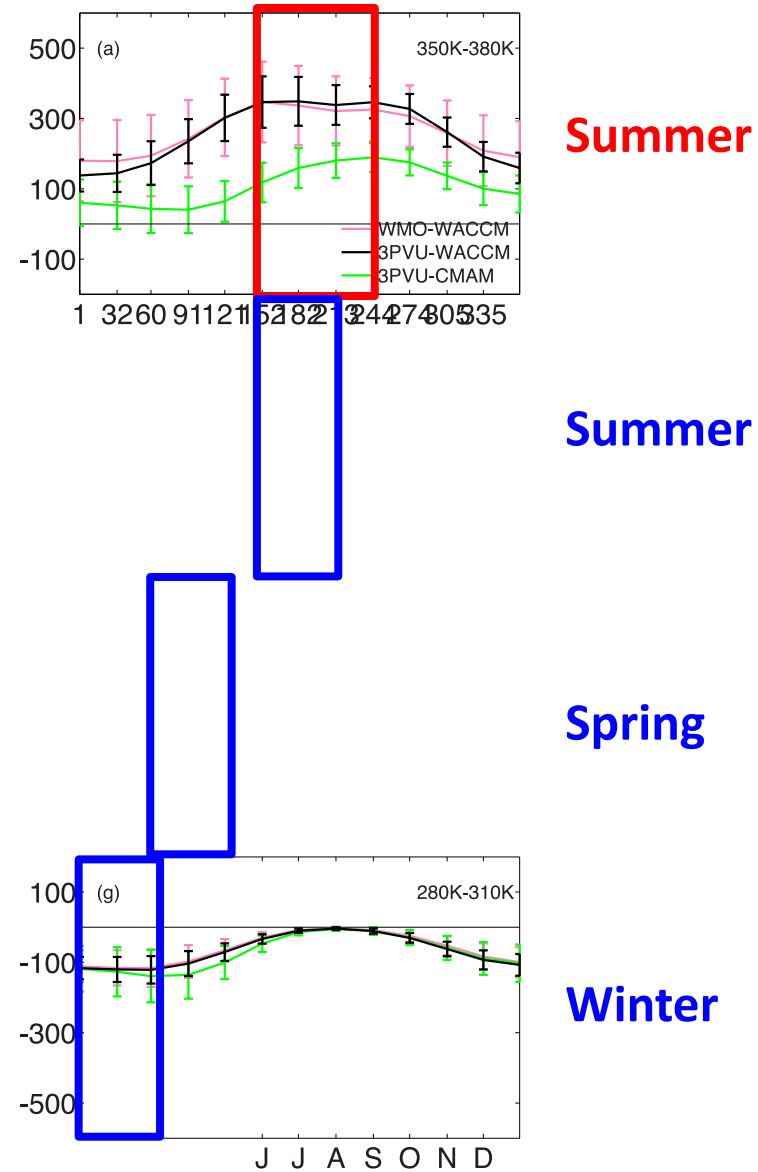


Poleward edge of jet (310K-330K):
 Stratosphere-to-troposphere ozone STE,
 with maximum in spring;
 Largest contribution to the NH ozone STE
 budget.

Meridional Structure of Ozone STE

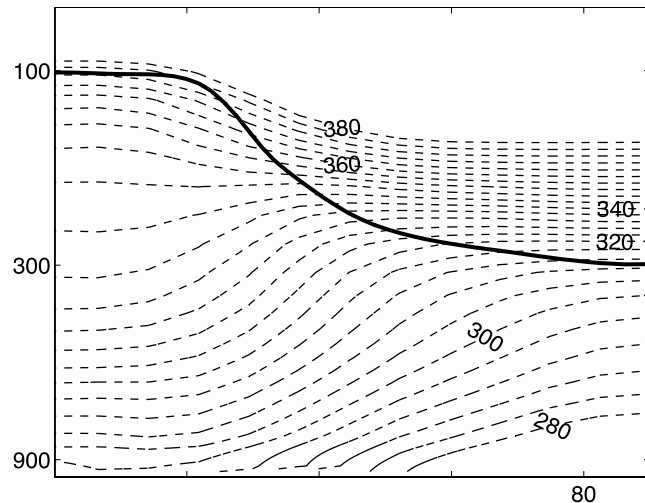


Polar region (280K-310K):
 Stratosphere-to-troposphere ozone STE,
 with maximum in winter;
 Much smaller in magnitude -> few
 stratospheric ozone can reach the surface.



Meridional Structure of Ozone STE

(subtropics)



(pole)

Bold – tropopause

Dashed – isentropes

Colors – isentropic ozone STE

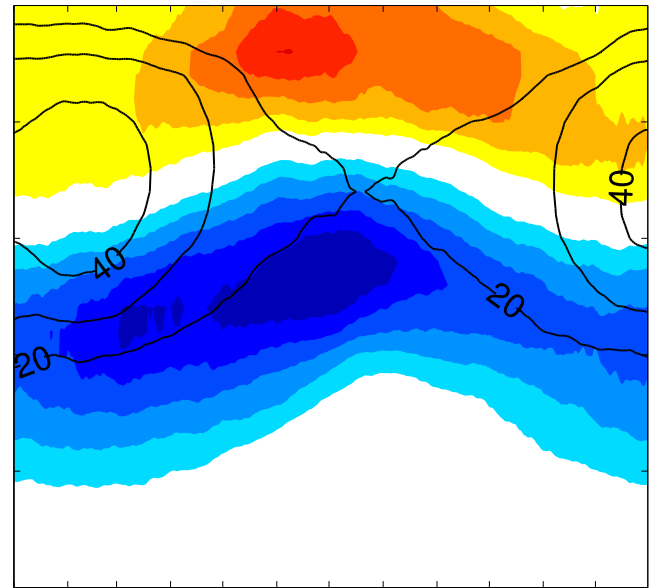
Contours – zonal wind

- Troposphere-to-stratosphere (upward) ozone transport in subtropics, stratosphere-to-troposphere (downward) ozone transport in extratropics.
- Maximum of downward ozone STE situates at the poleward edge of the jet, and moves seasonally with the jet.

Insensitive to choice of tropopause

WACCM-3PVU

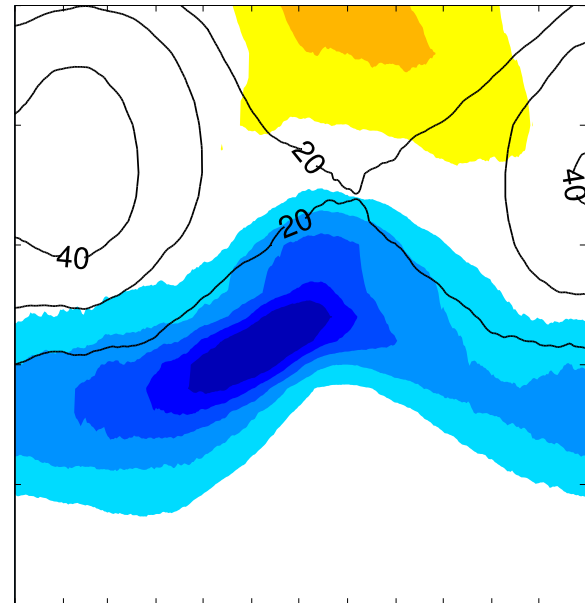
WACCM-WMO



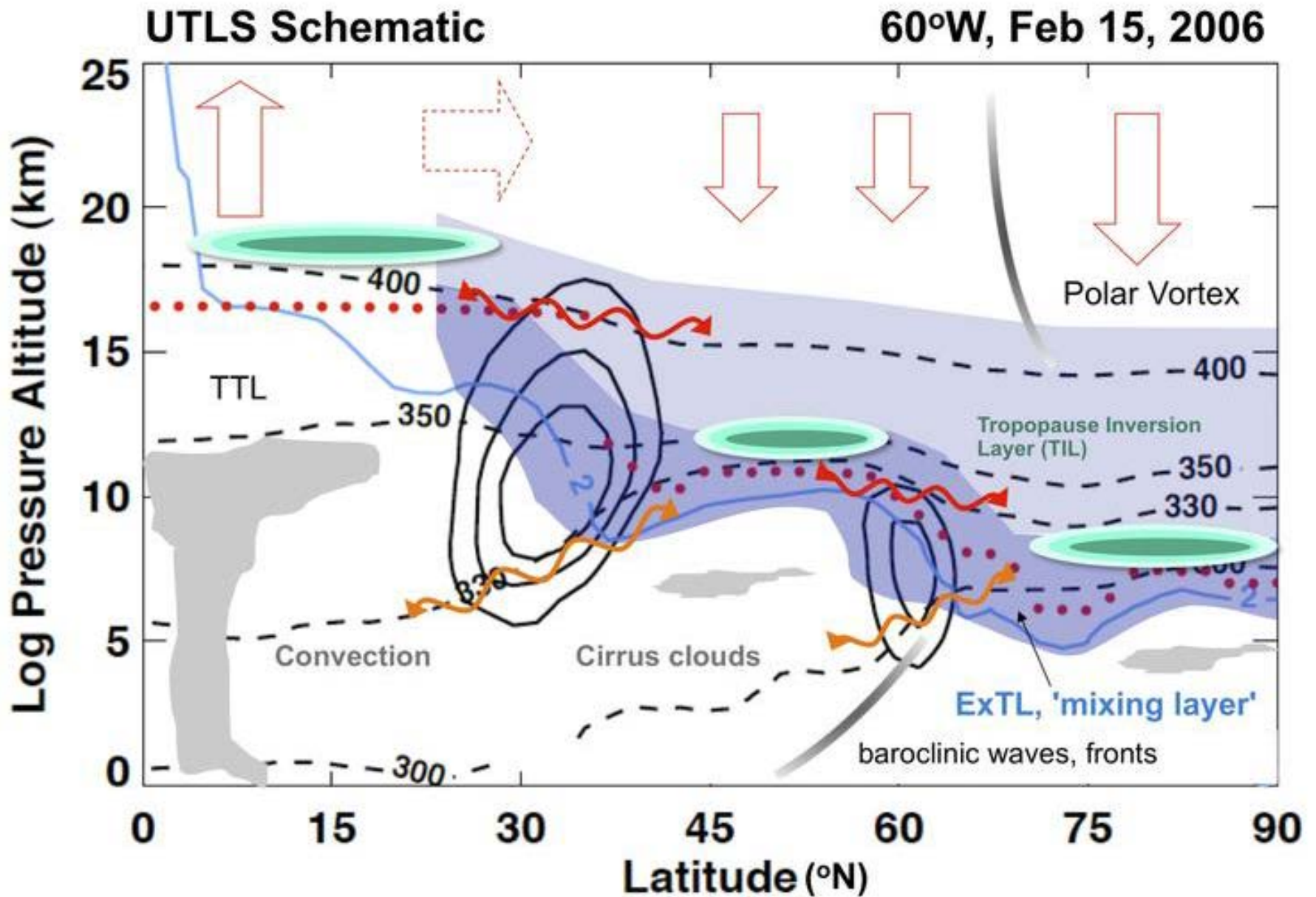
Insensitive to choice of model

WACCM-3PVU

CMAM-3PVU



Processes affecting STE



Dynamic Partition

For PV tropopause Q ,

$$F_{STE} = -\frac{\partial M(\dot{q})}{\partial Q} \approx -\dot{Q} \frac{\partial m}{\partial Q}$$

Nakamura, 2007

Dynamic Partition

For PV tropopause Q ,

PV tendency

mass gradient

$$F_{STE} = -\frac{\partial M(\dot{q})}{\partial Q} \approx -\dot{Q} \frac{\partial m}{\partial Q}$$

Nakamura, 2007

Dynamic Partition

For PV tropopause Q,

PV tendency mass gradient

$$F_{STE} = -\frac{\partial M(\dot{q})}{\partial Q} \approx -\dot{Q} \frac{\partial m}{\partial Q}$$

Nakamura, 2007

Similar to the advective Eulerian meridional air mass flux

$$F_y = v \frac{\partial m}{\partial y} = \dot{y} \frac{\partial m}{\partial y}$$

by replacing the Eulerian coordinate into PV coordinate

Dynamic Partition

For PV tropopause Q ,

PV tendency mass gradient

$$F_{STE} = -\frac{\partial M(\dot{q})}{\partial Q} \approx -\dot{Q} \frac{\partial m}{\partial Q}$$

Nakamura, 2007

Similar to the advective Eulerian meridional air mass flux

$$F_y = v \frac{\partial m}{\partial y} = \dot{y} \frac{\partial m}{\partial y}$$

by replacing the Eulerian coordinate into PV coordinate

$$F_{STE} = -\frac{\partial M(\dot{q})}{\partial Q}$$

Air mass flux across the PV tropopause is caused by the changes in the tropopause itself (PV tendency)

Dynamic Partition

$$F_{STE} = -\frac{\partial M(\dot{q})}{\partial Q}$$

For PV tropopause tendency,

$$\dot{q} = \dot{q}_K + \dot{q}_S$$

Isentropic PV
Mixing

Diabatic PV
Source

Dynamic Partition

$$F_{STE} = -\frac{\partial M(\dot{q})}{\partial Q}$$

For PV tropopause tendency,

$$\dot{q} = \dot{q}_k + \dot{q}_s$$

Isentropic PV Diabatic PV
Mixing Source

$$F_{STE}^x = F_{mix}^x + F_{dia}^x$$

The diagram illustrates the decomposition of the total PV tendency \dot{q} into two components: \dot{q}_k (Isentropic PV Mixing) and \dot{q}_s (Diabatic PV Source). Red arrows point from the text labels to the terms in the equation above. Below, the corresponding force terms are shown: $F_{STE}^x = F_{mix}^x + F_{dia}^x$. Red arrows also point from the text labels to the terms in this equation.

where $F_{dia}^x = -\frac{\partial M(\chi \dot{q}_s)}{\partial Q}$
and $\dot{q}_s = \frac{q}{\sigma} \frac{\partial}{\partial \theta} (\sigma \dot{\theta})$

Dynamic Partition

$$F_{STE} = -\frac{\partial M(\dot{q})}{\partial Q}$$

For PV tropopause tendency,

$$\dot{q} = \dot{q}_K + \dot{q}_S$$

Isentropic PV Mixing Diabatic PV Source

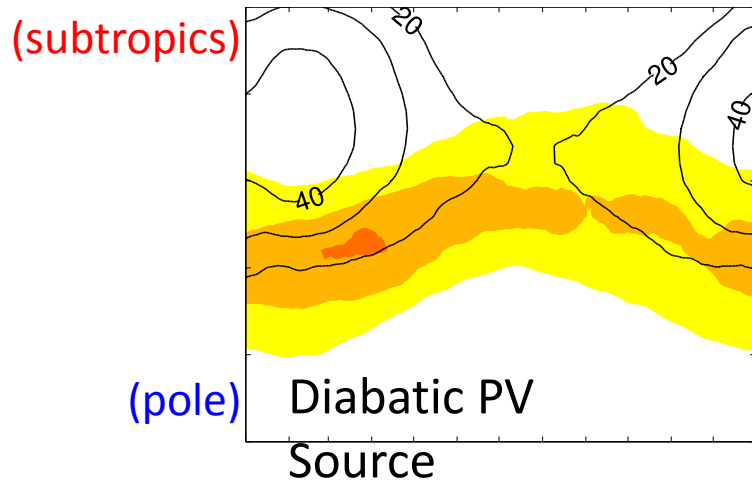
$$F_{STE}^x = F_{mix}^x + F_{dia}^x$$

where $F_{dia}^x = -\frac{\partial M(\chi \dot{q}_S)}{\partial Q}$

and $\dot{q}_S = \frac{q}{\sigma} \frac{\partial}{\partial \theta} (\sigma \dot{\theta})$

residual component

directly calculated



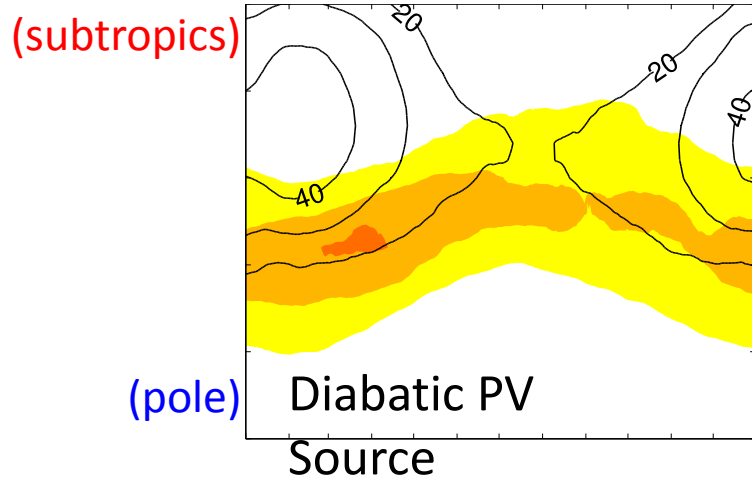
Diabatic PV source:
troposphere-to-stratosphere
transport of ozone

(subtropics)

Isentropic PV mixing:
stratosphere-to-troposphere
transport of ozone

(pole) Isentropic Mixing

Colors – ozone STE component
Contours – zonal wind



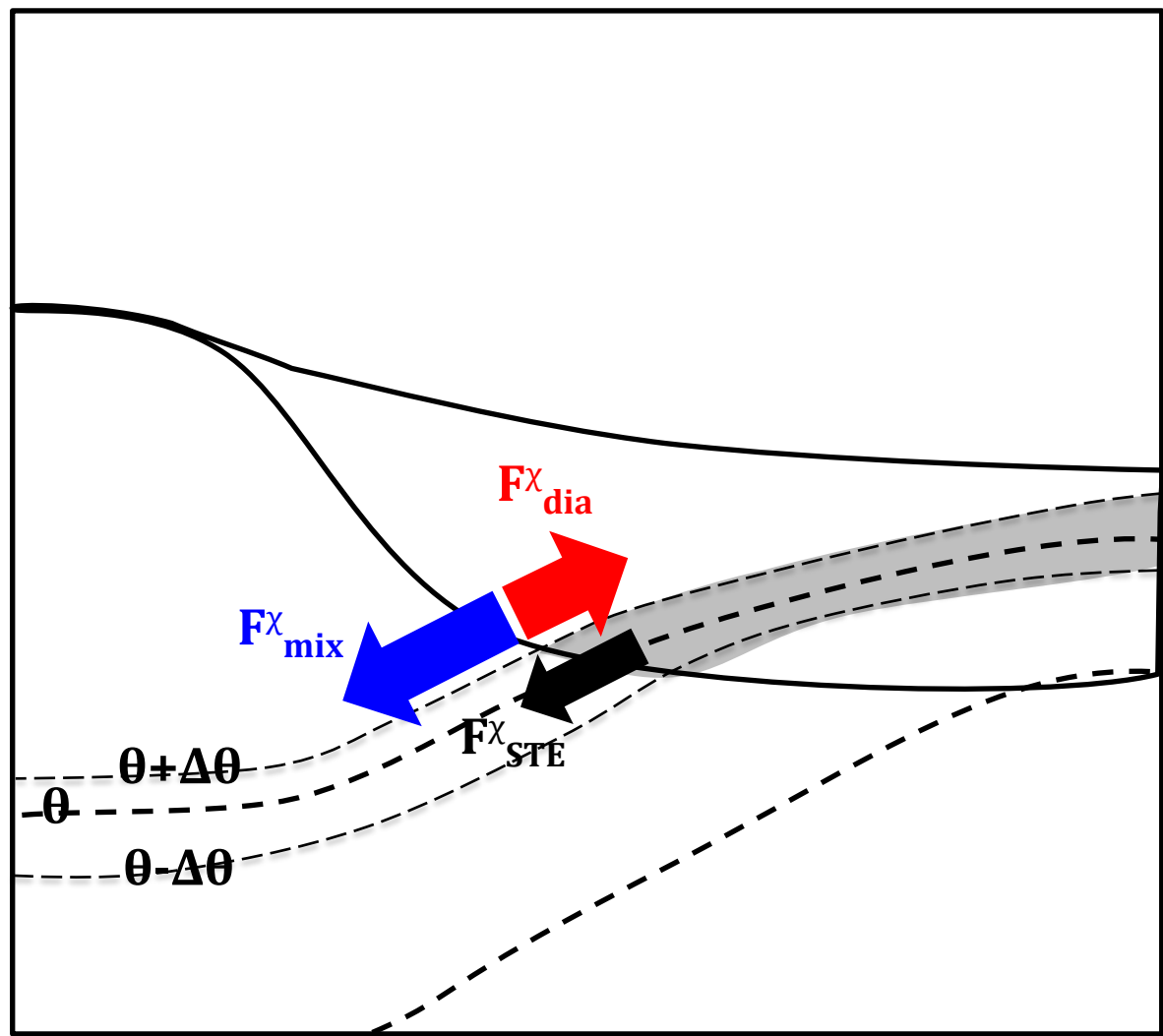
Net

(subtropics)

(pole) Isentropic Mixing

Colors – ozone STE component
Contours – zonal wind

Large cancellation between ozone STE associated with isentropic mixing and ozone STE associated with diabatic PV source, but the former slightly overwhelms.

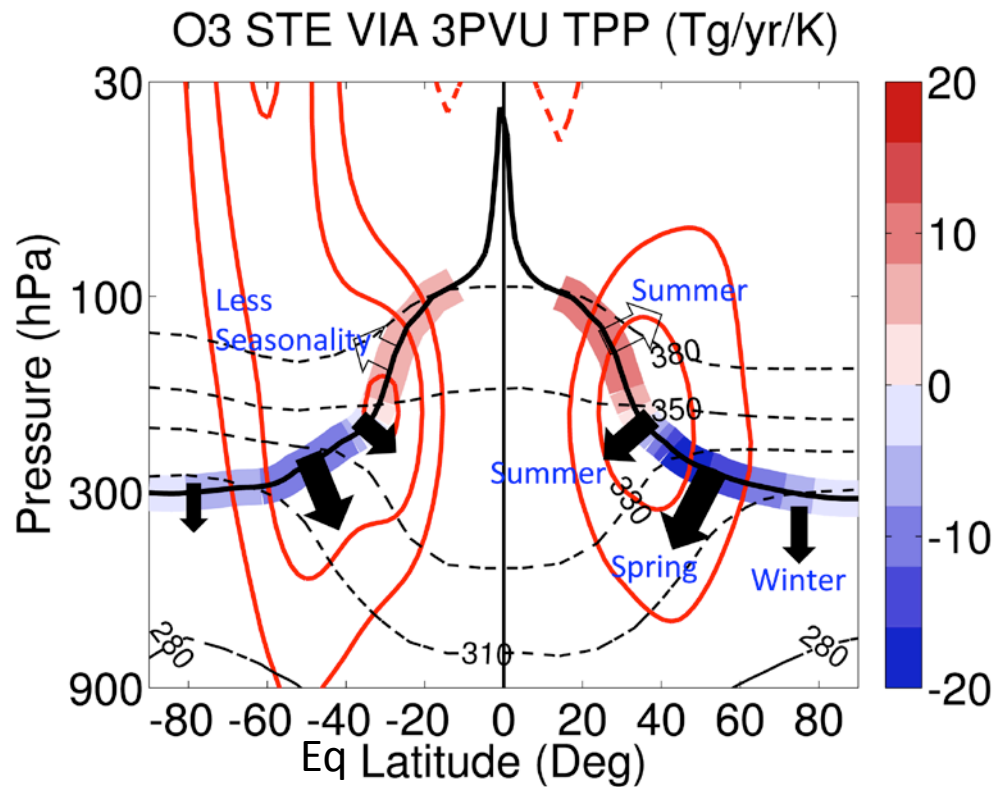


Equator

Pole

Summary

- Different isentropic (meridional) regions correspond to ozone STE with different direction, magnitude, and seasonality.



Summary

- The strongest ozone STE occurs on the poleward flank of the tropospheric jet and shifts seasonally with the jet.

Summary

- Diabatic heating induces troposphere-to-stratosphere ozone STE, while isentropic mixing induces mostly stratosphere-to-troposphere ozone STE. The latter overwhelms slightly over the former.

