

## Can we bet on negative emissions to stabilize at 2°C even under strong carbon cycle feedbacks?

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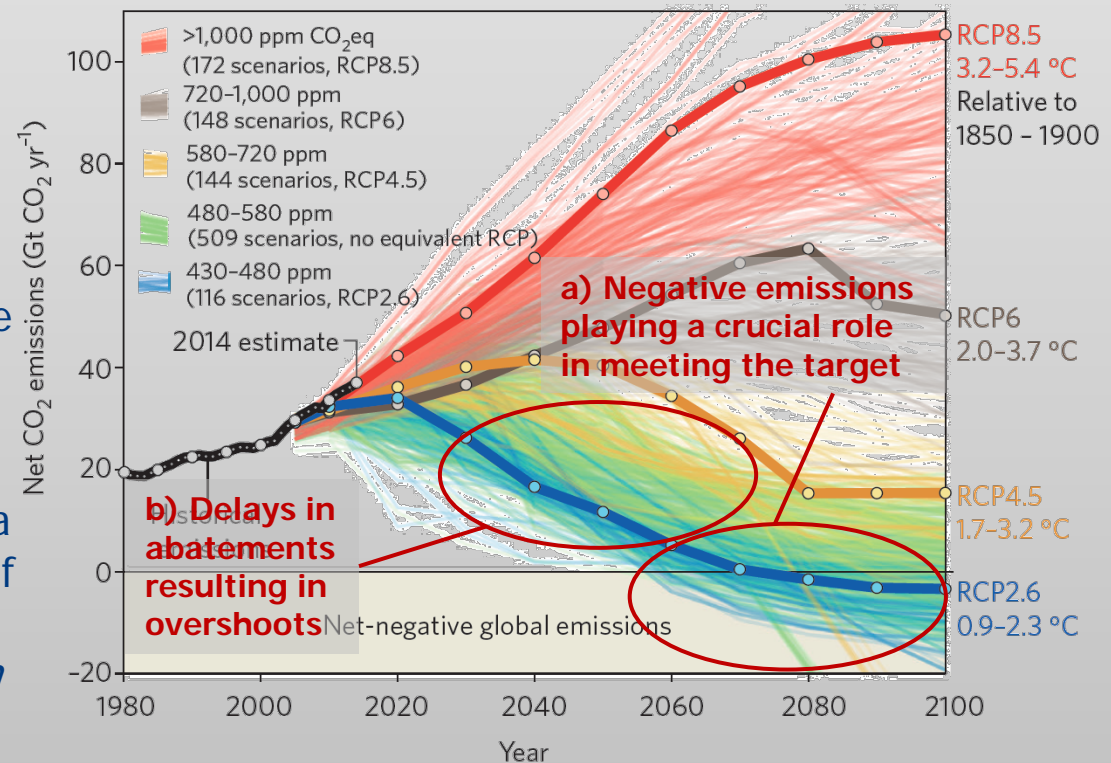
*Contributed by* **Yoshiki Yamagata, Daniel Johansson, Tokuta Yokohata  
Seita Emori, Tatsuya Hanaoka**

SDWG, Boulder, Colorado, US (8 February 2016)

# INTRODUCTION

## Are we on a pathway toward the 2°C stabilization target?

- 2°C stabilization target endorsed by the Paris Agreement
- Narrowing windows of opportunities to stay below 2°C due to the insufficient INDC pledges
- 2°C stabilization would be more feasible if:
  - a. Negative emission technologies are deployable at a sufficiently large scale, and
  - b. Overshoot does not entail a high risk of reinforcing itself through carbon cycle feedbacks (→ *addressed in this study*).



After Fuss et al. (2014, *Nature Climate Change*)

# INTRODUCTION

## Research questions

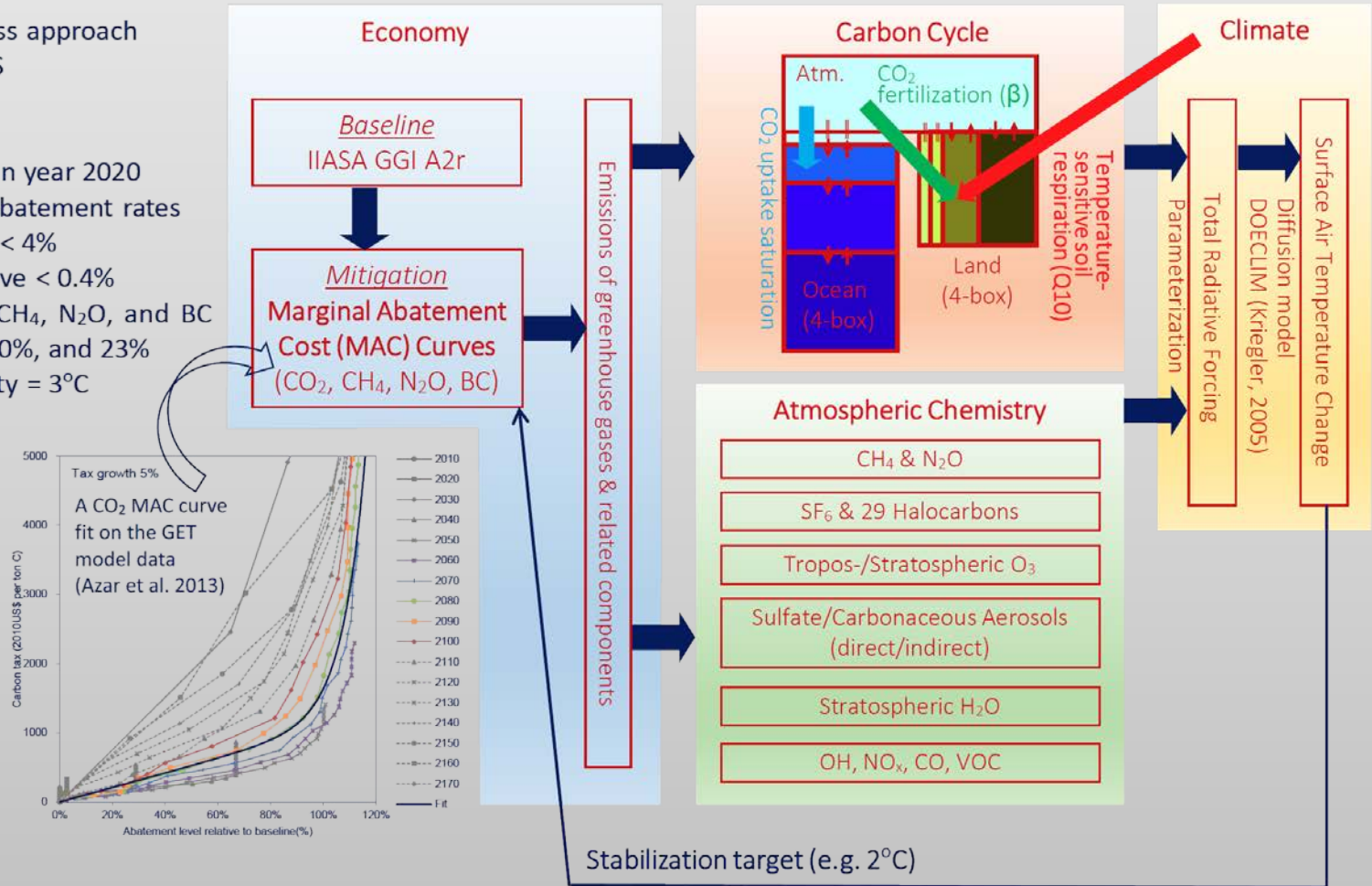
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- **Is it a good strategy to bet on negative emissions to the 2°C target?**
- How are 2°C overshoot pathways and mitigation costs influenced by carbon cycle feedbacks?

# METHOD

## Setup to compute least-cost stabilization pathways (ACC2 model)

- Cost-effectiveness approach
- Written in GAMS
- 4% discount rate
- Mitigation start in year 2020
- Constraints for abatement rates
  - First derivative < 4%
  - Second derivative < 0.4%
  - Max. for CO<sub>2</sub>, CH<sub>4</sub>, N<sub>2</sub>O, and BC = 112%, 70%, 50%, and 23%
- Climate sensitivity = 3°C

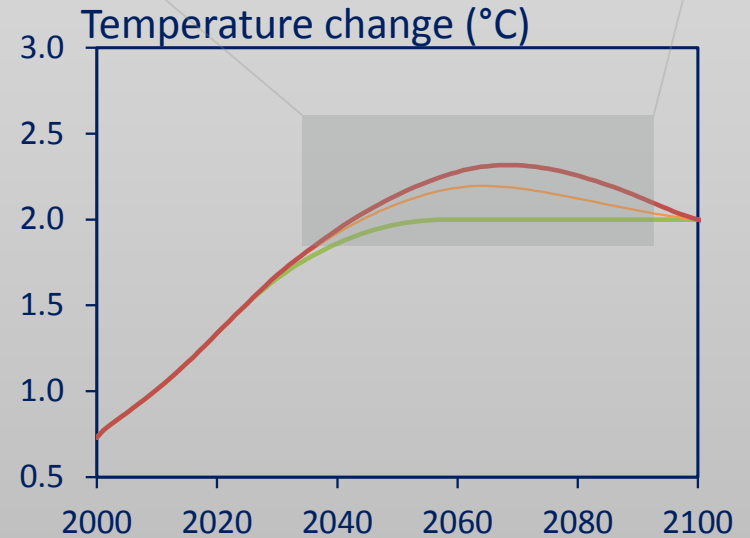
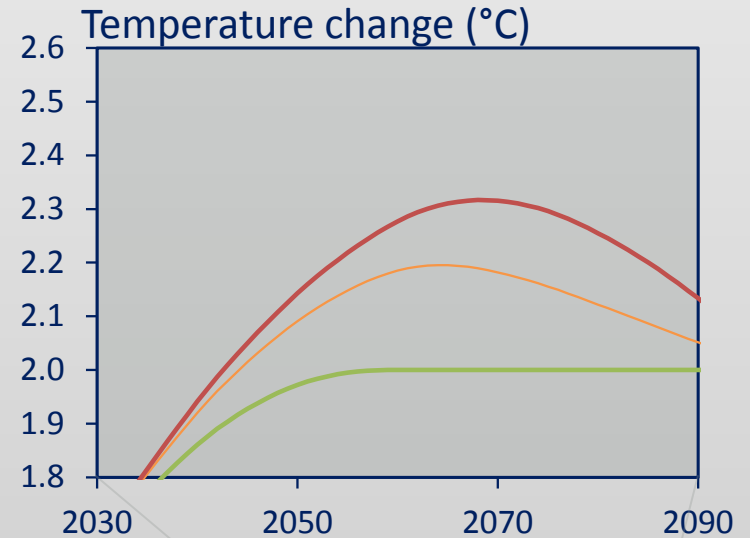
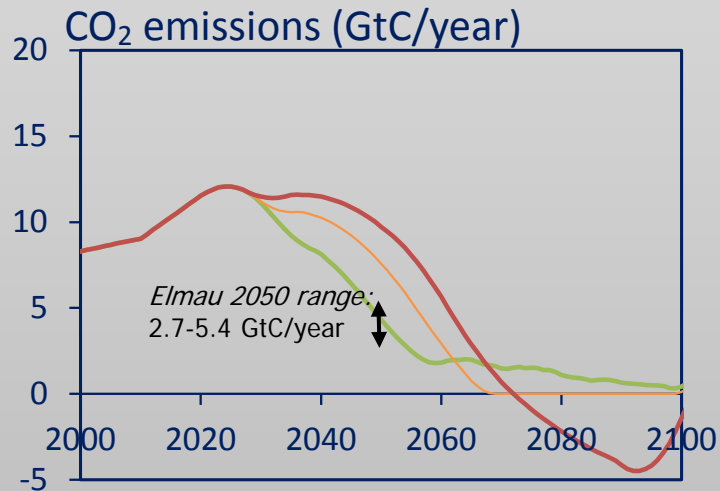


Tanaka, Johansson, O'Neill, Fuglestvedt (2013, *Climatic Change*)

# RESULTS I

## Characteristics for overshoot pathways (no carbon cycle feedbacks)

- I) Non-OS
- II) OS, w/o negative emissions
- III) OS

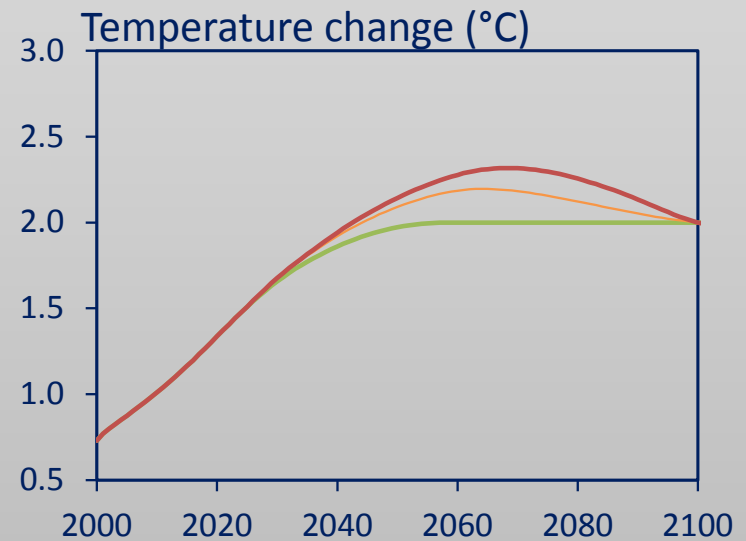
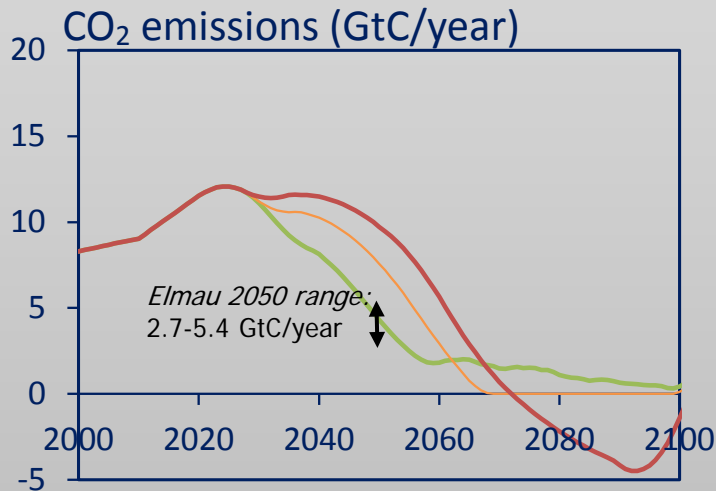


# RESULTS I

## Characteristics for overshoot pathways (no carbon cycle feedbacks)

CONSEQUENCES							
Cumulative CO <sub>2</sub> emissions till 2100 (GtC)	Costs till 2100 (trillion US\$)					Intergenerational equity ratio (after 2050/till 2050)	
	CO <sub>2</sub>	N <sub>2</sub> O	CH <sub>4</sub>	BC	Total	Cumulative CO <sub>2</sub> emissions	Annual costs
542	88.8%	1.3%	9.5%	0.3%	36.1	71.1/471	1.78
573	88.6%	1.8%	9.4%	0.2%	32.1	59.9/513	3.19
566	90.0%	1.5%	8.4%	0.2%	30.3	26.6/540	4.76

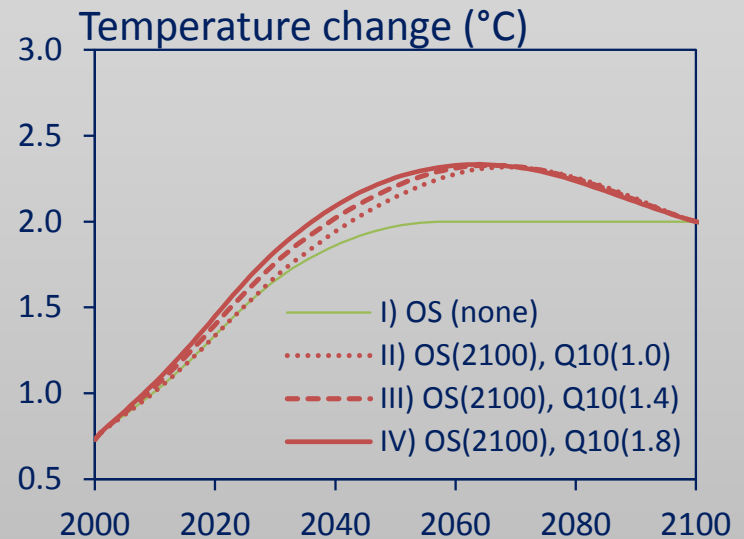
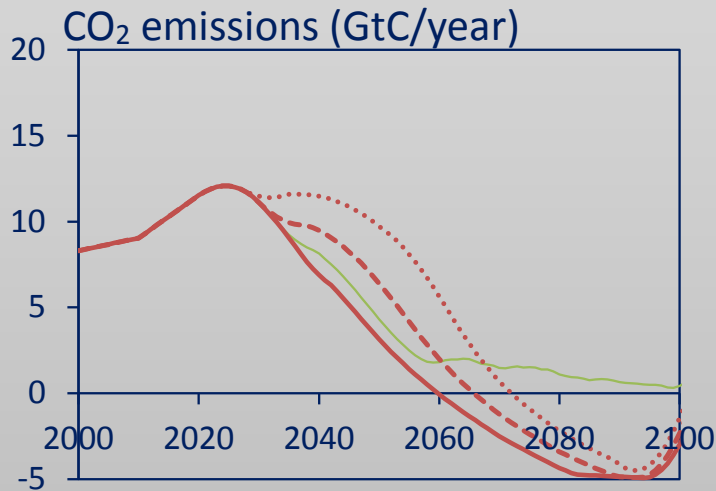
- I) Non-OS
- II) OS, w/o negative emissions
- III) OS



# RESULTS II

## Influences of carbon cycle feedbacks on the pathways and costs

Cumulative CO <sub>2</sub> emissions till 2100 (GtC)	Costs till 2100 (trillion US\$)					Intergenerational equity ratio (after 2050/till 2050)			
	CO <sub>2</sub>	N <sub>2</sub> O	CH <sub>4</sub>	BC	Total	Cumulative CO <sub>2</sub> emissions	CH <sub>4</sub> costs fraction	Annual costs	
— 542	88.8%	1.3%	9.5%	0.3%	36.1	71.1/471	9.9%/8.4%	1.78	— I) OS (none)
⋯ 566	90.0%	1.5%	8.4%	0.2%	30.3	26.6/540	8.9%/3.9%	4.76	⋯ II) OS(2100), Q10(1.0)
- - - 429	90.2%	1.5%	8.0%	0.2%	40.4	(-68.2)/497	8.9%/3.7%	3.24	- - - III) OS(2100), Q10(1.4)
— 326	90.2%	1.6%	8.0%	0.2%	50.7	(-128.6)/455	9.1%/3.6%	2.34	— IV) OS(2100), Q10(1.8)



## RESULTS III

### Can we bet on negative emissions to stabilize the warming at 2°C?

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- We actually do not know how strong the carbon cycle feedbacks can be.
- To plan a pathway now, we need to assume a certain feedback strength.
- Then our course of action will be adjusted as the reality unfolds.
- We formulate this problem as a *learning* exercise below.

CASES	Q10		
	<i>Assumed estimate</i>	<i>True estimate</i>	<i>Correction in 2050</i>
I	1.0	1.0	No
II	1.0	1.4	No
III	1.0	1.4	Yes
IV	1.0	1.8	No
V	1.0	1.8	Yes

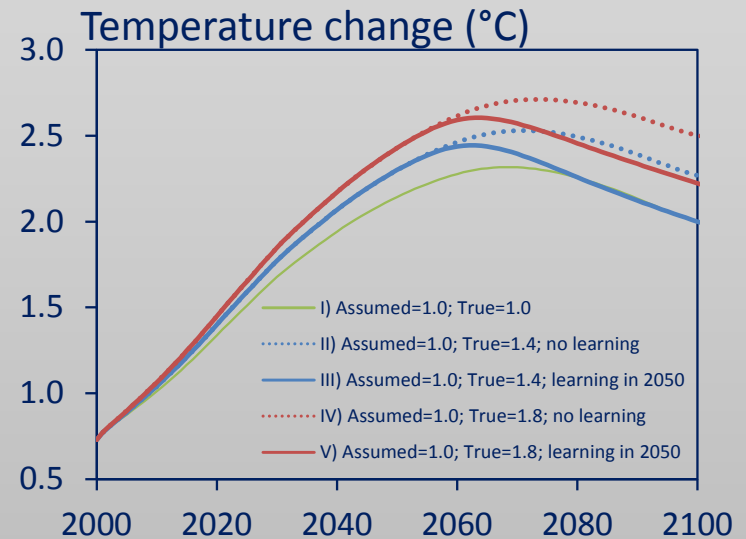
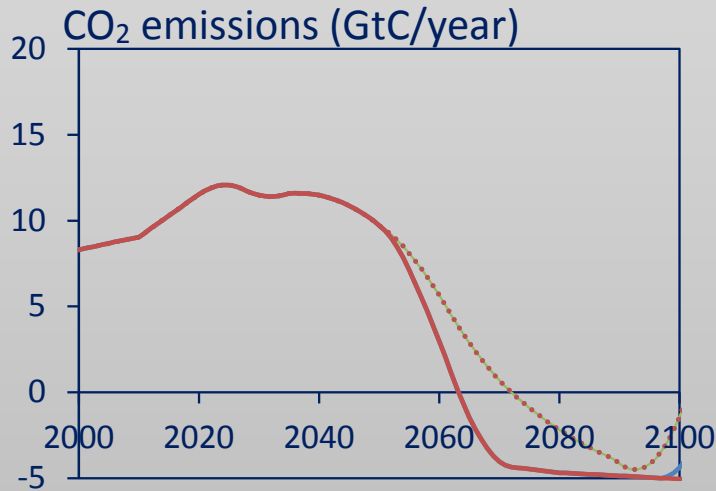


# RESULTS III

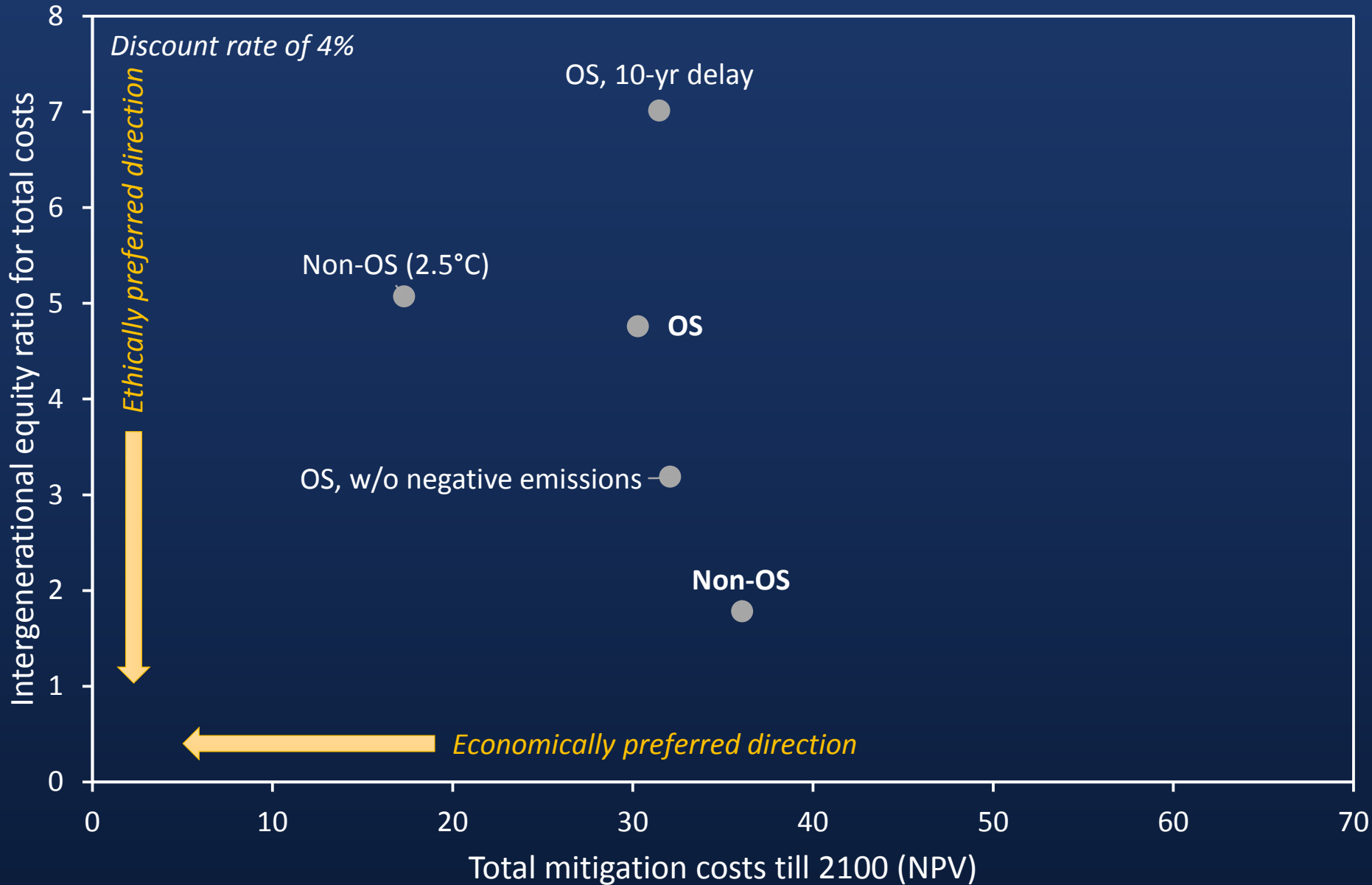
## Can we bet on negative emissions to stabilize the warming at 2°C?

Cumulative CO <sub>2</sub> emissions till 2100 (GtC)	Costs till 2100 (trillion US\$)					Intergenerational equity ratio (after 2050/till 2050)		
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—	—	—	—	—	—	—	—	—
449	84.4%	2.9%	12.5%	0.1%	43.4	(-90.5)/540	13.2%/3.9%	7.09
—	—	—	—	—	—	—	—	—
448	84.1%	3.0%	12.7%	0.1%	43.7	(-91.6)/540	13.4%/3.9%	7.13

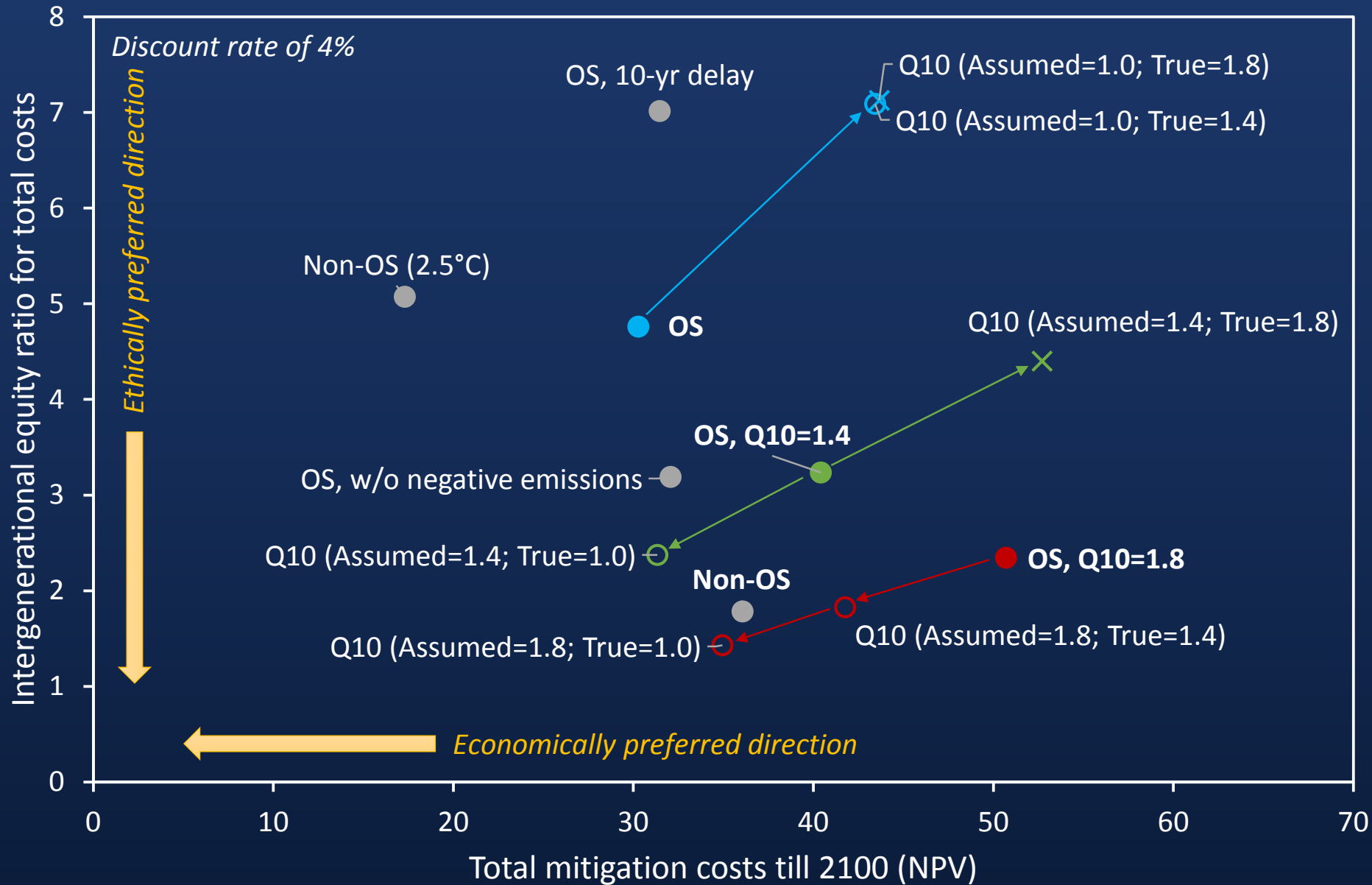
- I) Assumed=1.0; True=1.0
- ..... II) Assumed=1.0; True=1.4; no learning
- III) Assumed=1.0; True=1.4; learning in 2050
- ..... IV) Assumed=1.0; True=1.8; no learning
- V) Assumed=1.0; True=1.8; learning in 2050



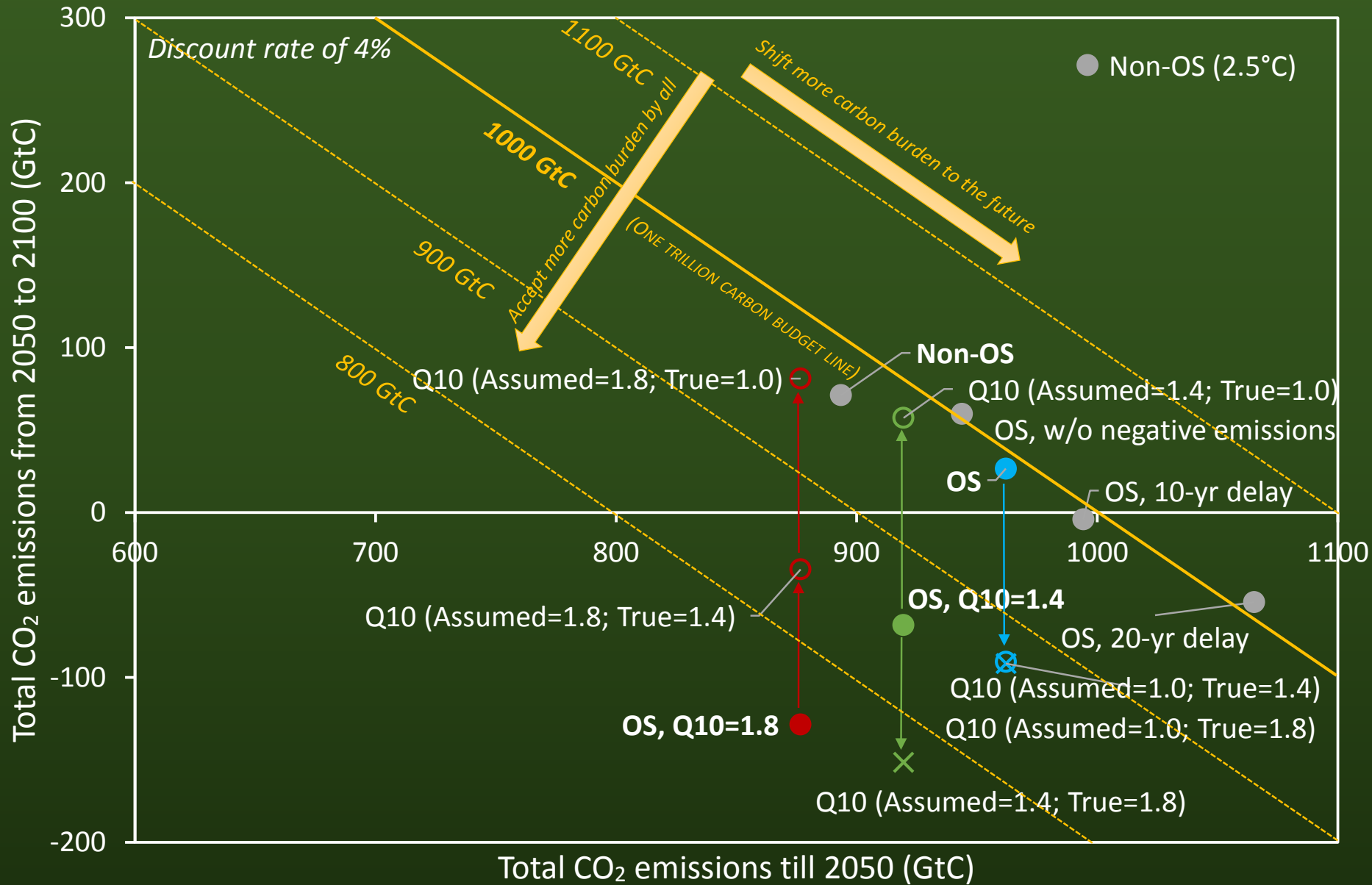
# COST EQUITY CHART: Which strategy makes sense? (w/o C-CC feedbacks)



# COST EQUITY CHART: Which strategy makes sense? (w/ C-CC feedbacks)



# EMISSION EQUITY CHART: Which strategy makes sense?



## CONCLUDING REMARKS

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- Given the narrowing windows of opportunities to stay below 2°C, allowing overshoot gives more flexibility to reach the target.
- Accepting overshoot reduces the costs to achieve the stabilization target. This, however, came at the expense of future generations. Overshoot shifts the burden to the future.
- Can we bet on negative emissions to achieve the 2°C target? It depends.
  - If carbon cycle feedbacks are known to be weak, it makes sense to aim for a non-overshoot pathway.
  - If the feedback strength is a priori unknown, it is fair to assume strong feedbacks. It is inevitable to accept an overshoot pathway.  
(It may end up with the same costs and degree of inequality with a non-overshoot case if the feedbacks turn out to be insignificant.)

## WAYS FORWARD

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- While our simple and transparent approach captures first-order processes and feedbacks and allows one to explore ideas with minimal time and costs, it misses out more detailed aspects of the problem: namely, damage, adaptation, spatial heterogeneity, land constraint, and competition with food.
- Our study highlights the importance of considering “learning” when one evaluates strategic options and their consequences under uncertainty.
- Our *simple* approach illuminates a need for investigating this issue further by using a range of models including coupled Earth System Model (ESM)-Integrated Assessment Models (IAMs).

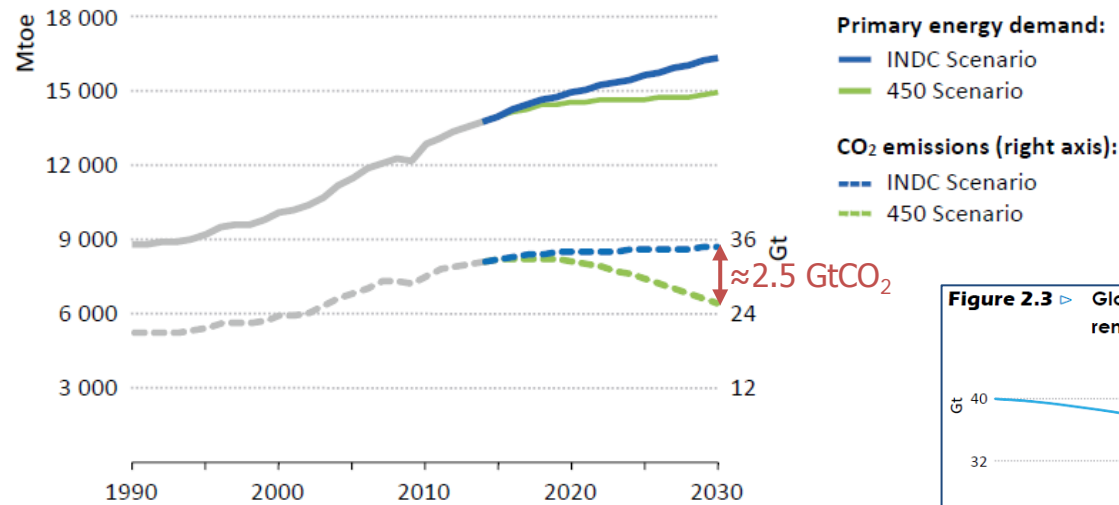
# Appendix

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# SIDELINE

## INDCs and their implications to global emissions

**Figure 2.1** ▷ Global primary energy demand and related CO<sub>2</sub> emissions by scenario

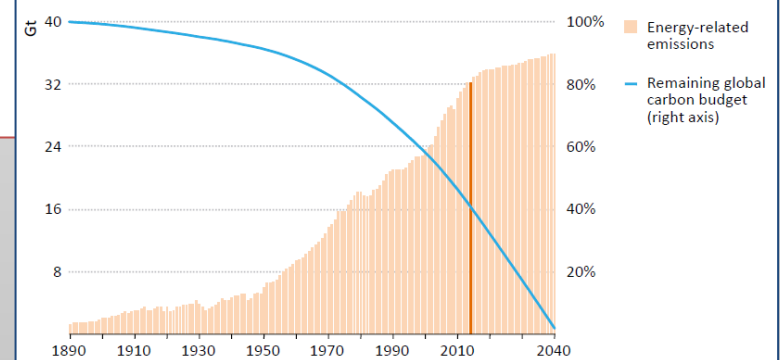


An indication:  
If we aim for 2°C stabilization,  
it must go through an  
overshoot?

**Table 2.1** ▷ Global energy- and process-related greenhouse-gas emissions in the INDC Scenario (Gt CO<sub>2</sub>-eq)

	2013	2020	2025	2030
<b>Energy-related:</b>				
Carbon dioxide (CO <sub>2</sub> )	32.2	33.9	34.3	34.8
Methane (CH <sub>4</sub> )	3.0	3.1	3.1	3.1
Nitrous oxide (N <sub>2</sub> O)	0.3	0.3	0.4	0.4
<b>Process-related:</b>				
Carbon dioxide (CO <sub>2</sub> )	2.0	2.2	2.2	2.3
<b>Total</b>	<b>37.5</b>	<b>39.5</b>	<b>40.0</b>	<b>40.6</b>

**Figure 2.3** ▷ Global energy-related CO<sub>2</sub> emissions in the INDC Scenario and remaining carbon budget for a >50% chance of keeping to 2 °C

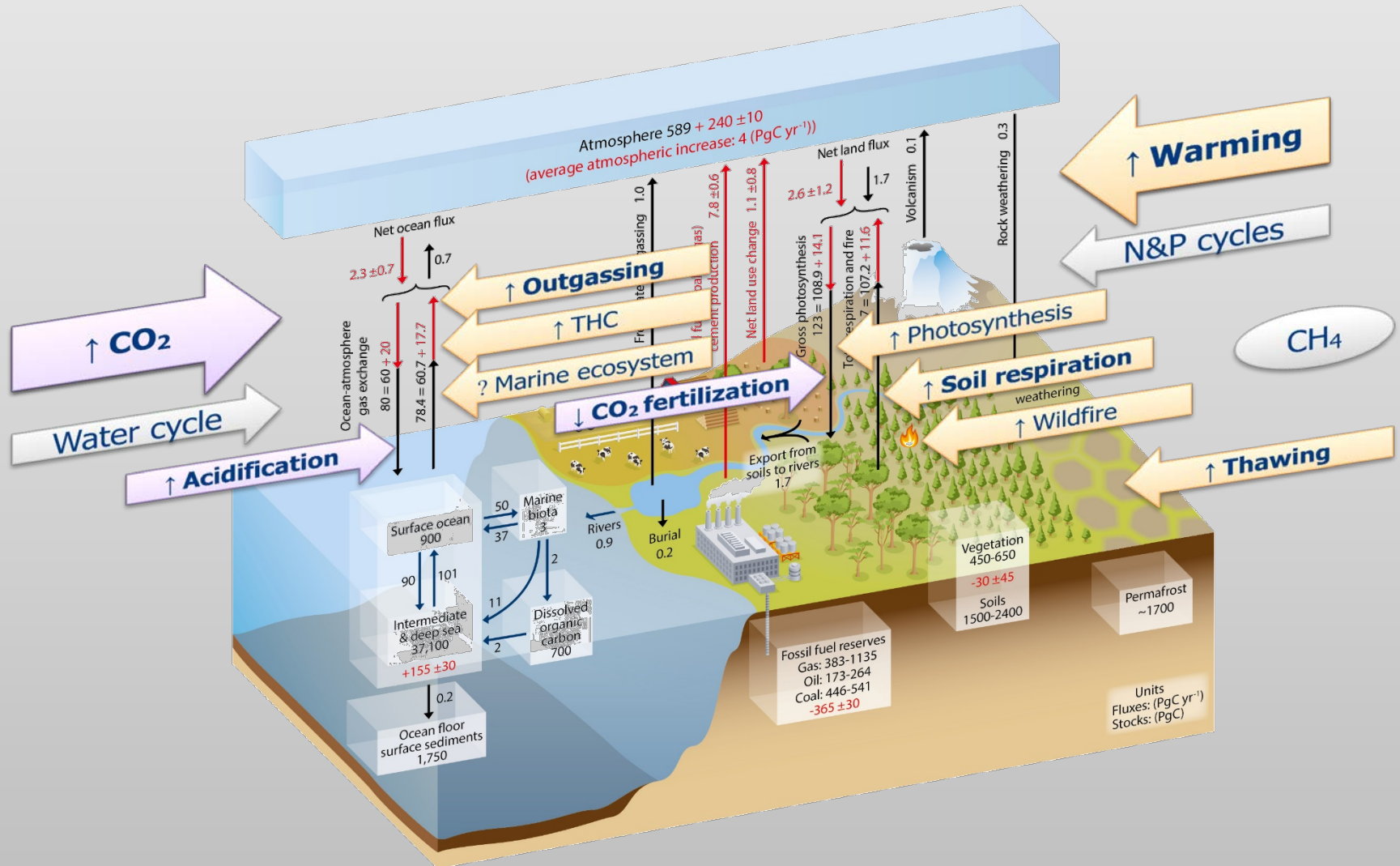


IEA Energy and Climate Change  
(2015, Table 2.1 and Figures 2.1 and 2.3)



# BACKGROUND II: CARBON CYCLE FEEDBACKS

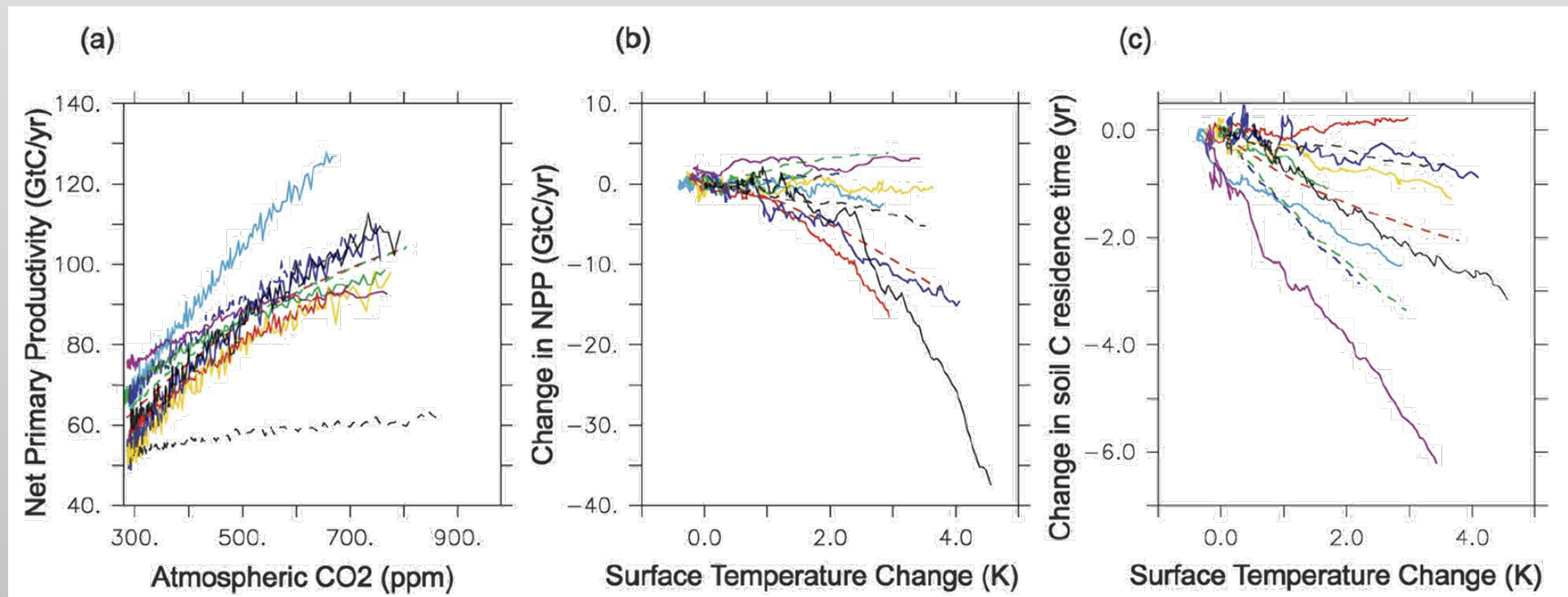
## Global carbon cycle and associated feedbacks



## BACKGROUND II: CARBON CYCLE FEEDBACKS

### Terrestrial carbon cycle feedbacks

- The overshoot in the mid-century might amplify itself by strengthening climate-carbon cycle feedbacks.
- Such feedbacks are known to be positive albeit with large uncertainties.



Friedlingstein et al. (2006, *Journal of Climate*)

# Parameter estimation

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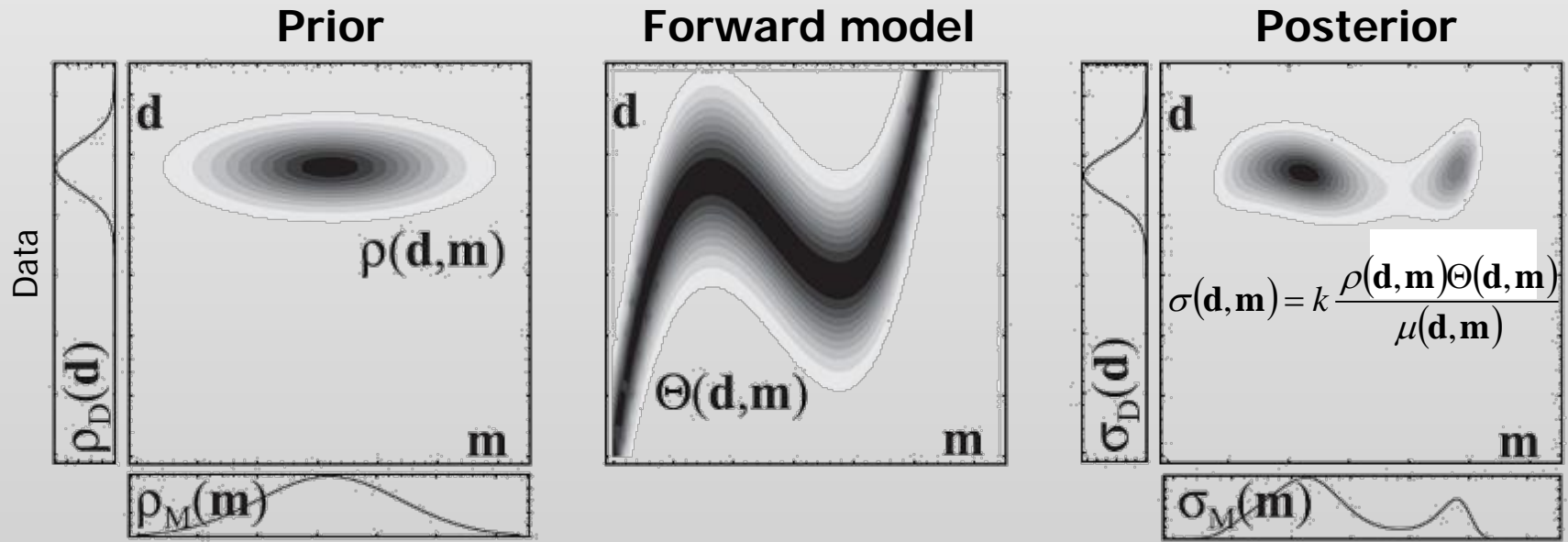
- Simulation length: year 1750 – 2000, annual time step
- Parameters (e.g. CO<sub>2</sub> emissions, beta factor, Q10, climate sensitivity)
- Data (e.g. Atmospheric CO<sub>2</sub>/CH<sub>4</sub>/N<sub>2</sub>O concentration, temperature)
- Minimize squared deviations weighted by prior uncertainties

$$S(\mathbf{m}) = \frac{1}{2} \left( \sum_{i=1}^a \left( \frac{g_i(\mathbf{m}) - d_{mes,i}}{\sigma_{d,i}} \right)^2 + \sum_{j=1}^b \left( \frac{m_j - m_{prior,j}}{\sigma_{m,j}} \right)^2 \right)$$

- Major assumptions
  - Gaussian errors
  - Independent errors
  - No structural uncertainty
- Parameters estimated in the inversion are used for future projections.

Tanaka, Raddatz, O'Neill, and Reick (2009, *Geophysical Research Letters*)

# Probabilistic Inverse Estimation Theory



Tarantola (2005, <http://www.ipgp.jussieu.fr/~tarantola/>)

$$\sigma_M(\mathbf{m}) = \int_{\mathcal{D}} k \frac{\rho(\mathbf{d}, \mathbf{m}) \sigma(\mathbf{d}, \mathbf{m})}{\mu(\mathbf{d}, \mathbf{m})} d\mathbf{d}$$

↓ *Gaussian errors, no structural uncertainties*

$$\sigma_M(\mathbf{m}) = k' \exp(-S(\mathbf{m})) \quad \text{where} \quad S(\mathbf{m}) = \frac{1}{2} \left( (\mathbf{g}(\mathbf{m}) - \mathbf{d}_{mes})^T \mathbf{C}_D^{-1} (\mathbf{g}(\mathbf{m}) - \mathbf{d}_{mes}) + (\mathbf{m} - \mathbf{m}_{prior})^T \mathbf{C}_M^{-1} (\mathbf{m} - \mathbf{m}_{prior}) \right)$$

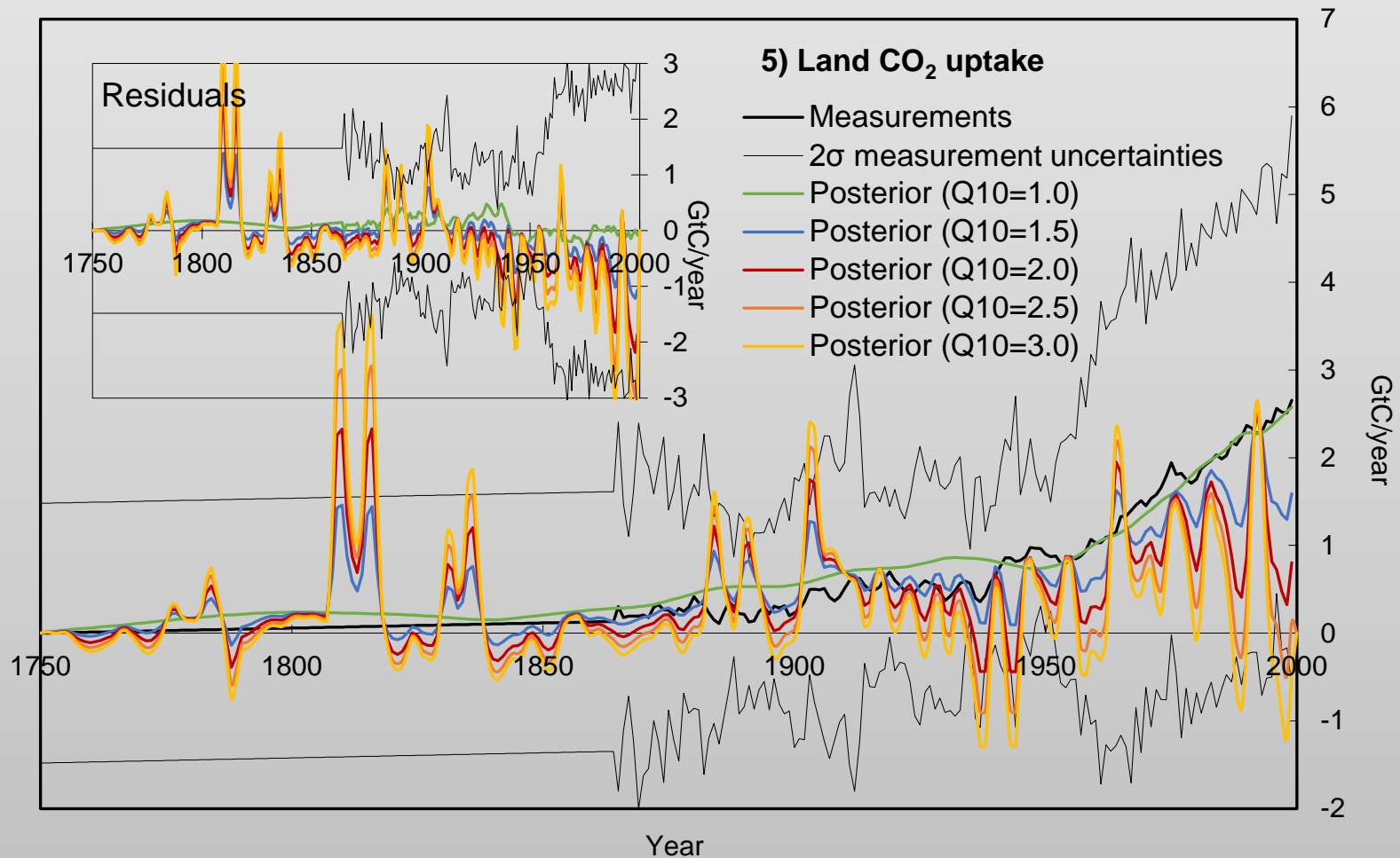
↓ *Independent errors*

$$\sigma_M(\mathbf{m}) = k' \exp(-S(\mathbf{m})) \quad \text{where}$$

$$S(\mathbf{m}) = \frac{1}{2} \left( \sum_{i=1}^a \left( \frac{g_i(\mathbf{m}) - d_{mes,i}}{\sigma_{d,i}} \right)^2 + \sum_{j=1}^b \left( \frac{m_j - m_{prior,j}}{\sigma_{m,j}} \right)^2 \right)$$

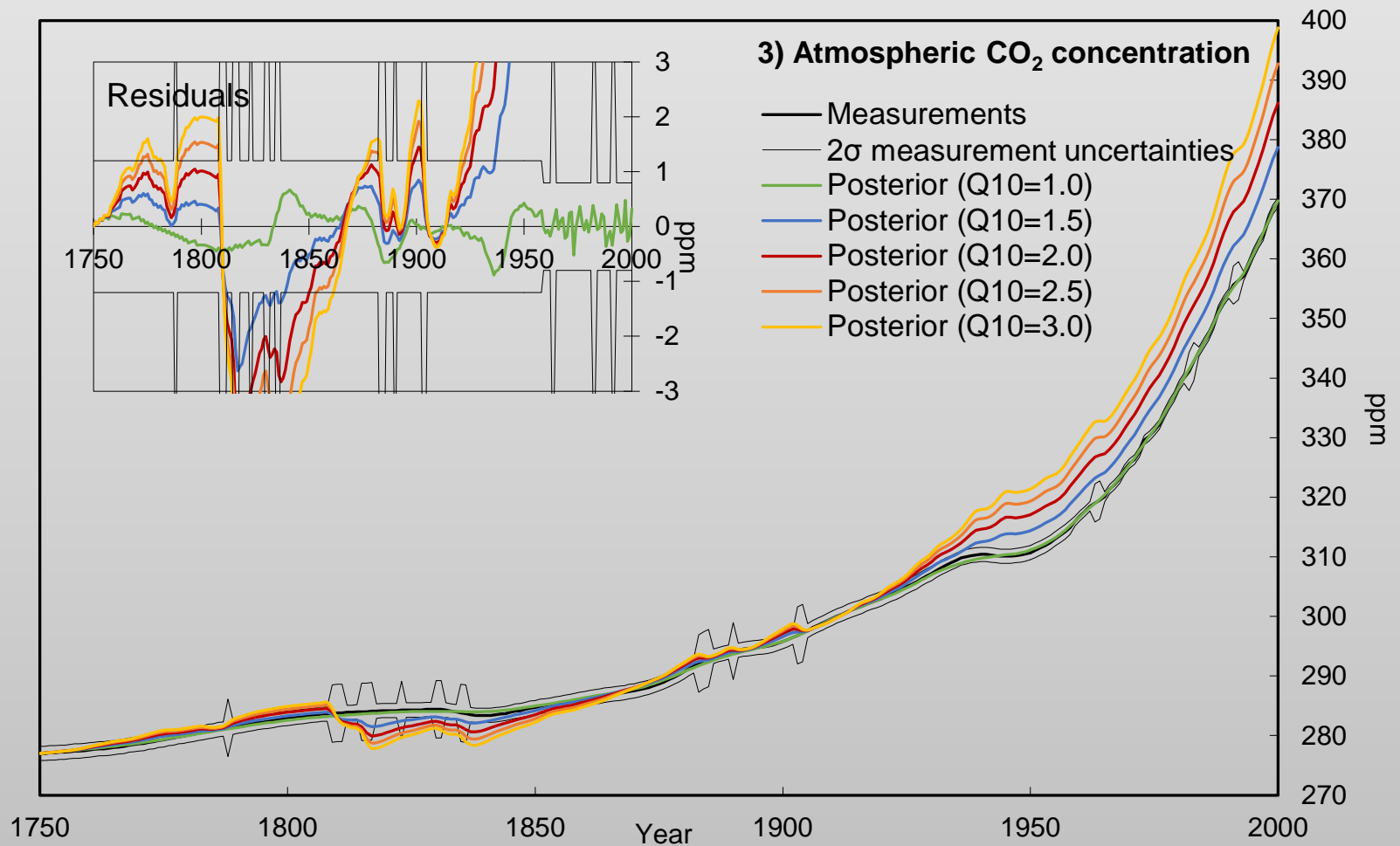
## BACKGROUND II: CARBON CYCLE FEEDBACKS

Q10=3.0 means net CO<sub>2</sub> outgassing from land (if all the others intact).



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## BACKGROUND II: CARBON CYCLE FEEDBACKS

Only relatively small overshoots considered before

- We consider larger overshoots to explore what carbon cycle feedbacks might play out.

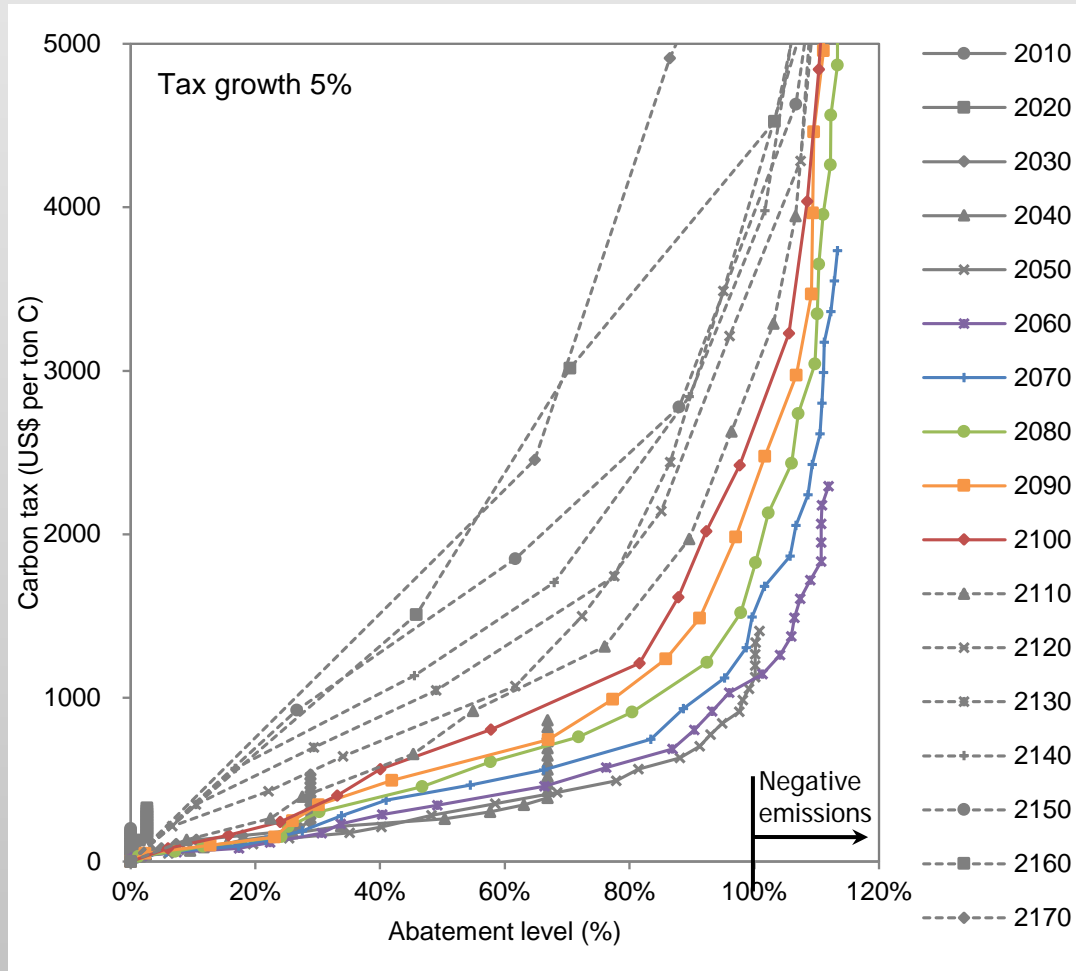
**Table 1** The role of CO<sub>2</sub> removal in climate stabilization policy in this issue's five integrated assessment models. Data were obtained by polling the authors, and some data are not found in their articles

Paper	Model	CDR options explored in addition to BECCS <sup>a</sup>	Cumulative CDR to 2100 (GtCO <sub>2</sub> )	CDR maximum rate (GtCO <sub>2</sub> /yr)	Climate overshoot: concentration and temperature
Edmonds et al.	GCAM	Afforestation, storage in materials (e.g., plastics)	Terrestrial: 200–700; BECCS (net): 460–680	20	55–135 ppm-eq 0.2–0.5 °C
Van Vuuren et al.	IMAGE	None	800	10–15	n.a. <sup>b</sup>
Kriegler et al.	REMIND	None	470–910	11–14	40 ppm-eq 0.05 °C
Chen and Tavoni	WITCH	DAC <sup>c</sup>	DAC: 477; BECCS: 300	35	60 ppm-eq 0.06 °C
Fuss et al.	Stylized model	DAC	1600 <sup>d</sup>	32	n.a.

Tavoni and Socolow (2013, *Climatic Change*)

# METHOD

## Marginal Abatement Cost (MAC) curve: model-based derivation

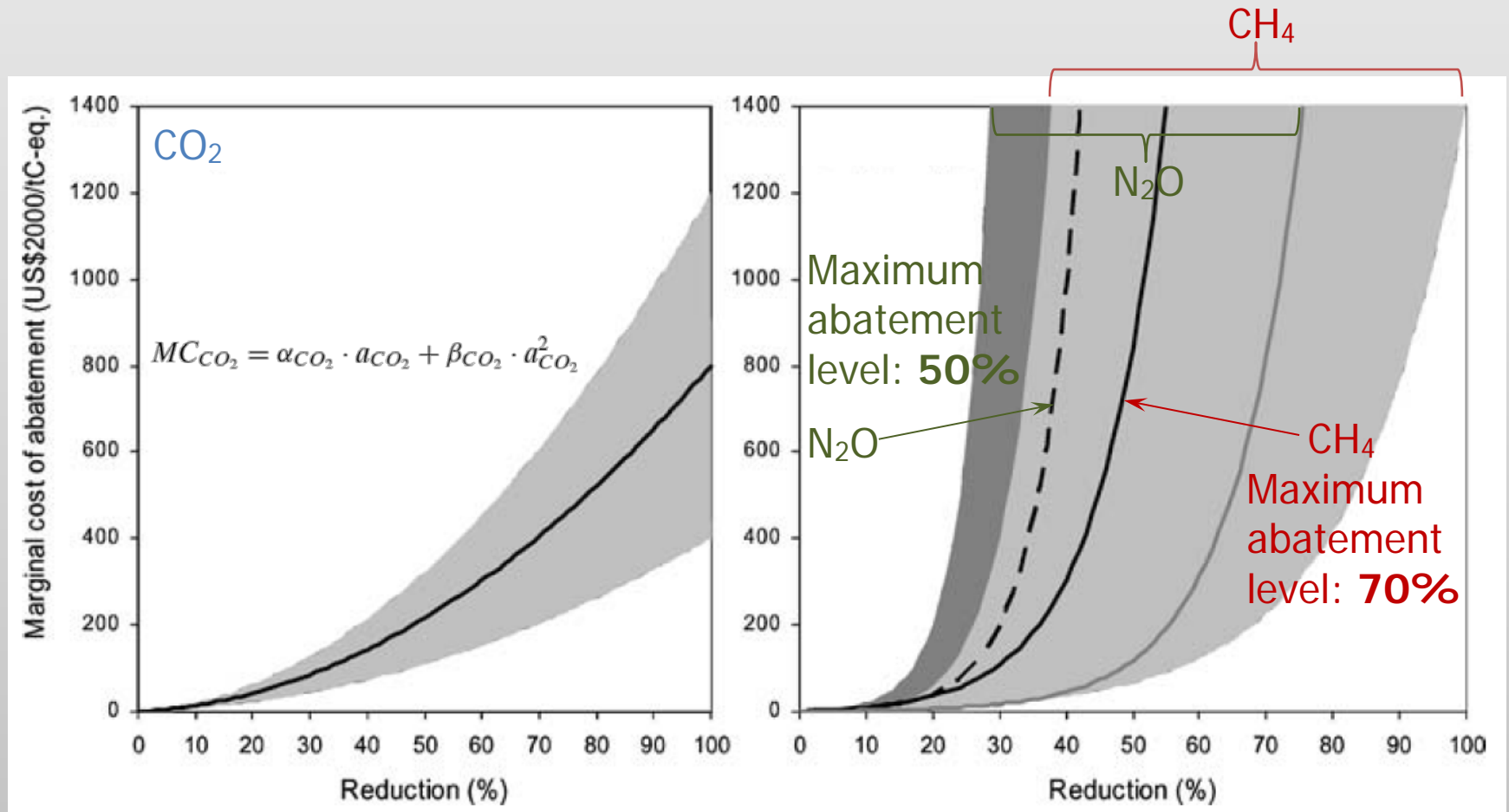


Tanaka et al. (*unpublished*); Based on Azar et al. (2013, *Environmental Research Letters*)



## METHOD

### Marginal Abatement Cost (MAC) curves for CO<sub>2</sub>, CH<sub>4</sub>, and N<sub>2</sub>O



Johansson et al. (2006, *Climatic Change*)

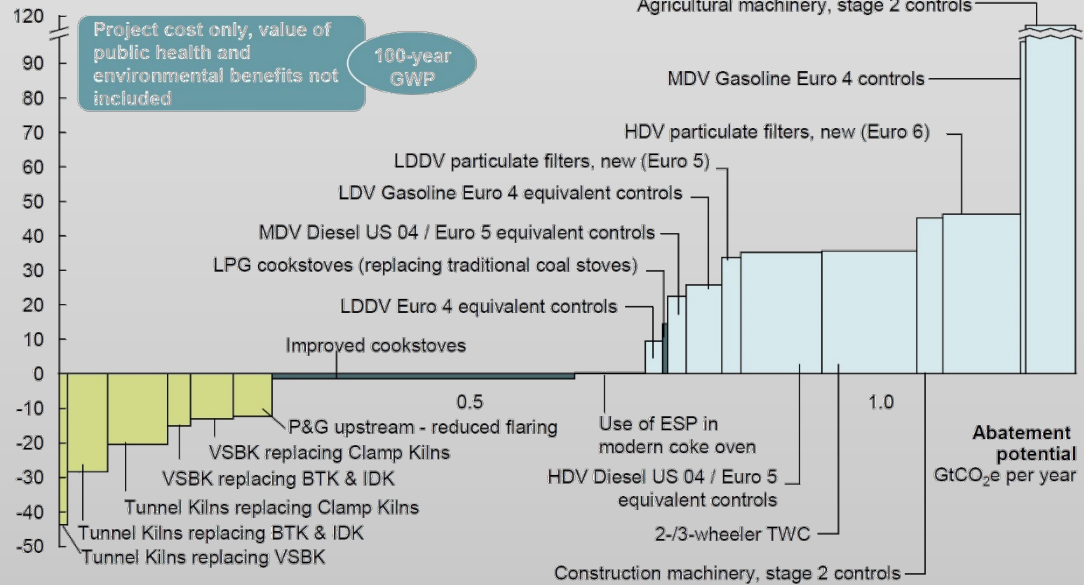
Daniel Johansson (*personal communication*, 31 March 2015)

# METHOD

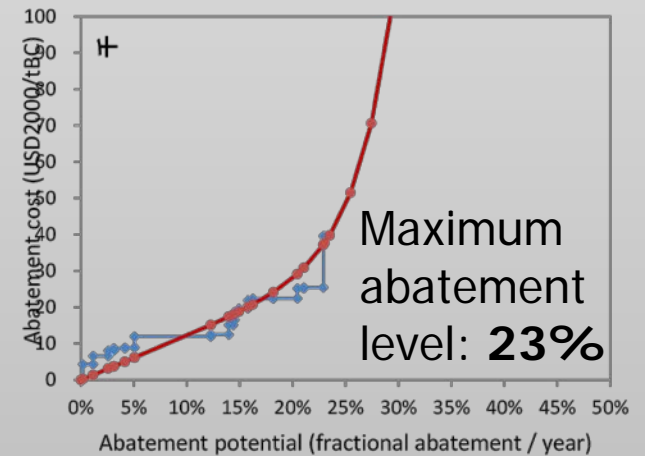
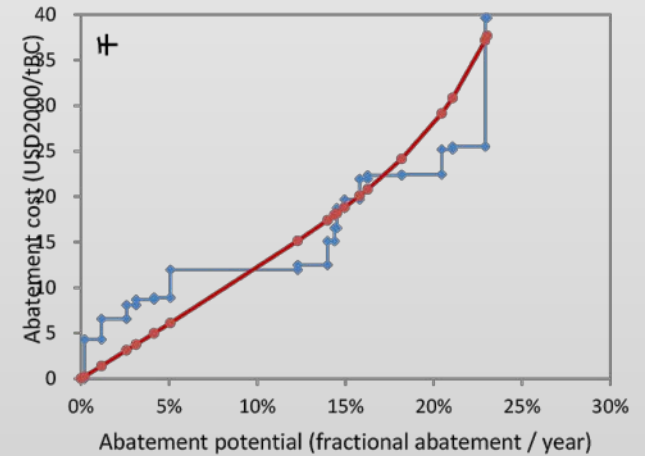
## Marginal Abatement Cost (MAC) curves for BC

### Net black carbon abatement cost curve – 2030 (GWP 100)

Abatement cost, societal perspective  
USD/tCO<sub>2</sub>e



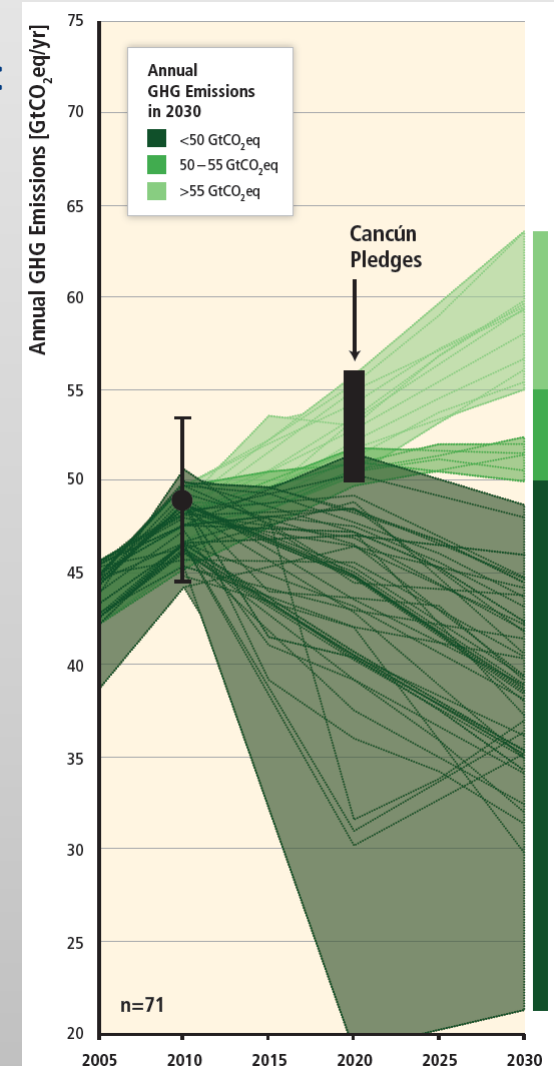
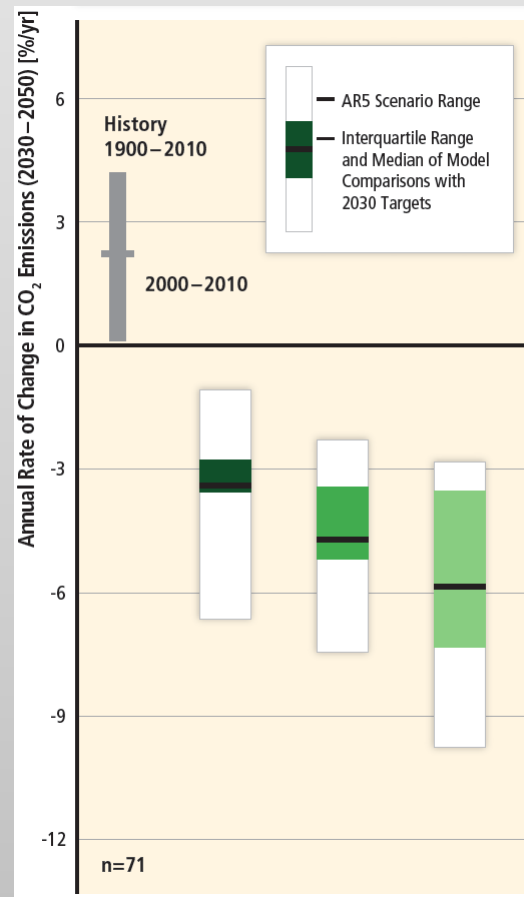
Climate Works (2011, Exhibit 23)



# METHOD

## Maximum change in the abatement rate

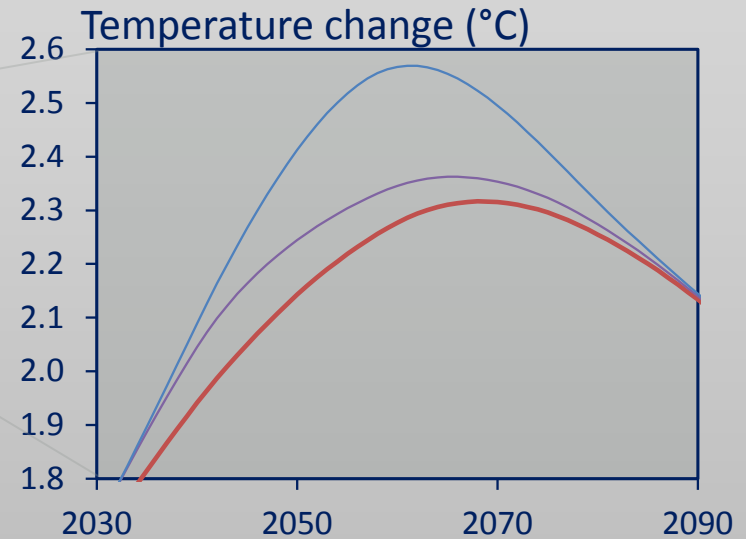
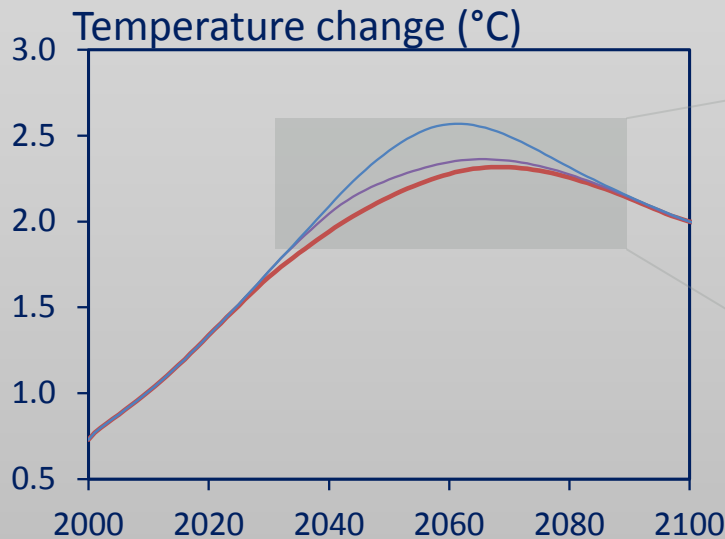
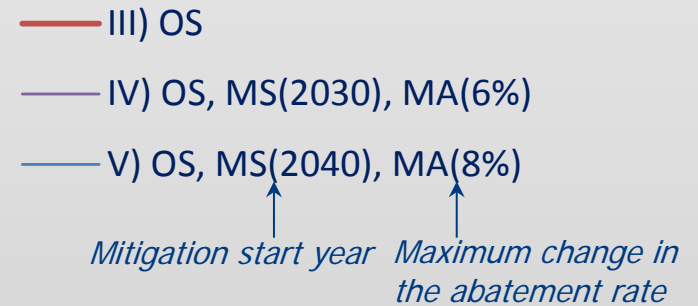
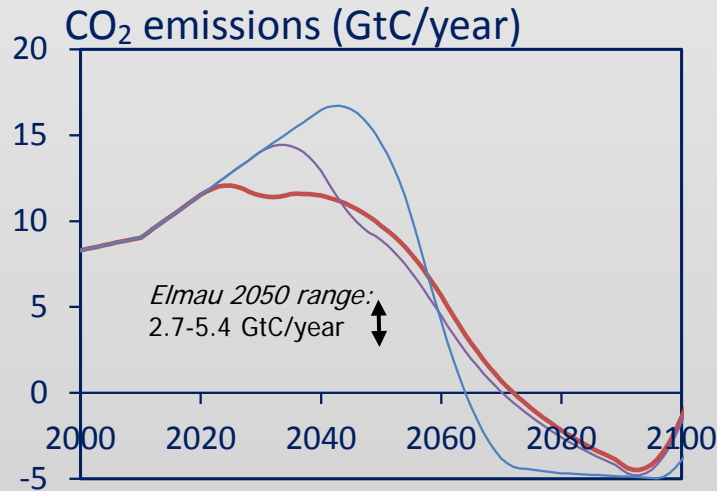
- Assumed maximum change in the abatement rate:
  - 4% per year (default)
  - 6% per year (sensitivity case)
  - 8% per year (sensitivity case)



After Figure SPM.5 of IPCC AR5 WG3 (2014)

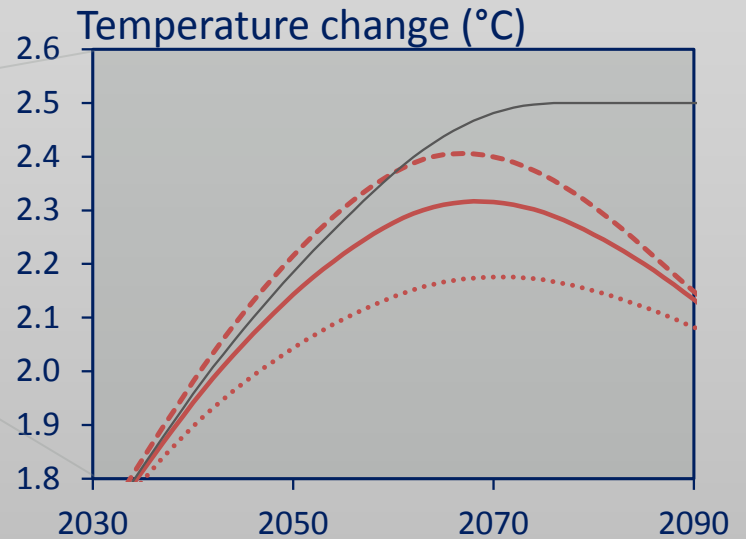
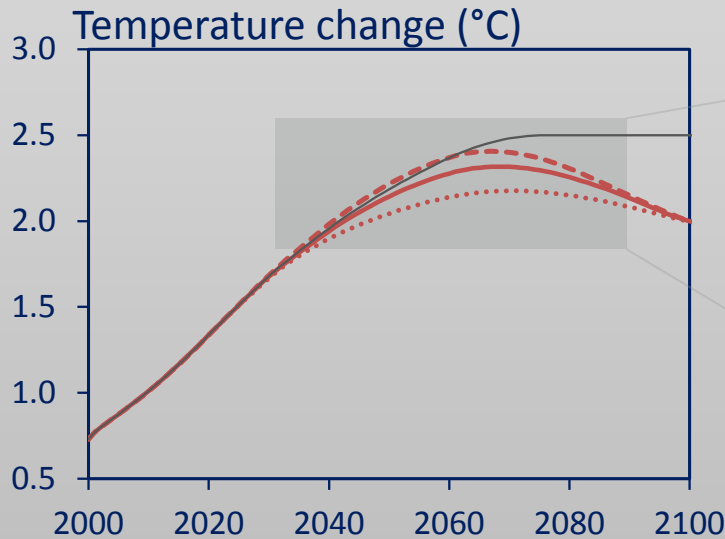
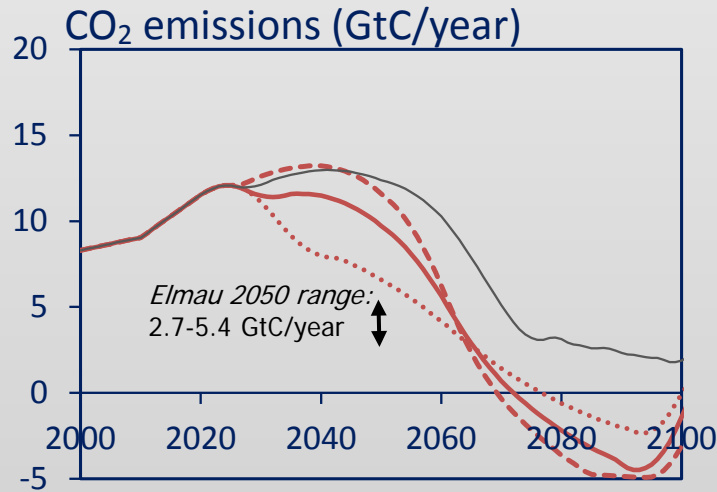
# RESULTS I

## Advantages and disadvantages for negative emissions



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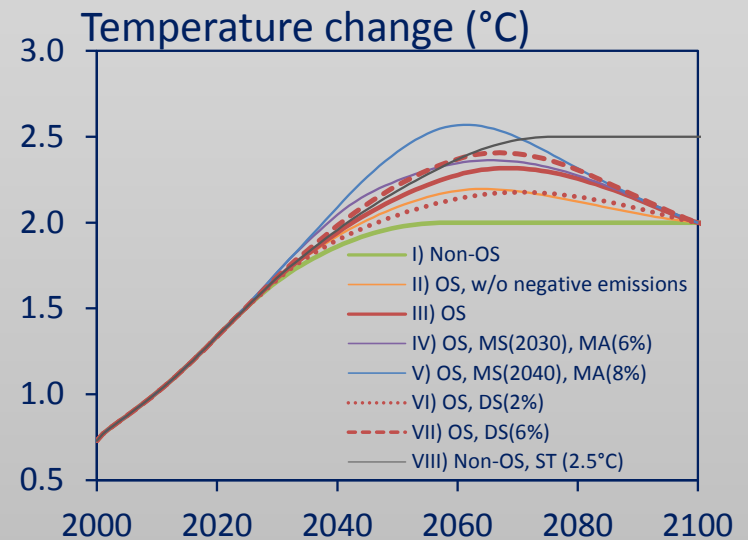
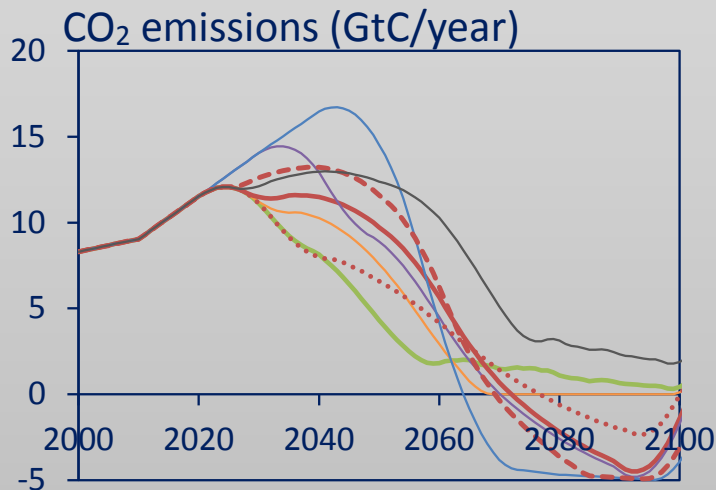


# RESULTS I

## Advantages and disadvantages for negative emissions

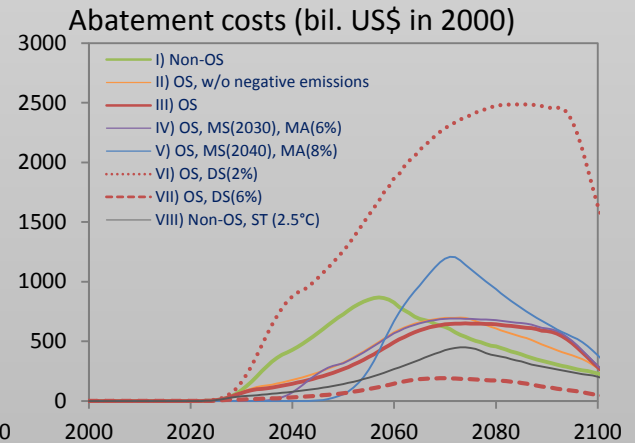
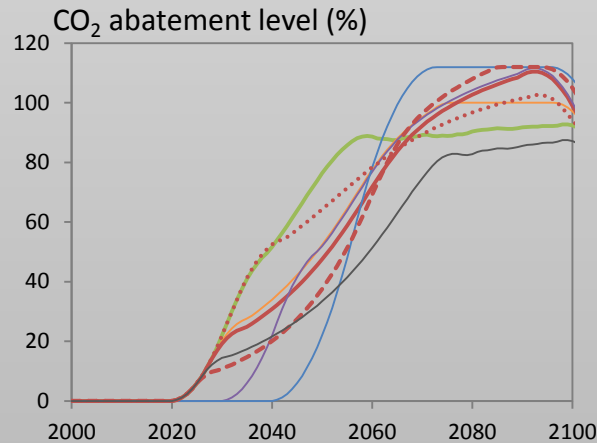
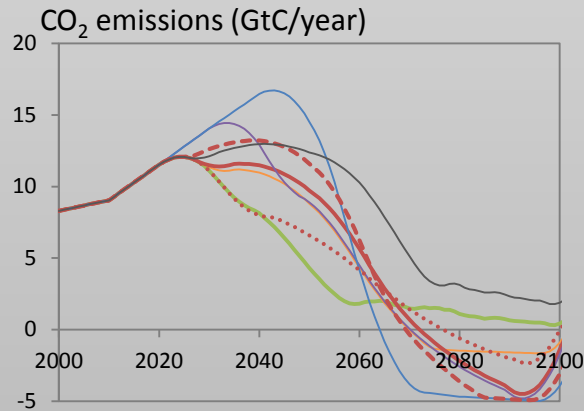
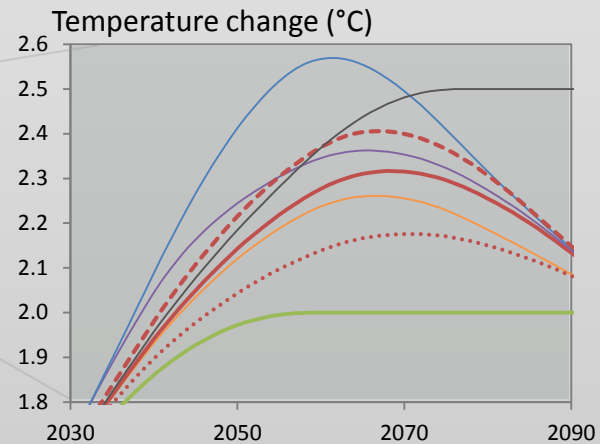
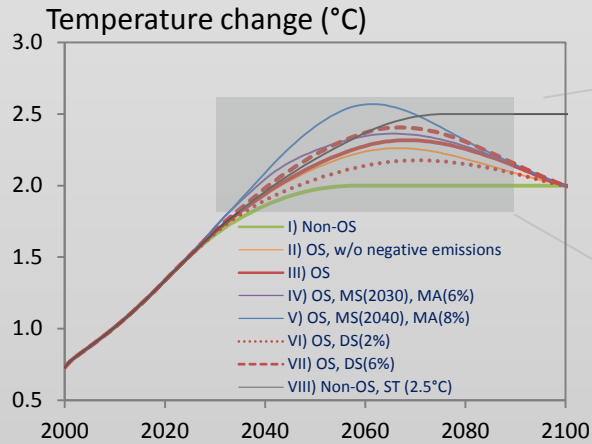
Stabilization year	Cumulative CO <sub>2</sub> emissions till 2100 (GtC)	Costs till 2100 (trillion US\$)					Intergenerational equity ratio (after 2050/till 2050)		
		CO <sub>2</sub>	N <sub>2</sub> O	CH <sub>4</sub>	BC	Total	Cumulative CO <sub>2</sub> emissions	CH <sub>4</sub> costs fraction	Annual costs
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2100	568	90.0%	1.4%	8.4%	0.2%	31.5	(-4.2)/572	8.9%/3.7%	7.01
2100	589	84.9%	2.6%	12.4%	0.1%	37.1	(-54.4)/643	12.4%/2.4%	143.94
2100	534	92.6%	1.3%	5.9%	0.2%	124.5	51.0/482	6.5%/2.2%	3.84
2100	578	89.4%	1.8%	8.6%	0.2%	7.7	0.7/577	9.0%/5.7%	5.58
2078	845	88.4%	1.7%	9.6%	0.3%	17.3	271/574	9.8%/8.3%	5.07

- I) Non-OS
- II) OS, w/o negative emissions
- III) OS
- IV) OS, MS(2030), MA(6%)
- V) OS, MS(2040), MA(8%)
- VI) OS, DS(2%)
- VII) OS, DS(6%)
- VIII) Non-OS, ST (2.5°C)



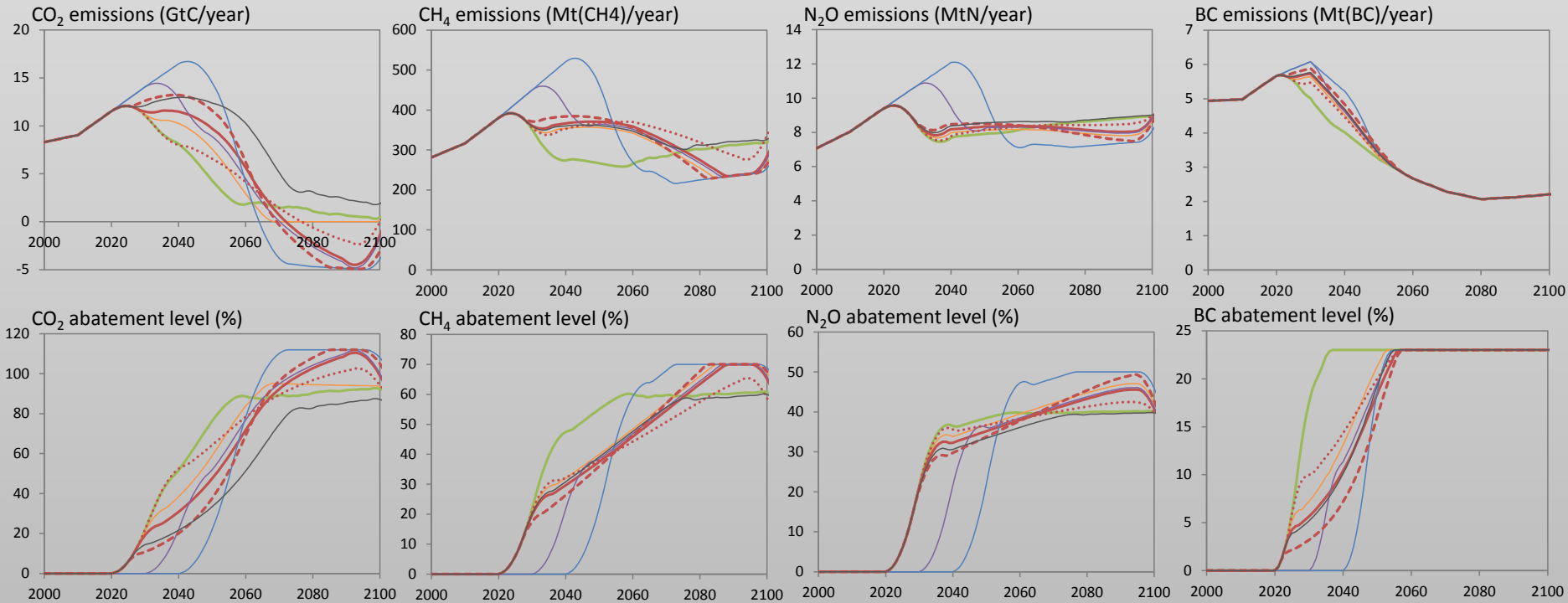
Stabilization year	Cumulative CO <sub>2</sub> emissions till 2100 (GtC)	Costs till 2100 (trillion US\$)					Intergenerational equity ratio (after 2050/till 2050)		
		CO <sub>2</sub>	N <sub>2</sub> O	CH <sub>4</sub>	BC	Total	Cumulative CO <sub>2</sub> emissions	CH <sub>4</sub> costs fraction	Annual costs
2060	542	88.8%	1.3%	9.5%	0.3%	36.1	71.1/471	9.9%/8.4%	1.78
2100	573	88.6%	1.8%	9.4%	0.2%	32.1	59.9/513	10.5%/3.4%	3.19
2100	566	90.0%	1.5%	8.4%	0.2%	30.3	26.6/540	8.9%/3.9%	4.76
2100	568	90.0%	1.4%	8.4%	0.2%	31.5	(-4.2)/572	8.9%/3.7%	7.01
2100	589	84.9%	2.6%	12.4%	0.1%	37.1	(-54.4)/643	12.4%/2.4%	143.94
2100	534	92.6%	1.3%	5.9%	0.2%	124.5	51.0/482	6.5%/2.2%	3.84
2100	578	89.4%	1.8%	8.6%	0.2%	7.7	0.7/577	9.0%/5.7%	5.58
2078	845	88.4%	1.7%	9.6%	0.3%	17.3	271/574	9.8%/8.3%	5.07

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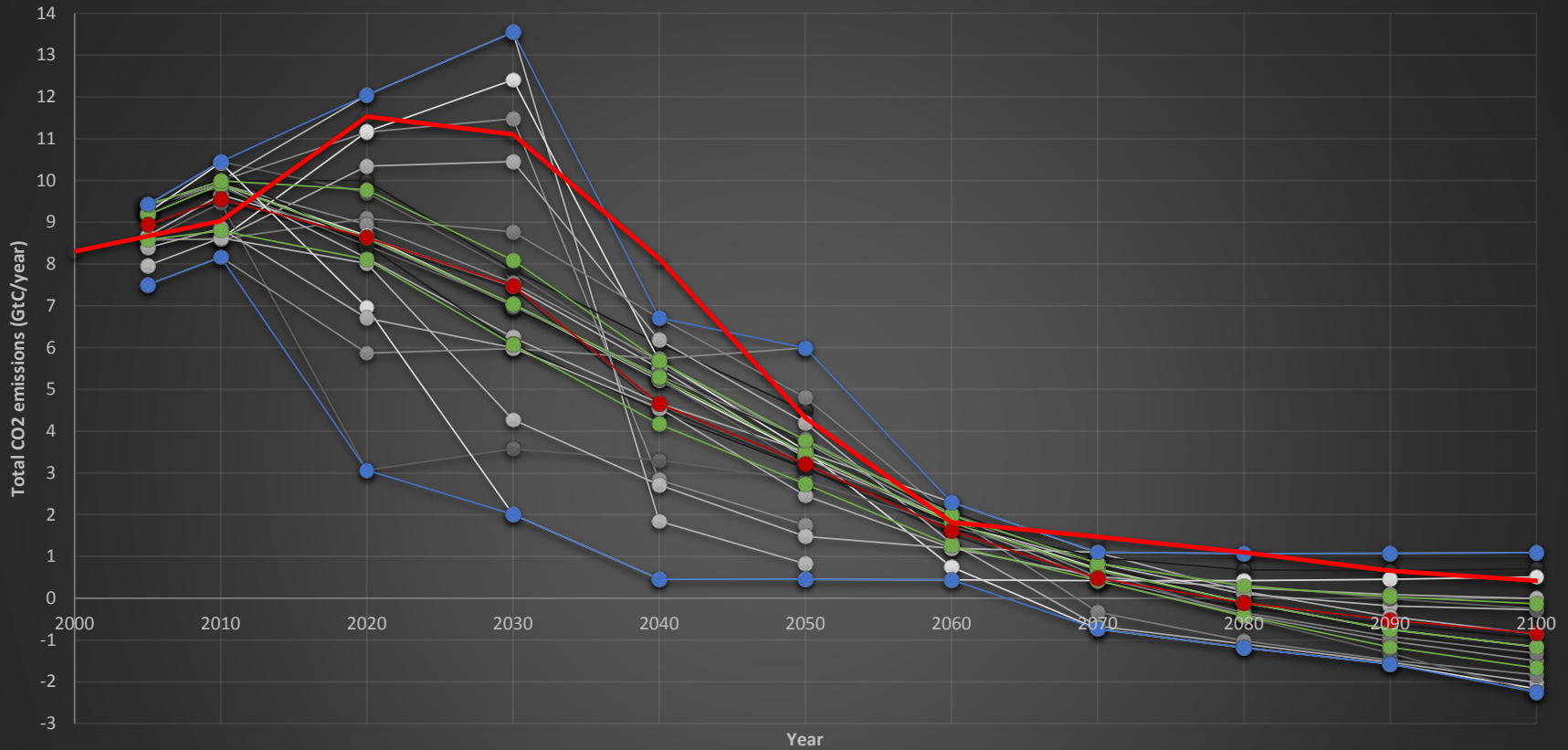
Stabilization year	Cumulative CO <sub>2</sub> emissions till 2100 (GtC)	Costs till 2100 (trillion US\$)					Intergenerational equity ratio (after 2050/till 2050)		
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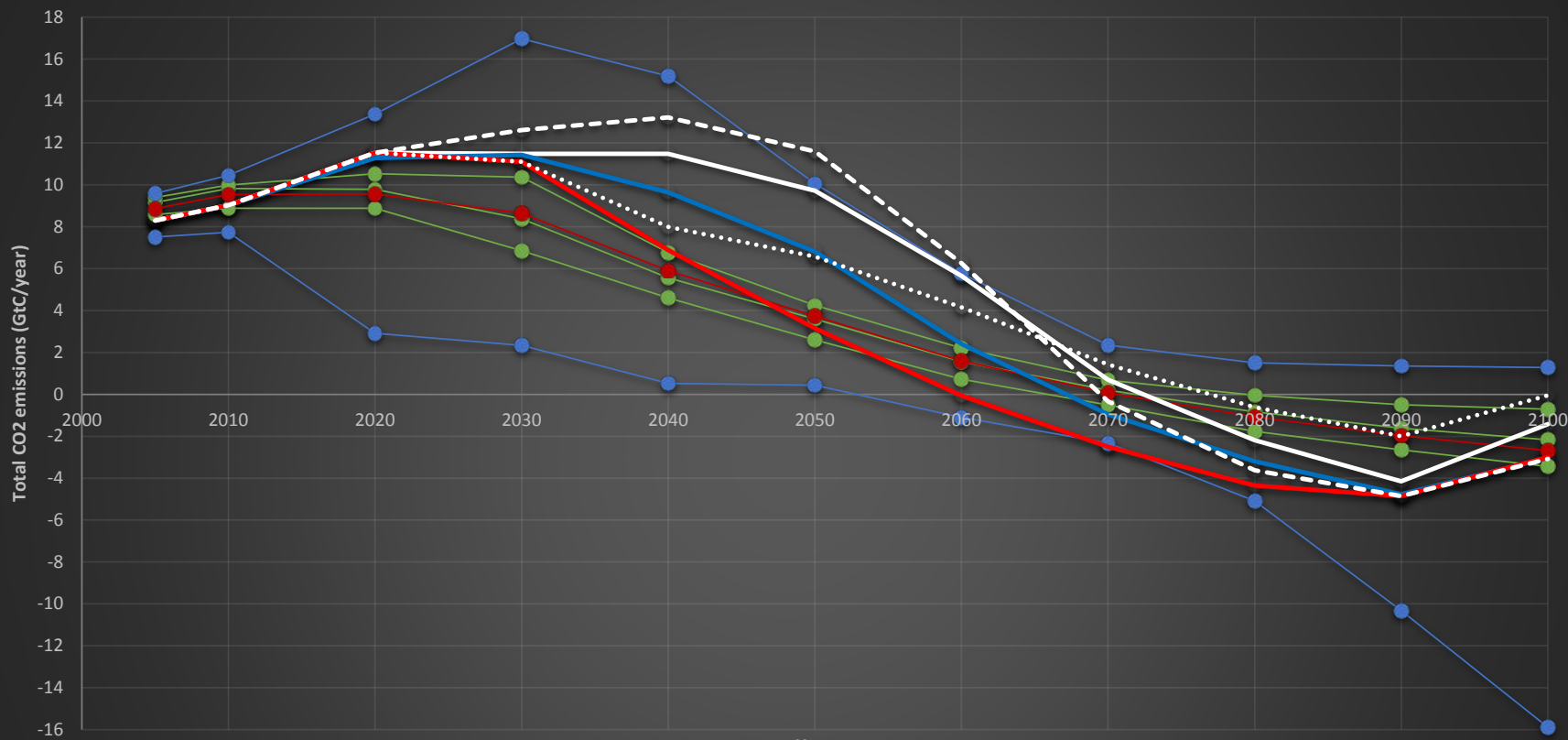


# 450ppm NoCCS scenarios



- AIM-Enduse 12.1; EMF27-450-NoCCS
- DNE21 V.12; AMPERE2-450-NoCCS-HST
- DNE21 V.12; AMPERE2-450-NoCCS-LST
- GCAM 3.0; AMPERE2-450-NoCCS-OPT
- GCAM 3.0; AMPERE2-450-NoCCS-HST
- GCAM 3.0; EMF27-450-NoCCS
- GCAM 3.0; AMPERE2-450-NoCCS-LST
- MESSAGE V.3; GEA Efficiency\_450\_adv.transp\_noccs
- MESSAGE V.3; GEA Efficiency\_450\_conv.transp\_noccs
- MESSAGE V.3; GEA Mix\_450\_adv.transp\_noccs
- MESSAGE V.3; GEA Efficiency\_450\_conv.transp\_noccs
- MESSAGE V.4; AMPERE2-450-NoCCS-OPT
- POLES EMF27; EMF27-450-NoCCS
- Phoenix 2012.4; EMF27-450-NoCCS
- REMIND 1.5; AMPERE2-450-NoCCS-OPT
- REMIND 1.5; AMPERE2-450-NoCCS-HST
- REMIND 1.5; AMPERE2-450-NoCCS-LST
- TIAM-WORLD 2012.2; EMF27-450-NoCCS
- QUARTILE(25%)
- QUARTILE(50%)
- QUARTILE(75%)
- Min
- Max
- Mean
- ACC2; OS=None; Q10=1.0

# 450ppm CCS scenarios



- QUARTILE(25%)
- QUARTILE(50%)
- QUARTILE(75%)
- Min
- Max
- Mean
- ACC2; OS=2100; Q10=1.0
- ACC2; OS=2100; Q10=1.4
- ACC2; OS=2100; Q10=1.8
- ACC2; OS=2100; D=6%
- ACC2; OS=2100; D=2%