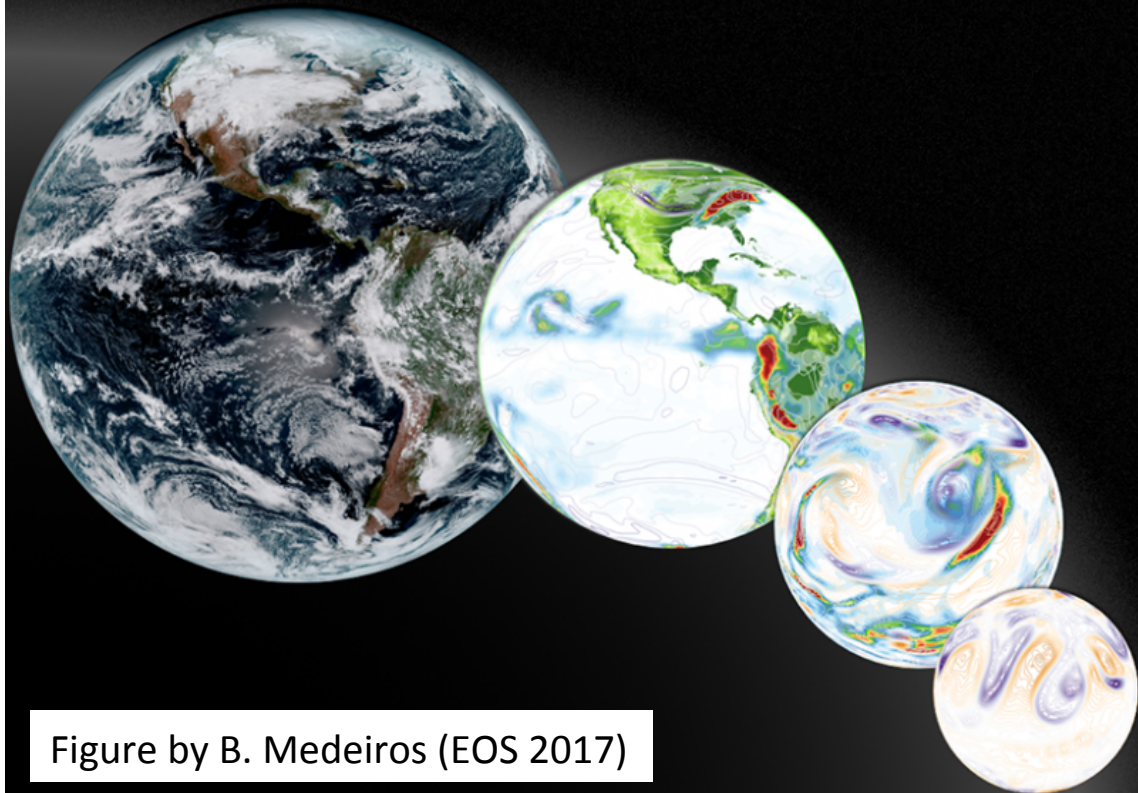


Overview of the **CESM2/ CESM2.1** Simpler Model Framework



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¹University of Michigan, ²NCAR, ³Stony Brook University

In collaboration with the Simpler Model Initiative by
Lorenzo Polvani (Columbia U.), Amy Clement & James Benedict (U. Miami)

CESM Simpler Model Webpage (in development)

<http://www.cesm.ucar.edu/models/simpler-models/>

www.cesm.ucar.edu/models/simpler-models/



simpler model cesm



Simpler Models

This webpage documents simpler model configurations that are released and supported by the CESM project. As part of CESM2.0, several dynamical core and aquaplanet configurations have been made available. The documentation on these web pages provides information on how to use these configurations and applies to CESM2.0 or later releases. In order to make use of these configurations, users must download CESM2.0 or subsequent releases and guidance on doing that can be found [here](#).

For questions about the aquaplanet configuration, please contact Brian Medeiros (brianpm@ucar.edu) and for questions about the dry dynamical core configuration, please contact Isla Simpson (islas@ucar.edu). If you would like to contribute to the development of other configurations, please contact Lorenzo Polvani (lp@columbia.edu) or Amy Clement (aclement@rsmas.miami.edu).

Currently available simpler models

Atmosphere (CAM)

- [Dry Dynamical Core](#)
- [Aquaplanet](#)
- [Moist baroclinic wave with Kessler microphysics](#)
- [Toy Terminator Chemistry](#)

In development simpler models

Atmosphere (CAM)

- [Moist Held-Suarez](#)
- [Single Column Atmospheric Model](#)

CESM Project

CESM is a fully-coupled, community, global climate model that provides state-of-the-art computer simulations of the Earth's past, present, and future climate states.

CESM is sponsored by the National Science Foundation (NSF) and the U.S. Department of Energy (DOE). Administration of the CESM is maintained by the Climate and Global Dynamics Laboratory (CGD) at the National Center for Atmospheric Research (NCAR).

Simpler Models

[Aquaplanet](#)

[Dry Dynamical Core](#)

[When Less Is More: Opening the Door to Simpler Climate Models \(EOS\)](#)

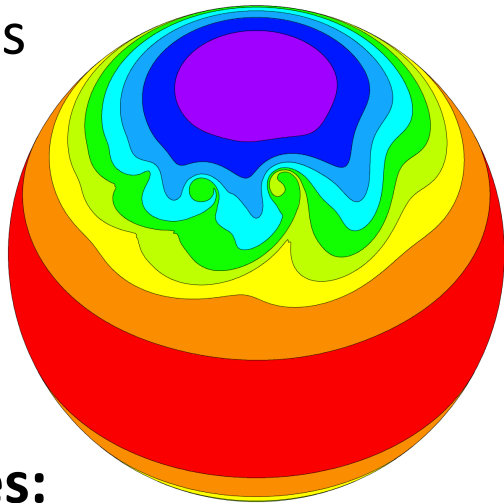
Newly available with CESM2.0 and CESM2.1

Background: Simpler Model Stakeholders

Traditionally, there have been two different communities

Model Development Community

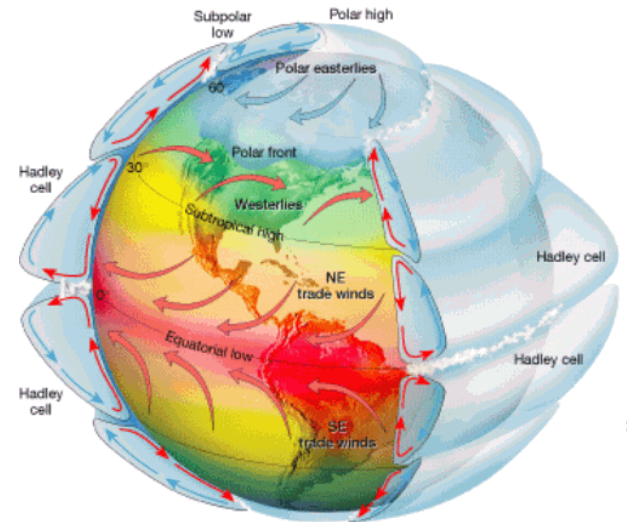
- Primarily interested in **inter-comparisons** and the **design** aspects of weather and climate models



both approaches
also serve as
teaching tools

User Community

- Primarily interested in the general circulation (**climate**) of the atmosphere



Examples:

- Dynamical Core Model Intercomparison Project (DCMIP)
- Aqua-Planet Experiment (APE)
- Held-Suarez test (original intent)

- Individual scientists who investigate the climate of one model with simplified forcings (dry & moist)

Simpler Models & Model Hierarchies

- Over the last 15 years there has been increased recognition (including a **Model Hierarchies Workshop at GFDL in 2016**) that a **model hierarchy promotes** our **understanding** of model designs and the general circulation of the atmosphere
- Example publications include:
 - Held, I. (2005), The gap between simulation and understanding in climate modeling, Bull. Am. Meteorol. Soc., 86, 1609–1614, <https://doi.org/10.1175/BAMS-86-11-1609>.
 - Held, I. M. (2014), Simplicity amid complexity, Science, 343(6176), 1206–1207.
 - Polvani, L. M., A. C. Clement, B. Medeiros, J. J. Benedict, and I. R. Simpson (2017), When less is more: Opening the door to simpler climate models, Eos, 98, <https://doi.org/10.1029/2017EO079417>.
 - Jeevanjee, N., P. Hassanzadeh, S. Hill, and A. Sheshadri (2017), A perspective on climate model hierarchies, J. Adv. Model. Earth Syst., 9, 1760–1771, <https://doi.org/10.1002/2017MS001038>

Model Hierarchies

Isolated Dynamics:

Deterministic dry
dynamical core tests

Isolated Physics:

Single Column Modeling

Deterministic moist
dynamical core tests

Dry dynamical core (climate)

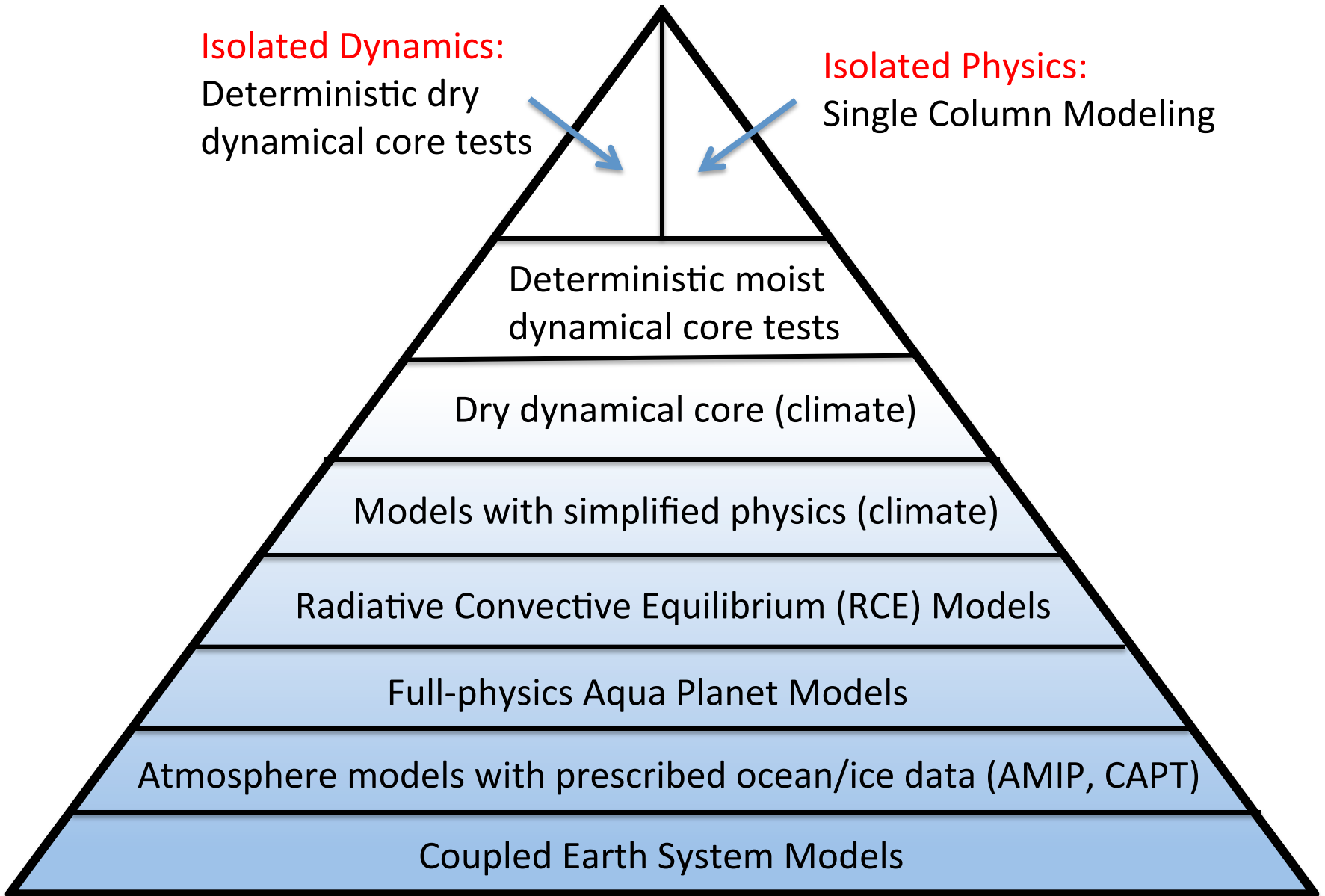
Models with simplified physics (climate)

Radiative Convective Equilibrium (RCE) Models

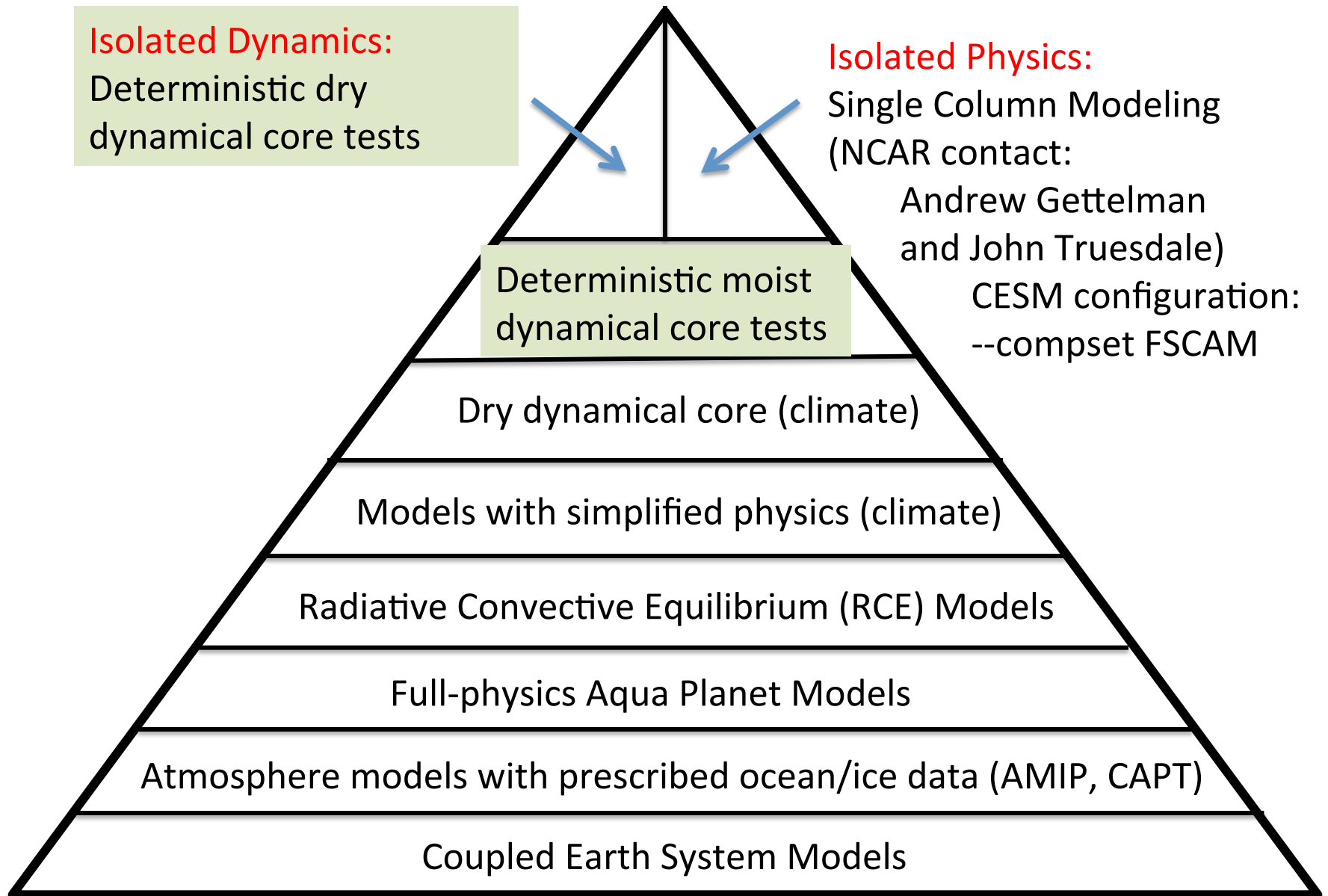
Full-physics Aqua Planet Models

Atmosphere models with prescribed ocean/ice data (AMIP, CAPT)

Coupled Earth System Models



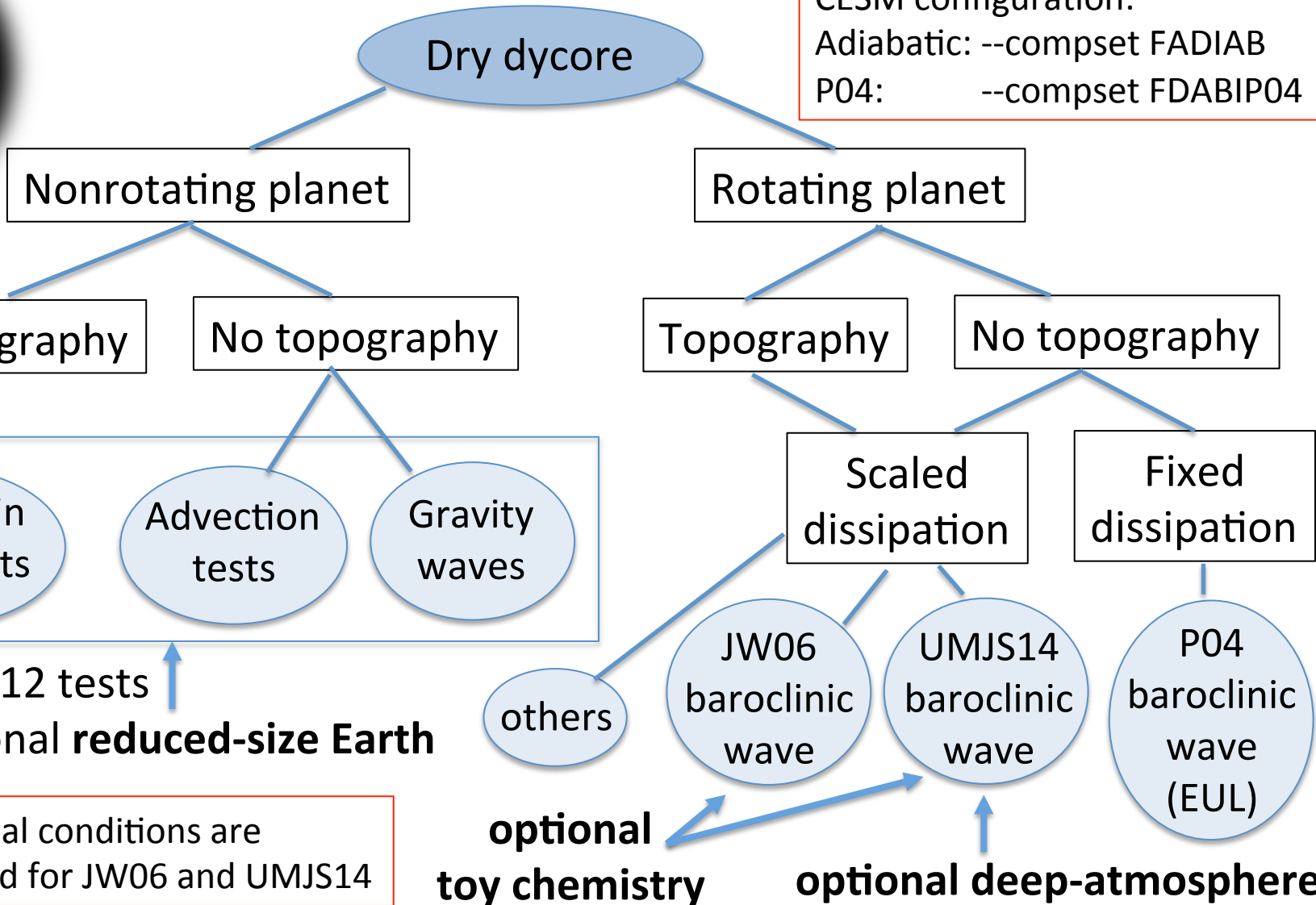
In-Depth Look at Model Hierarchies



A Closer Look at the Pyramid: Deterministic Dry Dynamical Core Hierarchy

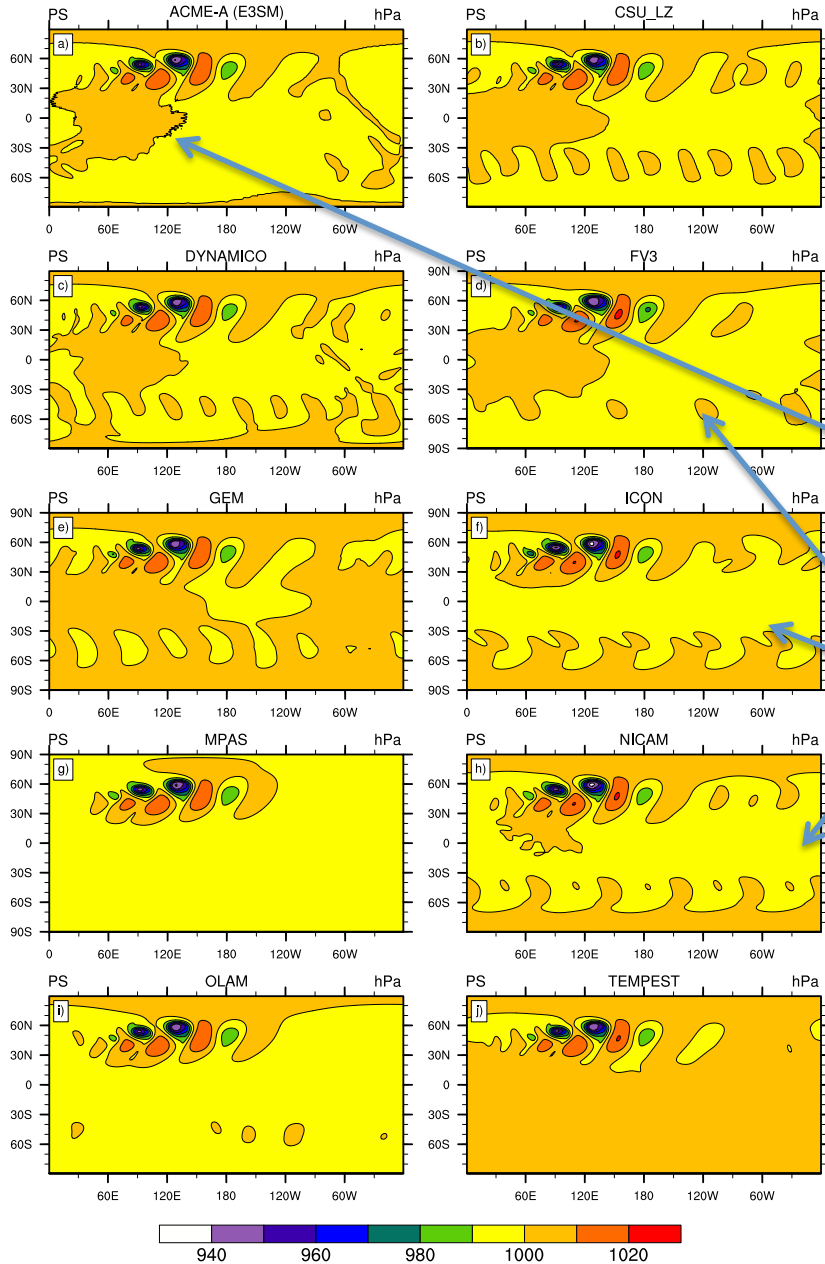


CESM configuration:
Adiabatic: --compset FADIAB
P04: --compset FDABIP04



Analytic initial conditions are implemented for JW06 and UMJS14

Snapshots of the **dry** UMJS14 baroclinic wave



Examples from DCMIP-2016

Surface pressure at day 10 ($\Delta x=110$ km): overall patterns similar, details differ

- Some Gibb's ringing in E3SM (ACME)
- Some grid imprinting (wave 4 and wave 5 signals) in CSU_LZ, DYNAMICO, FV3, ICON, NICAM, apparent in the Southern Hemispheres

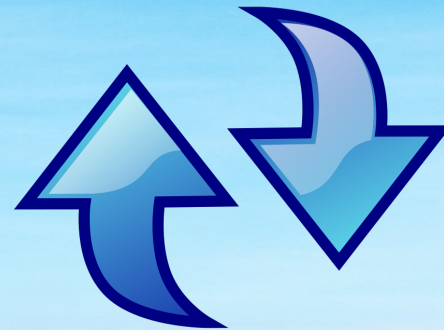
Ullrich, P.A., T. Melvin, C. Jablonowski and A. Staniforth (2014), A proposed baroclinic wave test case for deep- and shallow-atmosphere dynamical cores. *Quart. J. Royal Meteor. Soc.*, Vol. 140, 1590-1602, doi: 10.1002/qj.2241

Design Considerations: Simplified Moist Physics

Large-scale condensation or
Kessler-type warm rain



PBL Mixing of
pot. T , q , u , v



Surface fluxes of sensible &
latent heat, and momentum

Simple-Physics (Reed and Jablonowski, 2012; Klemp et al., 2015)

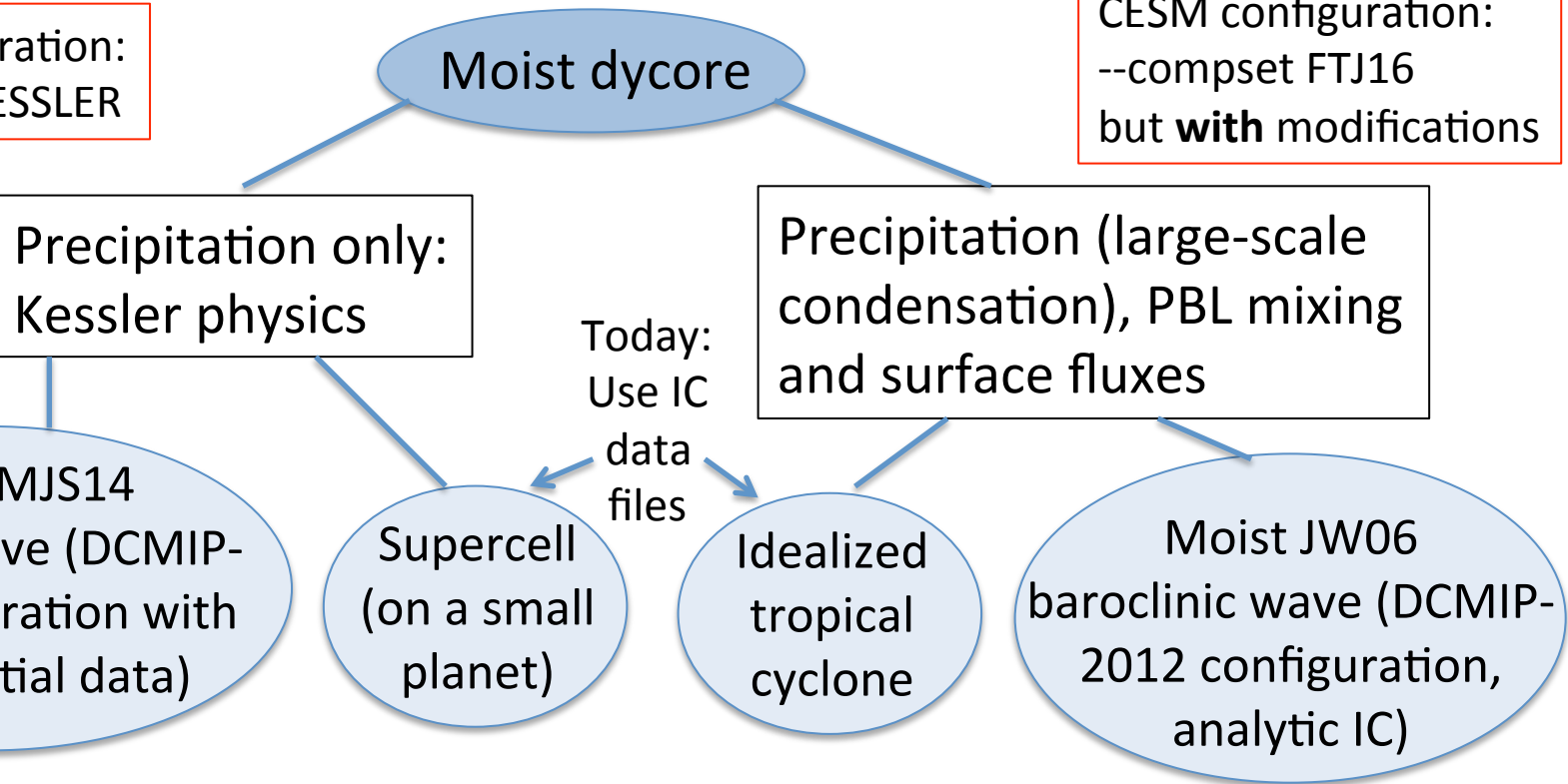


A Closer Look at the Pyramid:

Simplified Moist Dynamical Cores (deterministic)

CESM configuration:
--compset FKESSLER

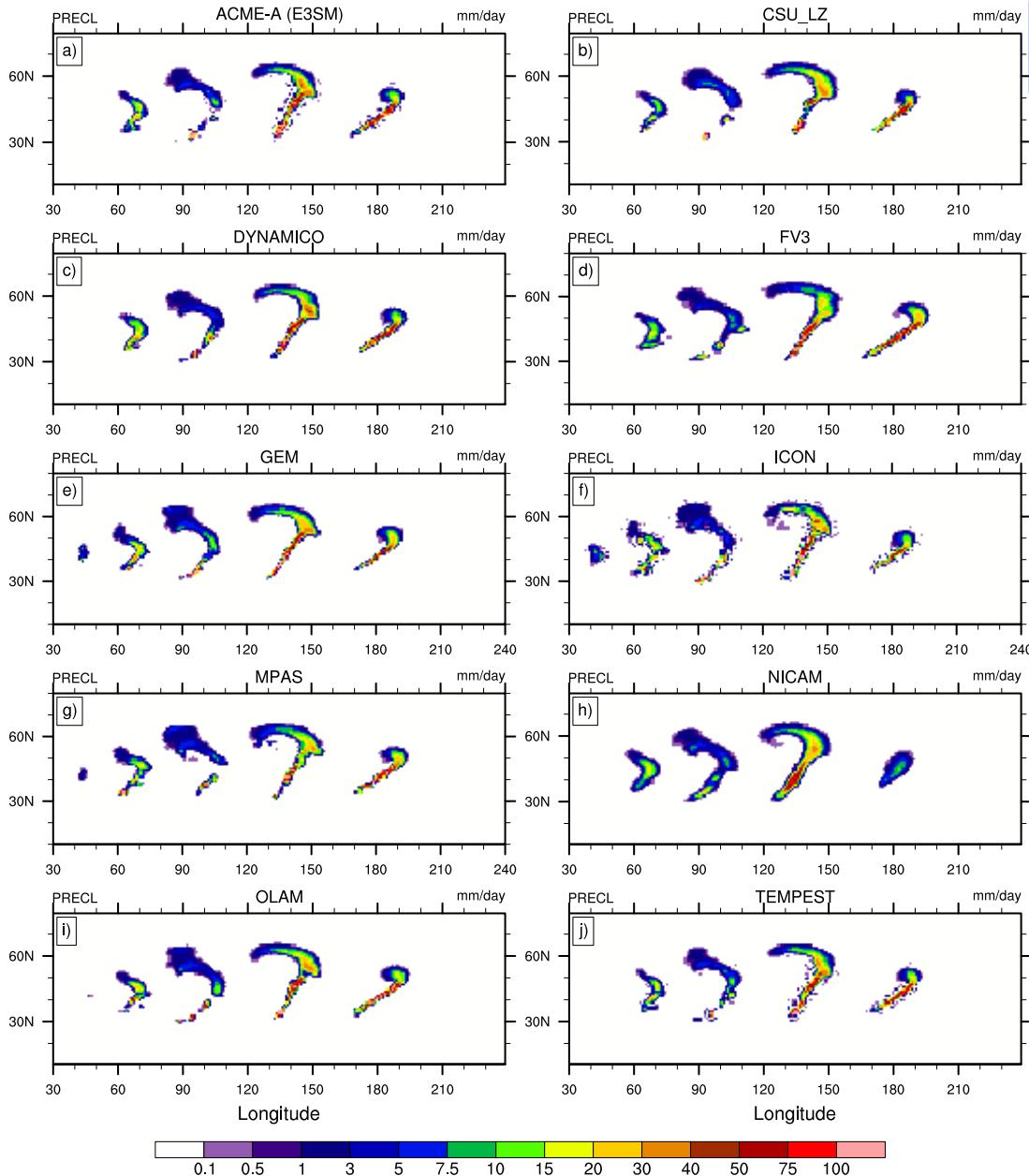
CESM configuration:
--compset FTJ16
but **with** modifications



- **Kessler physics, supercell IC:** Klemp, J. B., W. C. Skamarock, and S.-H. Park (2015), Idealized global nonhydrostatic atmospheric test cases on a reduced-radius sphere, *J. Adv. Model. Earth Syst.*, 7, 1155–1177
- **Large-scale precipitation, PBL, surface fluxes:** Reed, K. A. and C. Jablonowski (2012), Idealized tropical cyclone simulations of intermediate complexity: A test case for AGCMs, *J. Adv. Model. Earth Syst.*, 4, M04001
- **Tropical cyclone test case IC:** Reed, K.A. and C. Jablonowski (2011), An Analytic Vortex Initialization Technique for Idealized Tropical Cyclone Studies in AGCMs. *Mon. Wea. Rev.*, 139, 689–710
- **JW06 baroclinic wave, see also DCMIP-2012 for moist variant:** Jablonowski, C. and Williamson, D. L. (2006), A baroclinic instability test case for atmospheric model dynamical cores. *Q.J.R. Meteorol. Soc.*, 132, 2943-2975

Moist UMJS14 baroclinic wave with Kessler physics

moist DCMIP-2016 baroclinic wave, precipitation, Day 10

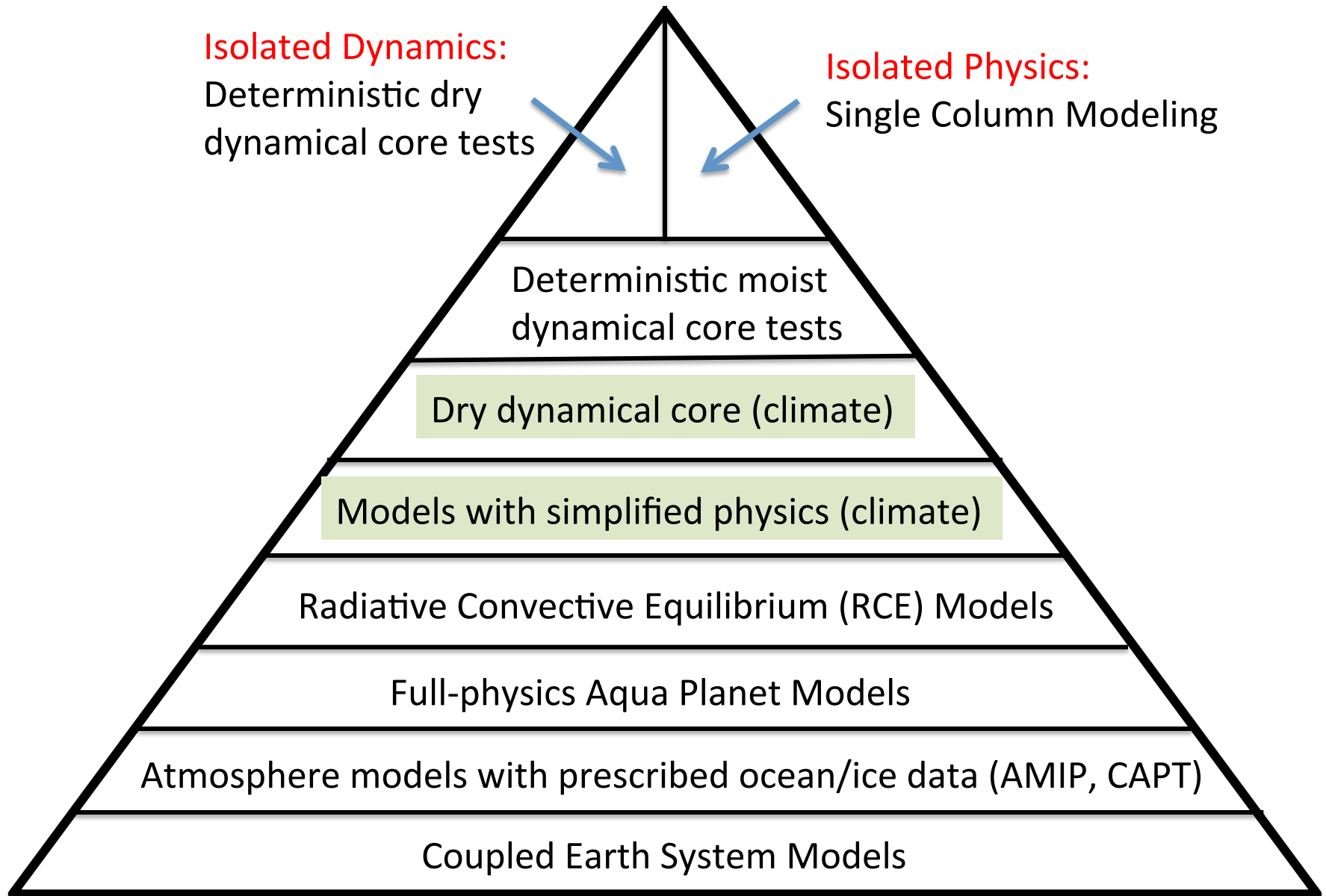


Examples from DCMIP-2016

Precipitation rates at day 10 ($\Delta x=110$ km): overall patterns similar, details differ

- At day 10 precipitation bands become very narrow, tend to break up in some models (with very strong grid-point scale precipitation)
- Differing levels of ‘noise’ and diffusion

In-Depth Look at Model Hierarchies



Isolated Dynamics:
Deterministic dry
dynamical core tests

Isolated Physics:
Single Column Modeling

Deterministic moist
dynamical core tests

Dry dynamical core (climate)

Models with simplified physics (climate)

Radiative Convective Equilibrium (RCE) Models

Full-physics Aqua Planet Models

Atmosphere models with prescribed ocean/ice data (AMIP, CAPT)

Coupled Earth System Models

A Closer Look at the Pyramid: Dry Dynamical Core (Climate) Hierarchy

CESM configuration:
--compset FHS94

Dry dycore

Based on Held-Suarez (1994) forcing

Boer and Denis (1997) forcing

Original
Held and
Suarez (1994)
test

Held-Suarez
test with
topography

with stratospheric modifications

Williamson
et al. (1998)

Polvani and
Kushner (2002)

Jucker
(2016)

Gerber and
Polvani (2009),
Gerber
(2012)

Available, but requires code
changes described here:

[http://www.cesm.ucar.edu/
models/simpler-models/
changetrefana.html](http://www.cesm.ucar.edu/models/simpler-models/changetrefana.html)

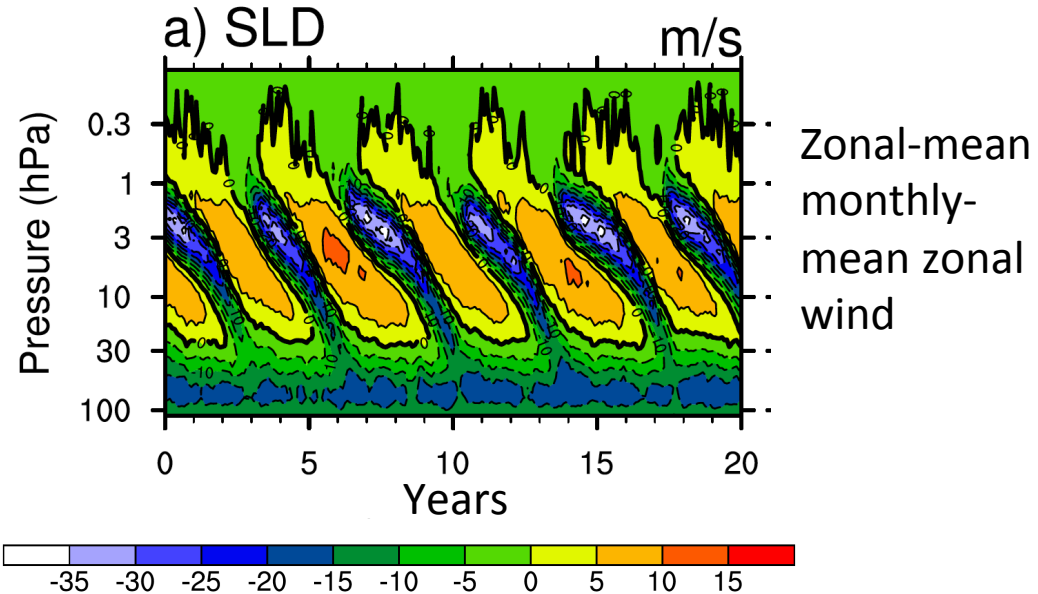
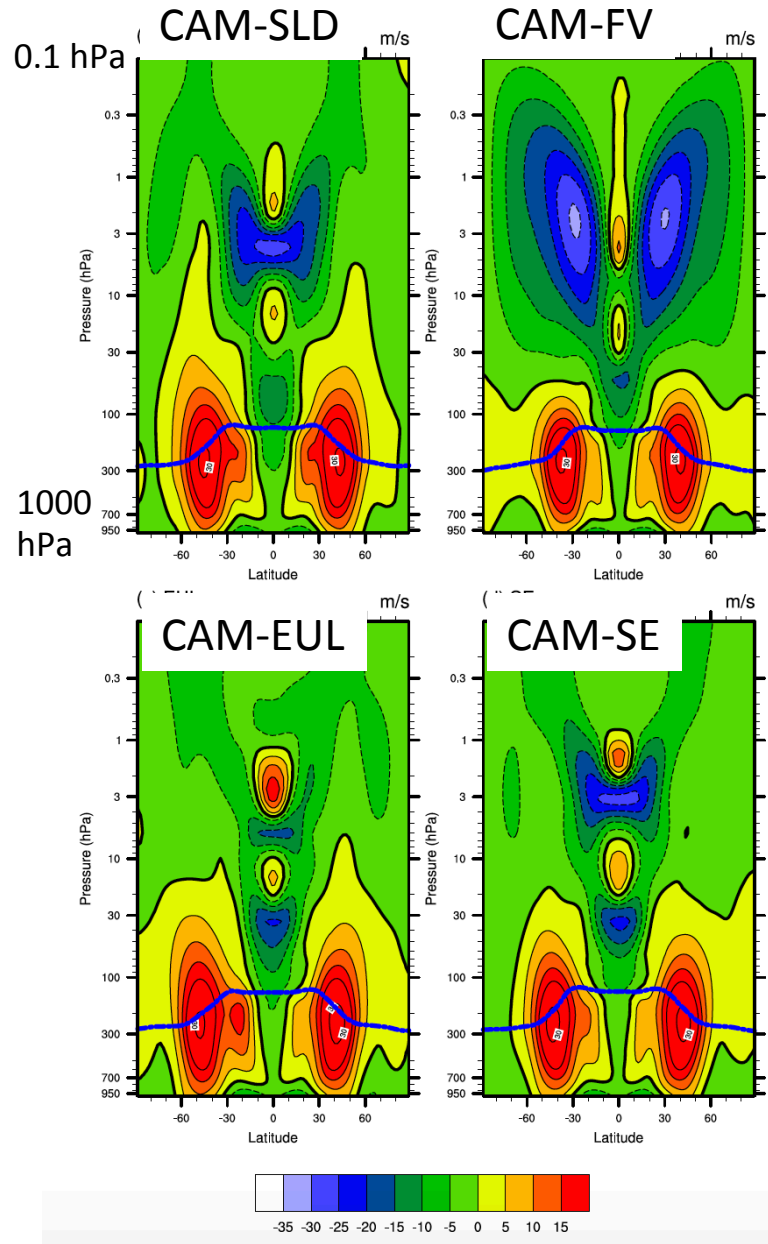
optional topography

optional Age-of-Air tracers

Examples of Held-Suarez simulations with high top

Intercomparisons of the monthly-mean zonal-mean zonal wind of four CAM dynamical cores with 200 km grid spacing, 55 levels and a model top at 0.1 hPa

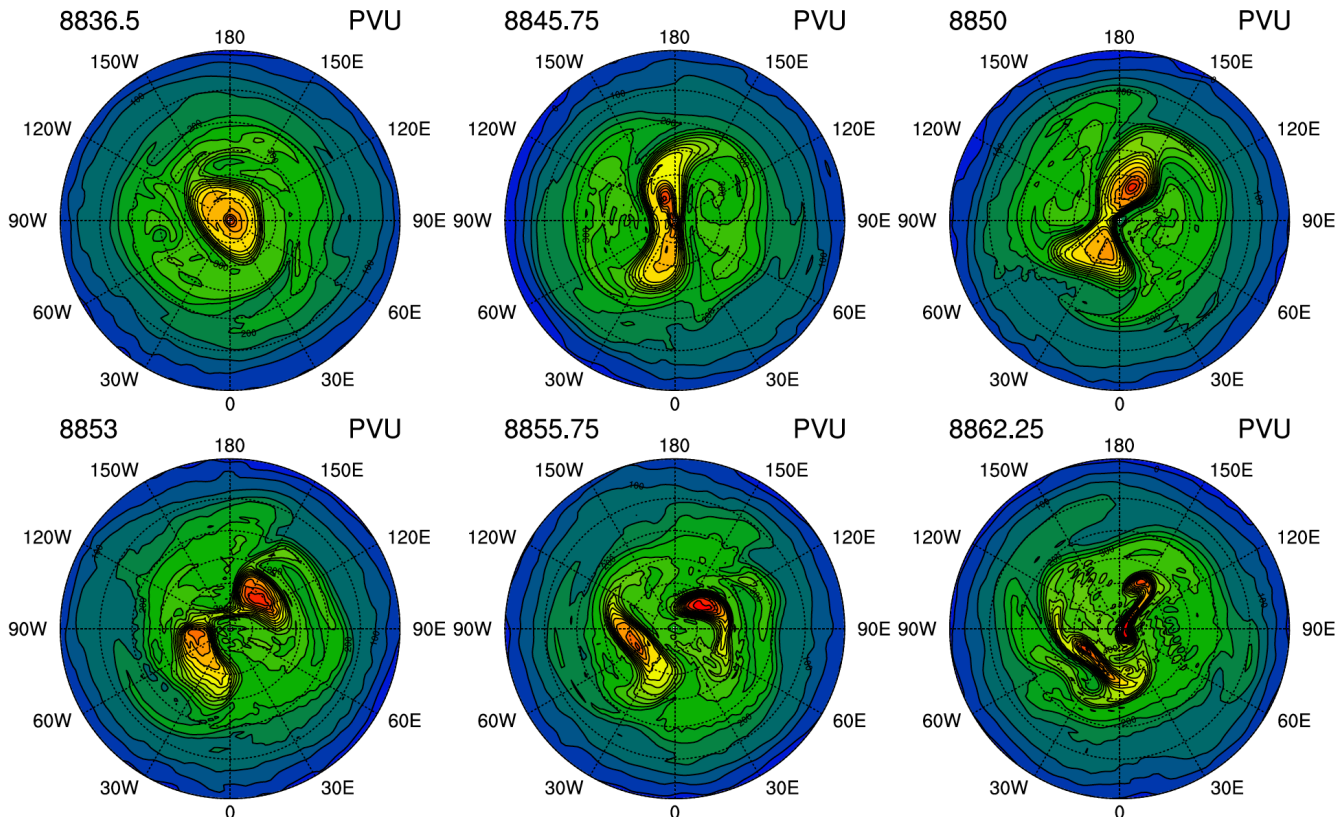
Exposes QBO-like oscillations in the stratosphere



Yao, W. and C. Jablonowski (2015), Idealized Quasi-Biennial Oscillations in an Ensemble of Dry GCM Dynamical Cores. *J. Atmos. Sci.*, 72, 2201–2226, <https://doi.org/10.1175/JAS-D-14-0236.1>

Examples of Held-Suarez simulations with stratospheric modifications by Williamson et al. (1998)

Exposes Sudden Stratospheric Warming (SSW) signals in CAM-SLD



Time series of the **potential vorticity** on the on the **840K isentropes** shows break up of the polar vortex (SSW) at the North Pole

- Yao, W. and C. Jablonowski (2016), The Impact of GCM Dynamical Cores on Idealized Sudden Stratospheric Warmings and Their QBO Interactions. *J. Atmos. Sci.*, 73, 3397–3421, <https://doi.org/10.1175/JAS-D-15-0242.1>
- Williamson, D.L., J.G. Olson, and B.A. Boville (1998), A Comparison of Semi-Lagrangian and Eulerian Tropical Climate Simulations. *Mon. Wea. Rev.*, 126, 1001–1012, [https://doi.org/10.1175/1520-0493\(1998\)126<1001:ACOSLA>2.0.CO;2](https://doi.org/10.1175/1520-0493(1998)126<1001:ACOSLA>2.0.CO;2)

A Closer Look at the Pyramid: Simplified Moist Models (Climate) Hierarchy

Moist core with large-scale precipitation,
PBL mixing, and surface fluxes

CESM configuration:
--compset FTJ16

Prescribed SST

Mixed-layer (slab) ocean model

Gray radiation

Complex radiation

Thatcher and
Jablonowski
(2016): moist
version of the
Held-Suarez
test

Frierson et al.
(2006)

modified Frierson et al.
(2006) with SBM convection

O’Gorman and
Schneider
(2008)

modified Merlis
et al. (2013)

Merlis et al.
(2013)

Jucker
and
Gerber
(2017)

**simplified Betts-Miller (SBM) convection
scheme (Frierson 2007) can be added**

**with prescribed ocean heat
fluxes and SBM convection**

Comparison of TJ16 ('moist Held-Suarez') and Aqua-Planet

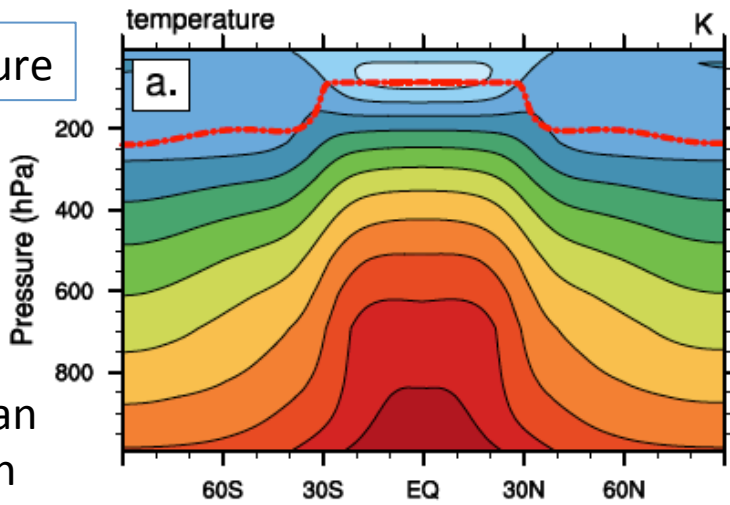
CAM5-SE 1° L30: Moist Held-Suarez mimics Aqua-Planet simulations

Temperature

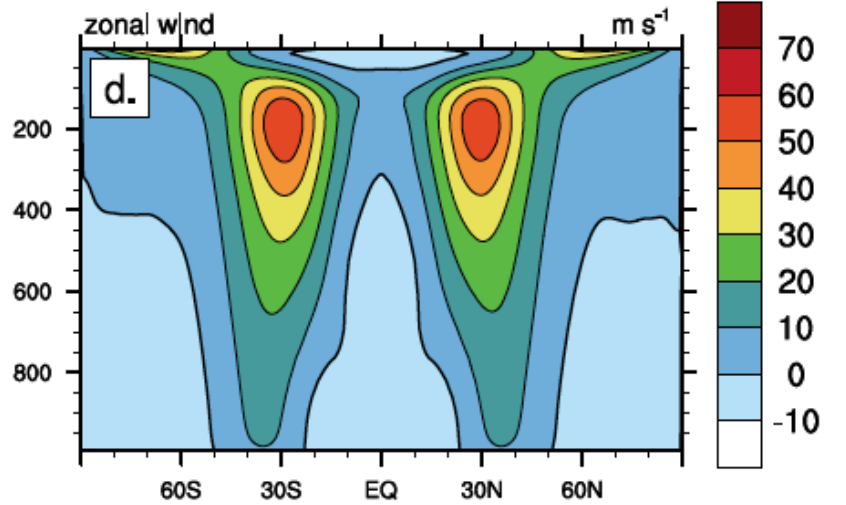
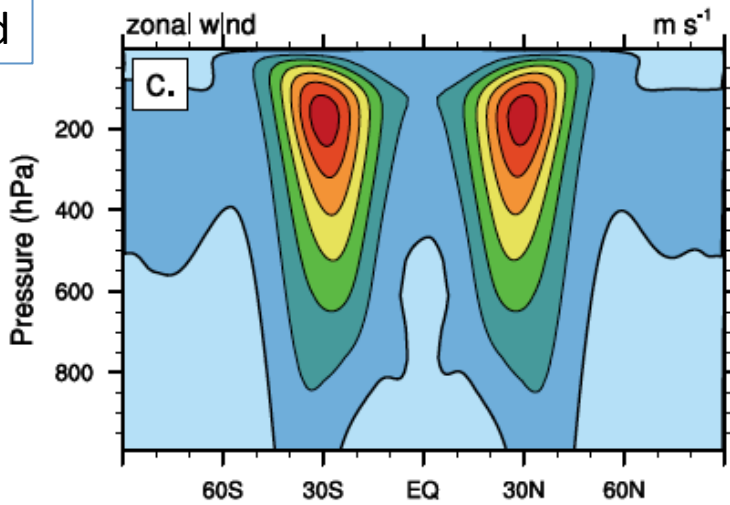
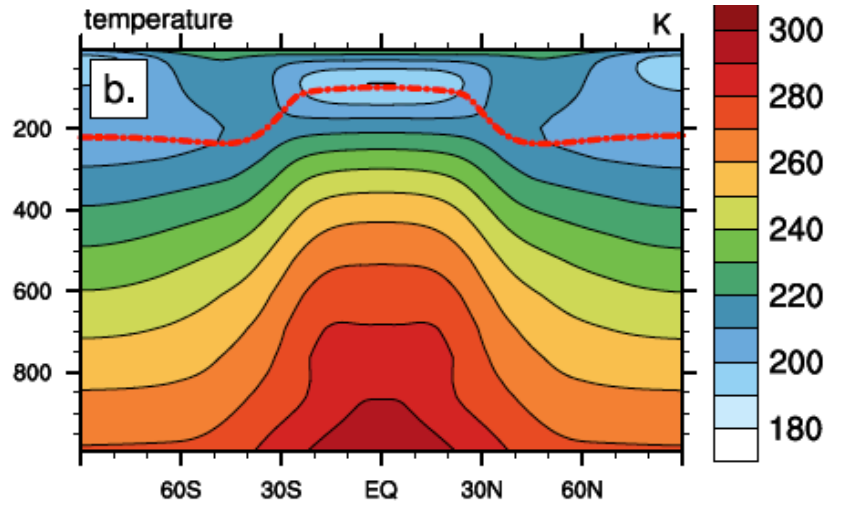
2-year-mean
zonal-mean

Zonal wind

Moist Held-Suarez (TJ16)



Aqua-Planet with complex CAM5 physics



Thatcher, D. R. and C. Jablonowski (2016), A moist aquaplanet variant of the Held–Suarez test for atmospheric model dynamical cores, *Geosci. Model Dev.*, 9, 1263–1292, <https://doi.org/10.5194/gmd-9-1263-2016>

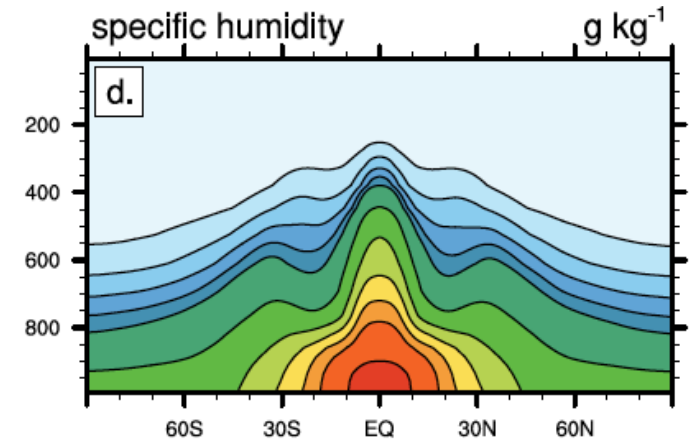
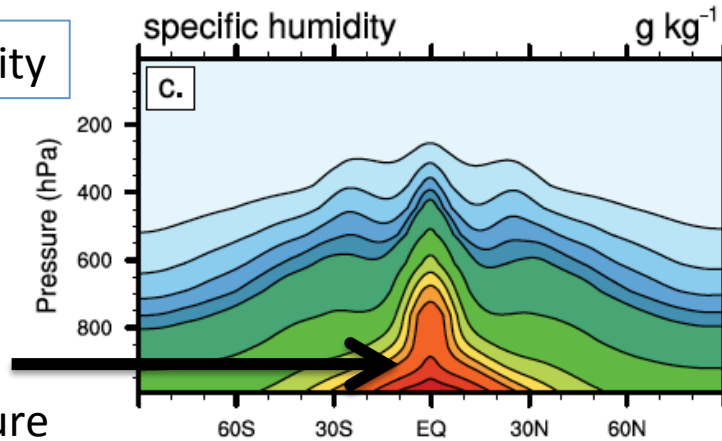
Comparison of TJ16 ('moist Held-Suarez') and Aqua-Planet

CAM5-SE 1° L30: Moist Held-Suarez mimics Aqua-Planet simulations

Moist Held-Suarez(TJ16)

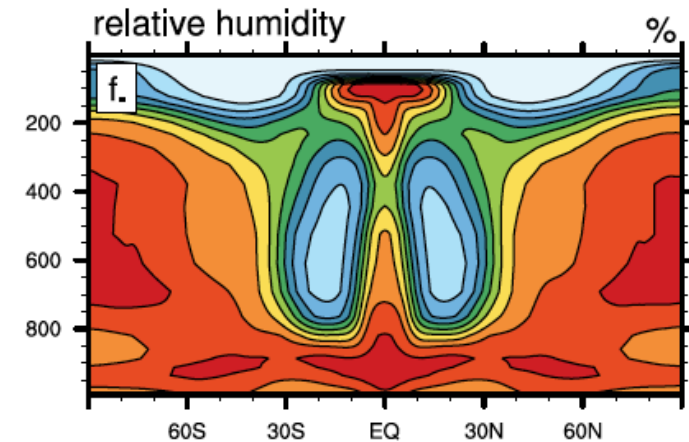
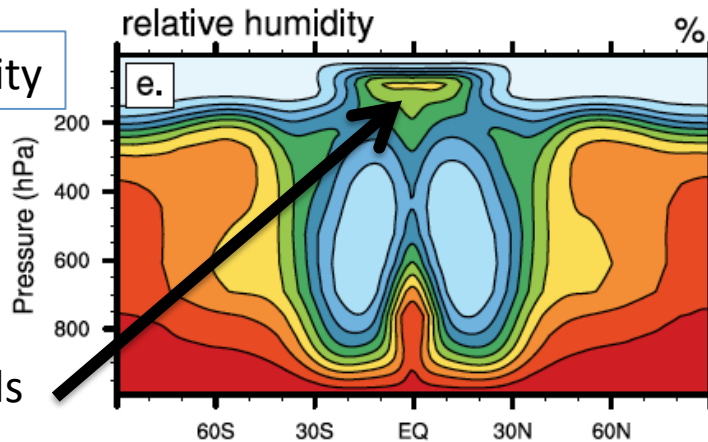
Aqua-Planet with complex CAM5 physics

Specific humidity



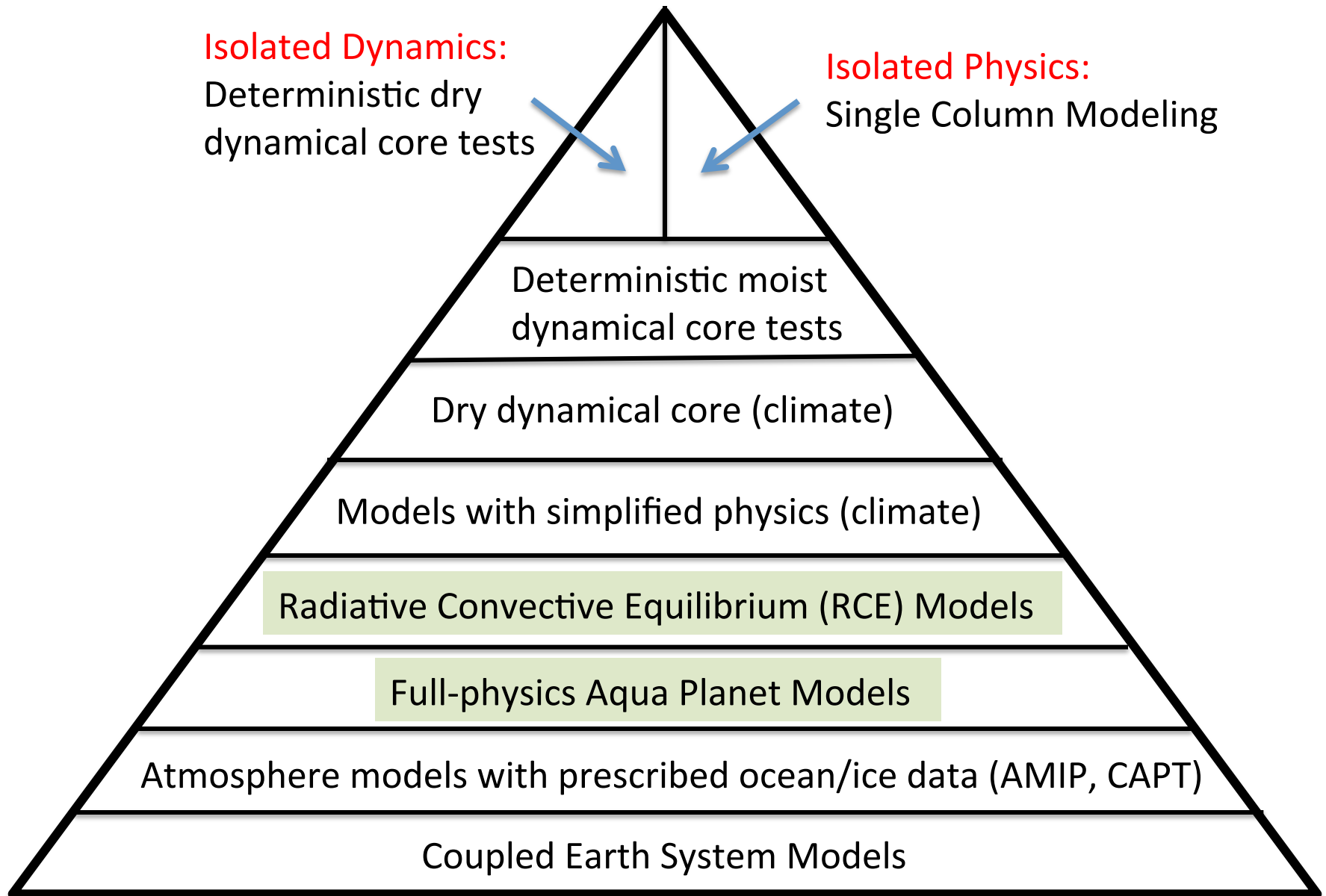
Less efficient upward moisture transport in PBL, but distributions are similar

Relative Humidity



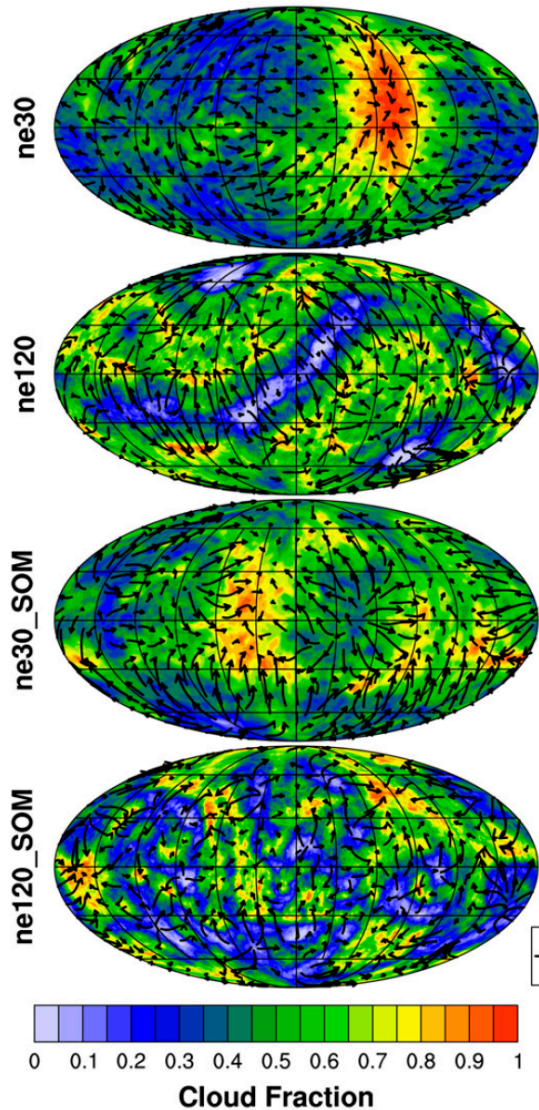
Lack of deep convection leads to dryer areas near the tropopause

In-Depth Look at Model Hierarchies

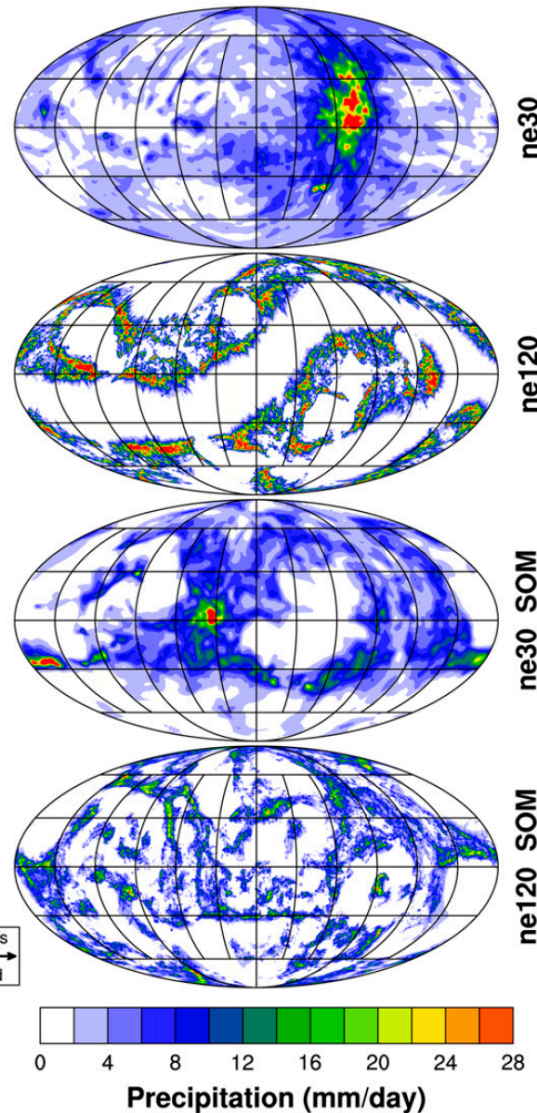


Examples of RCE simulations with CAM5-SE

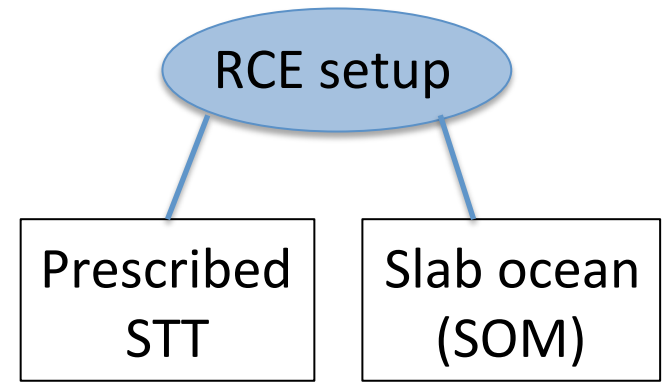
Cloud Fraction & Surface Winds



Total Precipitation



RCE Hierarchy



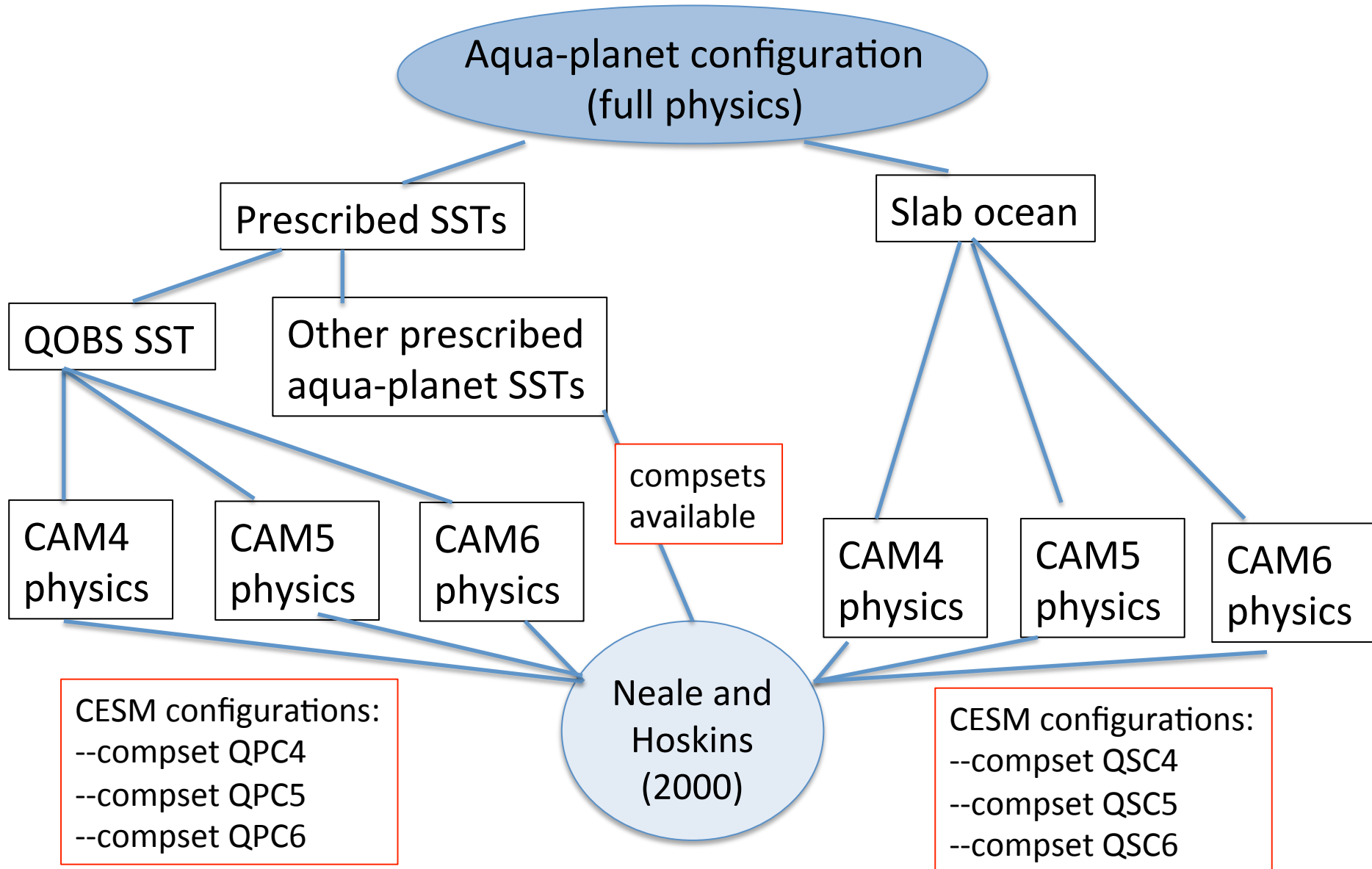
RCE setup includes:

no rotation, fixed insulation, full-physics package, water-covered Earth (aqua-planet)

FIG. 1. Monthly-averaged (left) cloud fraction (contours) and lowermost-model-level winds (vectors) and (right) total precipitation for the RCE simulations for a randomly selected month. Results are shown for each resolution and surface boundary condition (see labels).

Reed, K.A., B. Medeiros, J.T. Bacmeister, and P.H. Lauritzen, 2015: Global Radiative–Convective Equilibrium in the Community Atmosphere Model, Version 5. *J. Atmos. Sci.*, 72, 2183–2197, <https://doi.org/10.1175/JAS-D-14-0268.1>

A Closer Look at the Pyramid: Aqua-Planet Hierarchy (Climate)



CESM2/CESM2.1 Simpler Model Status

- The currently available idealized (simpler) model configurations can be run **out-of-the-box** via the `create_newcase` (compset) and `xmlchange` commands
- Several **analytic initial conditions** are **available** via namelist settings for e.g. dry and moist dynamical core tests
 - `analytic_ic_type = 'dry_baroclinic_wave_dcmip2016'`
 - `analytic_ic_type = 'moist_baroclinic_wave_dcmip2016'`
 - `analytic_ic_type = 'dry_baroclinic_wave_jw2006'`
 - `analytic_ic_type = 'held_suarez_1994'`
- Alternatively: Initial conditions can also be read from an **initial data file** (relevant for many other adiabatic tests)
- Several setups are currently **in progress**:
simplified-physics gray-radiation, RCE

Conclusions

- Efforts are under way to build up a CAM Simpler Model Framework that **supports the broader community**
- Supports the **standardization** of the model configurations
- Framework supports (1) **model intercomparisons** with CAM dycores and various CAM physics packages, (2) **investigation of the general circulation**, and (3) **education** (Simpler Models are a teaching tool)
- The idea is that the available idealized (simpler) model configurations can be run either **out-of-the-box** or with a few added settings (e.g. via the namelist or xmlchange)
- The Simpler Model webpage documentation will soon be updated to reflect the current status
- As demonstrated the Simpler Model Framework only captures **fragments of the possible model hierarchies (indicated by the compsets names in the presentation)**
- Up for discussions: **Which additions to the framework are desired by the community? Which ones are useful?**

All References (1)

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<https://doi.org/10.1007/s003820050171>

Frierson, D.M., I.M. Held, and P. Zurita-Gotor (2006), A Gray-Radiation Aquaplanet Moist GCM. Part I: Static Stability and Eddy Scale. *J. Atmos. Sci.*, 63, 2548–2566,

<https://doi.org/10.1175/JAS3753.1>

Frierson, D.M. (2007), The Dynamics of Idealized Convection Schemes and Their Effect on the Zonally Averaged Tropical Circulation. *J. Atmos. Sci.*, 64, 1959–1976,

<https://doi.org/10.1175/JAS3935.1>

Gerber, E.P. and L.M. Polvani (2009): Stratosphere–Troposphere Coupling in a Relatively Simple AGCM: The Importance of Stratospheric Variability. *J. Climate*, 22, 1920–1933,

<https://doi.org/10.1175/2008JCLI2548.1>

Gerber, E.P. (2012), Stratospheric versus Tropospheric Control of the Strength and Structure of the Brewer–Dobson Circulation. *J. Atmos. Sci.*, 69, 2857–2877,

<https://doi.org/10.1175/JAS-D-11-0341.1>

Held, I.M. and M.J. Suarez (1994), A Proposal for the Intercomparison of the Dynamical Cores of Atmospheric General Circulation Models. *Bull. Amer. Meteor. Soc.*, 75, 1825–1830, [https://doi.org/10.1175/1520-0477\(1994\)075<1825:APFTIO>2.0.CO;2](https://doi.org/10.1175/1520-0477(1994)075<1825:APFTIO>2.0.CO;2)

Held, I. (2005), The gap between simulation and understanding in climate modeling, *Bull. Am. Meteorol. Soc.*, 86, 1609–1614, <https://doi.org/10.1175/BAMS-86-11-1609>

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Held, I. M. (2014), Simplicity amid complexity, *Science*, 343(6176), 1206–1207, <https://doi.org/10.1126/science.1248447>

Jablonowski, C. and Williamson, D. L. (2006), A baroclinic instability test case for atmospheric model dynamical cores. *Q.J.R. Meteorol. Soc.*, 132, 2943-2975, <https://doi.org/10.1256/qj.06.12>

Jeevanjee, N., P. Hassanzadeh, S. Hill, and A. Sheshadri (2017), A perspective on climate model hierarchies, *J. Adv. Model. Earth Syst.*, 9, 1760–1771, <https://doi.org/10.1002/2017MS001038>

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Lauritzen, P. H., A. J. Conley, J.-F. Lamarque, F. Vitt, and M. A. Taylor (2015), The terminator "toy"-chemistry test: A simple tool to assess errors in transport schemes, *Geosci. Model Dev.*, 8, 1299-1313, <https://doi.org/10.5194/gmd-8-1299-2015>

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Neale, R. B. and Hoskins, B. J. (2000), A standard test for AGCMs including their physical parametrizations: I: the proposal. *Atmosph. Sci. Lett.*, 1: 101-107, <https://doi.org/10.1006/asle.2000.0022>

O’Gorman, P.A. and T. Schneider (2008), The Hydrological Cycle over a Wide Range of Climates Simulated with an Idealized GCM. *J. Climate*, 21, 3815–3832, <https://doi.org/10.1175/2007JCLI2065.1>

Polvani, L.M., R.K. Scott, and S.J. Thomas (2004), Numerically Converged Solutions of the Global Primitive Equations for Testing the Dynamical Core of Atmospheric GCMs, *Mon. Wea. Rev.*, 132, 2539–2552, <https://doi.org/10.1175/MWR2788.1>

Polvani, L. M., and P. J. Kushner (2002), Tropospheric response to stratospheric perturbations in a relatively simple general circulation model. *Geophys. Res. Lett.*, 29(7), <https://doi.org/10.1029/2001GL014284>

Polvani, L. M., A. C. Clement, B. Medeiros, J. J. Benedict, and I. R. Simpson (2017), When less is more: Opening the door to simpler climate models, *Eos*, 98, <https://doi.org/10.1029/2017EO079417>

Reed, K.A. and C. Jablonowski (2011), An Analytic Vortex Initialization Technique for Idealized Tropical Cyclone Studies in AGCMs. *Mon. Wea. Rev.*, 139, 689–710, <https://doi.org/10.1175/2010MWR3488.1>

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DCMIP-2016 project page and documentation of the DCMIP-2016 test cases:

<https://www.earthsystemcog.org/projects/dcmip-2016/testcases>

DCMIP-2012 test cases (including the moist JW06 baroclinic wave & other dycore tests):

https://www.earthsystemcog.org/projects/dcmip-2012/test_cases