

# Modeling the Biosphere in 2050: Successes and Failures, Consensus and Controversies (v2)

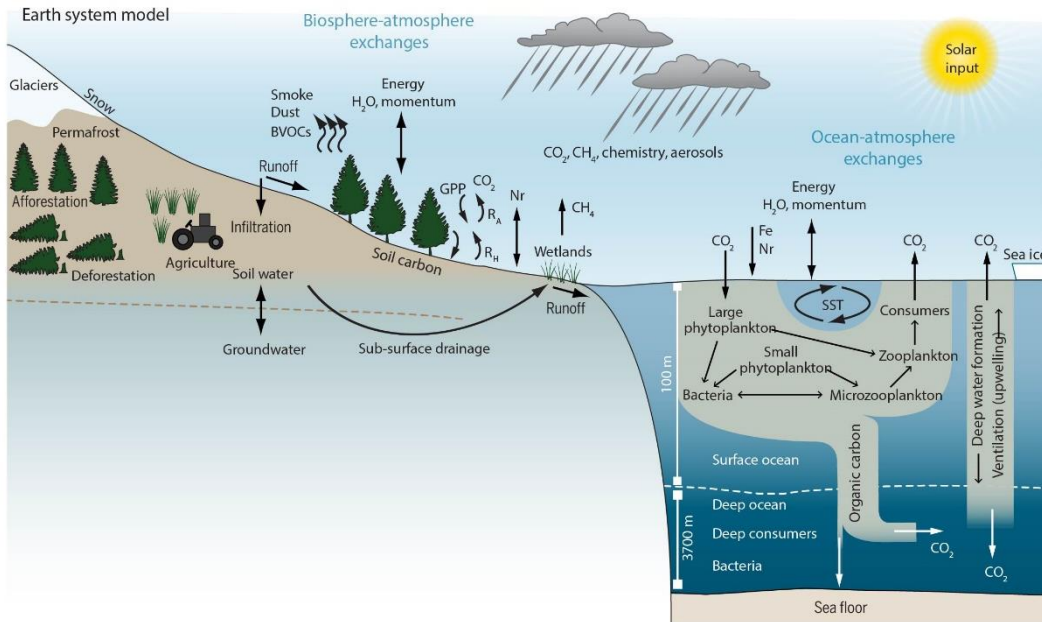
Gordon Bonan  
National Center for Atmospheric Research  
Boulder, Colorado, USA

CESM Land Model Working Group Meeting  
Boulder, CO  
11 February 2019



# Earth system models

## Earth system perspective with terrestrial ecosystems and biogeochemical cycles



Bonan & Doney (2018) *Science*, 359, eaam8328, doi:10.1126/science.aam8328

### Earth system prediction

What are the consequences of alternative socioeconomic pathways?

### Scientific discovery

Identify ecological processes that determine climate

### Advance theory

Test generality of ecological theories at the macroscale

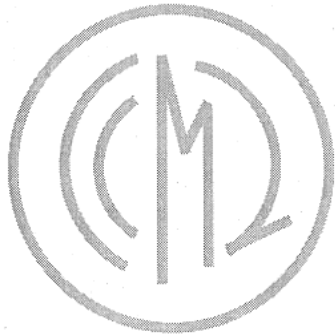
# NCAR models circa 1993

NCAR/TN-382+STR  
NCAR TECHNICAL NOTE

June 1993

## Description of the NCAR Community Climate Model (CCM2)

JAMES J. HACK  
BYRON A. BOVILLE  
BRUCE P. BRIEGLEB  
JEFFREY T. KIEHL  
PHILIP J. RASCH  
DAVID L. WILLIAMSON



CLIMATE AND GLOBAL DYNAMICS DIVISION  
NATIONAL CENTER FOR ATMOSPHERIC RESEARCH  
BOULDER, COLORADO

- Prescribed soil wetness and snow depth
- Prescribed surface albedos
- No plant canopies (no leaves or stomata)

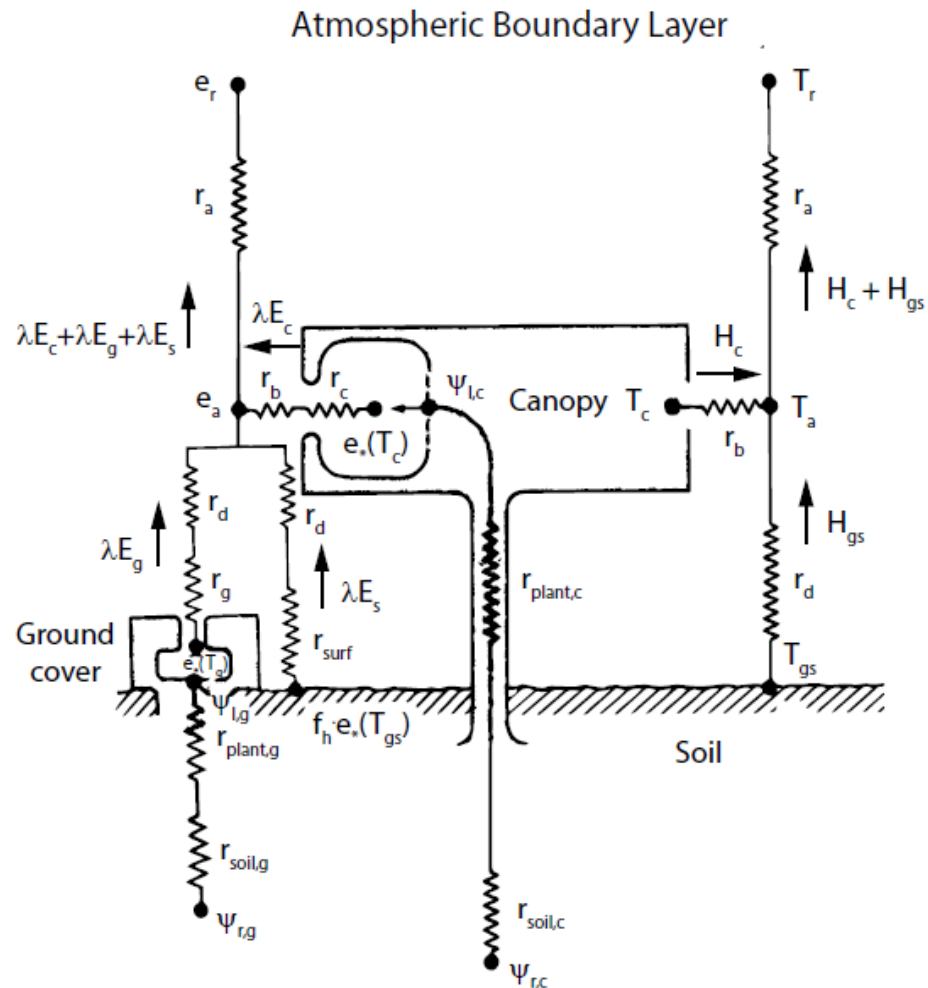
# Advent of land surface models

Simple Biosphere Model (SiB) (Sellers et al. 1986, 1996)

Biosphere-Atmosphere Transfer Scheme (BATS) (Dickinson et al. 1986, 1993)

Diffusive fluxes as controlled  
by plant canopies:

- Radiative transfer
- Turbulent energy fluxes
- Stomata
- Hydrology
- Momentum transfer



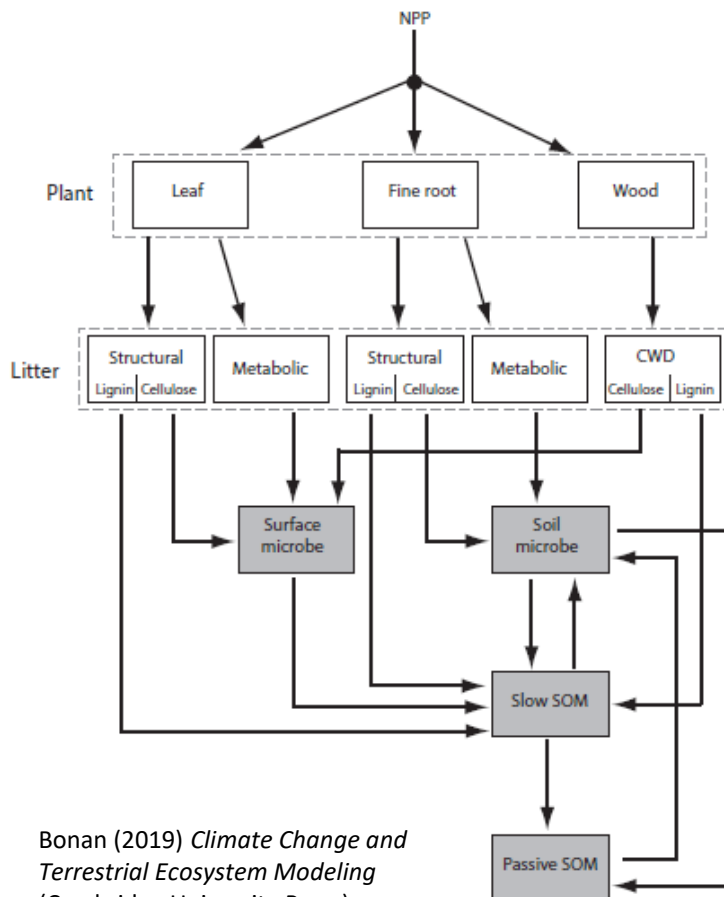
# Biogeochemical perspective

## Evolution of carbon sinks in a changing climate

Inez Y. Fung<sup>\*†</sup>, Scott C. Doney<sup>‡</sup>, Keith Lindsay<sup>§</sup>, and Jasmin John<sup>\*</sup>

<sup>\*</sup>Berkeley Atmospheric Sciences Center, University of California, Berkeley, CA 94720-4767; <sup>†</sup>Department of Marine Chemistry and Geochemistry, Woods Hole Oceanographic Institution, Woods Hole, MA 02543; and <sup>§</sup>National Center for Atmospheric Research, P.O. Box 3000, Boulder, CO 80307

Fung et al. (2005) *PNAS*, 102, 11201-11206



First coupled carbon cycle-climate model at NCAR using CASA' adaptation of CASA biogeochemical model

- Simple 12-pool model

Bonan (2019) *Climate Change and Terrestrial Ecosystem Modeling* (Cambridge University Press)

# Centennial research

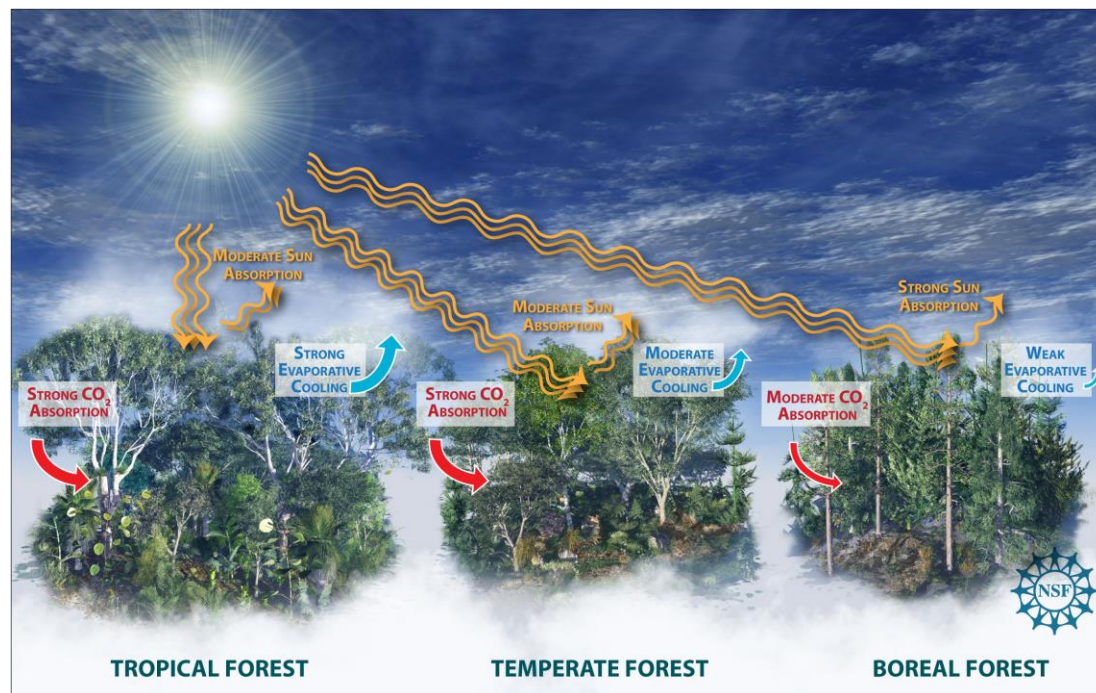
## Past

“rational climatology gives no basis for the much-talked of influence upon the climate of a country produced by the growth or destruction of forests ... and the cultivation of crops over a wide extent of prairie”

Abbe (1889) Is our climate changing? *Forum*, 6(Feb.), 678-688

(the AMS recognizes Abbe’s contributions with the Cleveland Abbe Award For Distinguished Service to Atmospheric Science)

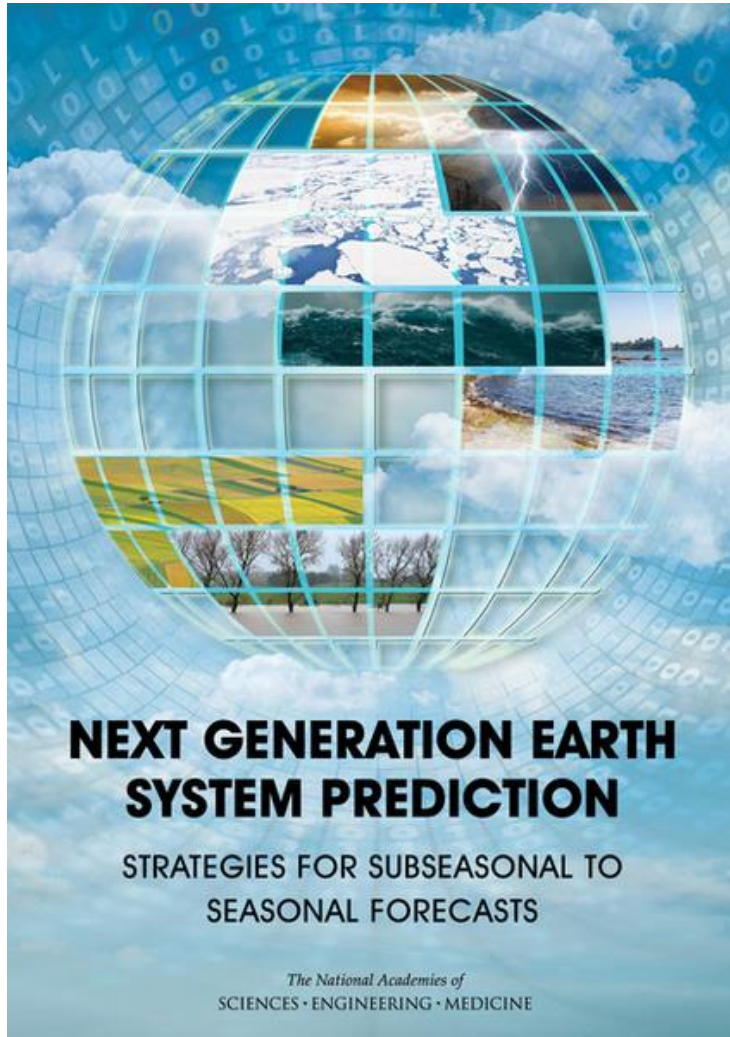
## Present: climate services of forests



Bonan (2008) *Science*, 320, 1444-1449

Credit: Nicolle Rager Fuller, National Science Foundation

# Earth system prediction



Land as a source of atmospheric predictability

- Soil moisture
- Snow
- Vegetation state (leaf area)

(NAS, 2016)

# Earth system change

... or predictability of land state and fluxes



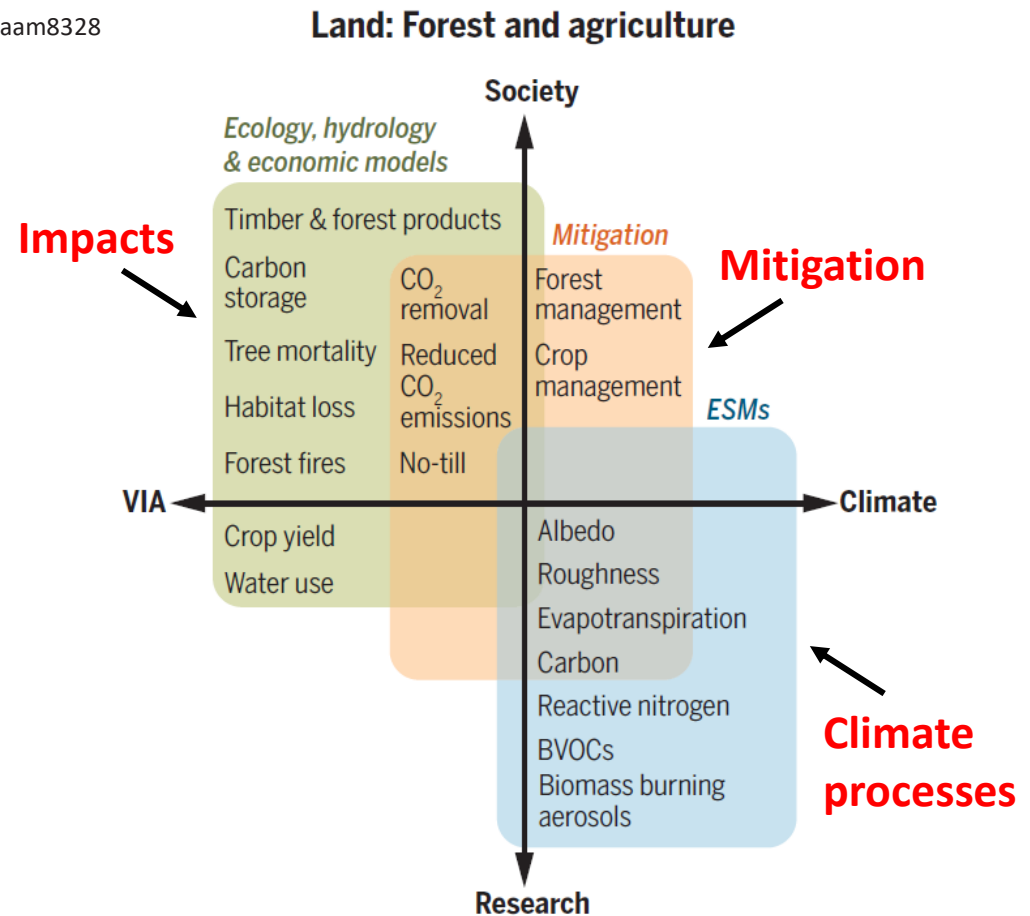
Drought, wildfires, floods, tree mortality, vegetation greening, habitat loss, infectious disease



# Earth system prediction

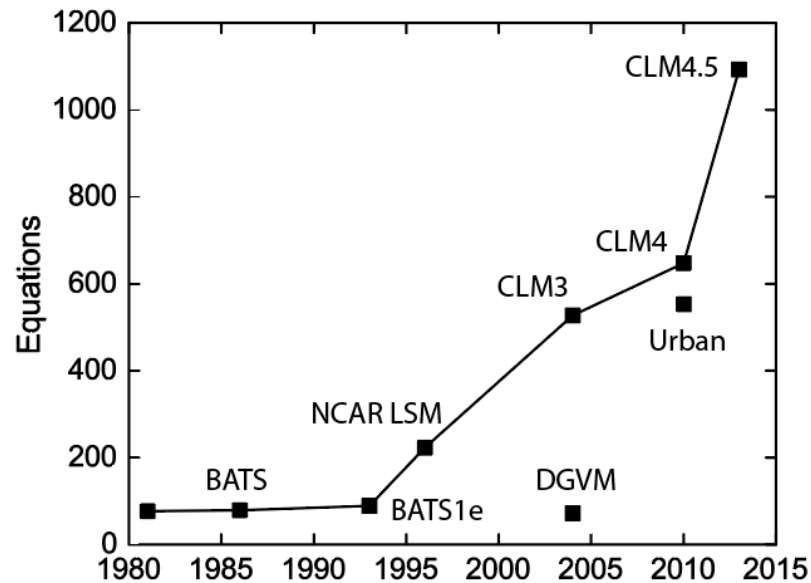
The various models used for climate projections, mitigation, and impacts (VIA) overlap in scope and would benefit from a broad perspective of Earth system prediction

Bonan & Doney (2018) *Science*, 359, eaam8328, doi:10.1126/science.aam8328



# Increasing model complexity

Breadth and complexity of land surface models as documented by NCAR technical notes

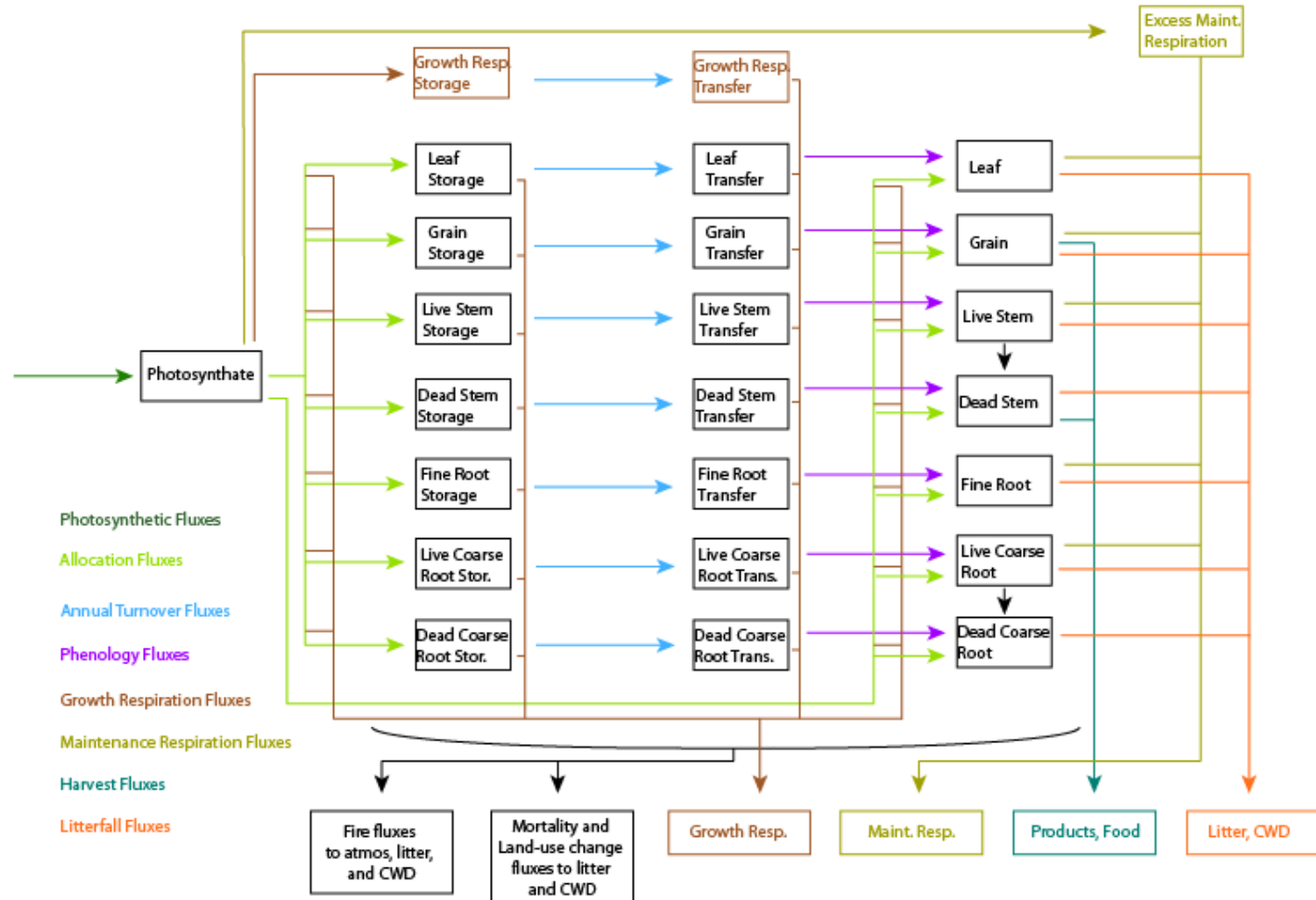


Bonan (2019) *Climate Change and Terrestrial Ecosystem Modeling* (Cambridge University Press)

Do more complexity and more authentic process parameterizations provide a better model?

# Increasing model complexity

## Vegetation carbon pools and fluxes in the Community Land Model



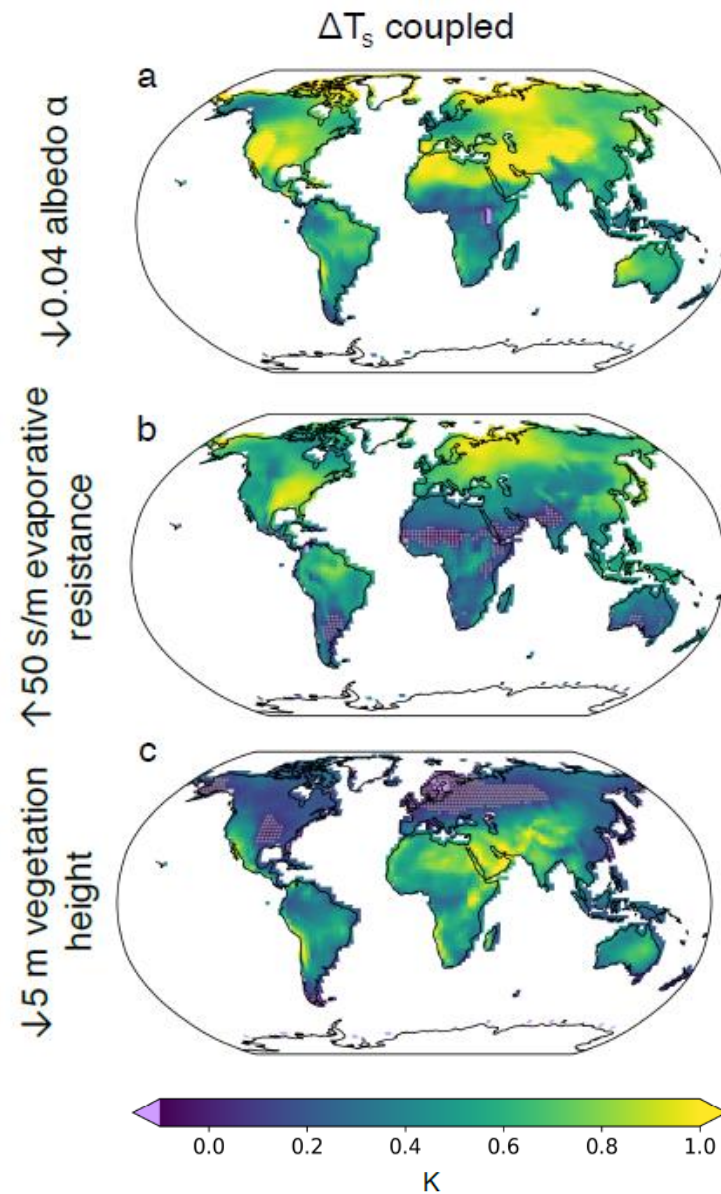
**CLM4.5: 70 carbon balance equations (including vertically resolved soil carbon in 10 soil layers)**

# Hierarchy of models

## Simple Land Interface Model (SLIM) (Marysa Lägue, Univ. of Washington)

Lägue, Bonan & Swann, *J. Clim.*, submitted

Allows separation of albedo, evaporation, and roughness effects on climate



# Deconstructing models

*deconstruct*: to take apart or examine (something) in order to reveal the basis or composition often with the intention of exposing biases, flaws, or inconsistencies

(Merriam-Webster)

Monin-Obukhov similarity theory 
$$\frac{k(z-d)}{u_*} \frac{\partial u}{\partial z} = \phi_m \left( \frac{z-d}{L_{MO}} \right)$$

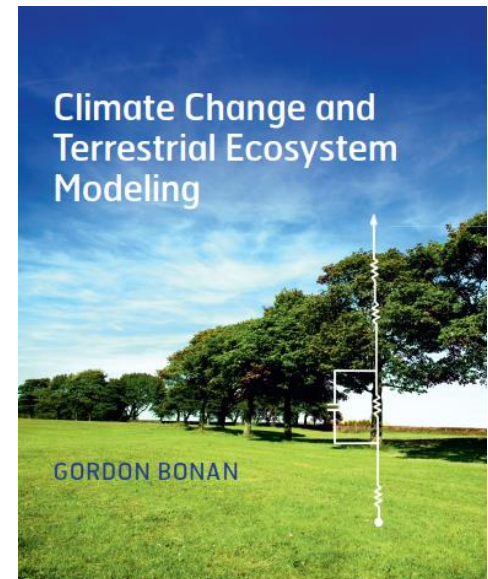
Richards equation 
$$\frac{\partial \theta}{\partial t} = \frac{\partial}{\partial z} \left[ K(\theta) \frac{\partial \psi}{\partial z} \right] + \frac{\partial K}{\partial z}$$

FvCB photosynthesis 
$$A_c = \frac{V_{c\max} (c_i - \Gamma_*)}{c_i + K_c (1 + o_i/K_o)} - R_d$$

$$A_j = \frac{J}{4} \left( \frac{c_i - \Gamma_*}{c_i + 2\Gamma_*} \right) - R_d$$

Ball-Berry stomatal conductance 
$$g_{sw} = g_0 + g_1 \frac{A_n}{c_s} h_s$$

Bonan (2019) *Climate Change and Terrestrial Ecosystem Modeling* (Cambridge University Press)



# Modeling photosynthesis

---

Planta 149, 78–90 (1980)

---

**Planta**  
© by Springer-Verlag 1980

---

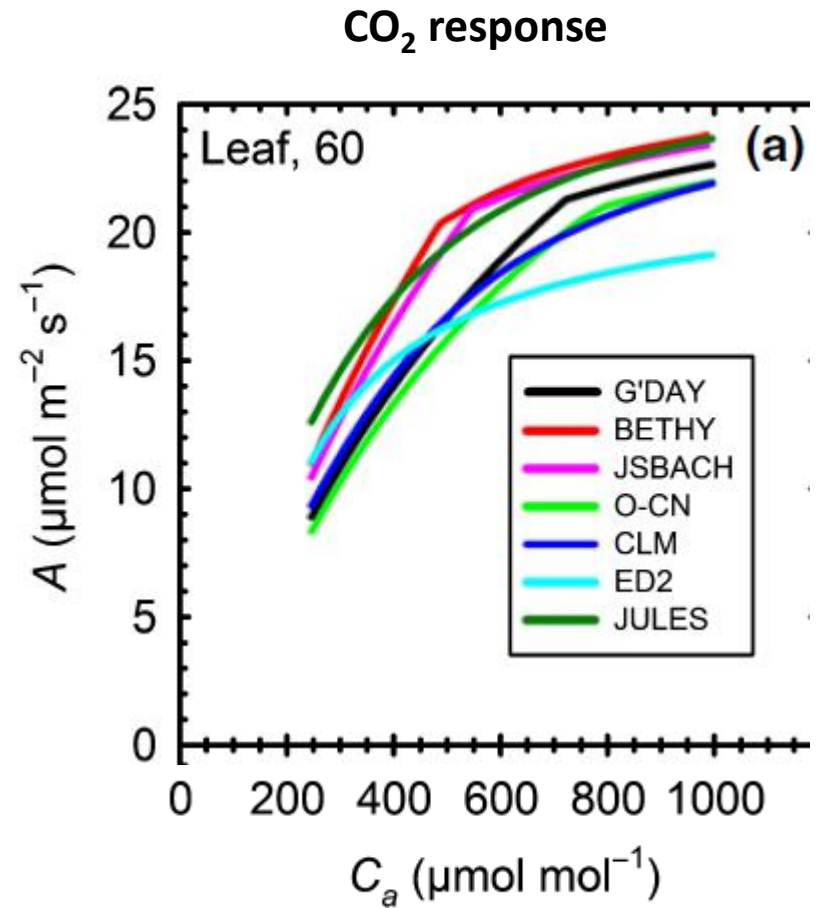
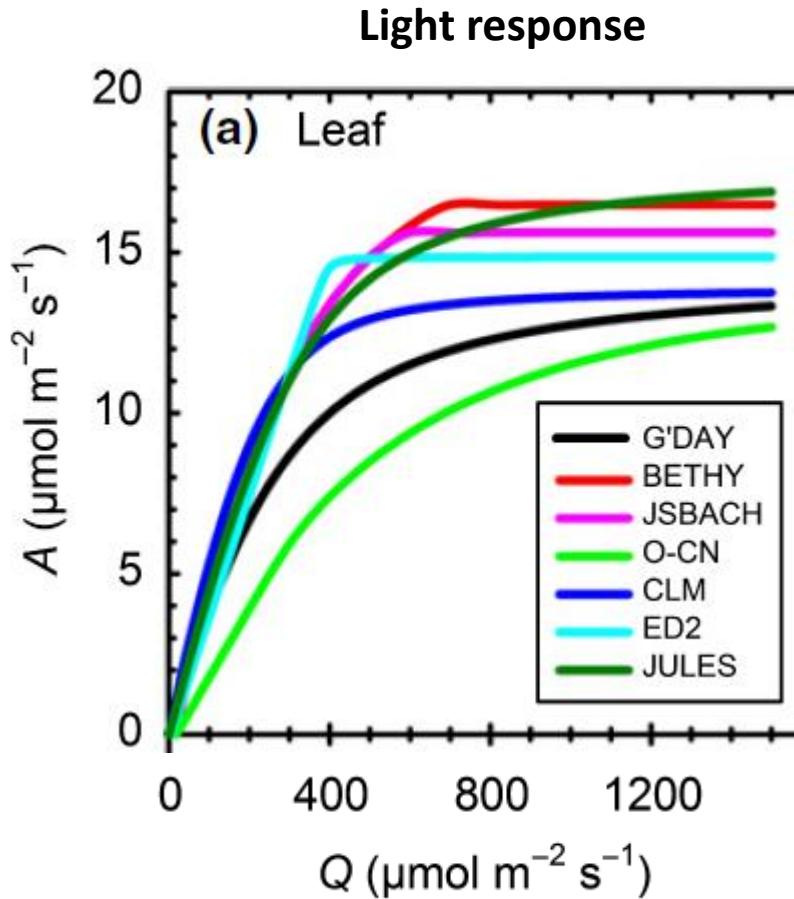
## **A Biochemical Model of Photosynthetic CO<sub>2</sub> Assimilation in Leaves of C<sub>3</sub> Species**

G.D. Farquhar<sup>1</sup>, S. von Caemmerer<sup>1</sup>, and J.A. Berry<sup>2</sup>

<sup>1</sup> Department of Environmental Biology, Research School of Biological Sciences, Australian National University, P.O. Box 475, Canberra City ACT 2601, Australia and

<sup>2</sup> Carnegie Institution of Washington, Department of Plant Biology, Stanford, Cal. 94305, USA

# Are we modeling the same thing?



# Stomatal conductance

## Ball, Woodrow & Berry (1987)

$$g_{sw} = g_0 + g_{1B} A_n h_s / c_s$$

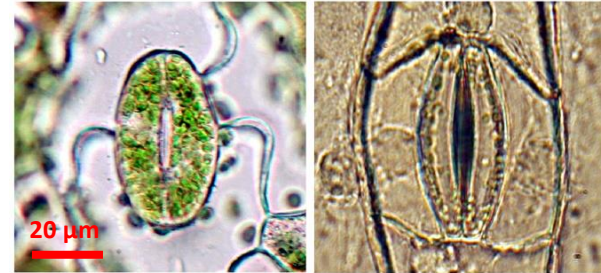
Empirical  
parameters

Empirical relationship between stomatal conductance and photosynthesis. Parameter  $g_{1B}$  obtained from leaf gas exchange data.

## Medlyn et al. (2011)

$$g_{sw} = g_0 + 1.6 (1 + g_{1M} / D_s^{1/2}) A_n / c_s$$

Derived from optimality theory after many simplifying assumptions. Uses empirical parameter  $g_{1M}$ .



Franks & Farquhar (2007) *Plant Physiol.*, 143, 78-87

## Optimization theory (Cowan & Farquhar 1977)

Stomata optimize photosynthetic carbon gain per unit transpiration water loss:

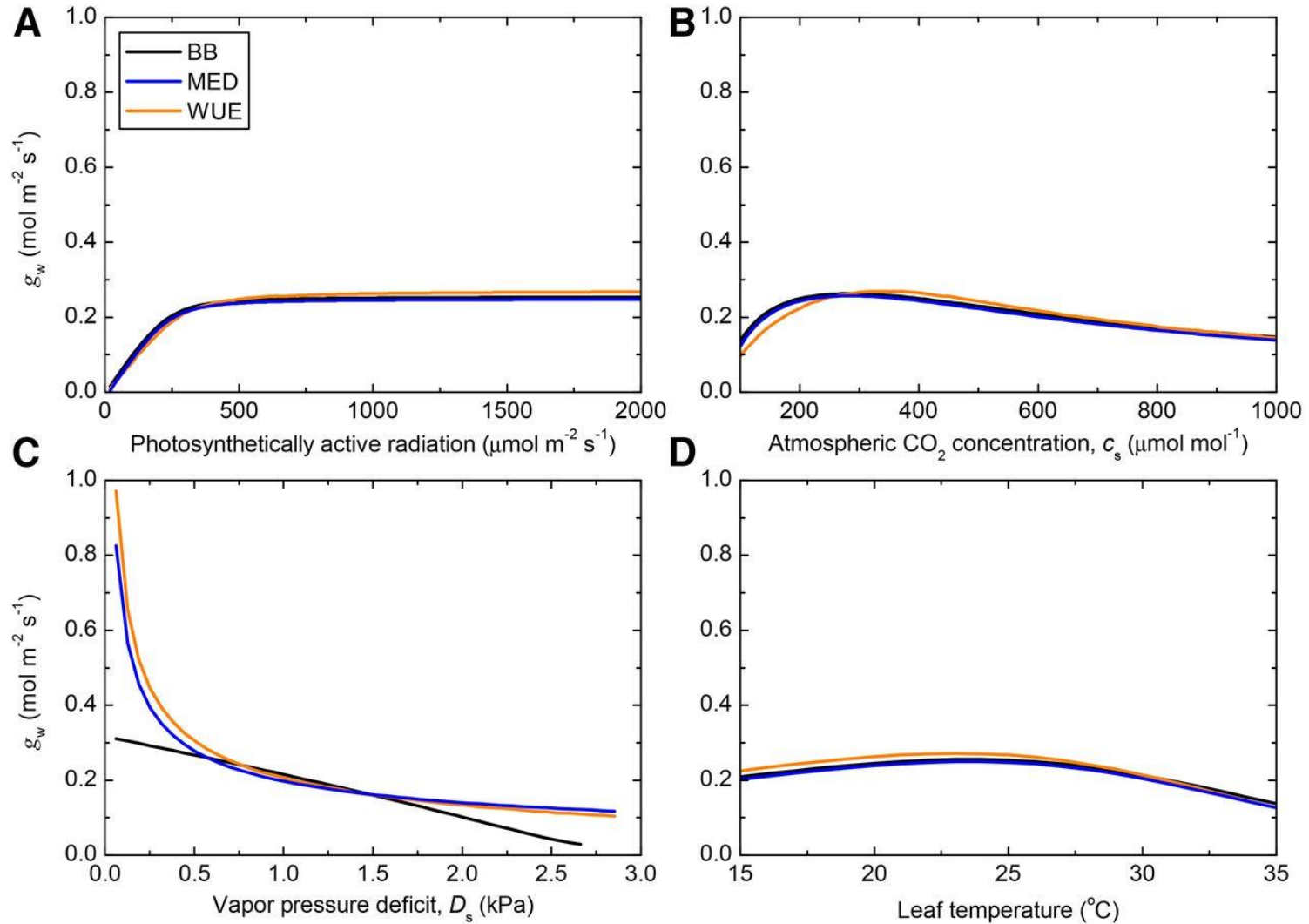
$$\partial A_n / \partial E = \iota$$

Need to specify  $\iota$  (marginal water-use efficiency)



# Similar model behavior

Using comparable  $g_{1B}$ ,  $g_{1M}$ , and  $\tau$  values gives similar results

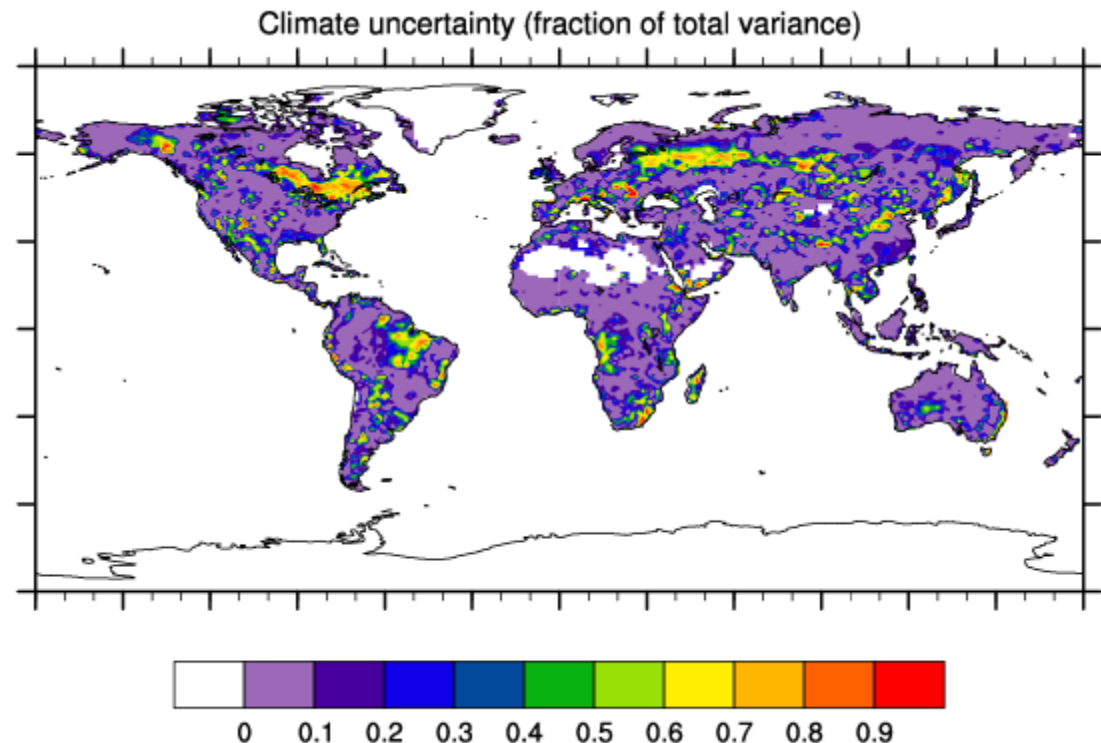


# Sources of uncertainty

Ensemble of 6 land-only CLM historical simulations

- 3 models: CLM4, CLM4.5 & CLM5 (very different carbon cycles)
- 2 climate forcings: CRUNCEP & GSWP3
- Partition variance across 6 simulations into model structure and climate forcing

## GPP (2000-2009)



Uncertainty in climate forcing exceeds that from model structure in many regions. Similar results for NPP and carbon stocks

# Two viewpoints

---

## Data will solve the problem

Earth system models disagree wildly about the magnitude and frequency of carbon-climate feedback events, and data to this point have been astonishingly ineffective at reducing this uncertainty.



Sellers, Schimel, et al. (2018) *PNAS*, 115, 7860-68

## The equifinality thesis

Science ... is supposed to be an attempt to work towards a single correct description of reality. It is not supposed to conclude that there must be **multiple feasible descriptions of reality**. The users of research also do not (yet) expect such a conclusion and might then interpret the resulting ambiguity of predictions as a failure (or at least an undermining) of the science.



Beven (2006) *J. Hydrology*, 320, 18-36

# Reconstructing CLM

## CLM5 surface fluxes

Many interconnected routines

- CanopyHydrology
- CanopySunShadeFracs
- SurfaceRadiation
- CanopyTemperature
- BareGroundFluxes
- CanopyFluxes
  - FrictionVelocity
  - Photosynthesis
  - PhotosynthesisHydraulicStress
  - Fractionation
  - CalcOzoneStress
  - LUNA
- VOCEmission
- SoilTemperature
- SoilFluxes
- DryDepVelocity
- SurfaceAlbedo



A knot to untangle ...

... or the kraken devouring a ship



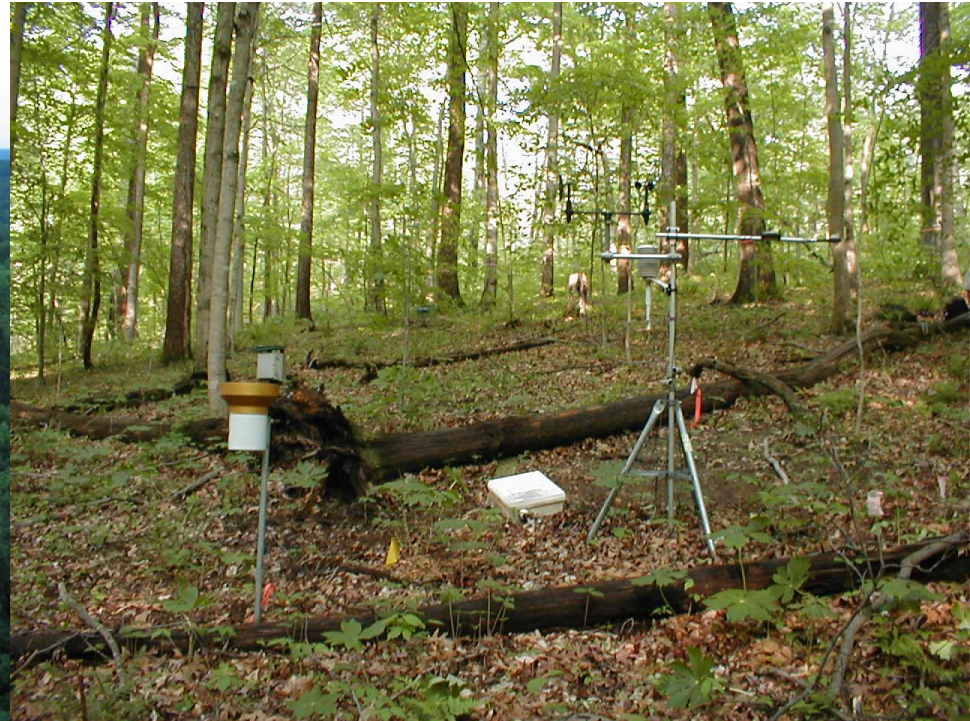
Colossal octopus attacking a ship (Pierre Denys de Montfort, 1801)

# Two ways to model plant canopies

Photographs of Morgan Monroe State Forest tower site illustrate two different representations of a plant canopy: as a “big leaf” (below) or with vertical structure (right)



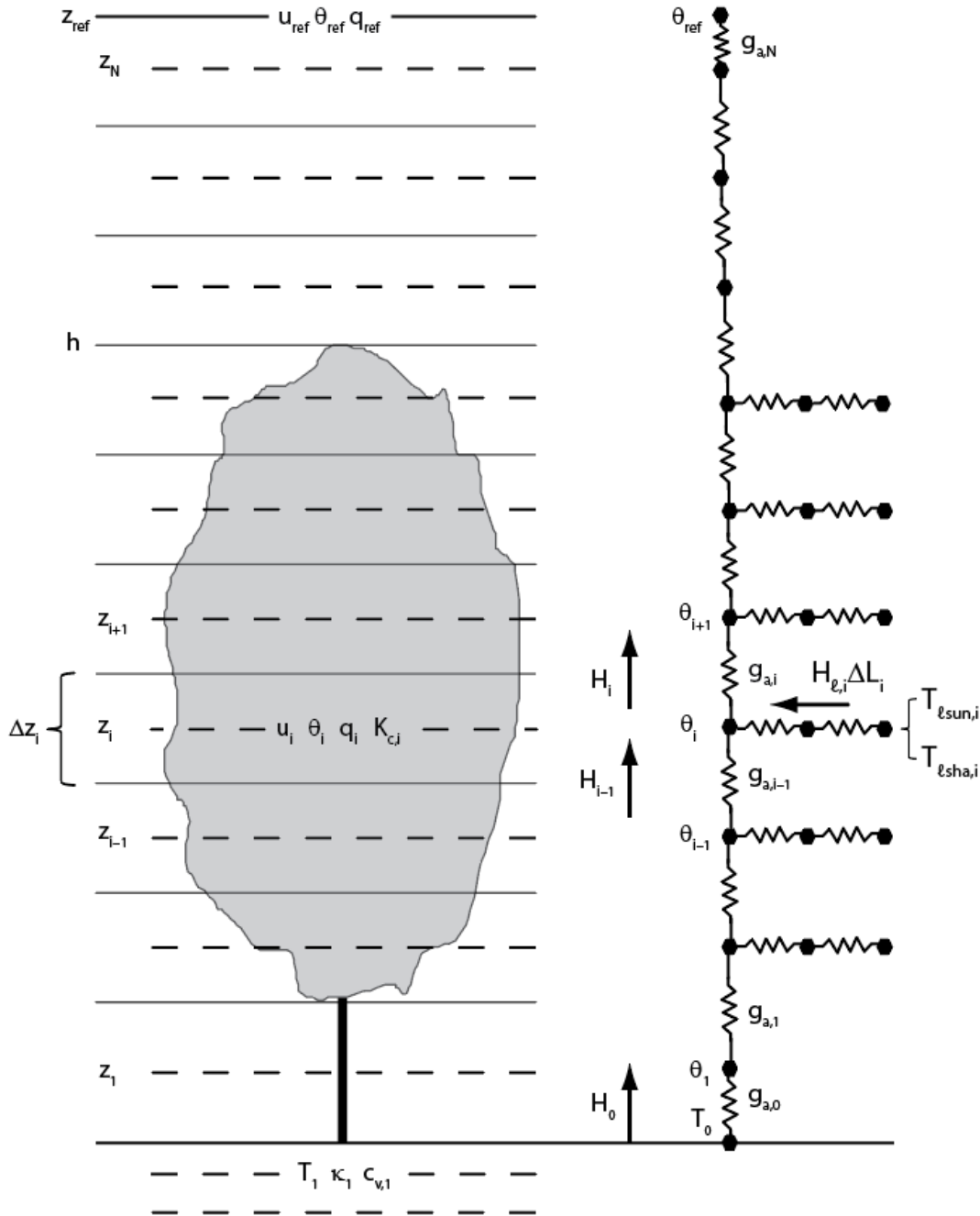
A carpet of leaves  
“incorrect but useful”



A vertically-structured canopy  
“correct but useless”

Raupach & Finnigan (1988) *Aust. J. Plant Physiol.*, 15, 705-716





# Multilayer canopy

Water-use efficiency optimization while preventing leaf desiccation ( $\psi_\ell > \psi_{\ell min}$ ; plant hydraulics)

Williams et al. (1996) *Plant Cell Environ.*, 19, 911-27  
Bonan et al. (2014) *Geosci. Model Dev.*, 7, 2193-2222

Canopy turbulence and roughness sublayer

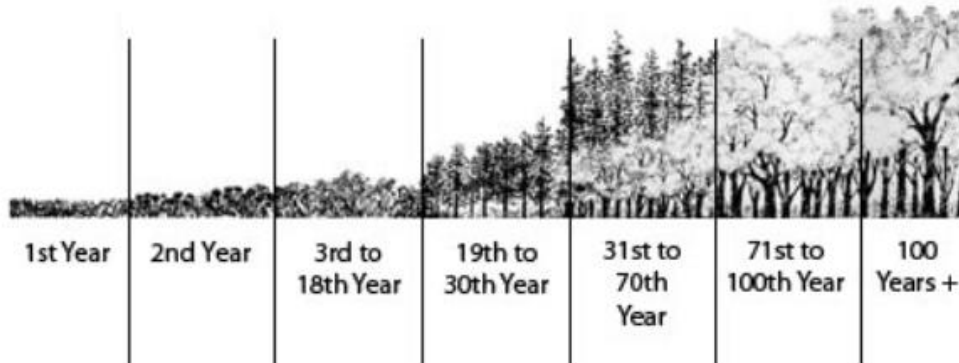
Harman & Finnigan (2007, 2008) *Boundary-Layer Meteorol.*, 123, 339-63; 129, 323-51

Bonan et al. (2018) *Geosci. Model Dev.*, 11, 1467-96

The physics and physiology of the multilayer canopy are simpler and more consistent with theory than is the CLM5 big-leaf canopy (with many ad-hoc parameterizations and much technical debt)

# Forest succession and community organization

## Succession in the North Carolina Piedmont



<https://dukeforest.duke.edu/forest-environment/forest-succession/>

Ecosystems as superorganisms with emergent properties representing a distinct level of ecological organization

Clements (1916) *Plant Succession: An Analysis of the Development of Vegetation*

Clements (1928) *Plant Succession and Indicators*

Ecosystems as the sum of its individual organisms interacting with each other and the environment

Gleason (1917) *Bulletin of the Torrey Botanical Club*, 44, 463-481

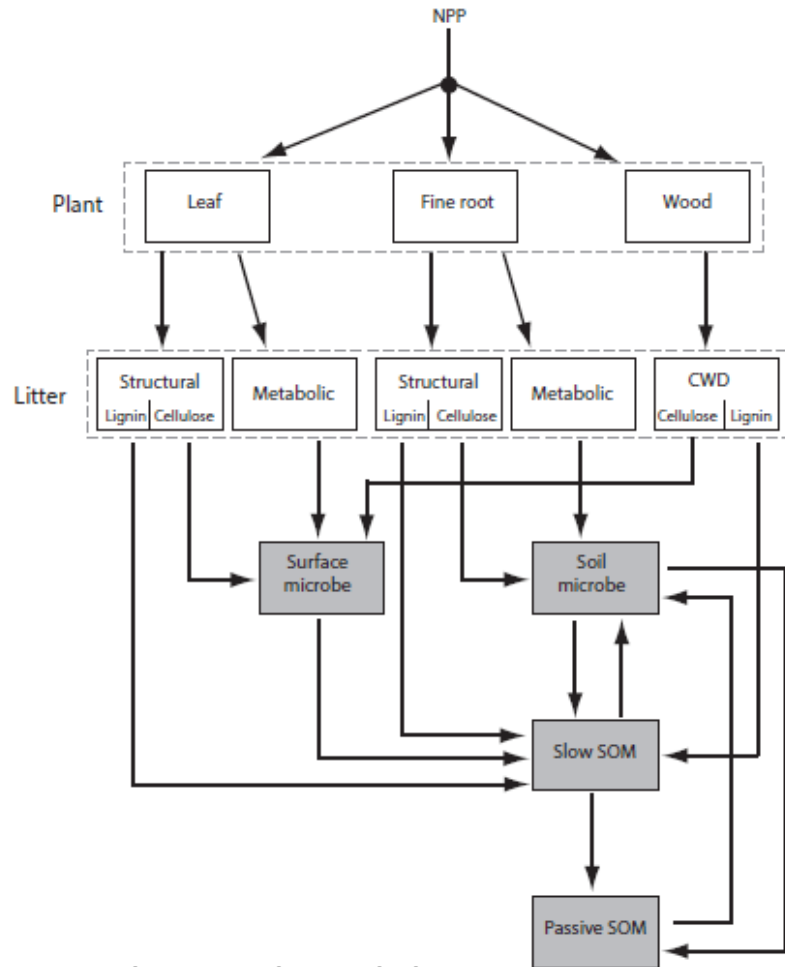
Gleason (1926) *Bulletin of the Torrey Botanical Club*, 53, 7-26

Tansley (1935) first coined the term ecosystem as part of this debate

Tansley (1935) *Ecology*, 16, 284-307

# Contrasting views of ecosystems

## Clementsian view



## Biogeochemical model

Ecosystem as system of interconnected pools

## Gleasonian view

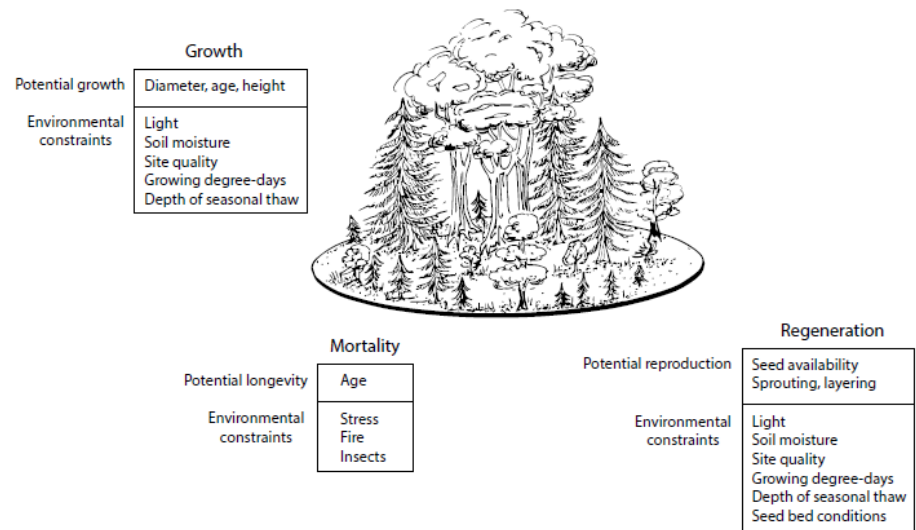
### Individual based model

Ecosystem as individual trees

Demography

Life history characteristics

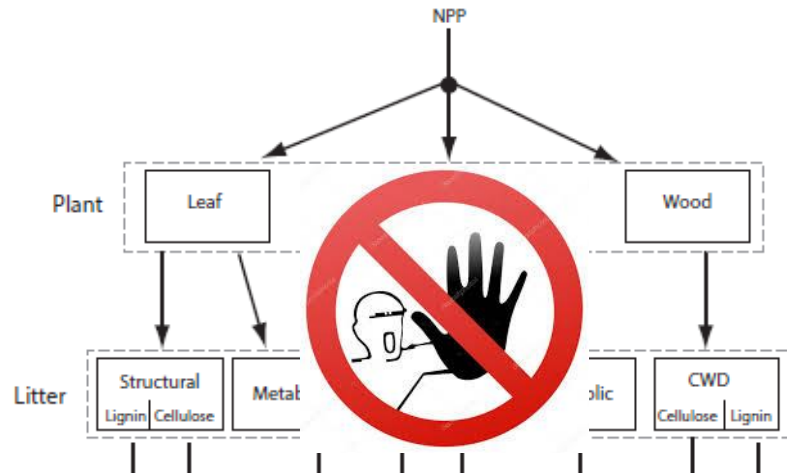
Functional traits





# Contrasting views of ecosystems

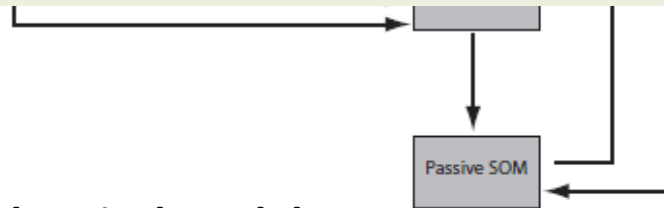
## Clementsian view



International Biological Program (late-1960s to early-1970s)

Seen as too large and unnecessarily mathematically complex but biologically simple

Golley (1993) *A History of the Ecosystem Concept in Ecology*



## Biogeochemical model

Ecosystem as system of interconnected pools

## Gleasonian view

### Individual based model

Ecosystem as individual trees  
 Demography  
 Life history characteristics  
 Functional characteristics

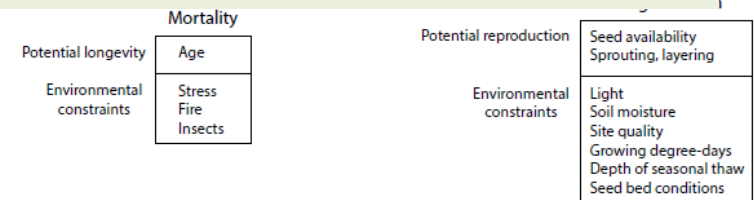


Potential growth  
 Environmental constraints

Reaction to biogeochemical models

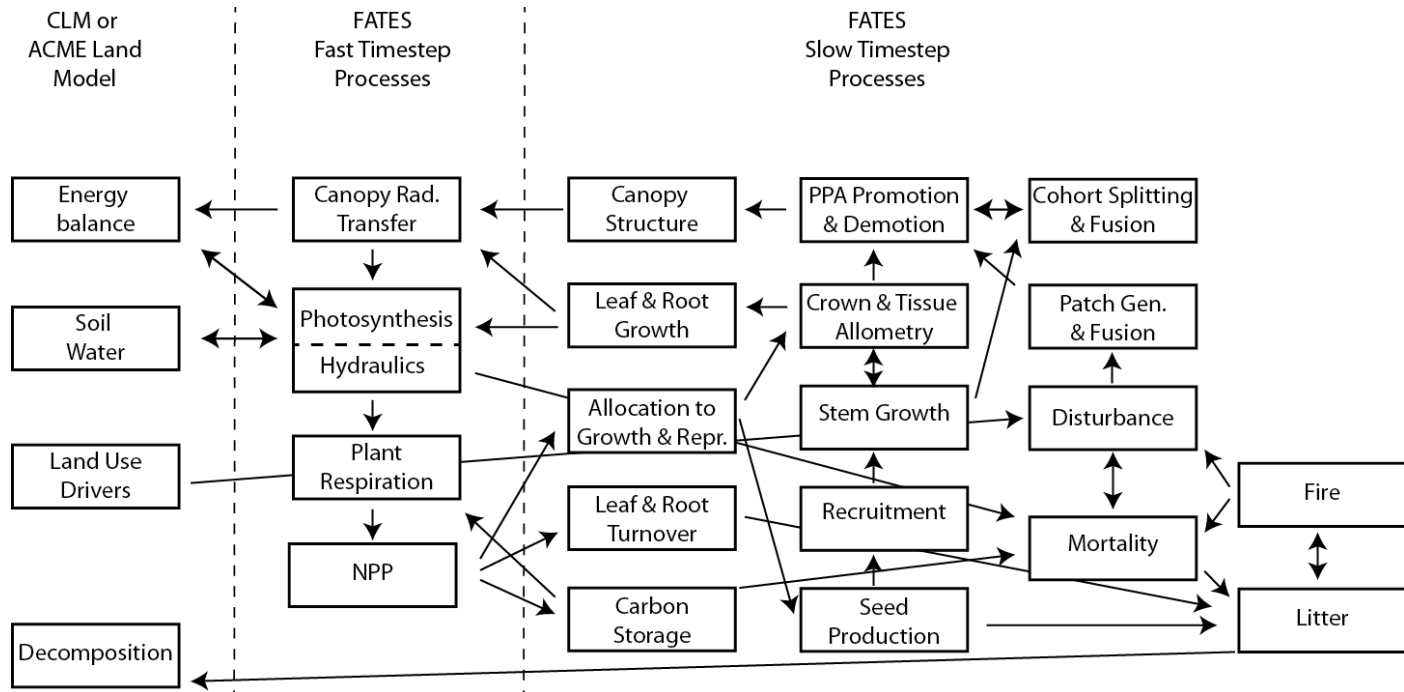
Ecologically authentic, but not yet implemented in global models

Cohort-based ecosystem demography as modeling core (FATES)



# Complexity itself is not the problem

## Simplified FATES with separate timescales



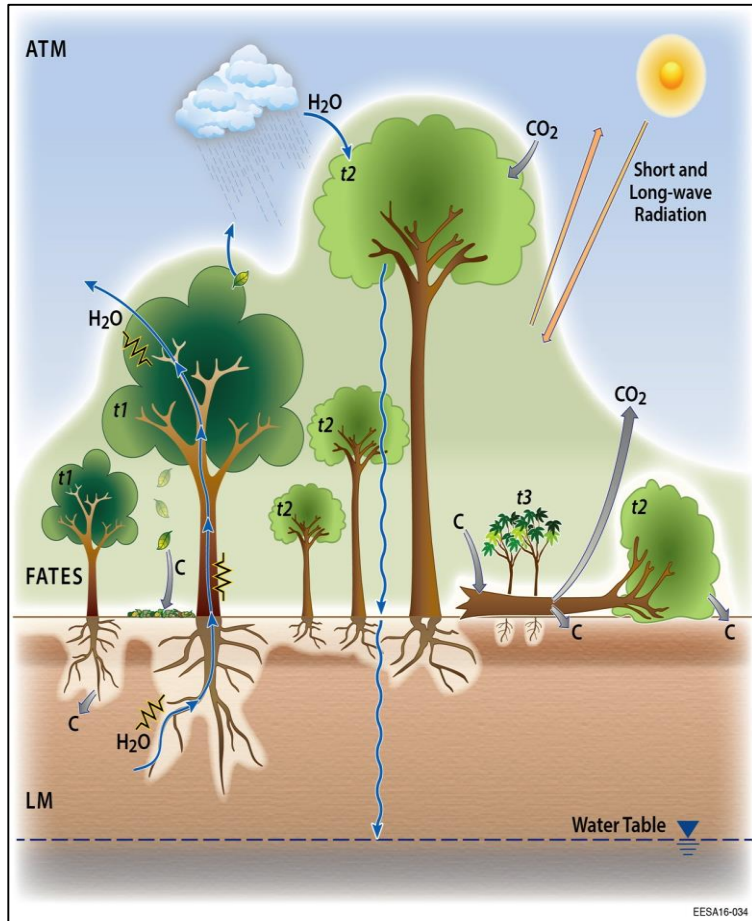
Charlie Koven (LBNL)

### Static stand structure:

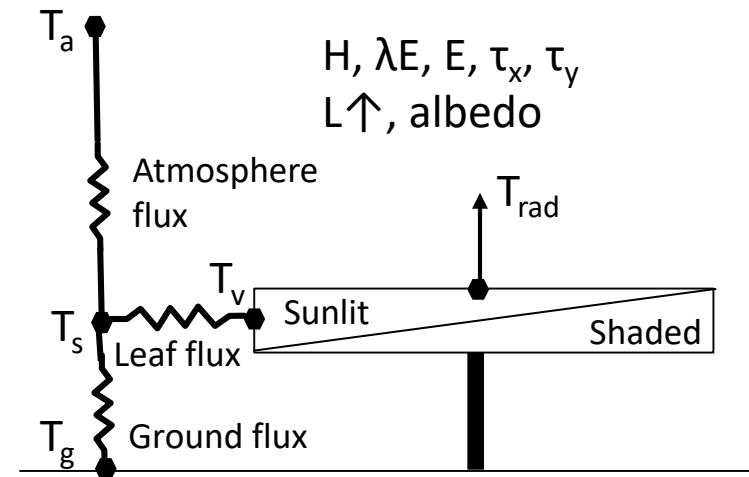
Hold the slow processes constant and calculate only fast timescale canopy biophysics and physiology

# Coupling FATES and CLM

FATES = vertically-structured canopy



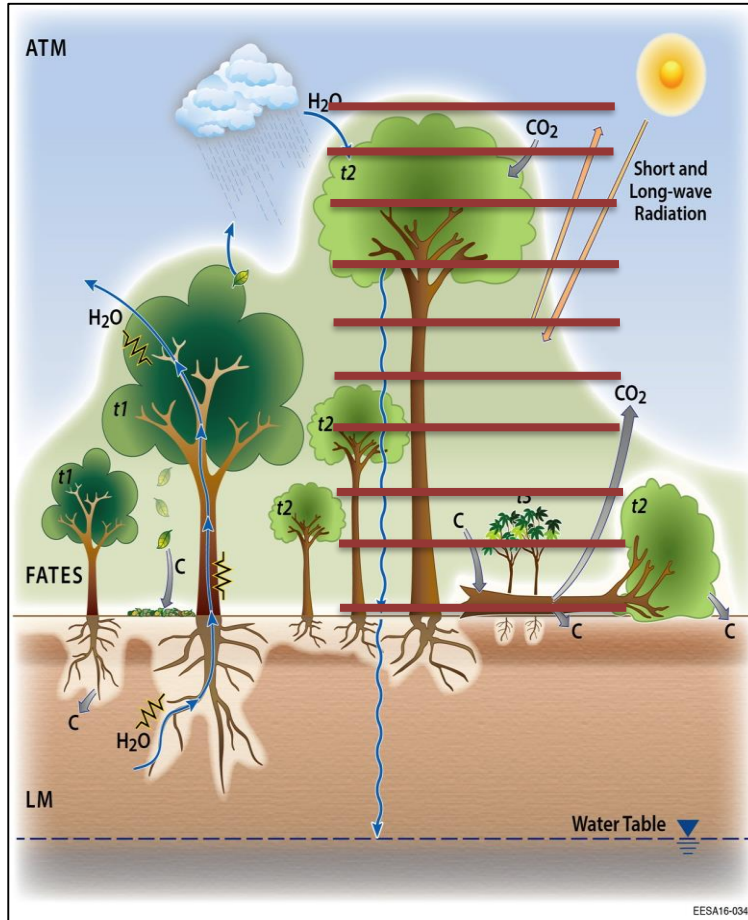
CLM5 = Dual source, big-leaf canopy without vertical structure



Enhances technical debt and perpetuates expedient coding practices

# Coupling FATES and CLM

## Ecosystem demography / multilayer canopy



### Reducing uncertainty

- Will simplify surface flux code and BGC code
- Based on fundamental physical, physiological, and biological principles
- Uses observable parameters, not ad hoc corrections