

**Implementing plant hydraulics in the  
NCAR Community Land Model (CLM)**  
and the implications for drought vulnerability

**Daniel Kennedy, Pierre Gentine**  
Columbia University

**Sean Swenson, Keith Oleson, Rosie Fisher,  
Dave Lawrence, and the LMWG**

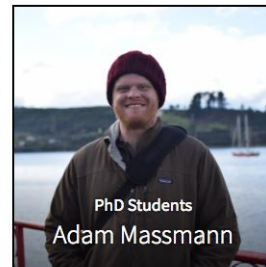
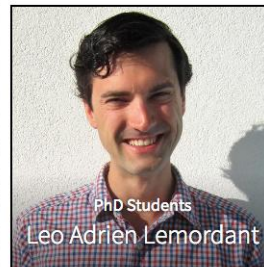
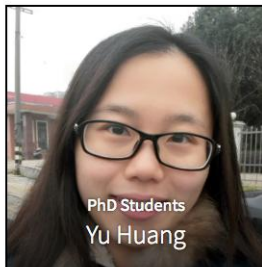
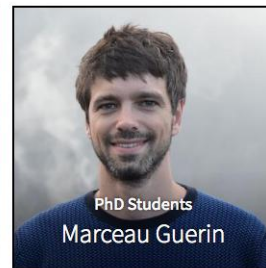
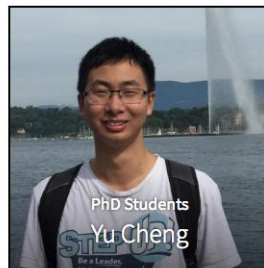
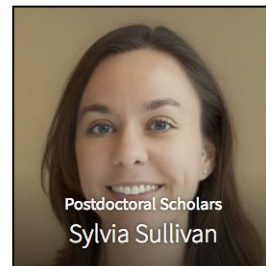
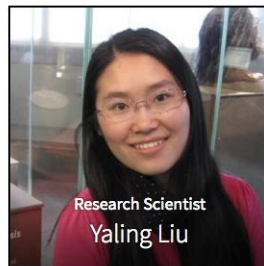
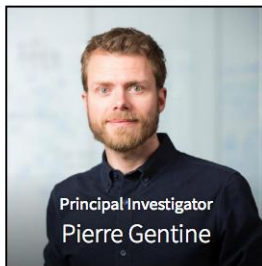


# COLUMBIA

# ENGINEERING

## Gentine Lab

“Investigating the terrestrial carbon and water cycles using multiscale modeling and observations”

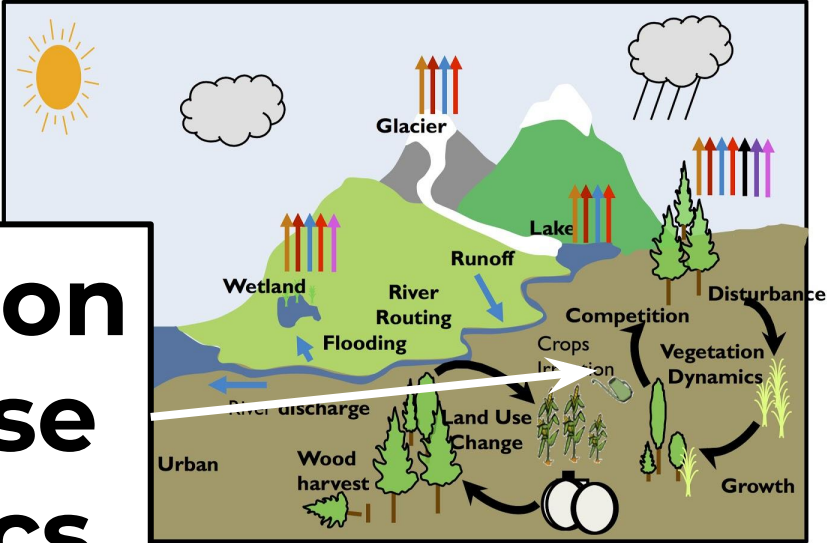




# Community Land Model (CLM)

Motivation:  
Land is the critical  
interface through which  
human  
to, and  
enviro

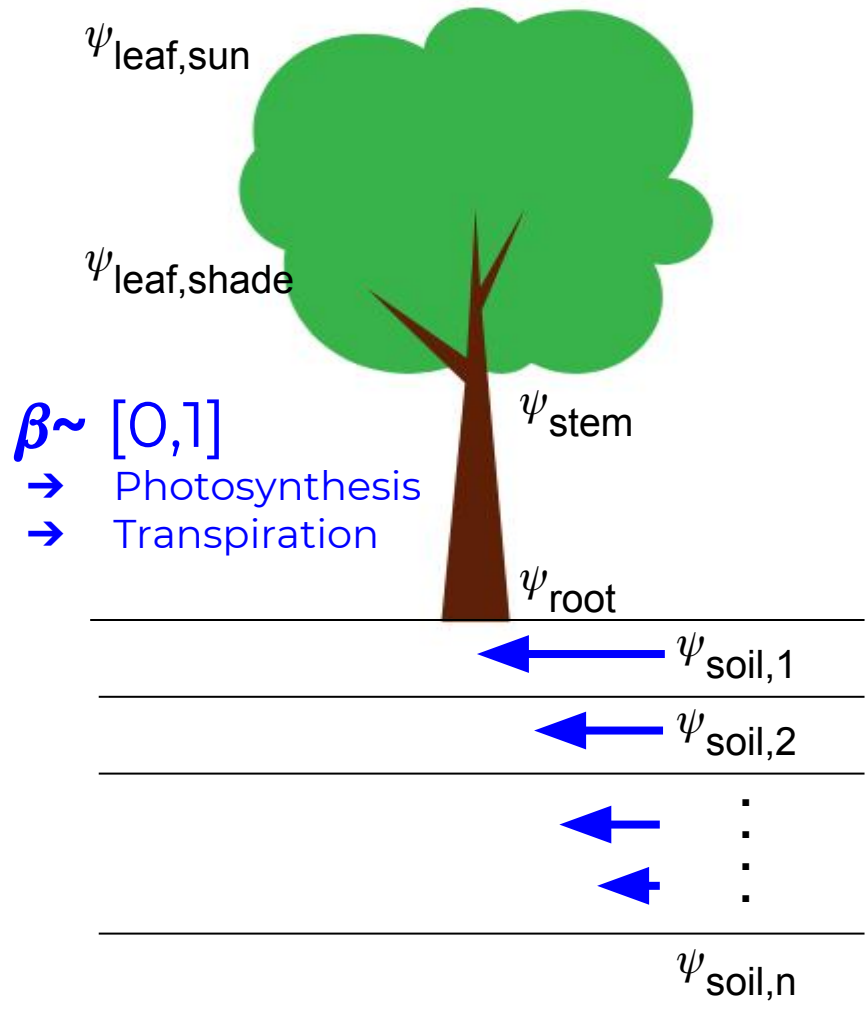
**Vegetation  
water use  
dynamics**



Comprehensive representations of land biogeophysics, hydrology, plant physiology, biogeochemistry, anthropogenic land use, and ecosystem dynamics

# Plant Hydraulic Stress

- vegetation water use dynamics derived from prognostic vegetation water potential
  - CLM5 default
1. water stress ( $\beta$ )
    - function of leaf water potential
  2. root water uptake
    - utilizes Darcy's Law approximation
    - gradient based on root water potential
      - $q = -k ( \psi_{\text{root}} - \psi_{\text{soil}} )$



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    - gradient based on root water potential
      - $q = -k ( \psi_{\text{root}} - \psi_{\text{soil}} )$

## Soil Moisture Stress

- vegetation water use dynamics parameterized directly with soil potential
  - CLM4.5 default
1. water stress ( $\beta$ )
    - function of soil water potential
  2. root water uptake
    - partitioning heuristic
    - gradient based on constant parameter
      - $q = -k ( \psi_c - \psi_{\text{soil}} )$

$\psi_c$  = soil water potential with stomates fully closed

- varies by PFT
- typically around -2.5 MPa

## Plant Hydraulic Stress

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## Soil Moisture Stress

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- CLM4.5 default



### RESEARCH ARTICLE

10.1029/2018MS001500

#### Special Section:

Community Earth System Model  
version 2 (CESM2) Special  
Collection

## Implementing Plant Hydraulics in the Community Land Model, Version 5

Daniel Kennedy<sup>1</sup> , Sean Swenson<sup>2</sup> , Keith W. Oleson<sup>2</sup> , David M. Lawrence<sup>2</sup> ,  
Rosie Fisher<sup>2</sup> , Antonio Carlos Lola da Costa<sup>3</sup>, and Pierre Gentine<sup>1</sup> 

<sup>1</sup>Columbia University, New York, NY, USA, <sup>2</sup>National Center for Atmospheric Research, Boulder, CO, USA, <sup>3</sup>Centro de

Features output from a point  
simulation in Caxiuana, Brazil

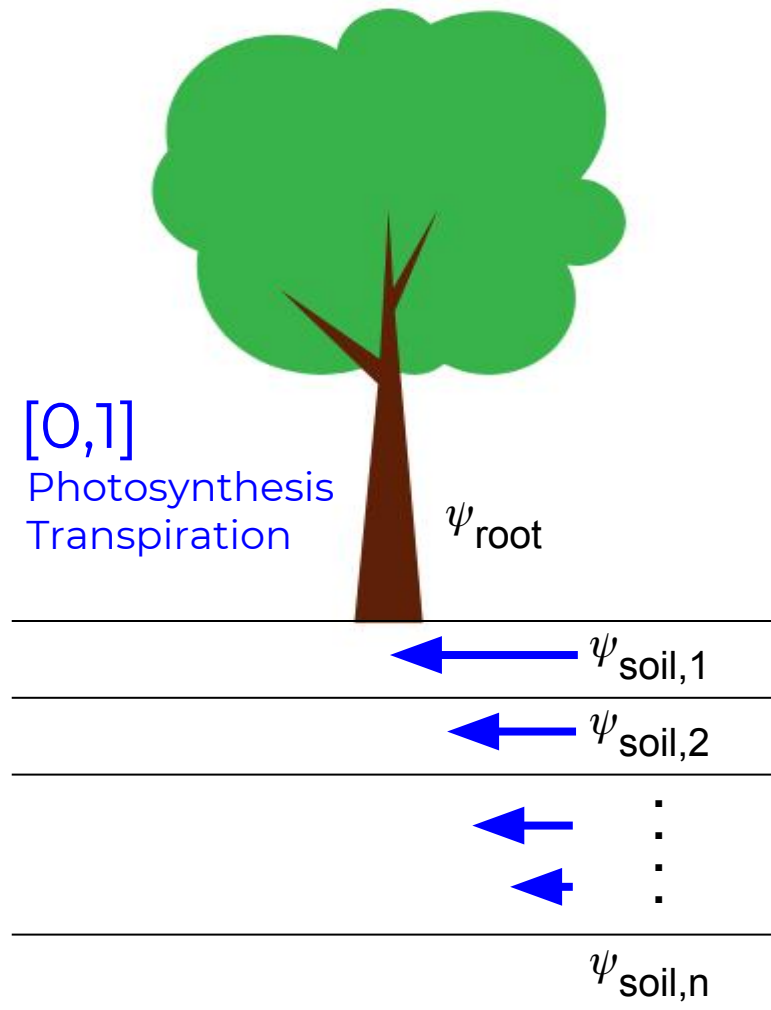
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→ Features compensatory root water uptake

- ◆ prefers to use water near the surface, but has the flexibility to look deeper

$\beta \sim [0, 1]$   
→ Photosynthesis  
→ Transpiration



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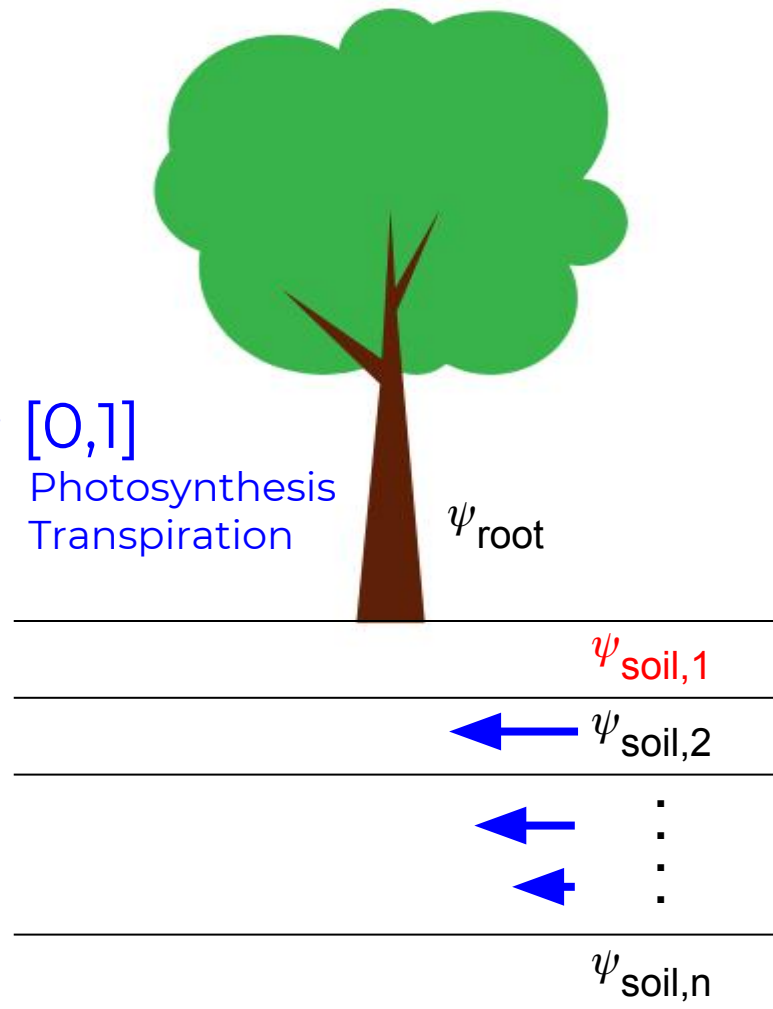
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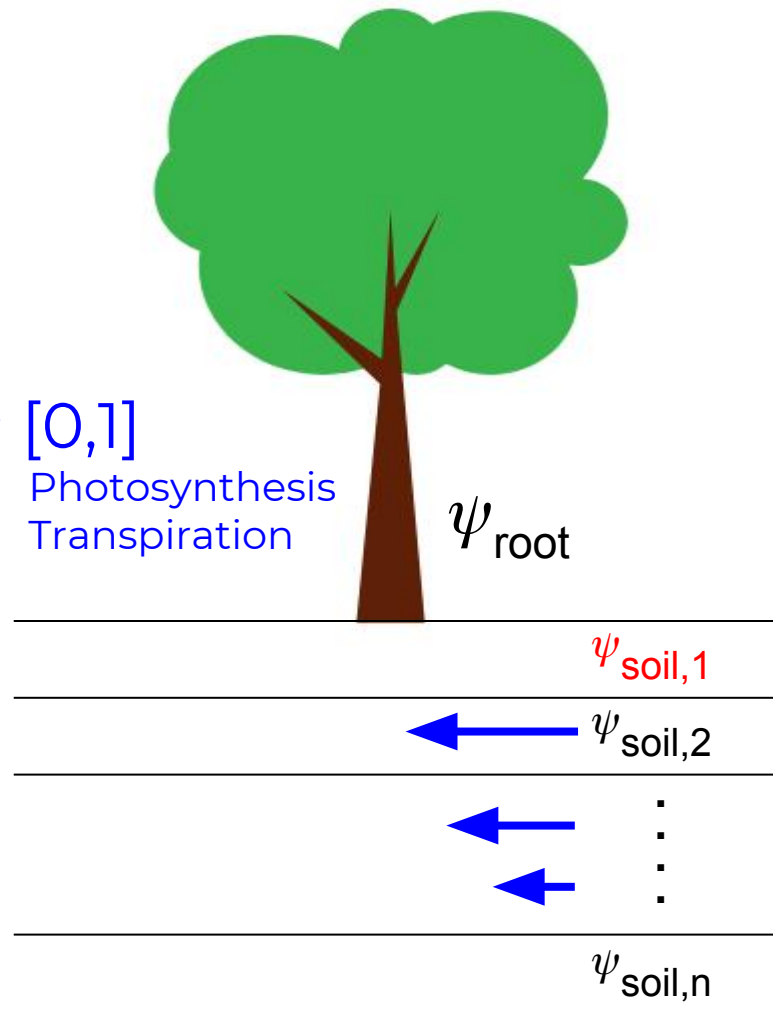
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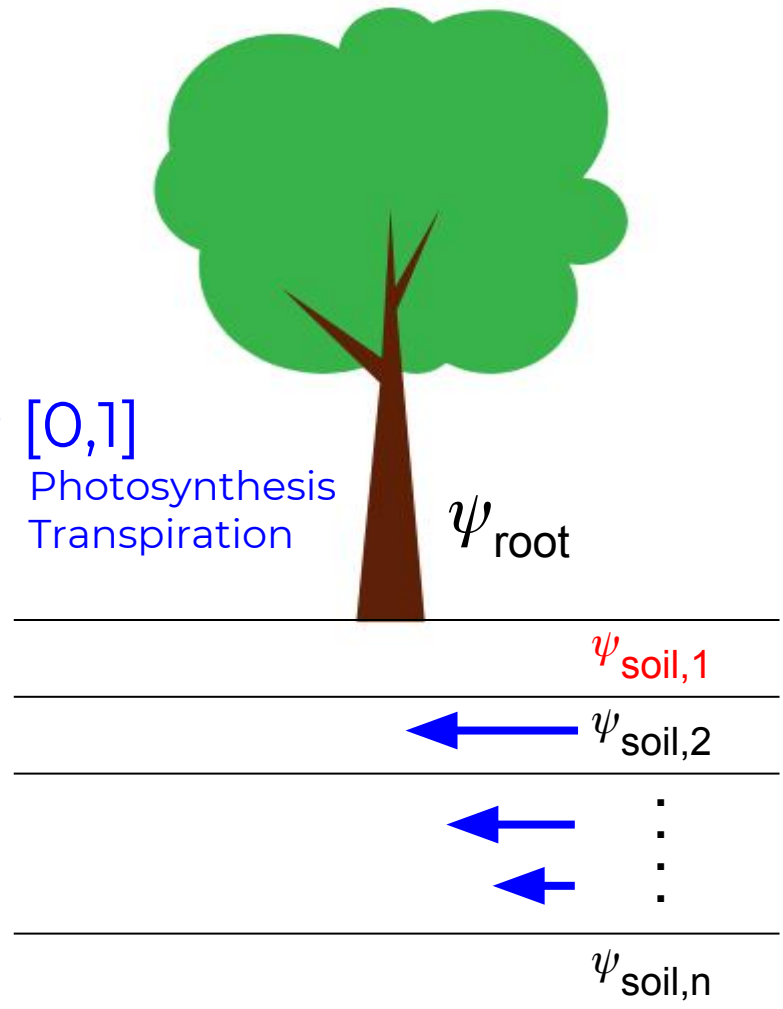
**How will this influence the model?**

tree from vecteezy.com

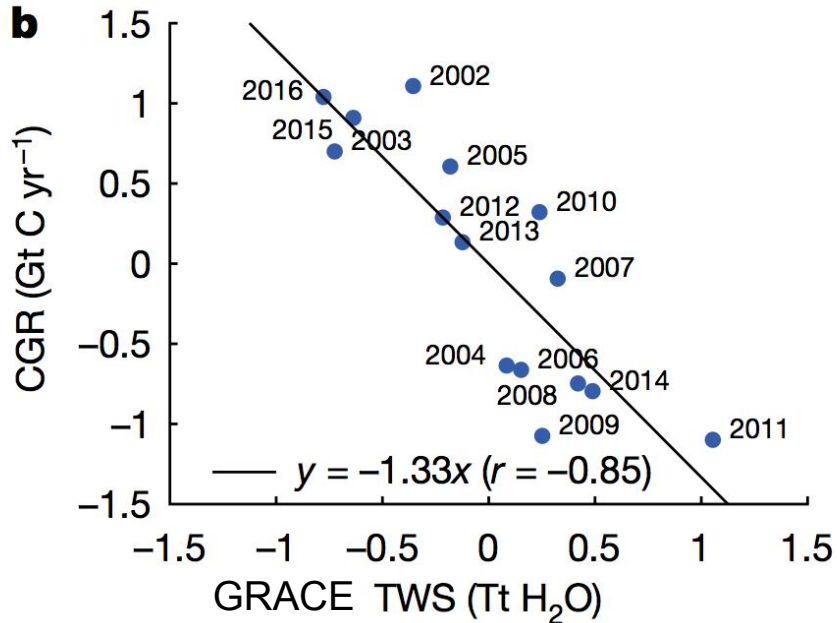
$\beta \sim$

$[0, 1]$

- Photosynthesis
- Transpiration

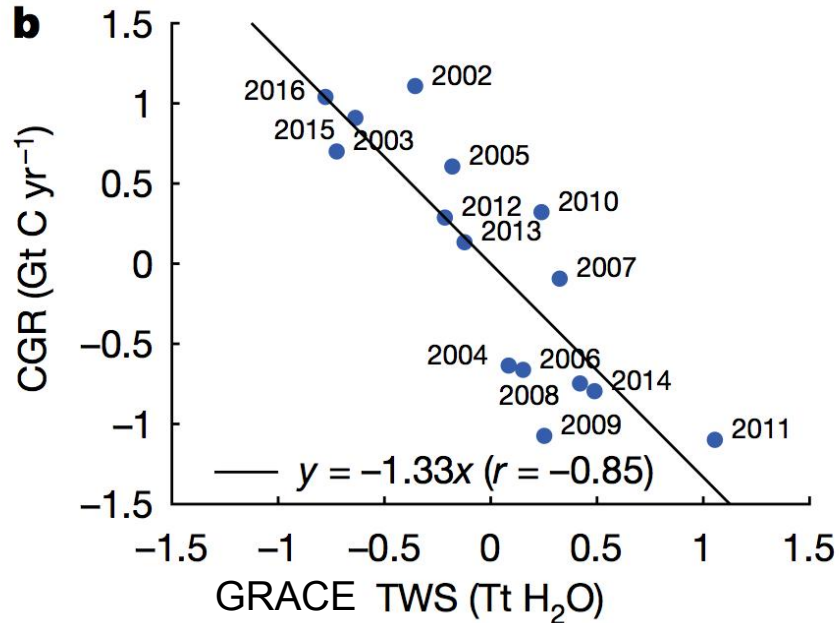


# How will PHS root water uptake affect the global carbon cycle?



Interannual variability in the atmospheric carbon growth rate has been linked to total water storage

# How will PHS root water uptake affect the global carbon cycle?



Interannual variability in the atmospheric carbon growth rate has been linked to total water storage...

but this relationship is not well-represented in TRENDYv3

# How will PHS root water uptake affect the vertical distribution of soil water?

CMIP5 Simulations project stronger drying trends in the top 10cm of the soil column

 **AGU** PUBLICATIONS

## Geophysical Research Letters

### RESEARCH LETTER

10.1002/2016GL071921

#### Key Points:

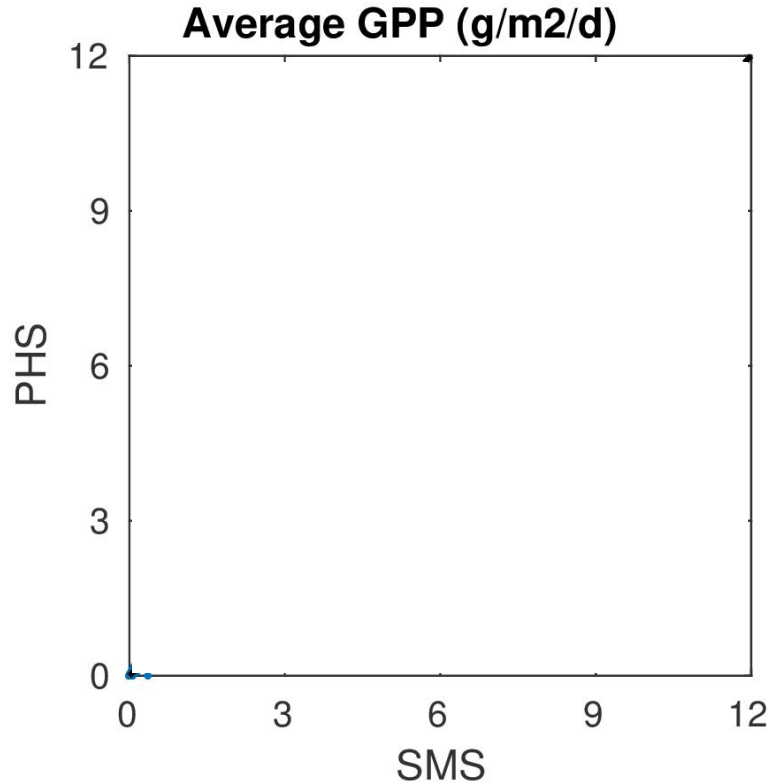
- We identify a robust vertical gradient of projected soil moisture changes under global warming with more negative changes near the surface

## Divergent surface and total soil moisture projections under global warming

Alexis Berg<sup>1</sup> , Justin Sheffield<sup>1,2</sup> , and P. C. D. Milly<sup>3</sup> 

<sup>1</sup>Department of Civil and Environmental Engineering, Princeton University, Princeton, New Jersey, USA, <sup>2</sup>Geography and Environment, University of Southampton, Southampton, UK, <sup>3</sup>U.S. Geological Survey, and NOAA/Geophysical Fluid

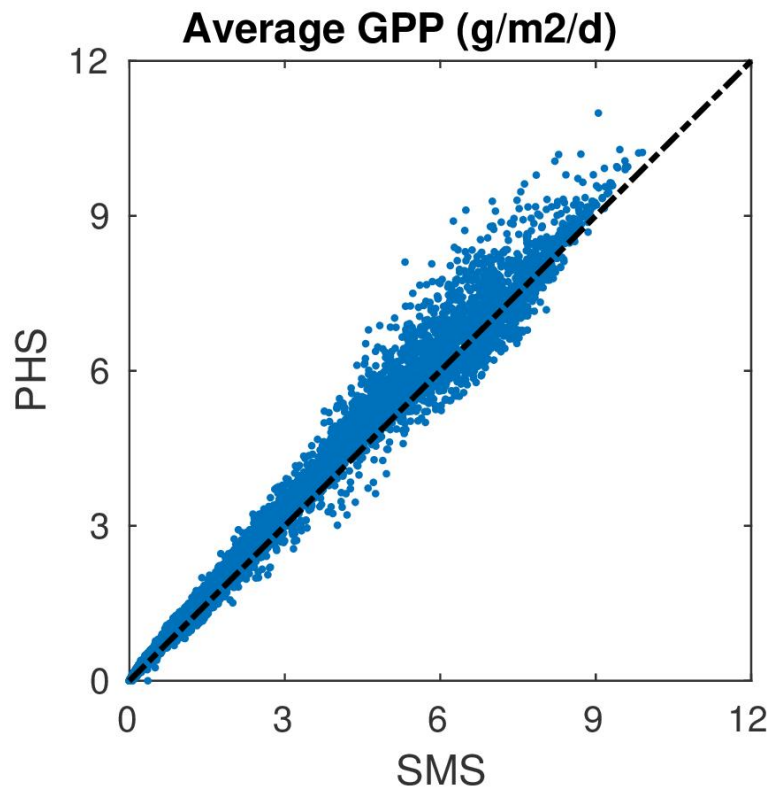
# What are the effects of plant hydraulics globally?



## Simulation details

- 2 simulations (PHS,SMS)
- CLM5 (global)
- satellite phenology
- 1850-2014
- only difference is PHS/SMS

# What are the effects of plant hydraulics globally?



PHS features higher GPP on average

- plotting average GPP (1914-2013) for every gridcell
- Overall, GPP is ~7% higher with PHS
- Meaning that water stress is lower with PHS

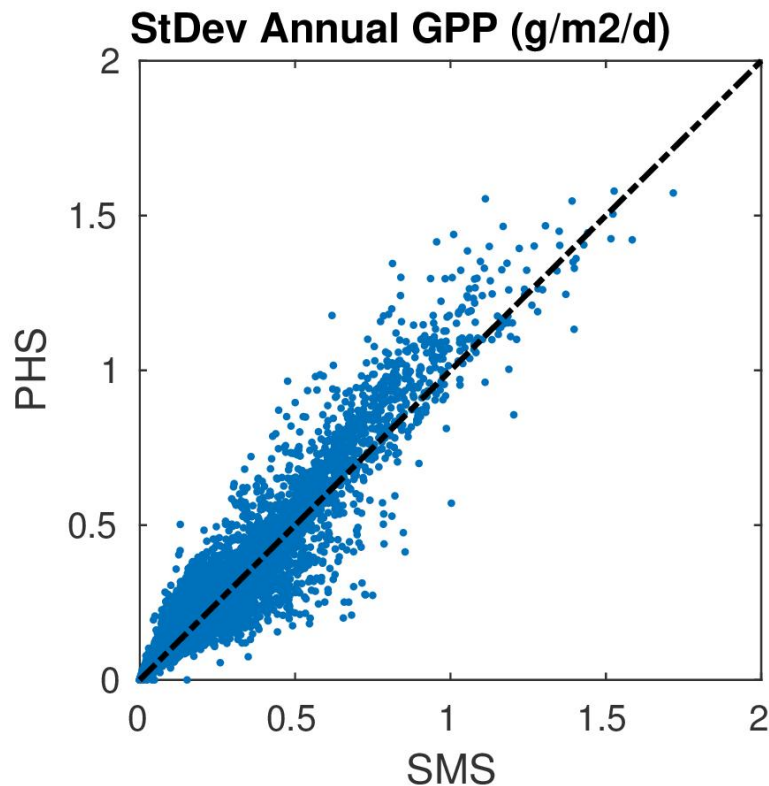
## Simulation details

- 2 simulations (PHS,SMS)
- CLM5 (global)
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- only difference is PHS/SMS

PHS also features higher GPP interannual variability

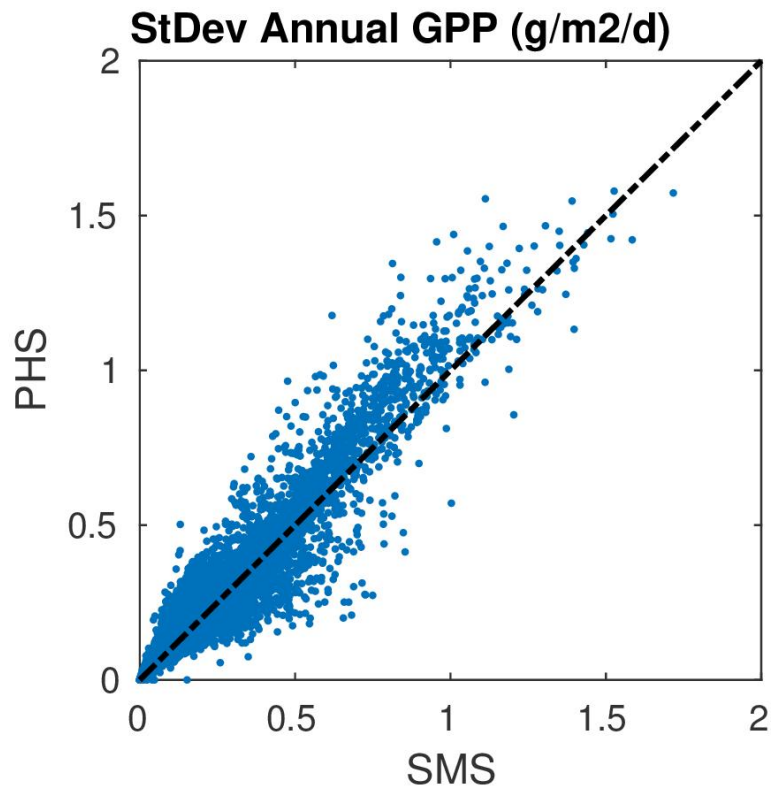
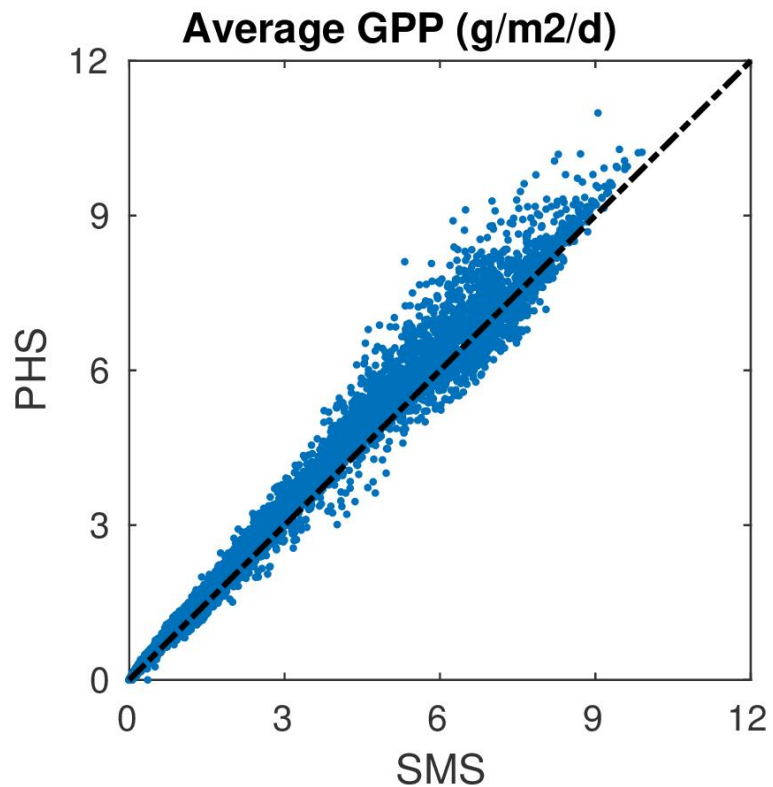
- plotting StDev of annual GPP (1914-2013) for every gridcell
- mixed bag, but overall GPP IAV increases with PHS (+7%)

## What are the effects of plant hydraulics globally?





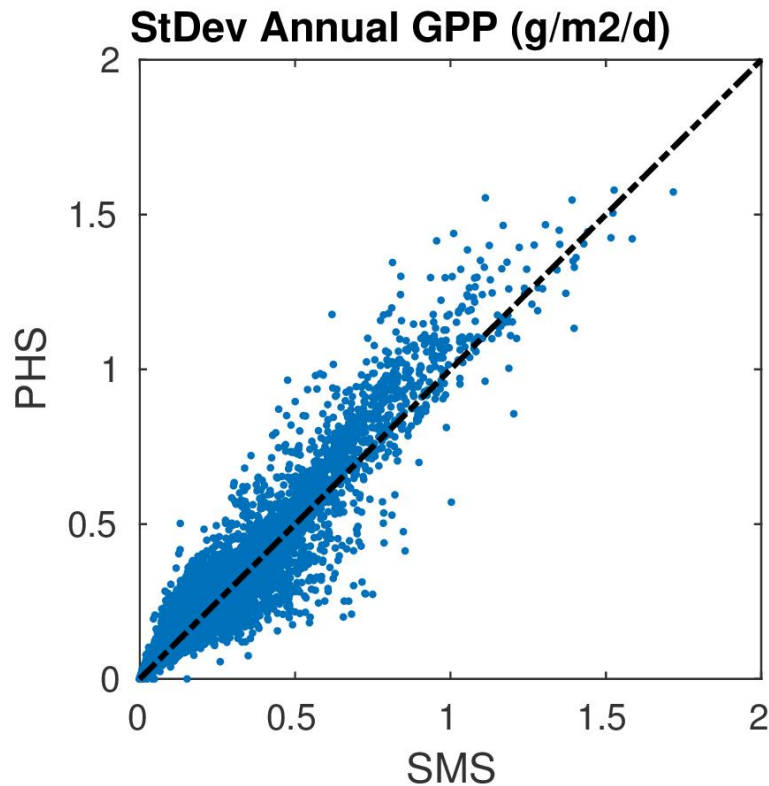
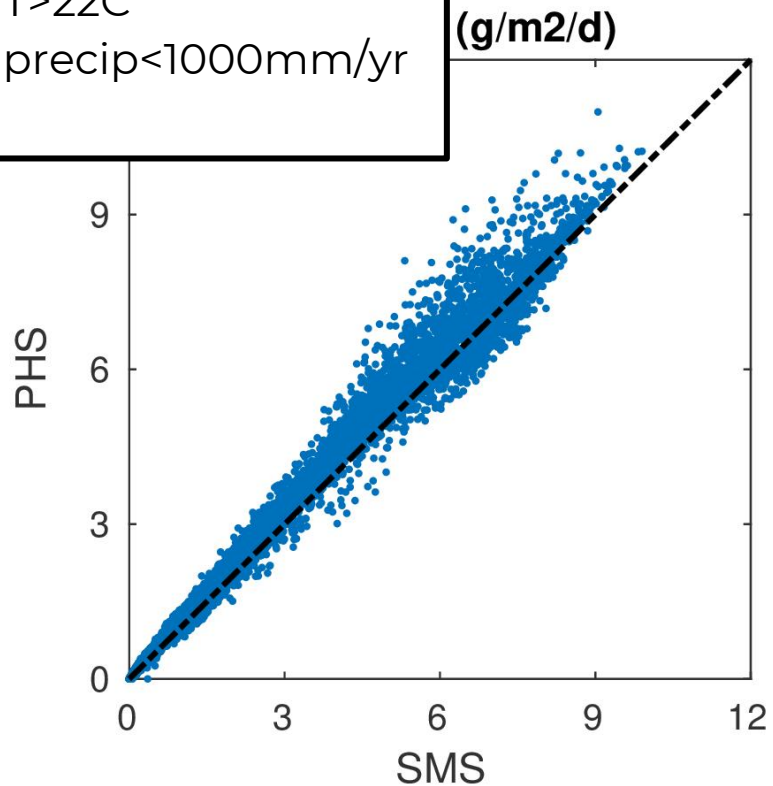
# What does this mean for drought vulnerability in CLM5?



# What does this mean for light vulnerability in CLM5?

## Area of interest:

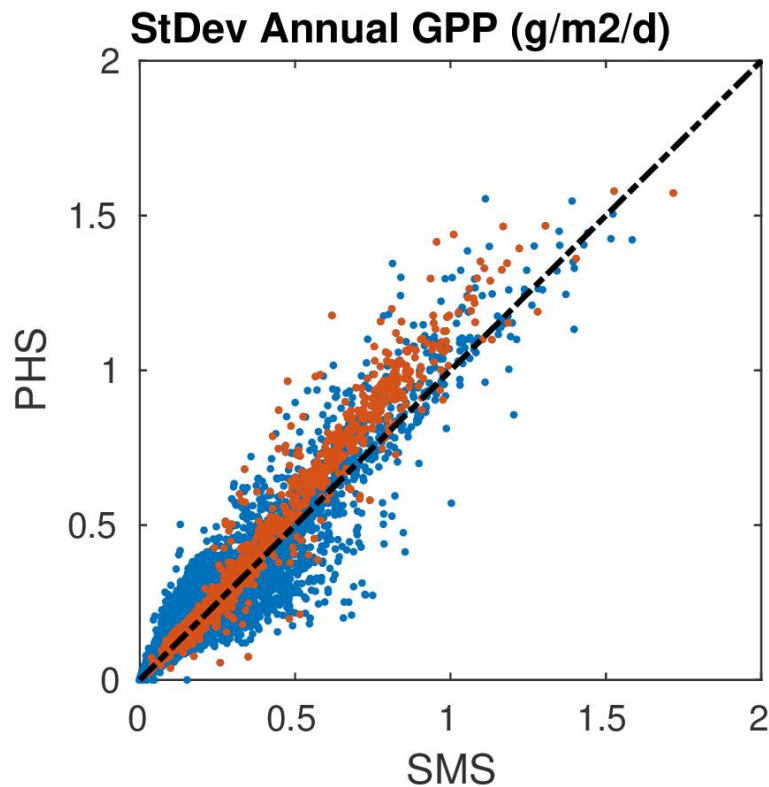
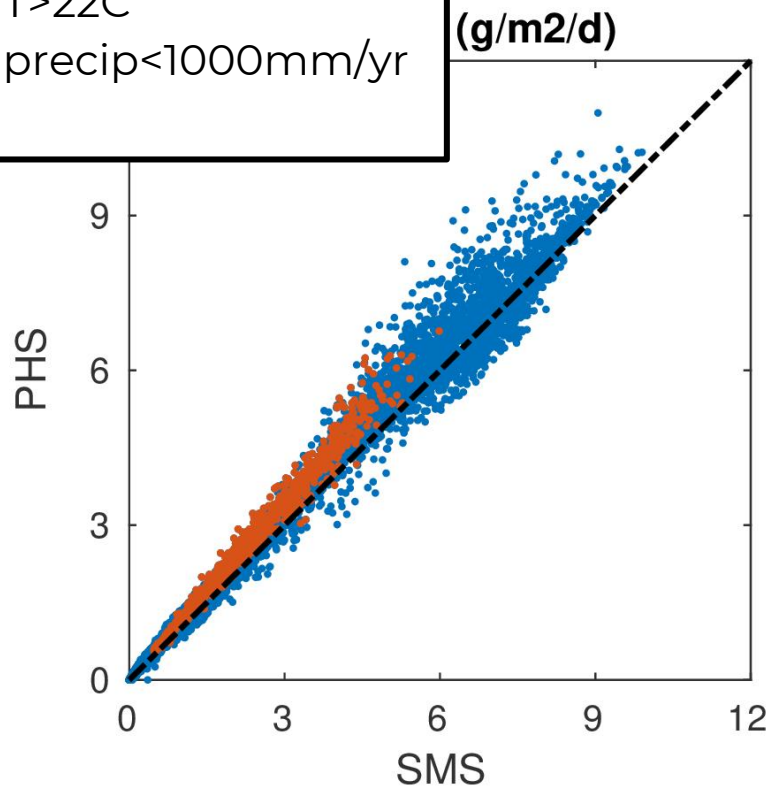
- dry tropics
- $T > 22^{\circ}\text{C}$
- precip  $< 1000\text{mm/yr}$



# What does this mean for light vulnerability in CLM5?

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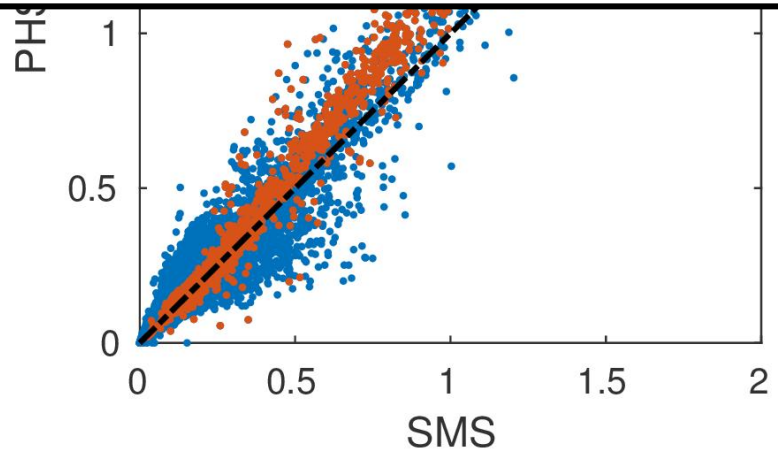
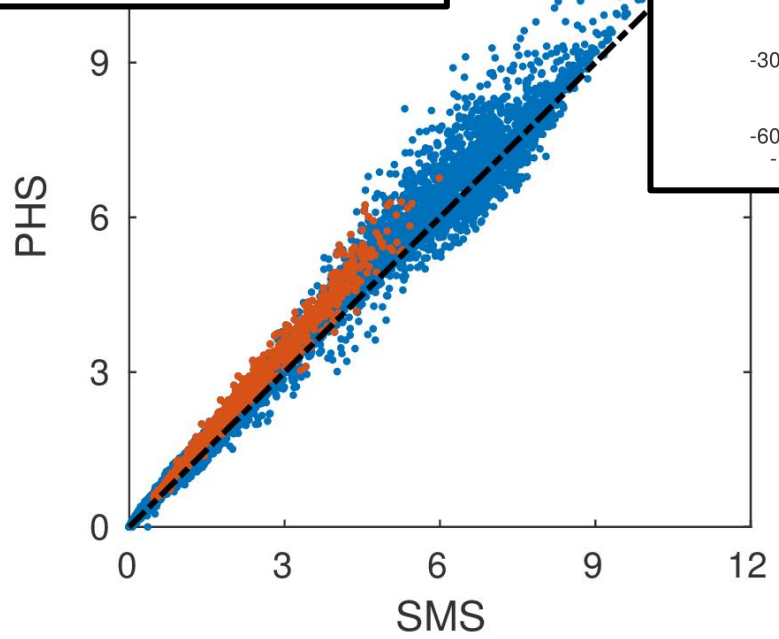
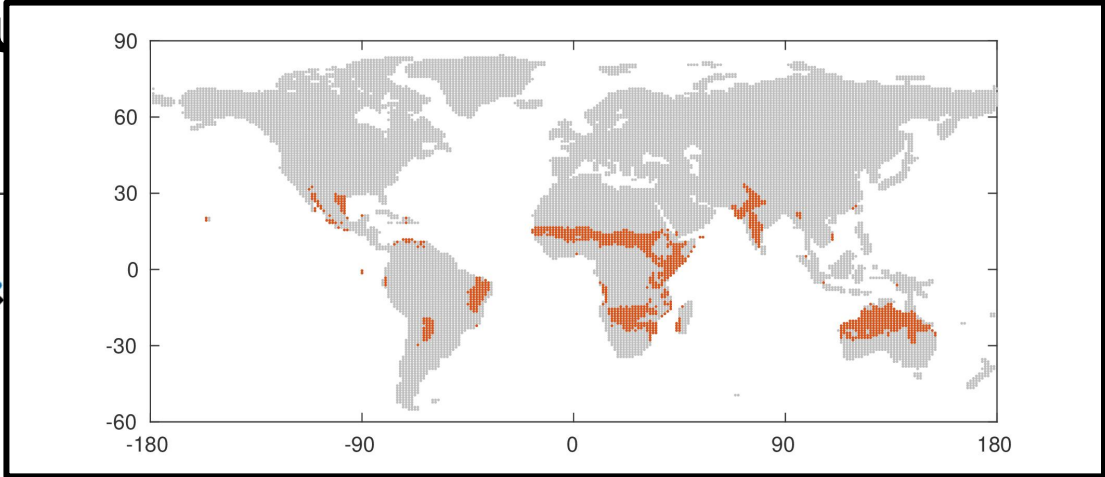
# What does this mean for

## Area of interest:

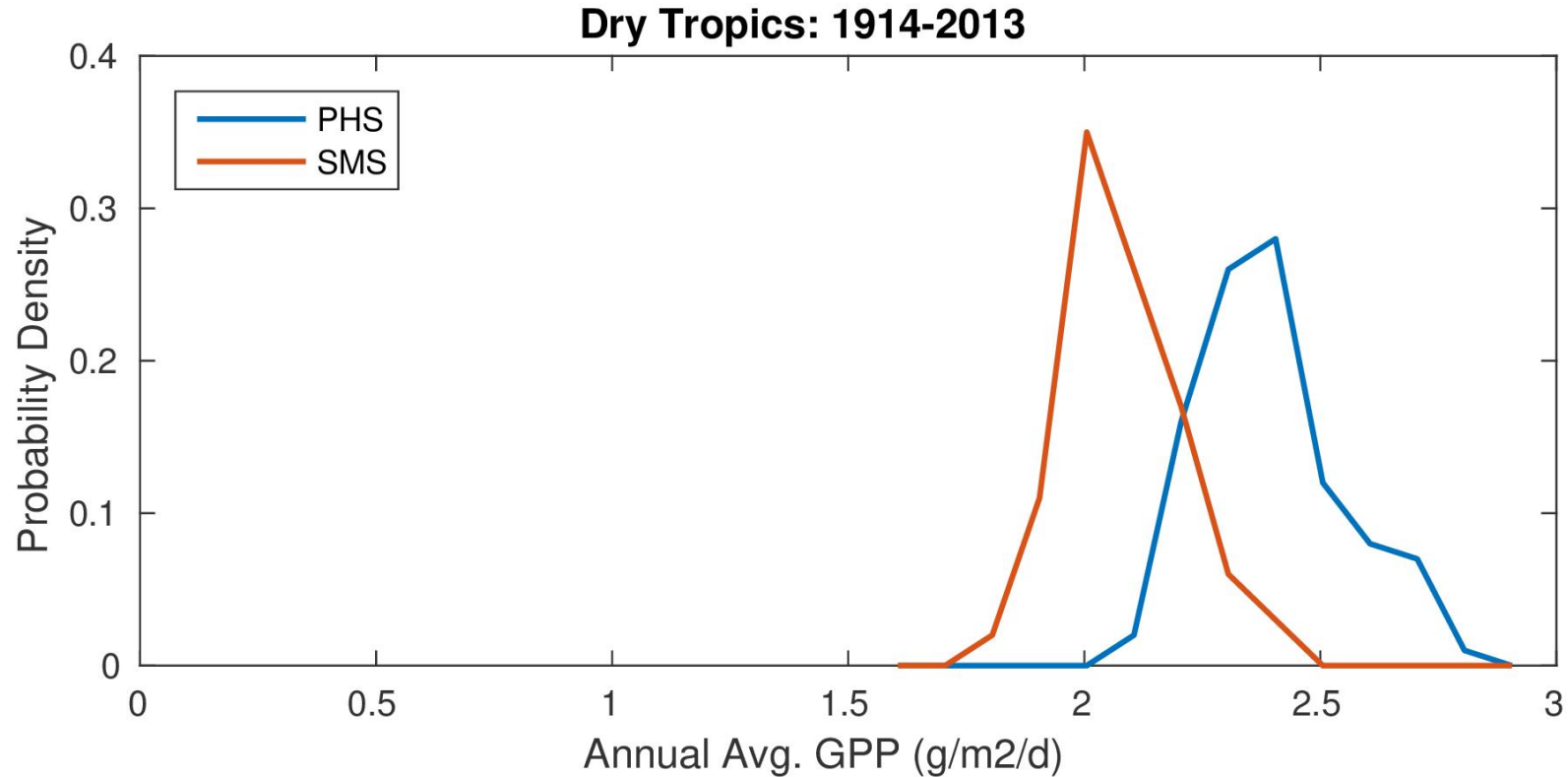
- dry tropics
- $T > 22^{\circ}\text{C}$
- precip  $< 1000\text{mm/yr}$

light v

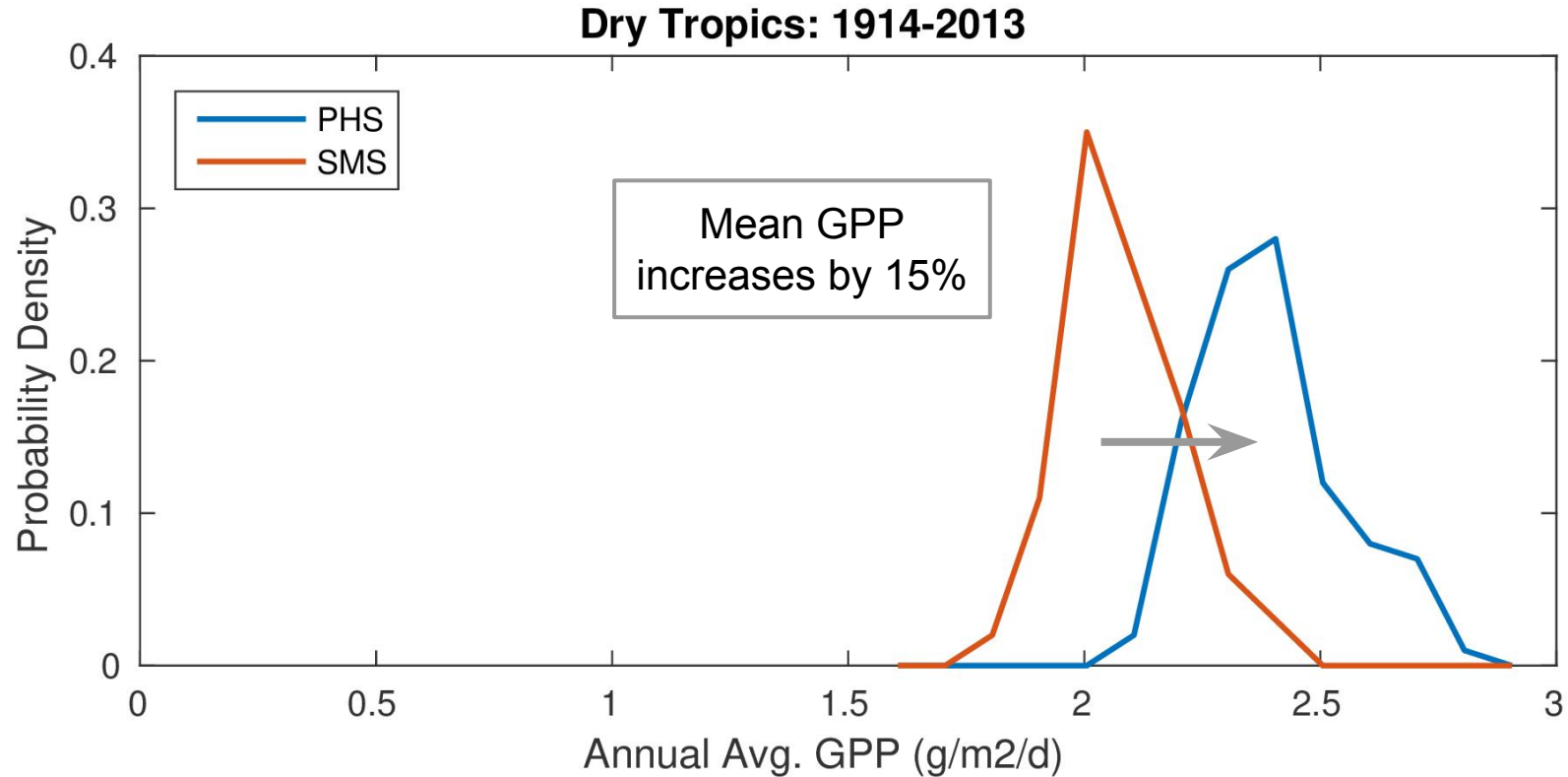
(g/m<sup>2</sup>/d)



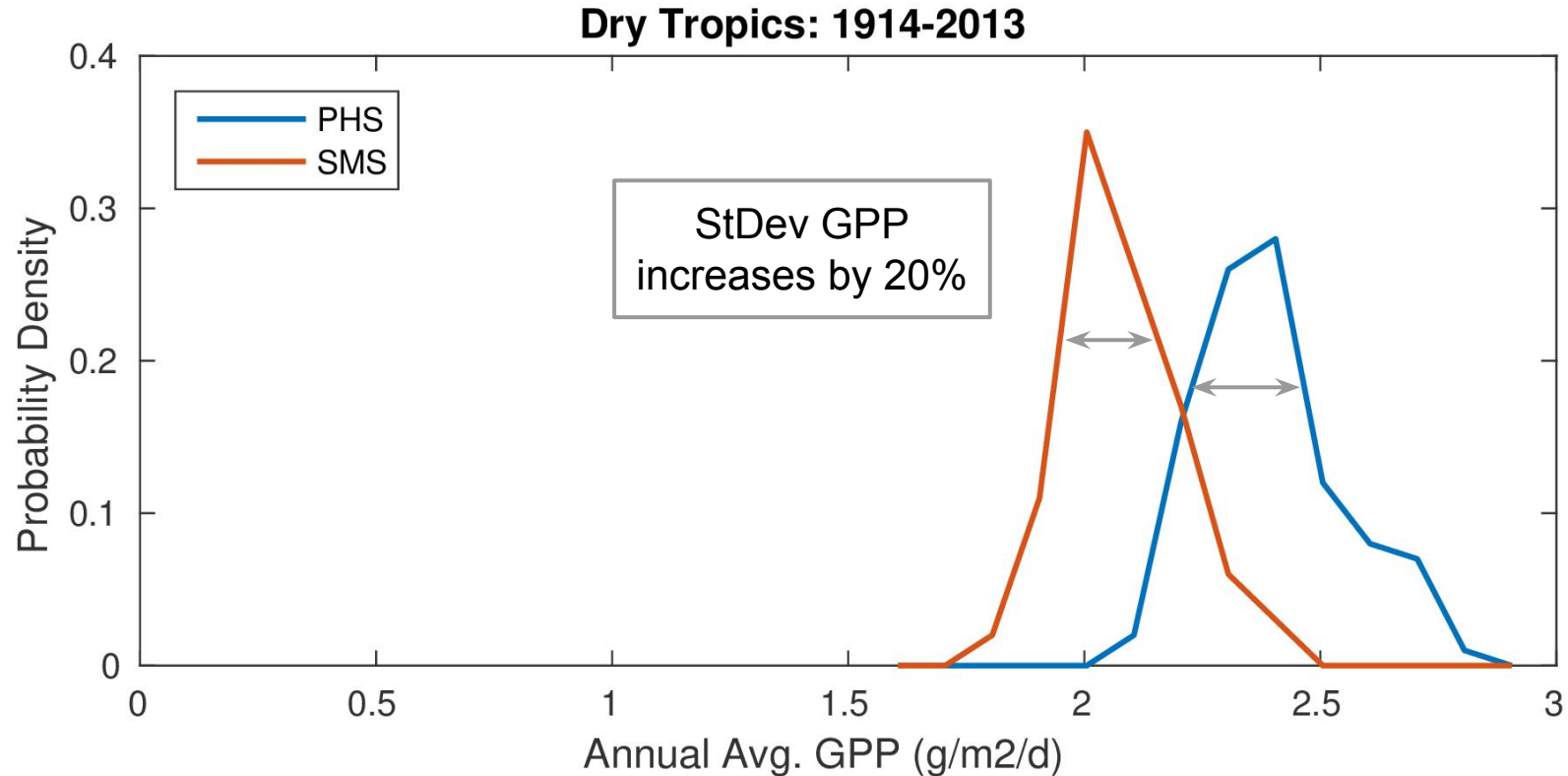
# GPP distributions in aggregate



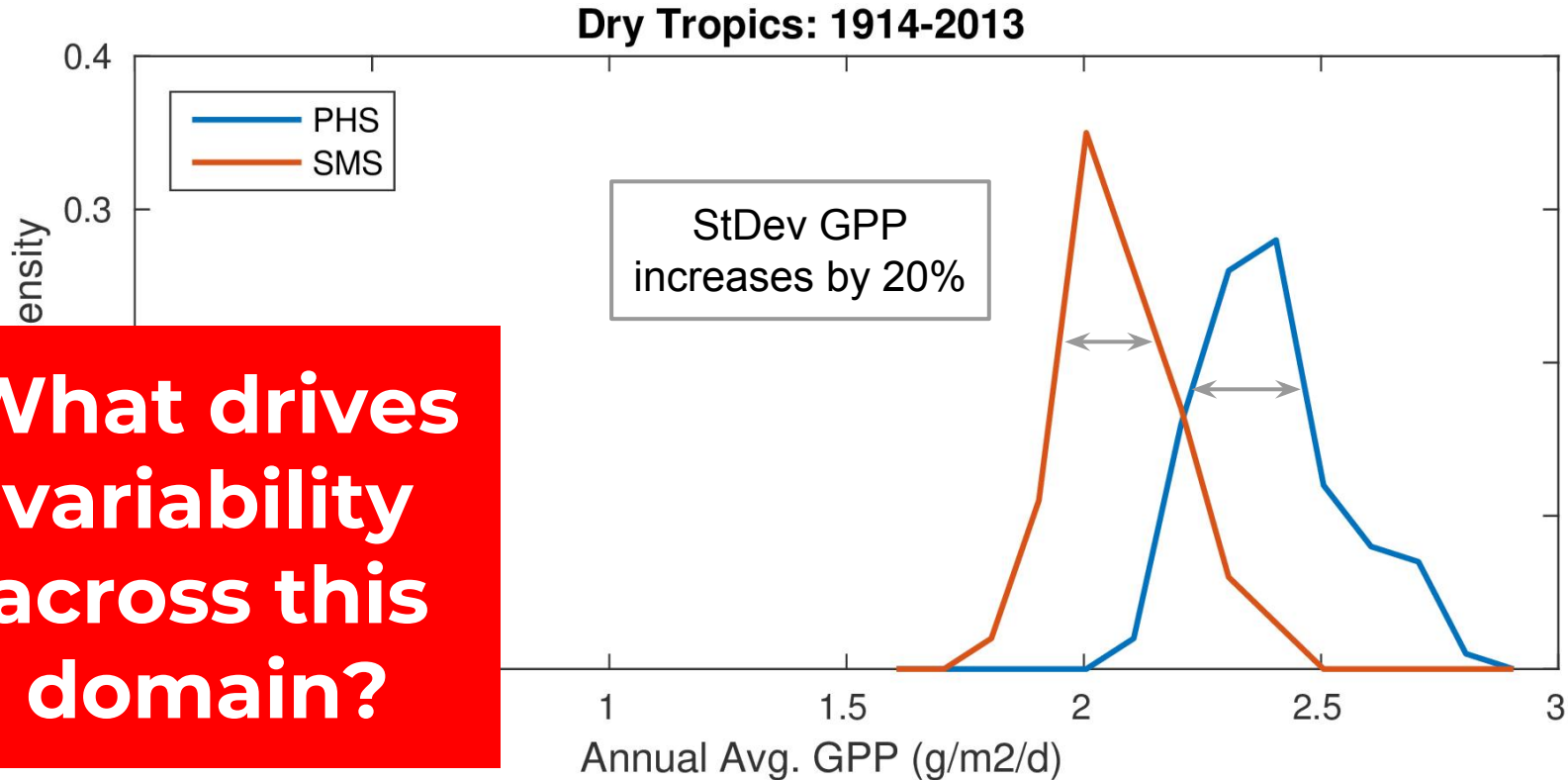
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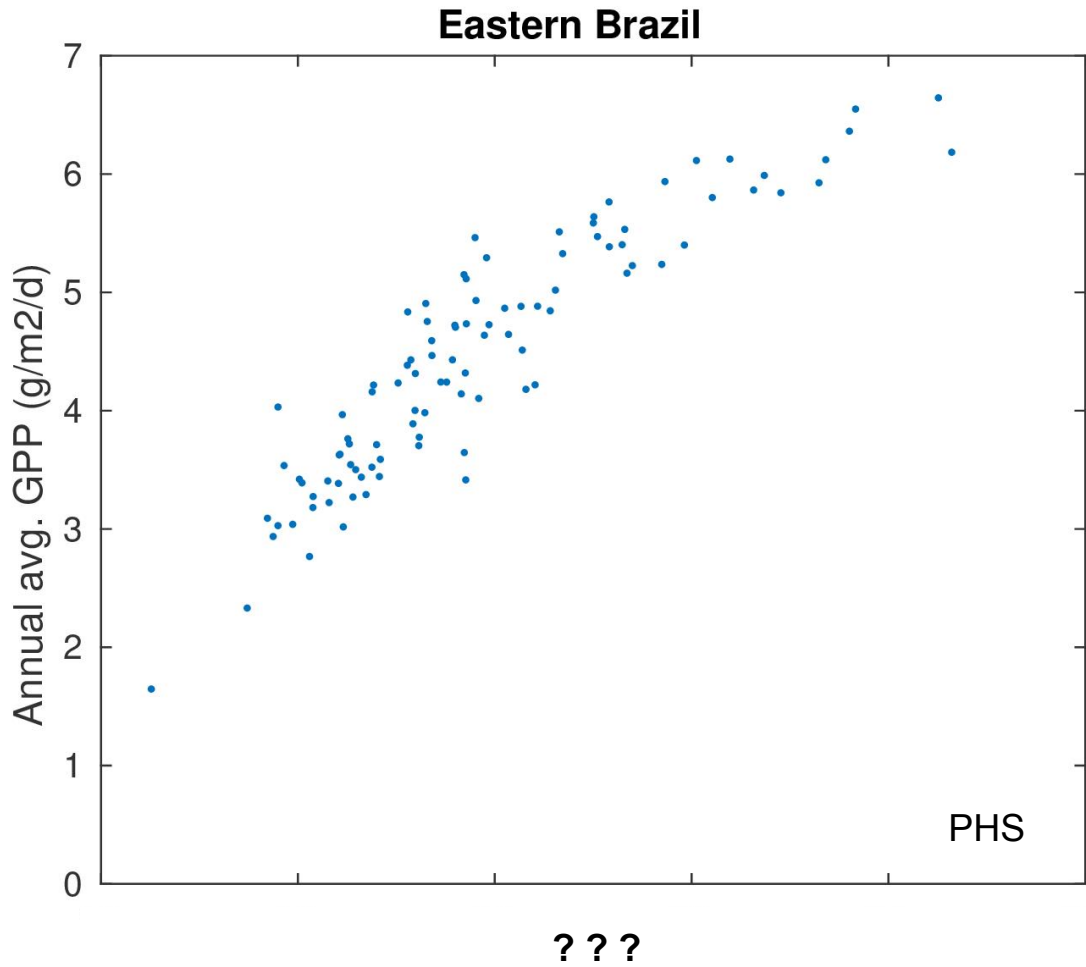


# GPP distributions in aggregate



**What drives  
variability  
across this  
domain?**

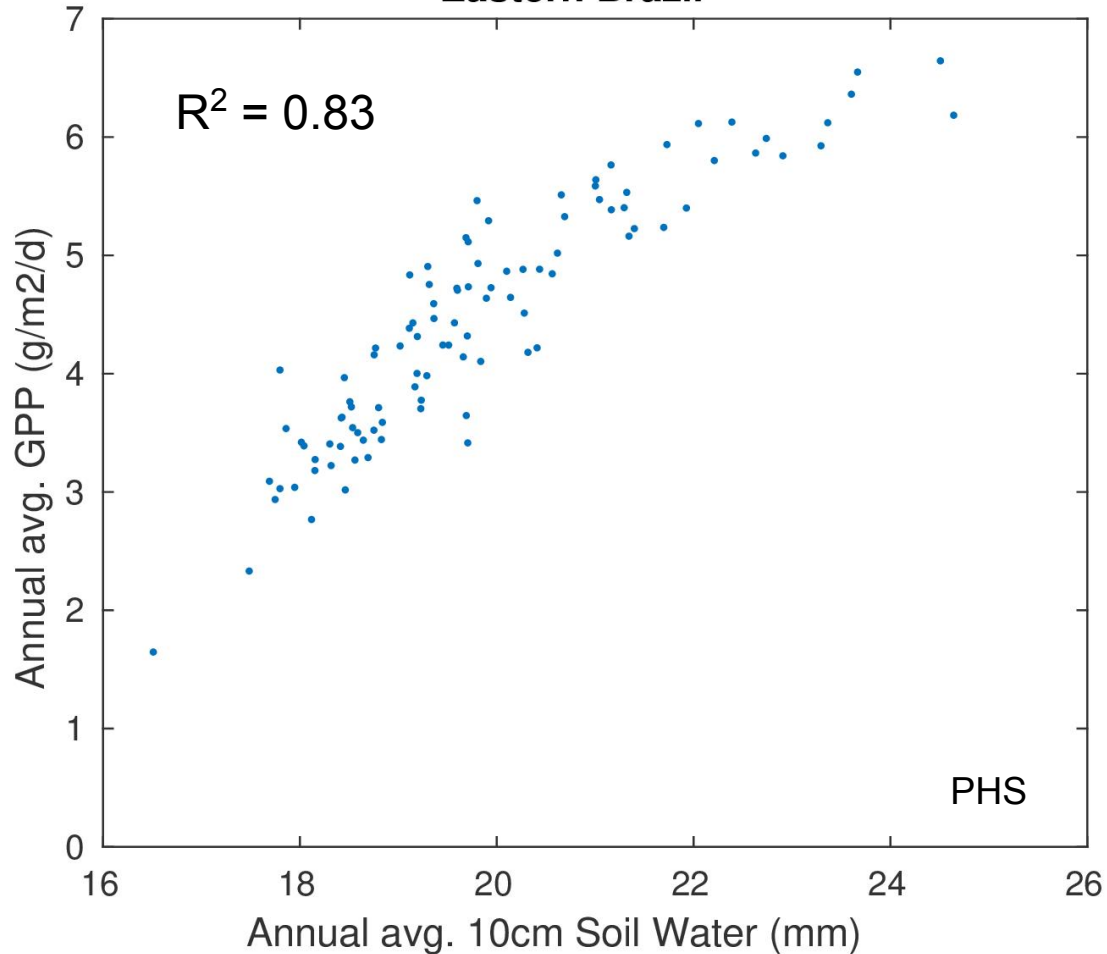




What drives model interannual variability in GPP?

- example gridcell
- each point represents one year
  - 1914-2013
- $\sigma = 1.03 \text{ g/m}^2/\text{d}$

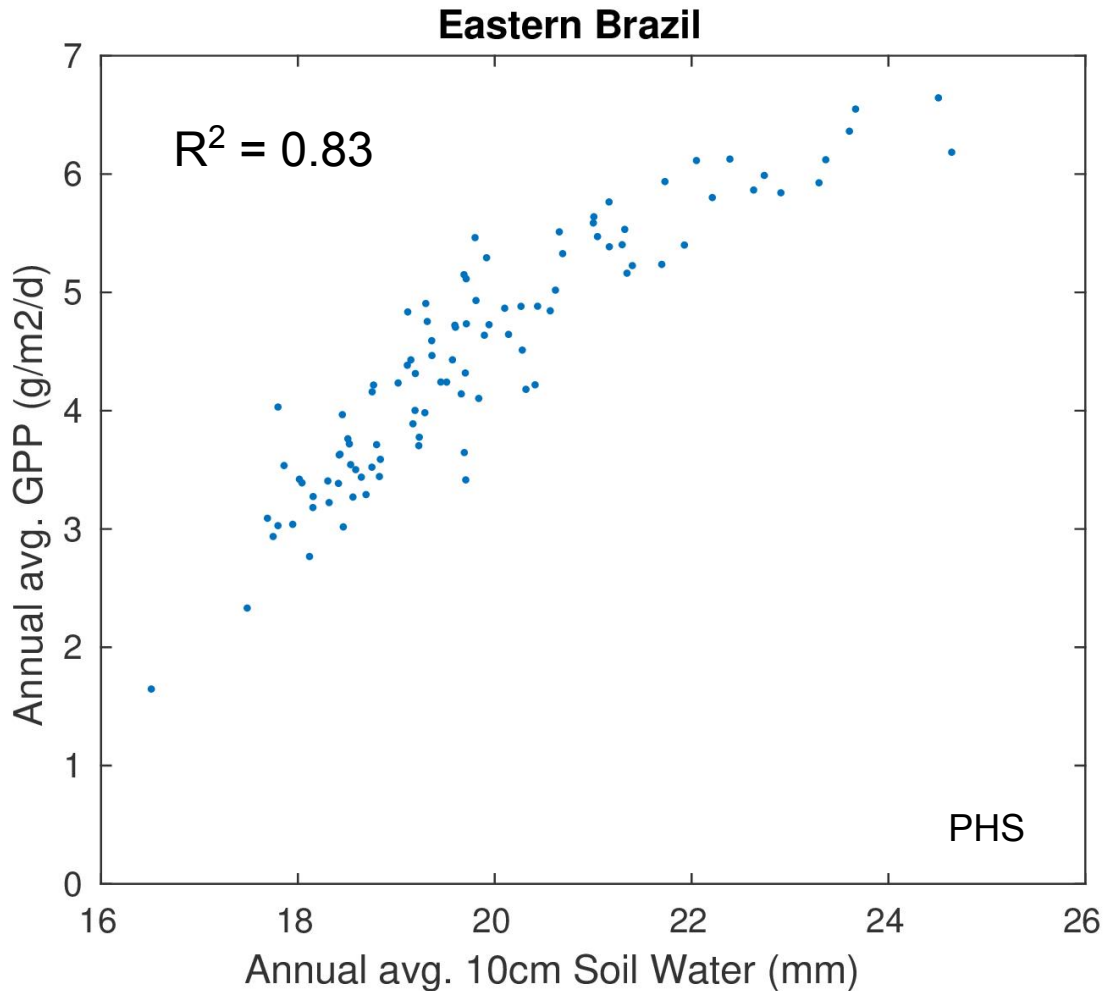
## Eastern Brazil



What drives model interannual variability in GPP?

~soil moisture~

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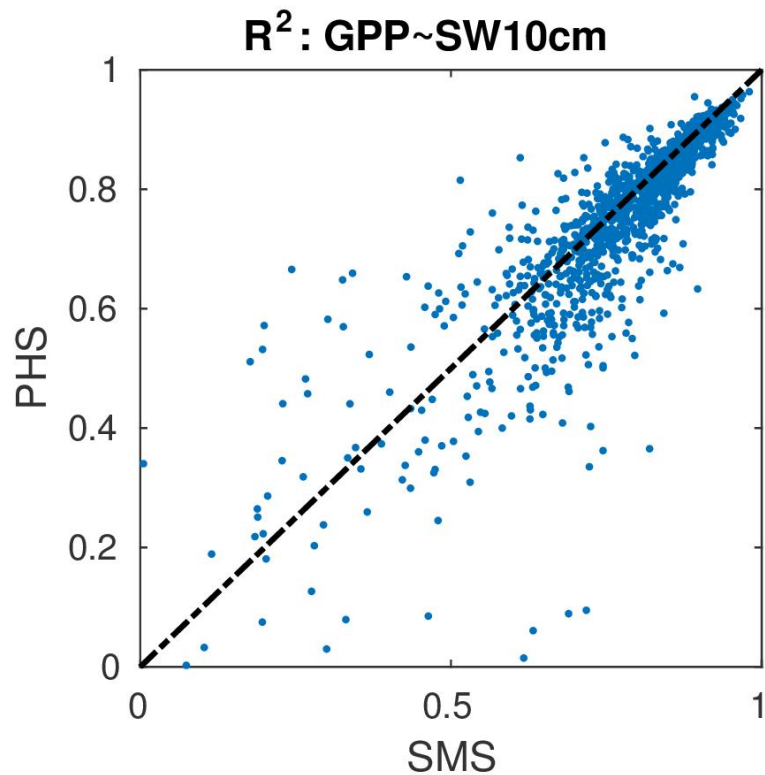


What drives model interannual variability in GPP?

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  - 1914-2013
- $\sigma = 1.03$  g/m<sup>2</sup>/d
- is this true across the full domain?
- with both models?

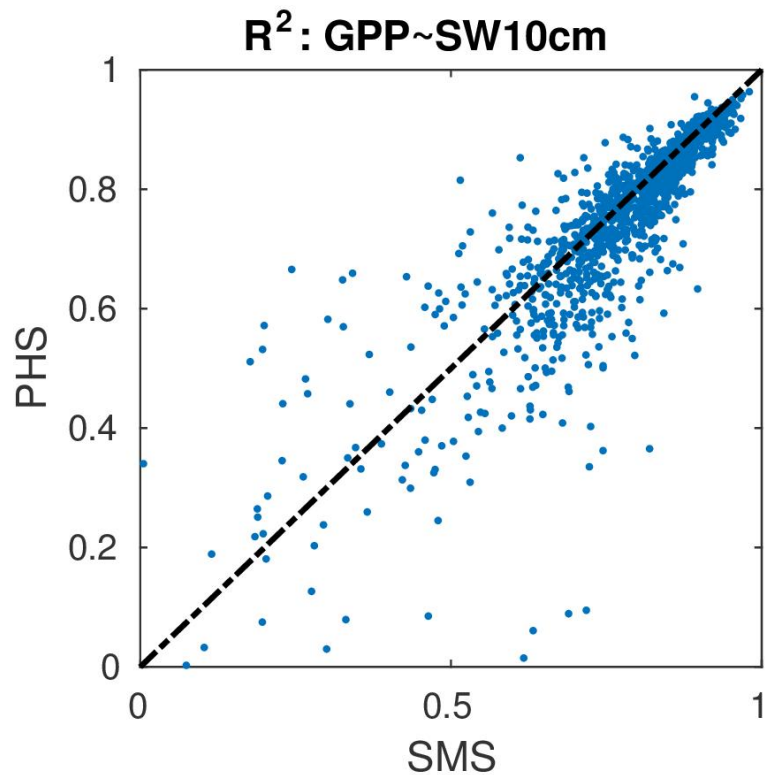
# Model vs. Model across the dry tropics domain



## Plot details:

- Each point is a different grid cell
- Looking at the correlation between GPP and 10cm soil water
- Computed from time-series of annual average values (1914-2013)

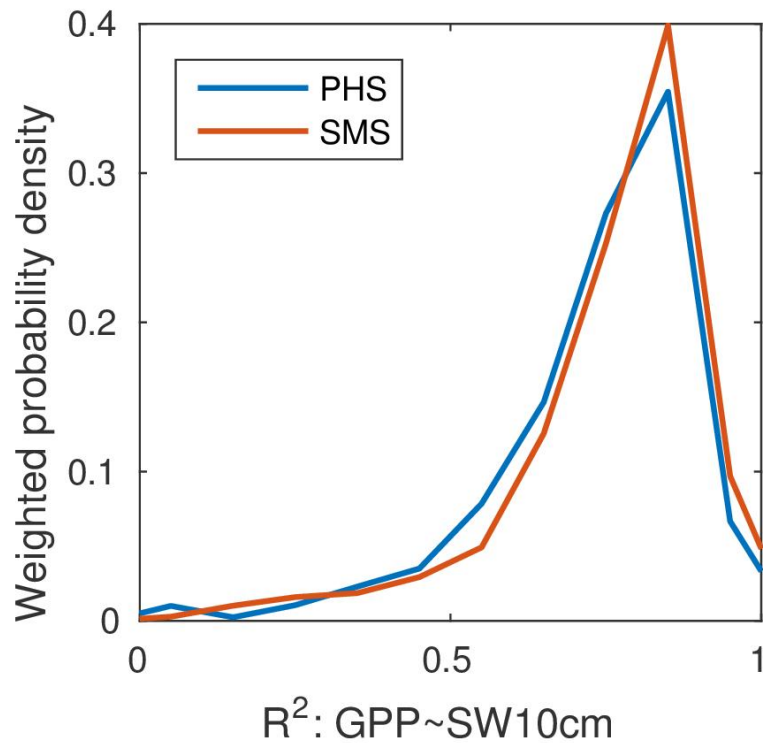
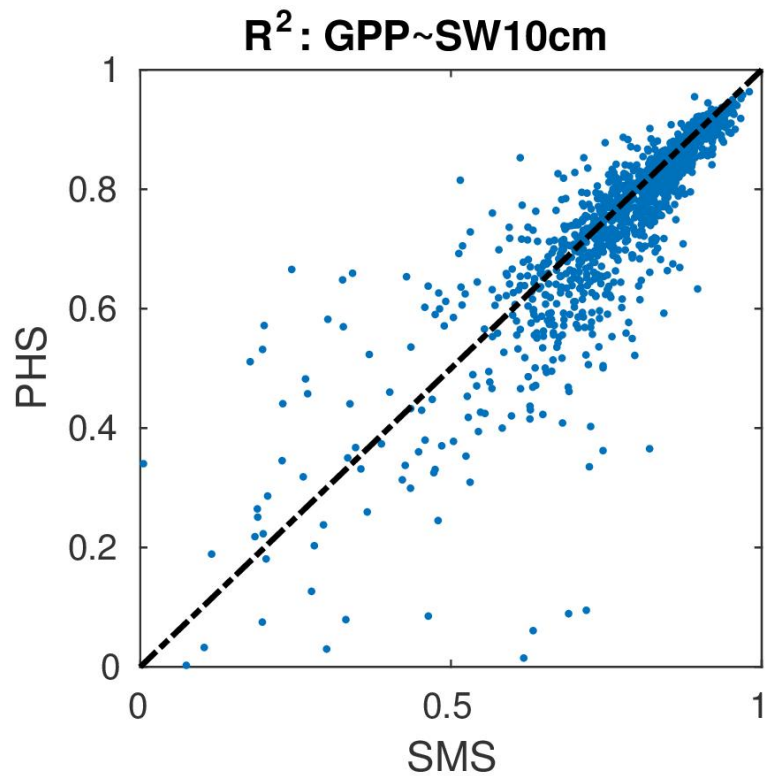
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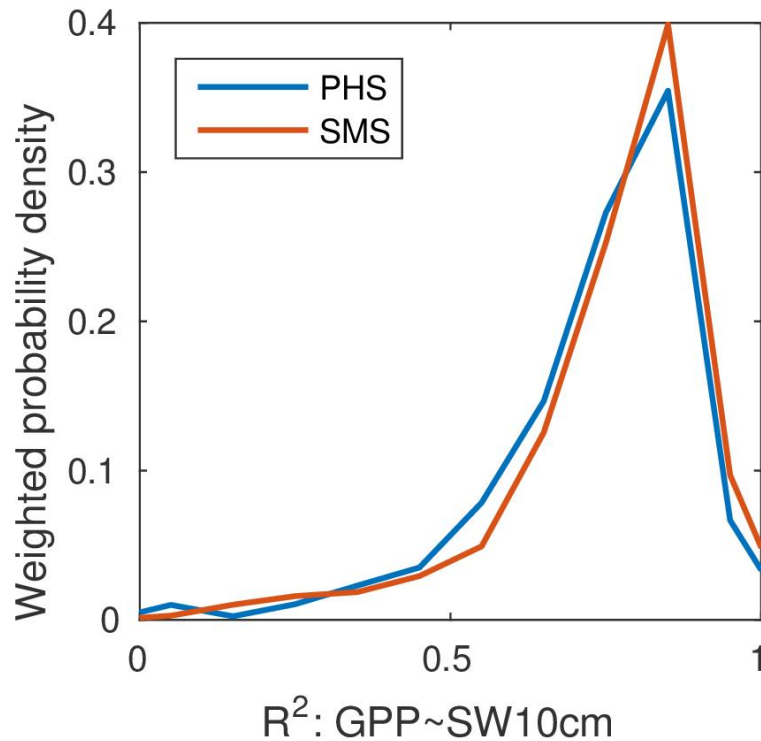
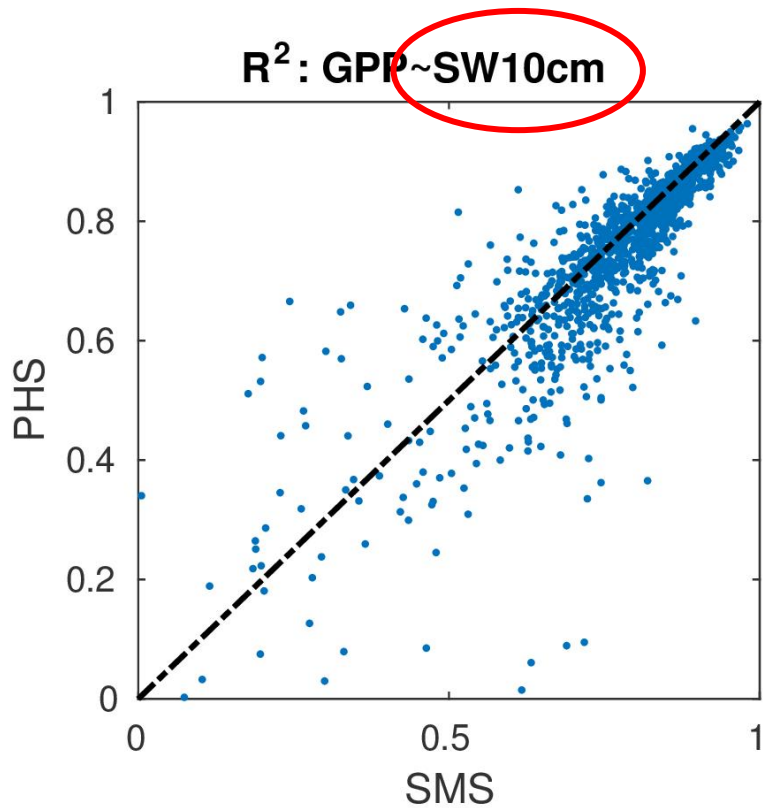
- Each point is a different grid cell
- Looking at the correlation between GPP and 10cm soil water
- Computed from time-series of annual average values (1914-2013)
- Overall: soil water explains a very large portion of the interannual variability in GPP
- True for both models

# Model vs. Model across the dry tropics domain



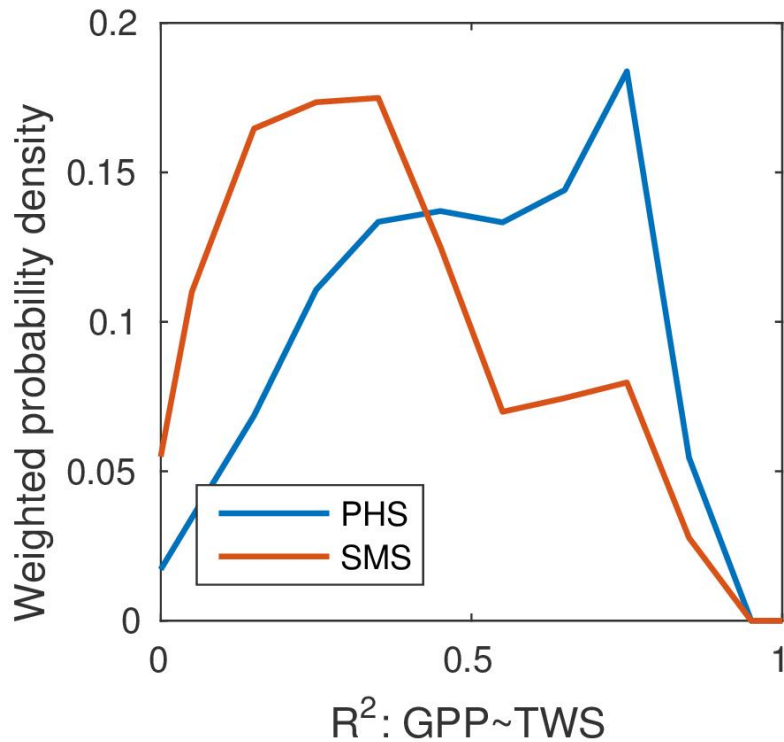
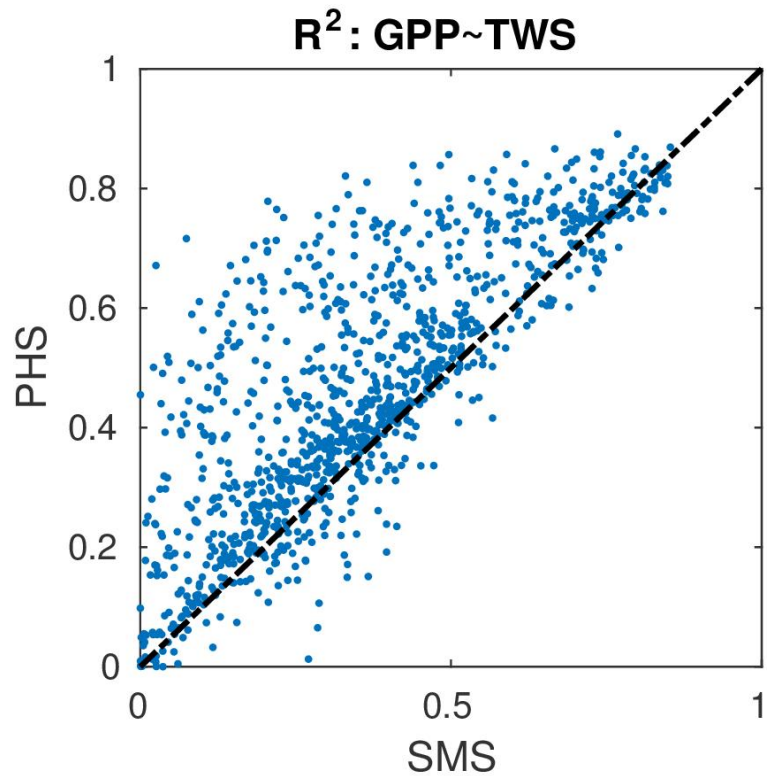
# Model vs. Model across the dry tropics domain

What about the  
rest of the soil  
column?



# Model vs. Model across the dry tropics domain

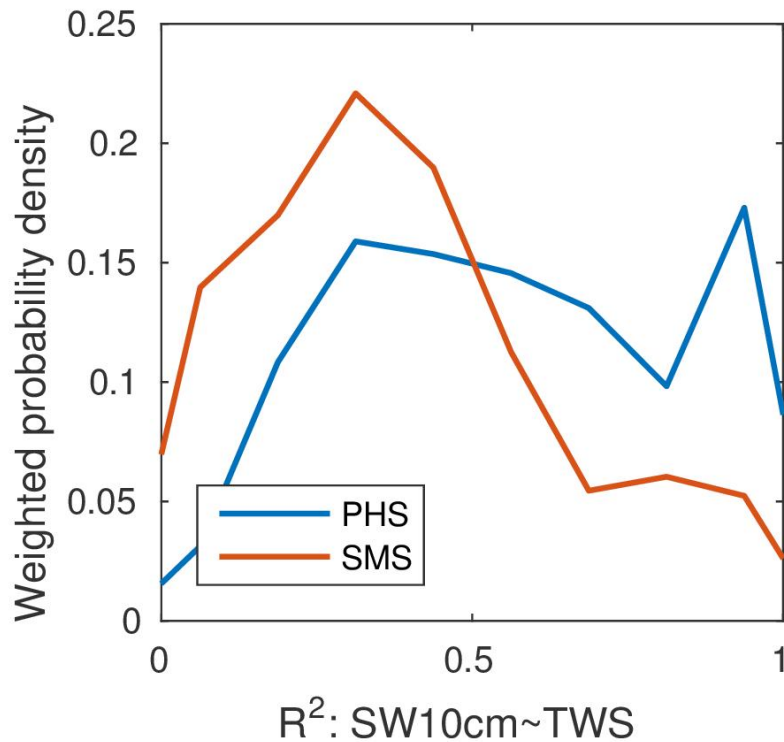
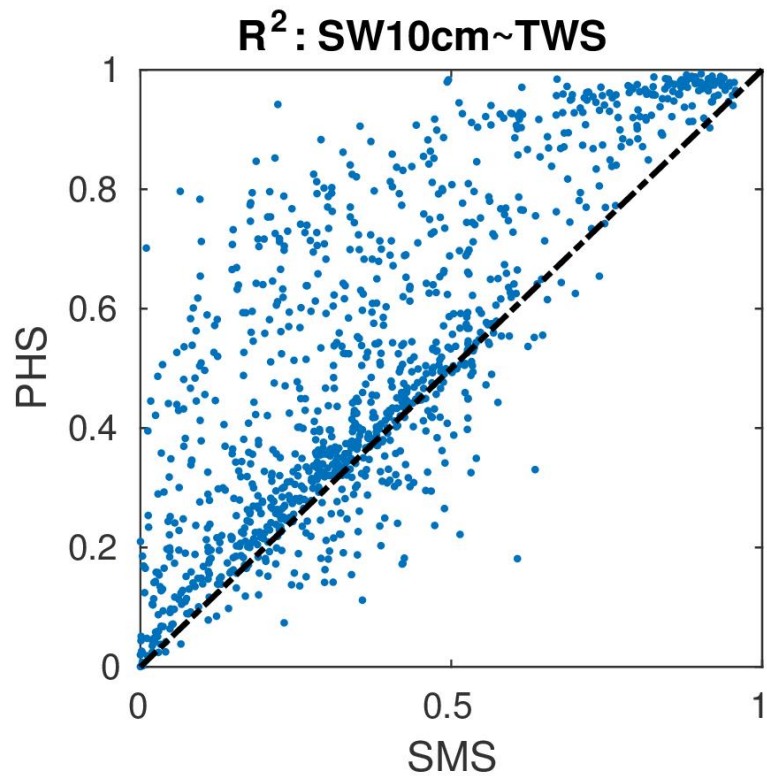
PHS: GPP more closely  
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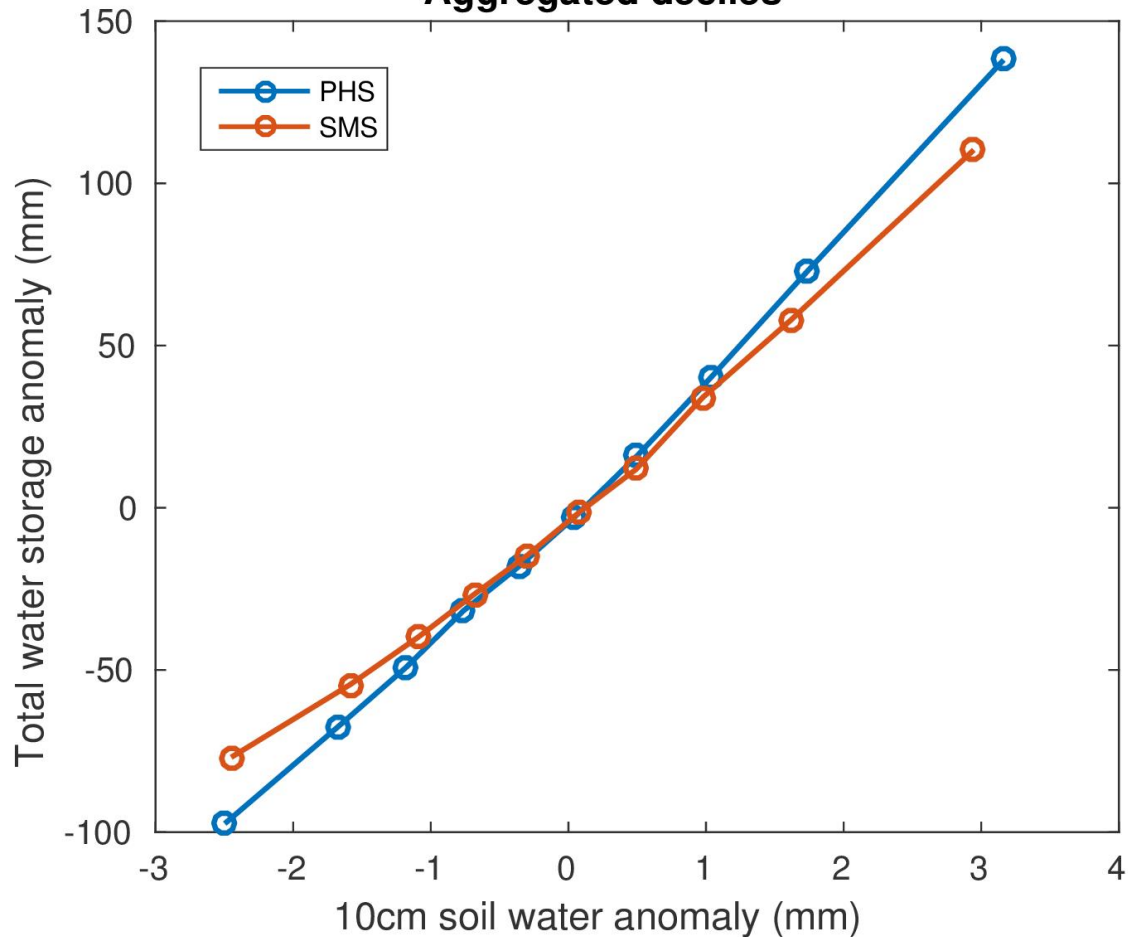


# Model vs. Model across the dry tropics domain

PHS: Likewise Total Water Storage (TWS) more closely coupled with 10cm soil water



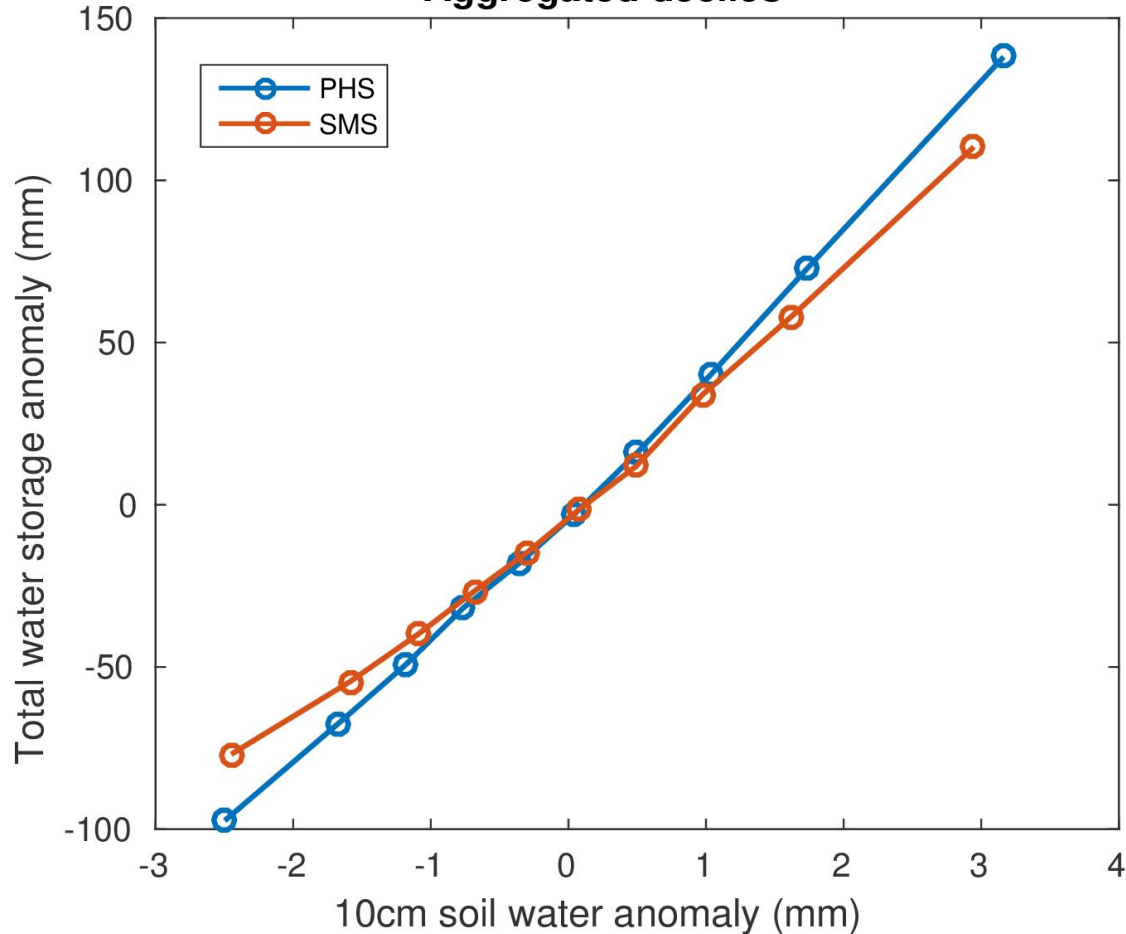
## Aggregated deciles



## Plot details

- bin each gridcell into deciles based on 10cm soil water
  - 10 yrs per decile
- then average across the full study area

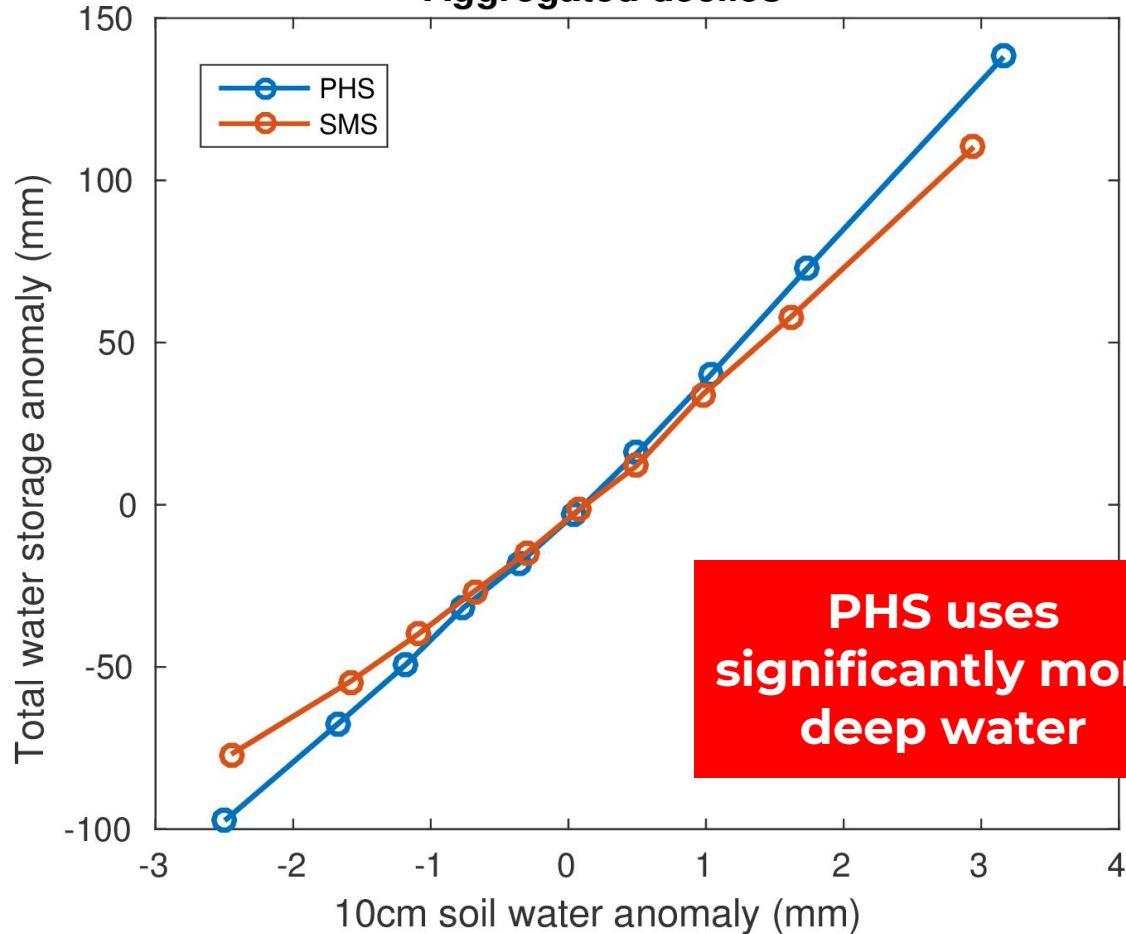
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- PHS yields ~41.5 mm TWS for every 1mm from the top 10cm
- SMS = 35.1

## Aggregated deciles

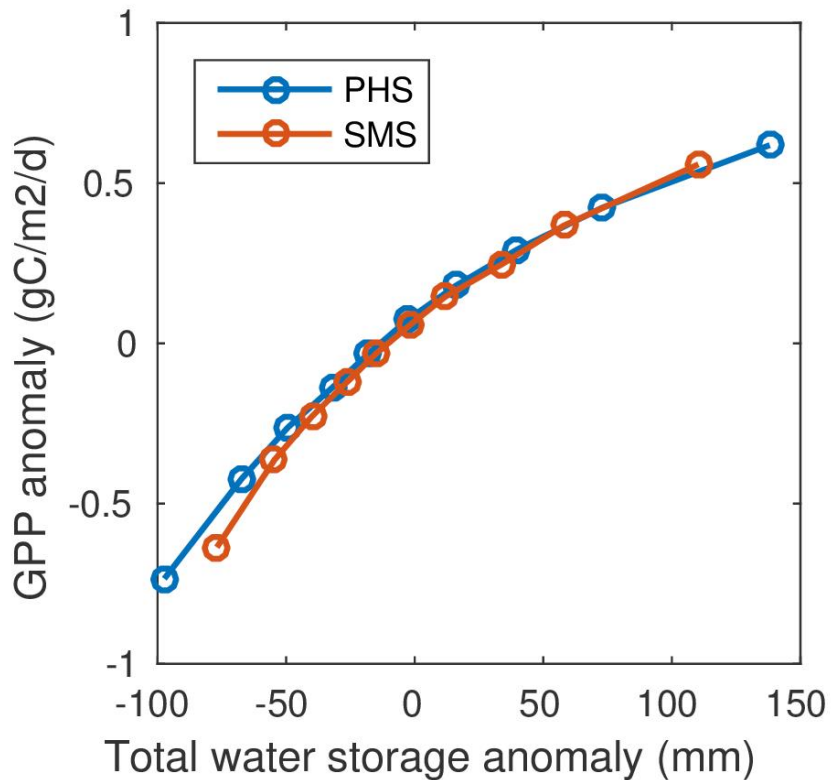


**PHS uses significantly more deep water**

## Plot details

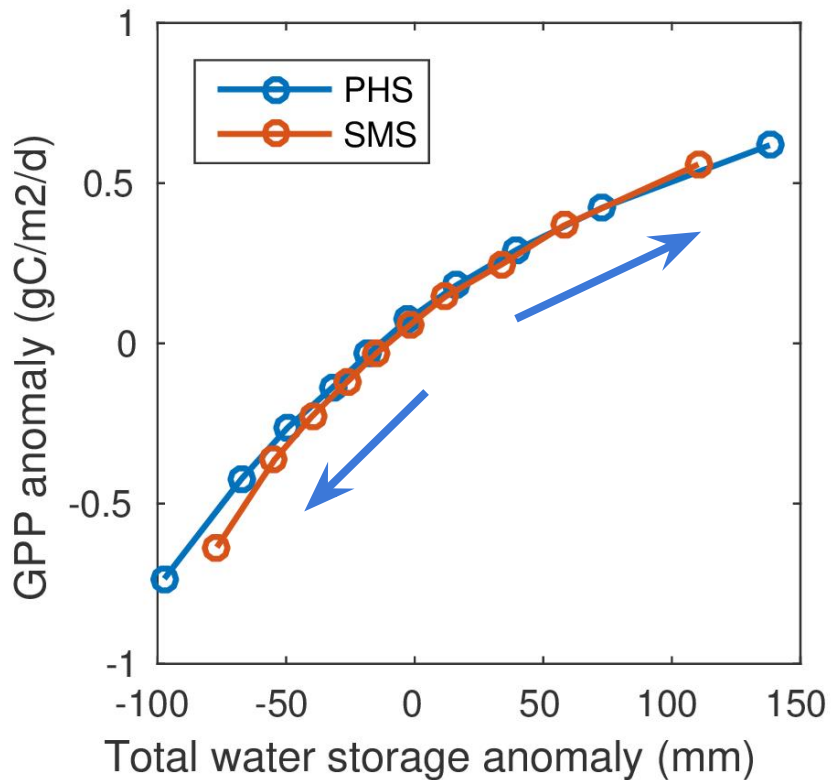
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## GPP vs. TWS



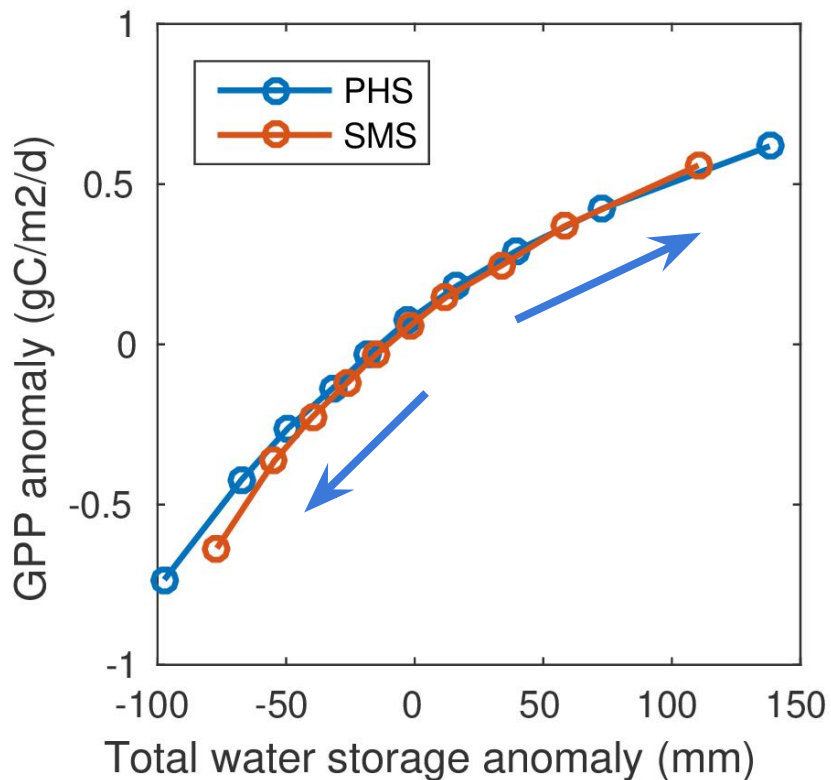
- SMS productivity looks slightly more sensitive to TWS anomalies (steeper slope)

## GPP vs. TWS



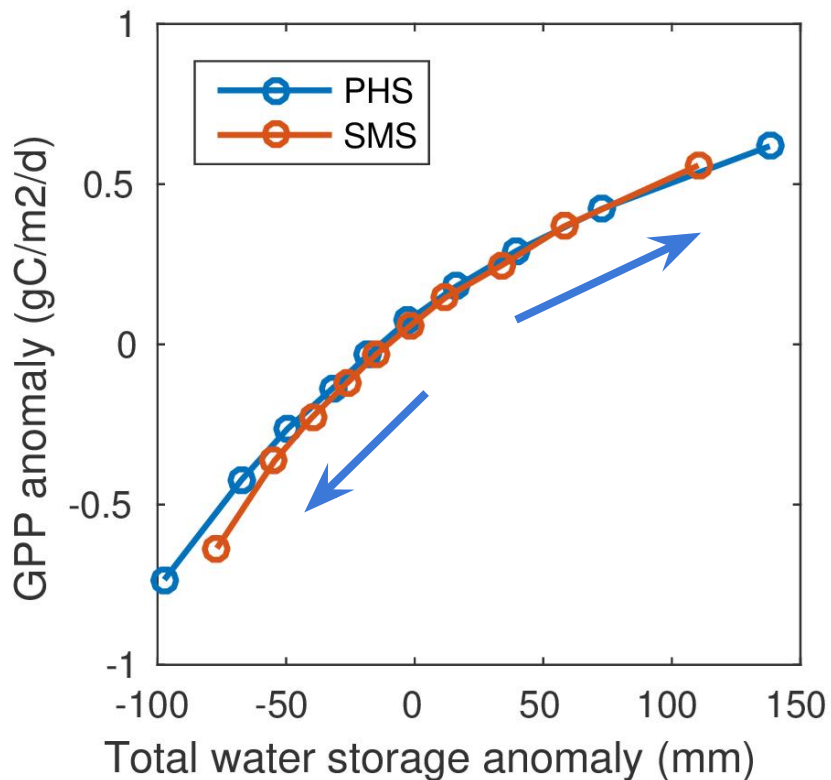
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## GPP vs. TWS



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- **Associated with the observed increase in GPP variability**

## GPP vs. TWS

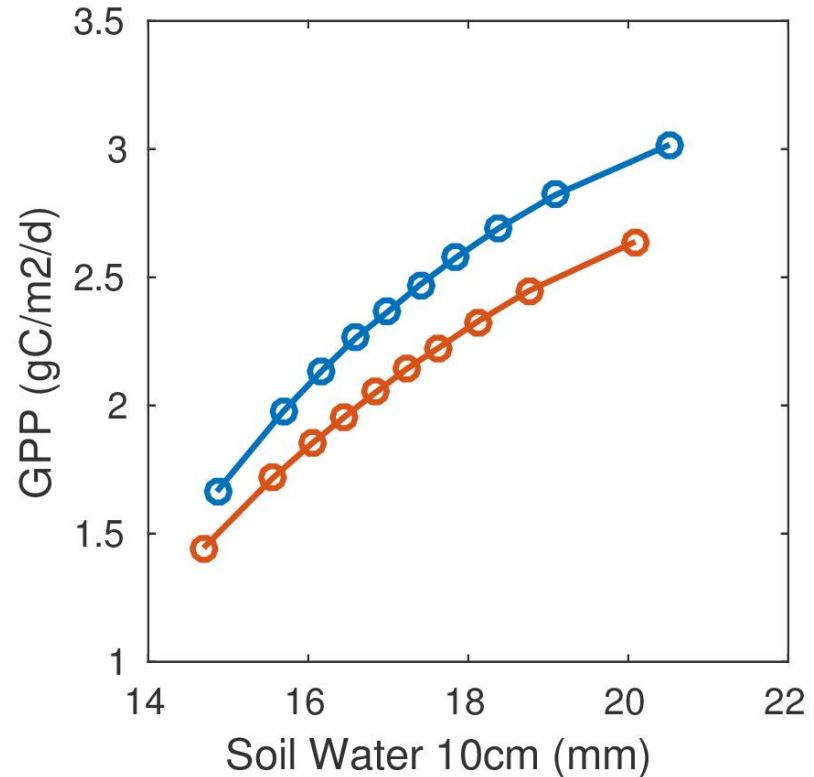


- SMS productivity looks slightly more sensitive to TWS anomalies (steeper slope)
- But PHS samples a wider range of TWS
- **Associated with the observed increase in GPP variability**
- How does this affect
  - 10cm soil water?
  - Mean GPP?



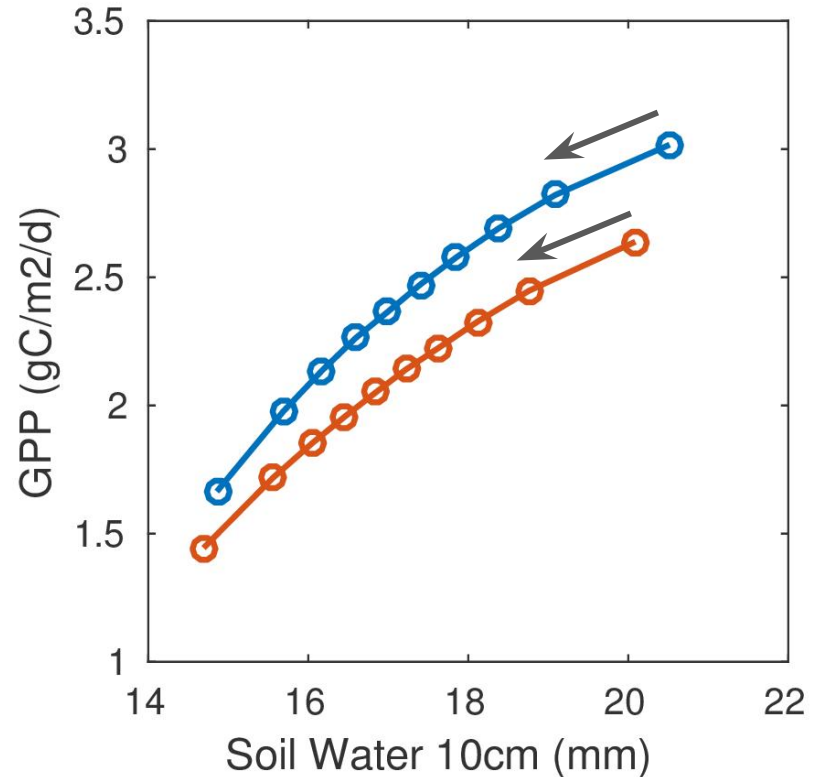
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## GPP vs. SW10cm



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- Access to deep water allows higher GPP with similar surface drying

## GPP vs. SW10cm

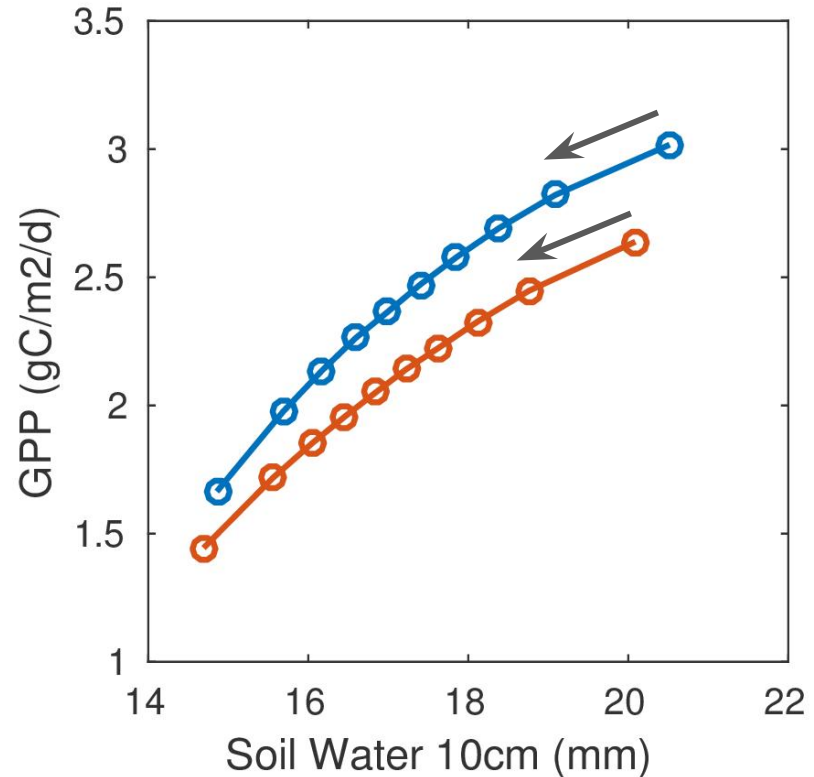


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  - partially explains higher GPP
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### Conclusions:

- PHS uses more 'deep' soil water (beyond 10cm)
- Leads to higher GPP
- But also associated with higher IAV, higher correlation with TWS

## GPP vs. SW10cm



# where to find more info

## CLM Technical note

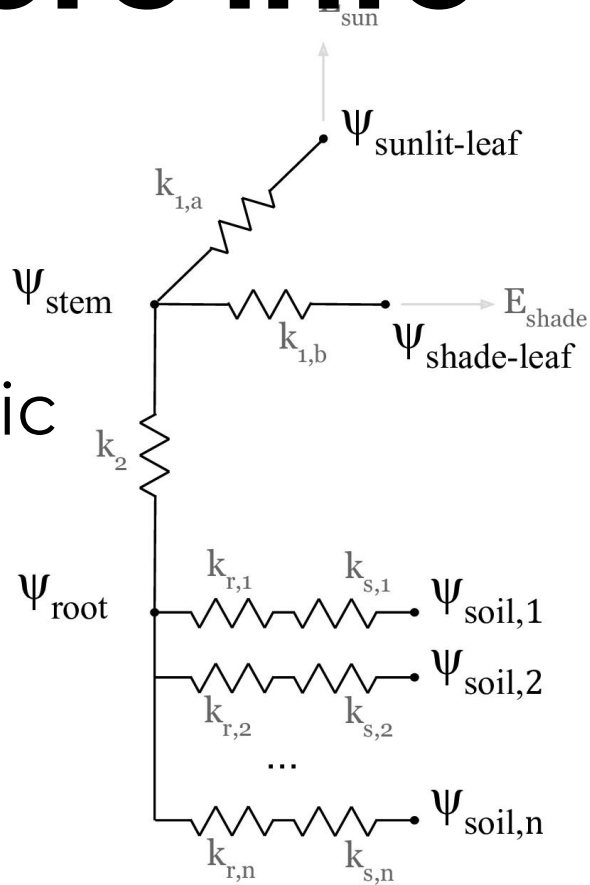
- Section 2.11
- [cesm.ucar.edu/models/cesm2/land/](https://cesm.ucar.edu/models/cesm2/land/)

## Model development paper

- Implementing plant hydraulics in the Community Land Model, version 5
- Kennedy et al. 2019, *JAMES*

djk2120@columbia.edu

## Plant Hydraulic Stress



# where to find more info

## CLM Technical note

- Section 2.11
- [cesm.ucar.edu/models/cesm2/land/](https://cesm.ucar.edu/models/cesm2/land/)

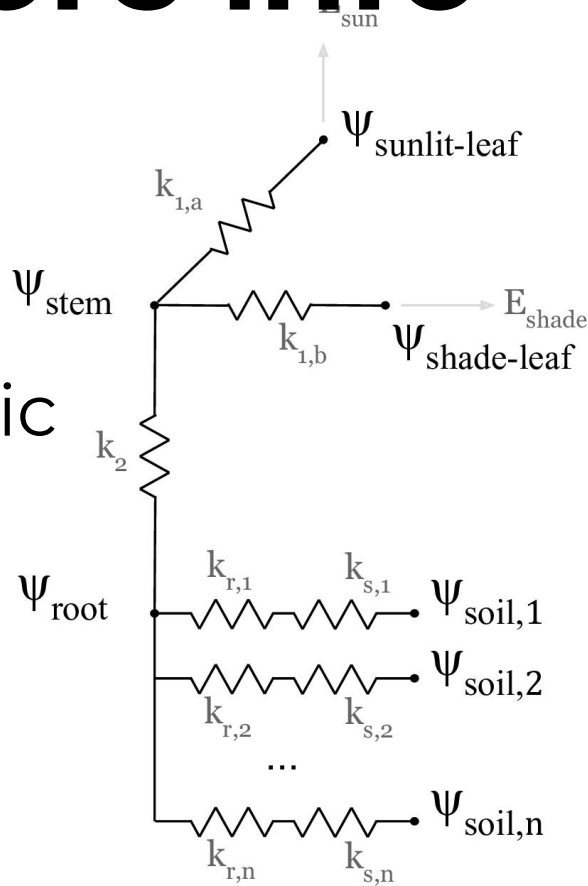
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- Kennedy et al. 2019, *JAMES*

# thanks!

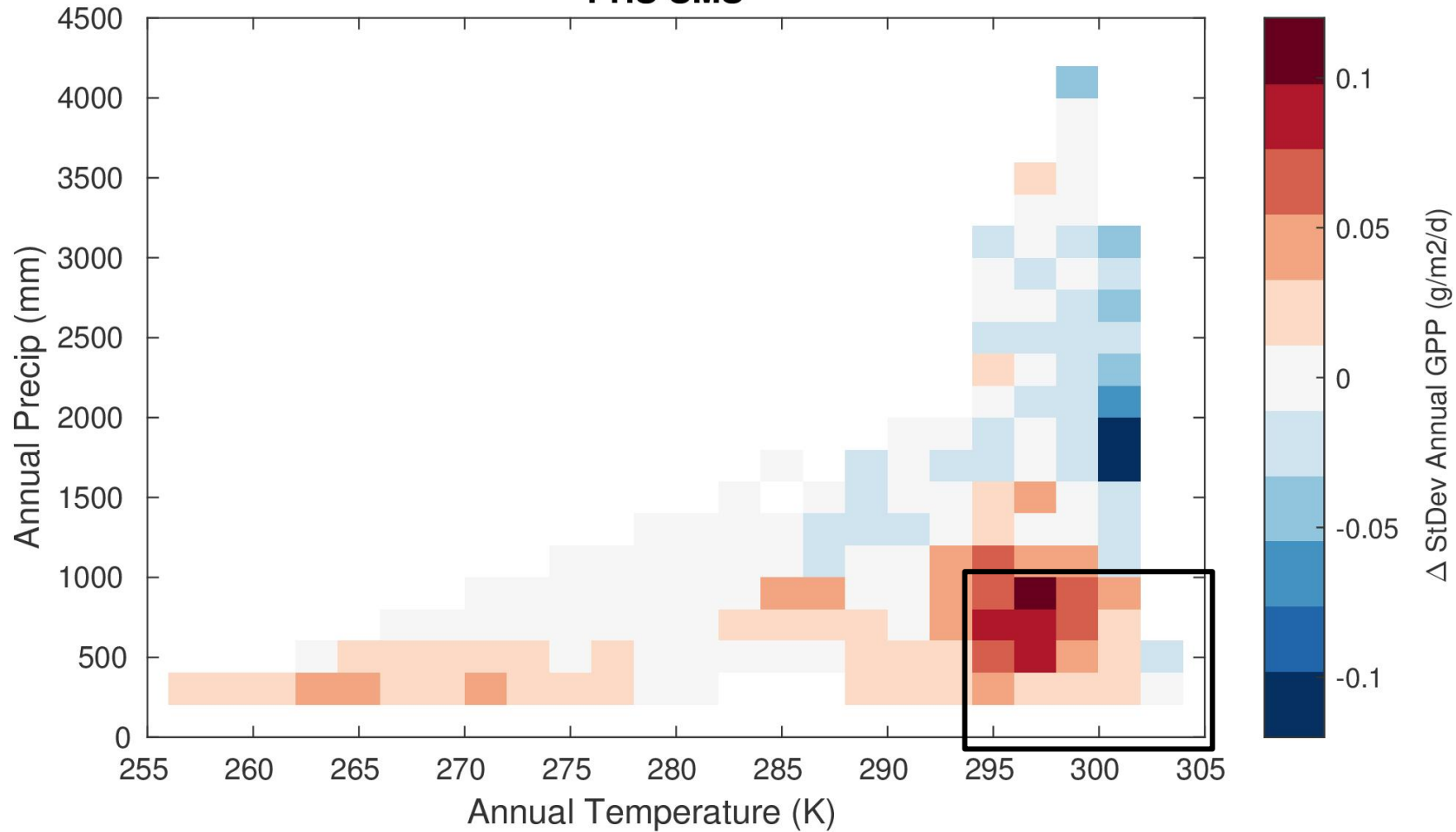
djk2120@columbia.edu

## Plant Hydraulic Stress

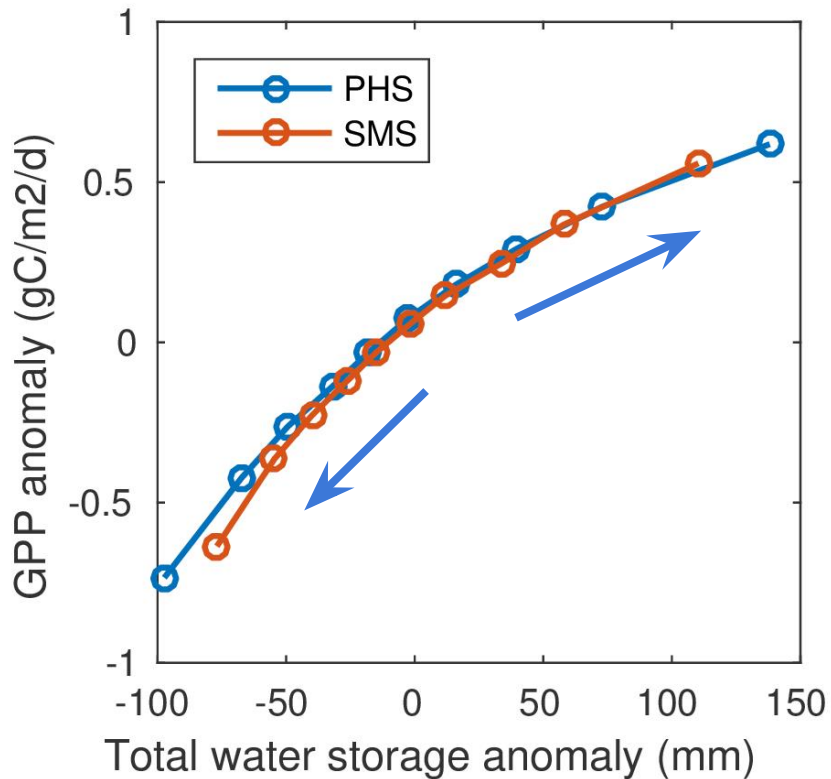


extra slides

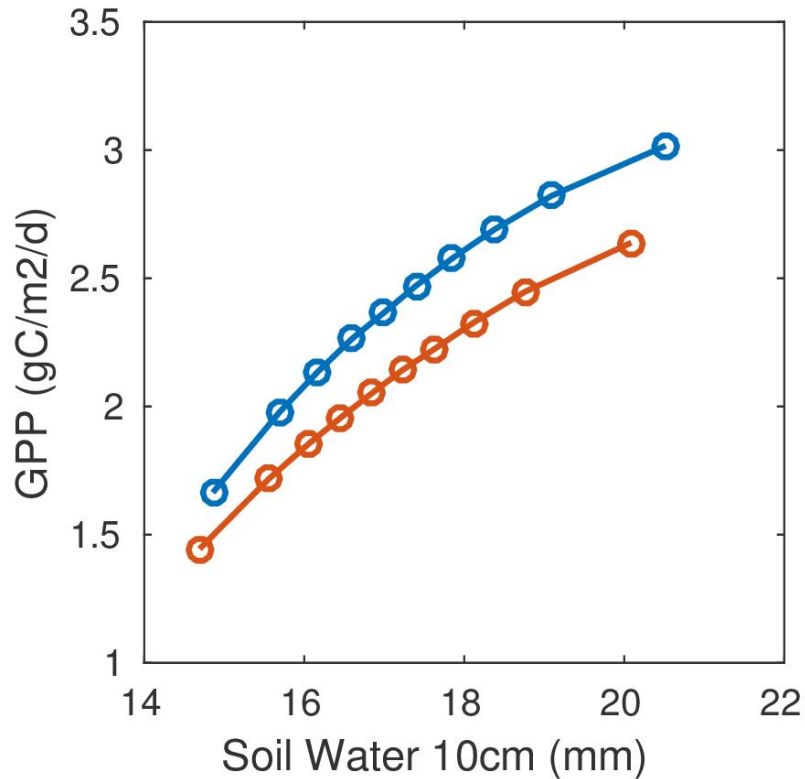
# PHS-SMS



# (anomalies) GPP vs. TWS

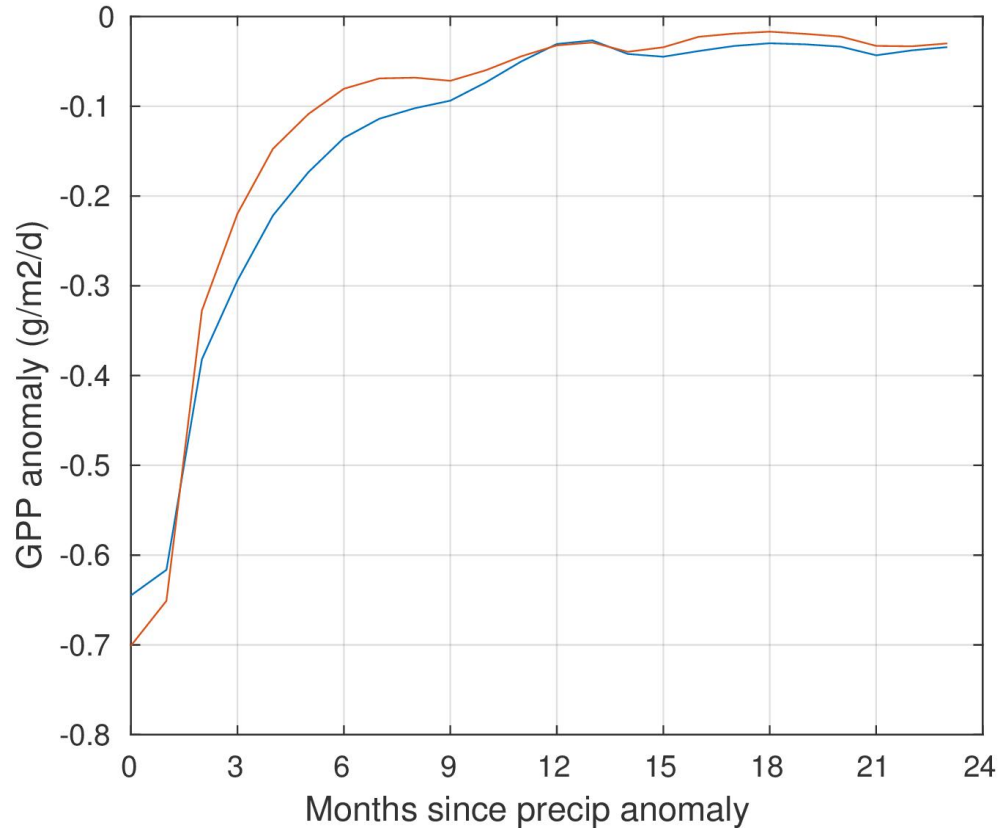


# GPP vs. SW10cm



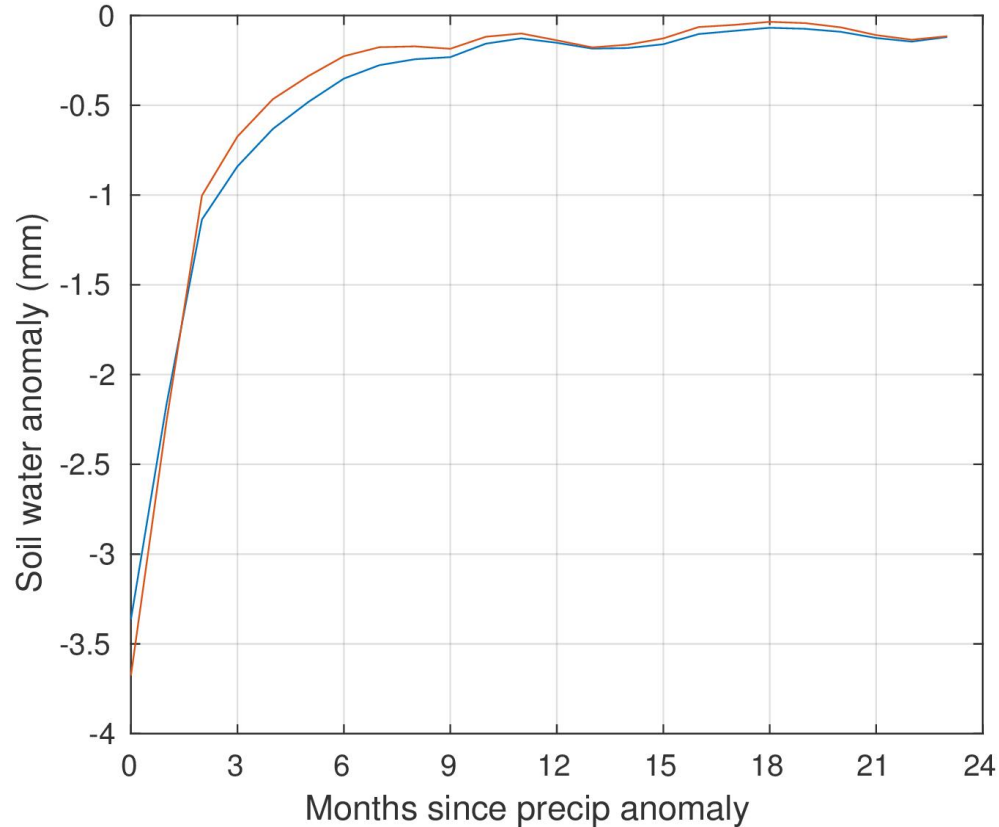


## Precip anomalies have longer coupling to GPP (with PHS)

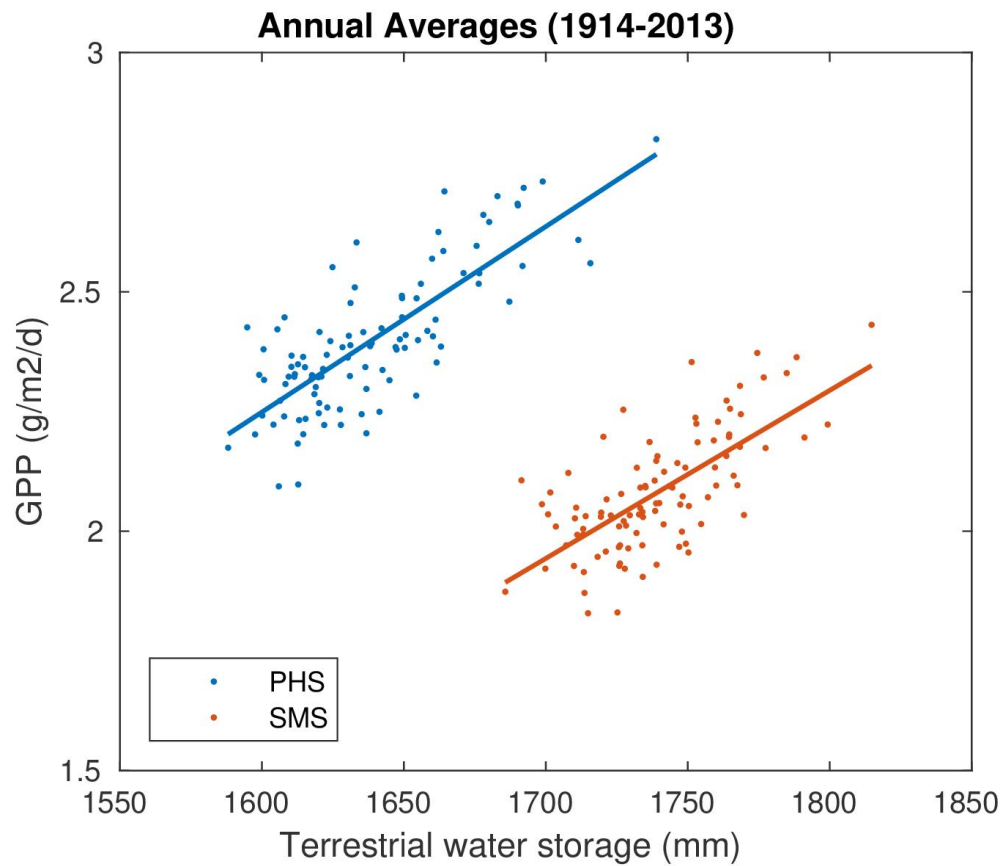


- lost GPP (year 1)
  - PHS = 1.23 PgC
  - SMS = 1.08 PgC
- lost GPP (year 2)
  - PHS = 0.18 PgC
  - SMS = 0.14 PgC
- monthly mean GPP
  - ~0.9 PgC
  - (for reference)

## Similar pattern with soil water (top 10cm)



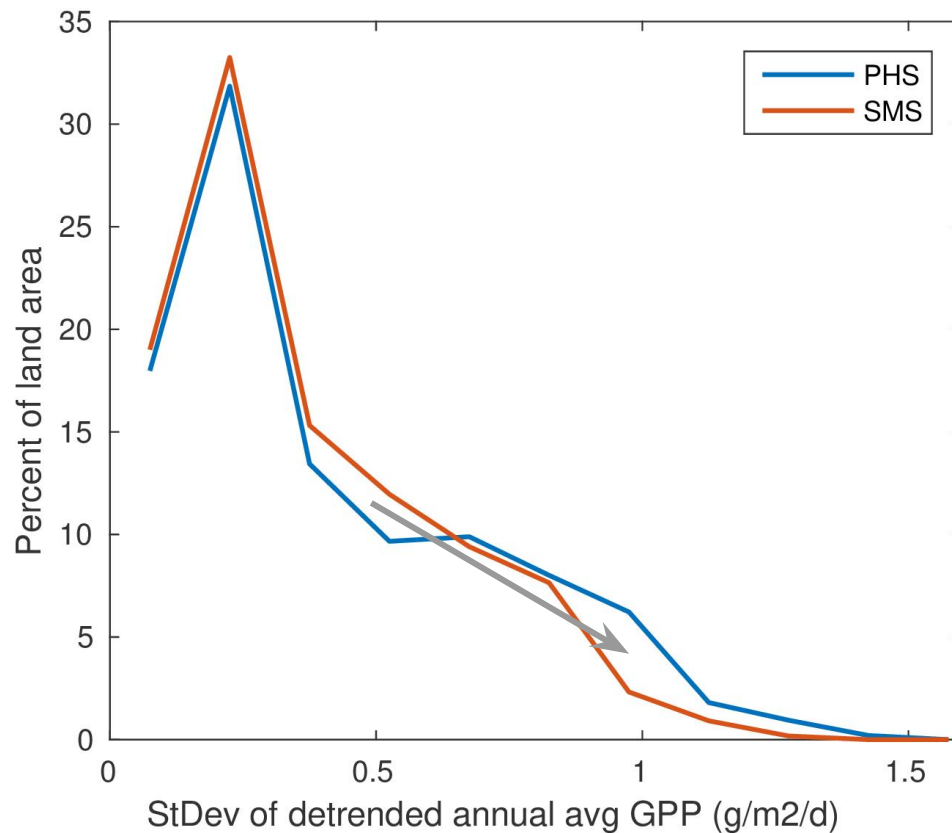
- lost GPP (year 1)
  - PHS = 1.23 PgC
  - SMS = 1.08 PgC
- lost GPP (year 2)
  - PHS = 0.18 PgC
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- monthly mean GPP
  - ~0.9 PgC
  - (for reference)



PHS features higher variability in GPP

- distribution shifts to higher variability

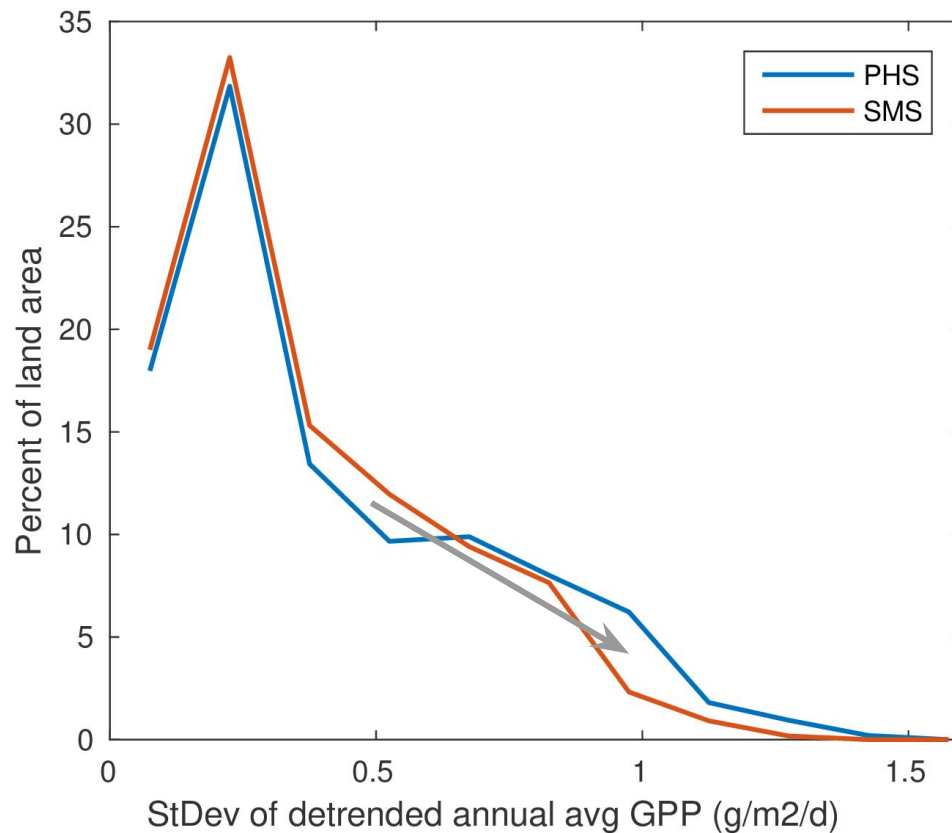
## Interannual Variability also increases



## PHS features higher variability in GPP

- distribution shifts to higher variability
- average stdev:
  - PHS =  $0.41 \text{ g/m}^2/\text{d}$
  - SMS =  $0.37 \text{ g/m}^2/\text{d}$

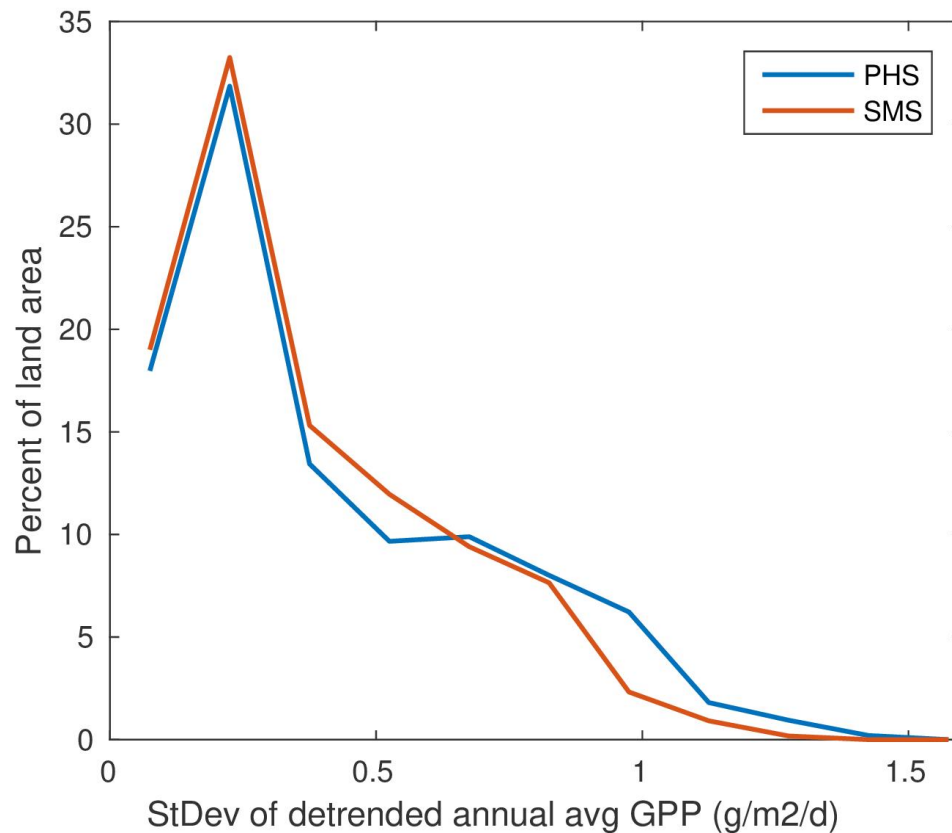
## Interannual Variability also increases



## PHS features higher variability in GPP

- distribution shifts to higher variability
- average stdev:
  - PHS =  $0.41 \text{ g/m}^2/\text{d}$
  - SMS =  $0.37 \text{ g/m}^2/\text{d}$
- approx 12% increase

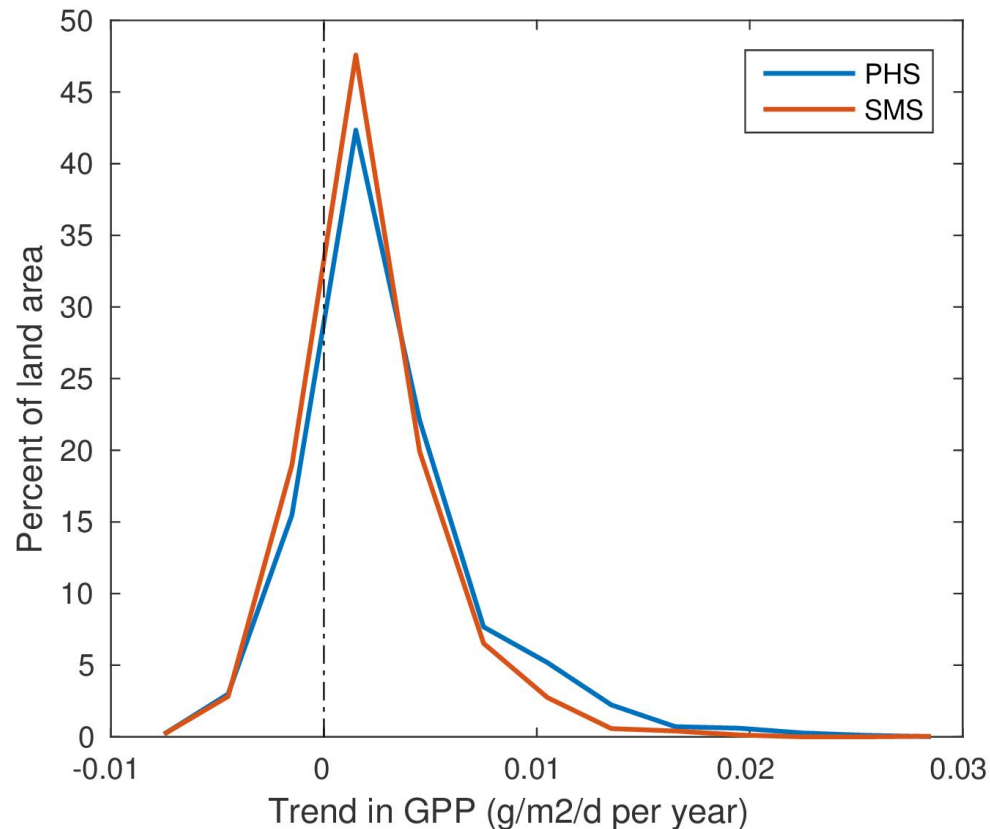
## Interannual Variability also increases



PHS features  
higher trends in  
GPP

- distribution shifts  
to higher trends

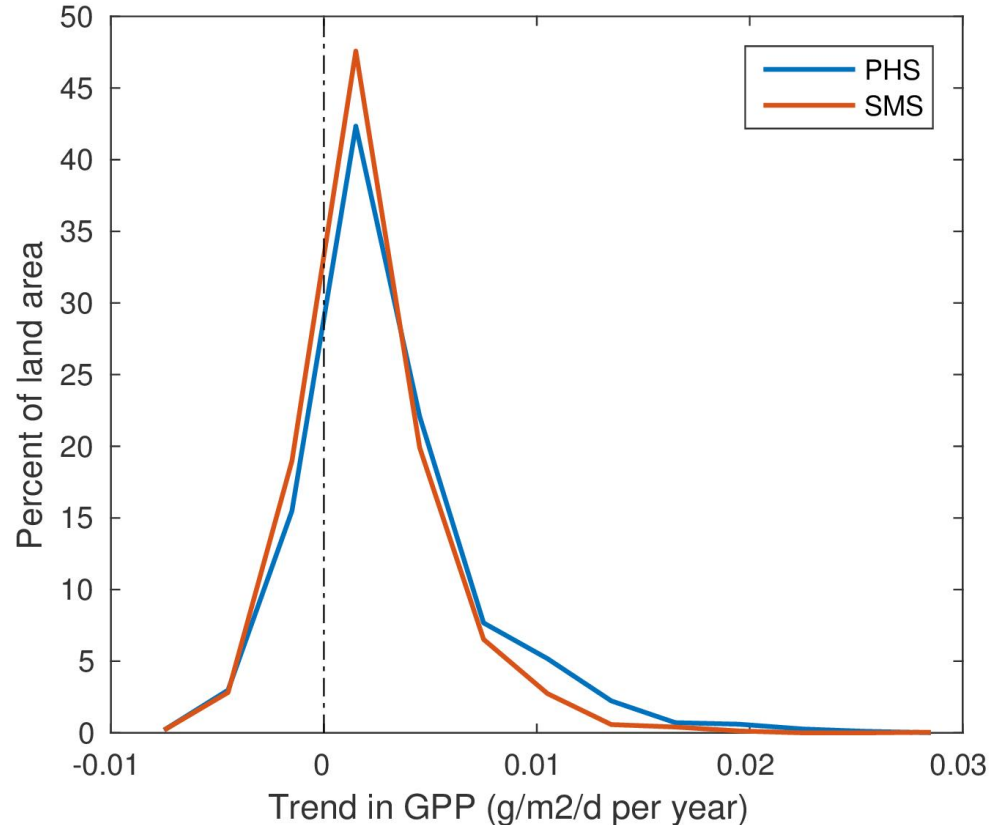
## What are the trends in GPP over 1914-2013?



PHS features  
higher trends in  
GPP

- distribution shifts to higher trends
- integrated over the study domain, GPP trend adds **1.53 Pg/yr** with PHS

## What are the trends in GPP over 1914-2013?

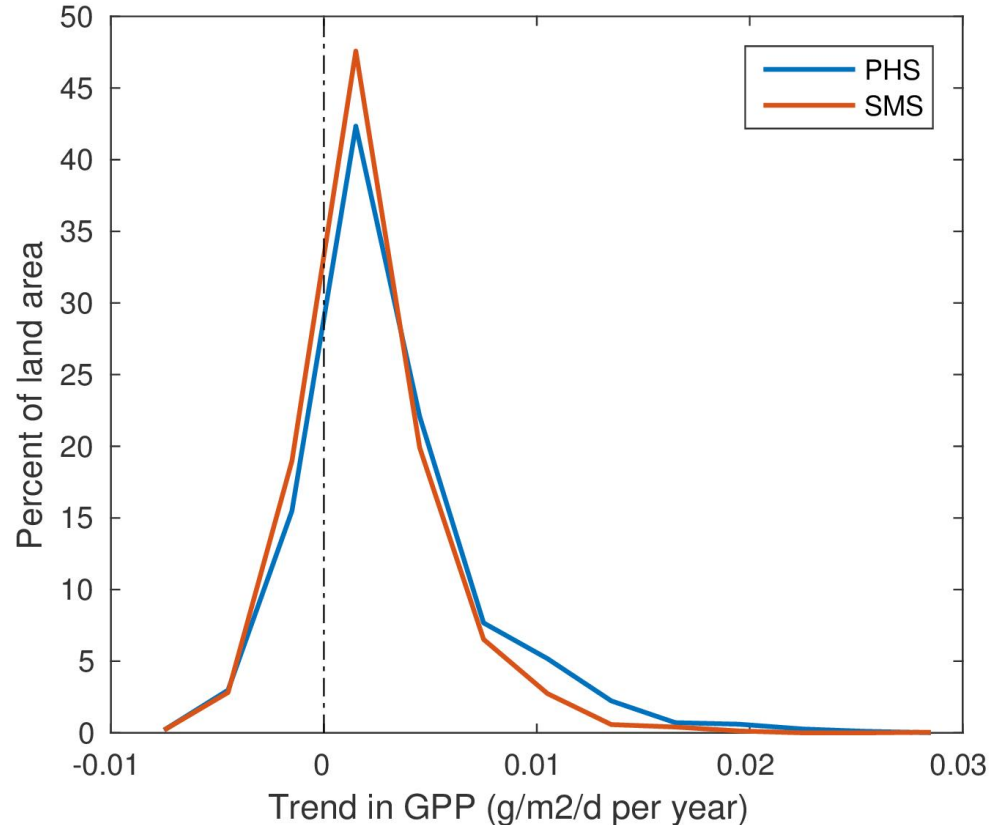




PHS features  
higher trends in  
GPP

- distribution shifts to higher trends
- integrated over the study domain, GPP trend adds **1.53 Pg/yr** with PHS
- compared to 1.06 Pg/yr with SMS

## What are the trends in GPP over 1914-2013?



PHS features higher trends in GPP

- distribution shifts to higher trends
- integrated over the study domain, GPP trend adds **1.53 Pg/yr** with PHS
- compared to 1.06 Pg/yr with SMS
- (1914) GPP is approx. 11 Pg/yr

## What are the trends in GPP over 1914-2013?

