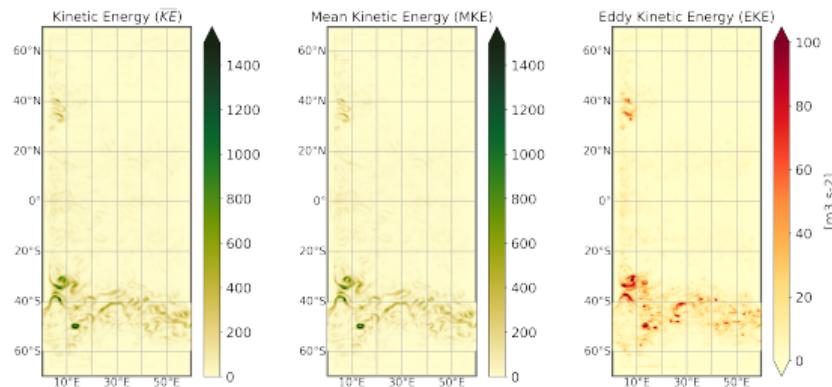


Diagnosing the energy budget of mesoscale eddies in an idealized model

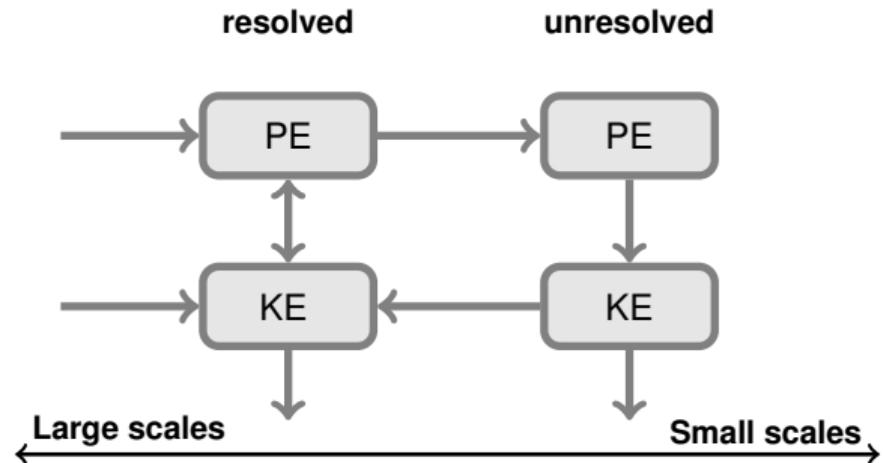
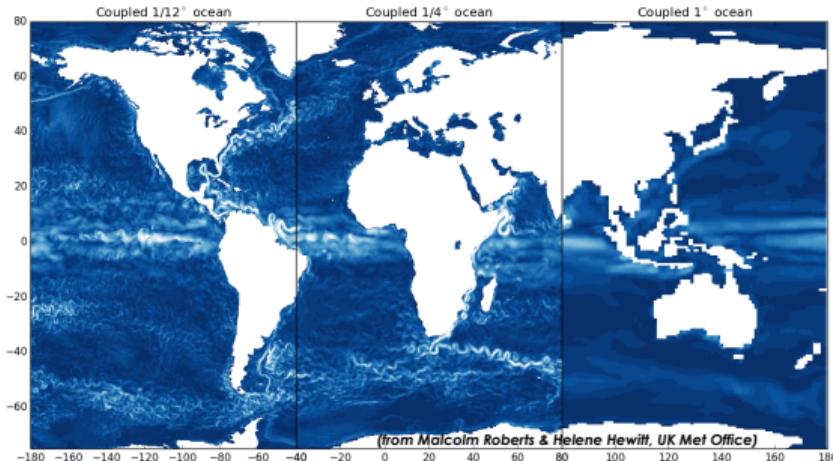
Nora Loose, Ian Grooms, Scott Bachman, Malte Jansen

CESM OMWG & CPT Winter Meeting 2021

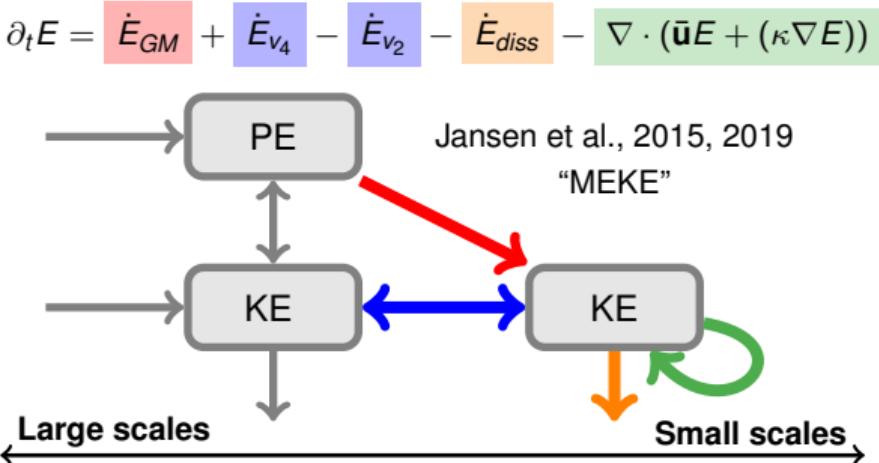
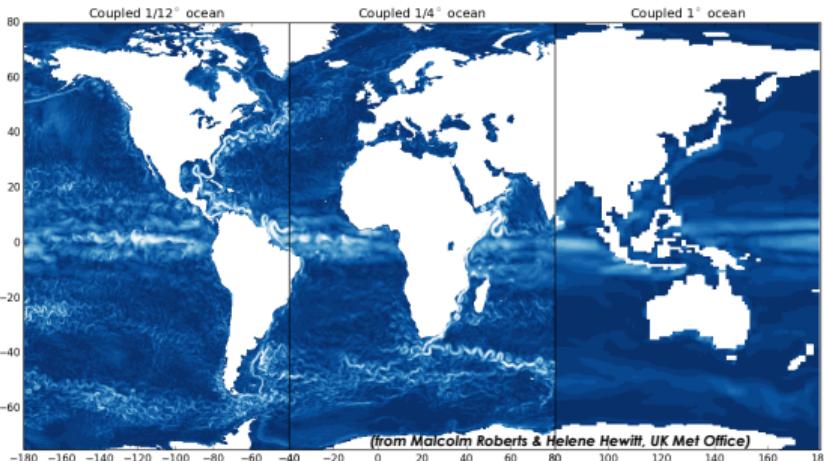
February 4, 2021



Motivation & Goals

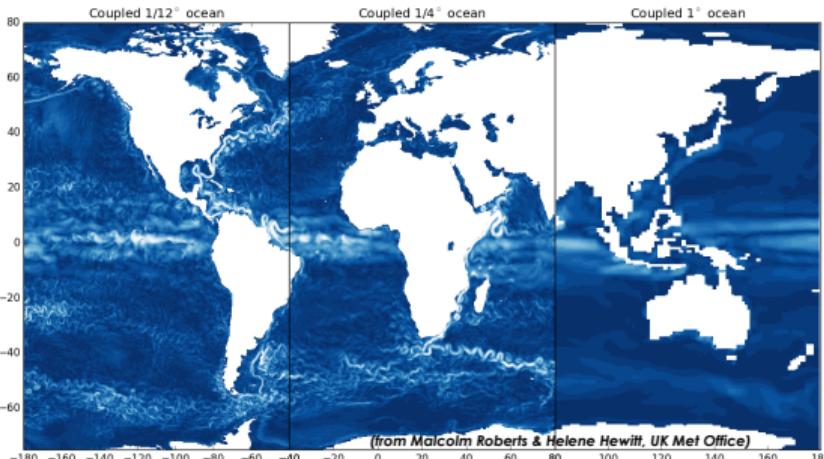


Motivation & Goals

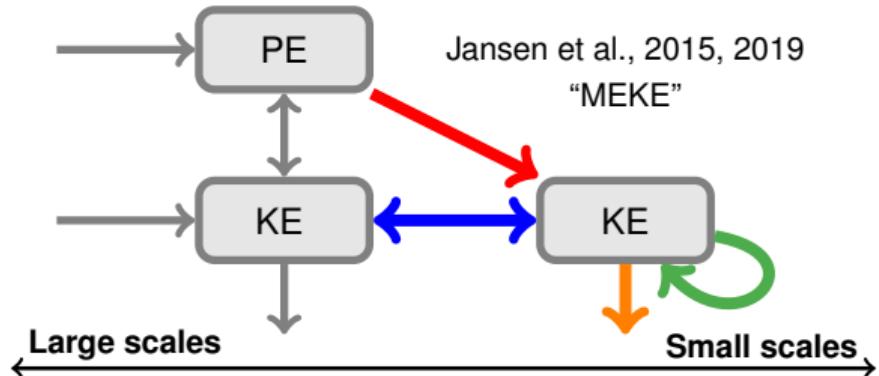


Recent advances in mesoscale eddy parameterizations based on energy budgets
(e.g., Eden & Greatbatch, 2008; Marshall & Adcroft, 2010; Jansen et al., 2015, 2019; Mak et al., 2018)

Motivation & Goals



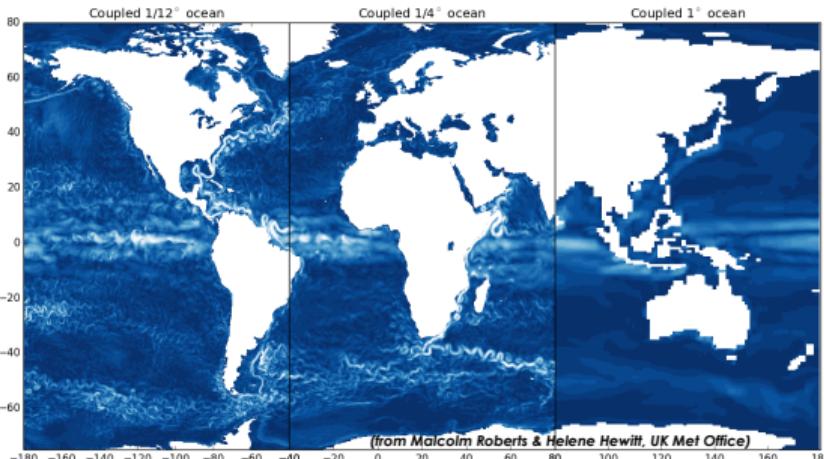
$$\partial_t E = \dot{E}_{GM} + \dot{E}_{v_4} - \dot{E}_{v_2} - \dot{E}_{diss} - \nabla \cdot (\bar{\mathbf{u}} E + (\kappa \nabla E))$$



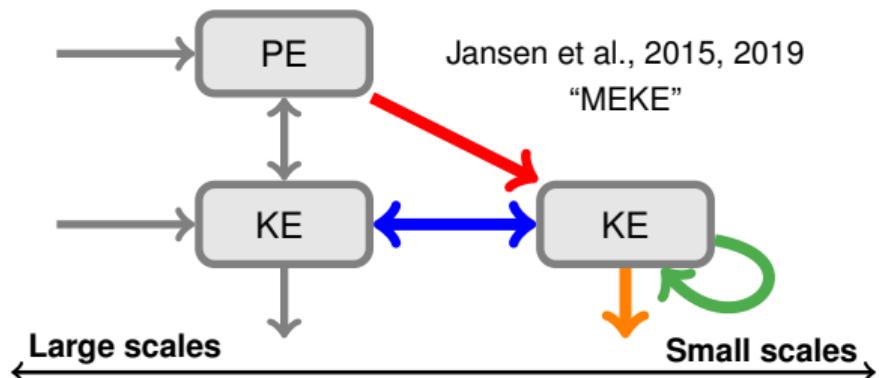
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CPT goal: Evaluate/Improve energy budget-based eddy parameterizations

Motivation & Goals



$$\partial_t E = \dot{E}_{GM} + \dot{E}_{v_4} - \dot{E}_{v_2} - \dot{E}_{diss} - \nabla \cdot (\bar{\mathbf{u}} E + (\kappa \nabla E))$$



Recent advances in mesoscale eddy parameterizations based on energy budgets
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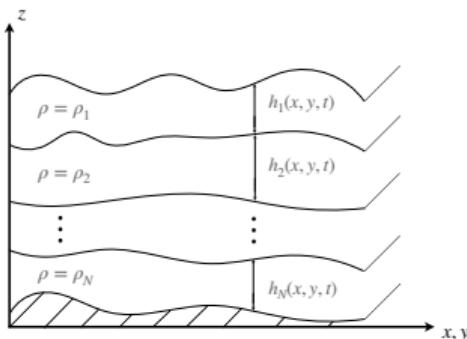
This talk: Diagnose energy budget of mesoscale eddies

- ▶ in **stacked shallow water** model (NeverWorld2)
- ▶ with a **spatial filtering** approach
- ▶ in a thickness-weighted average (**TWA**) framework

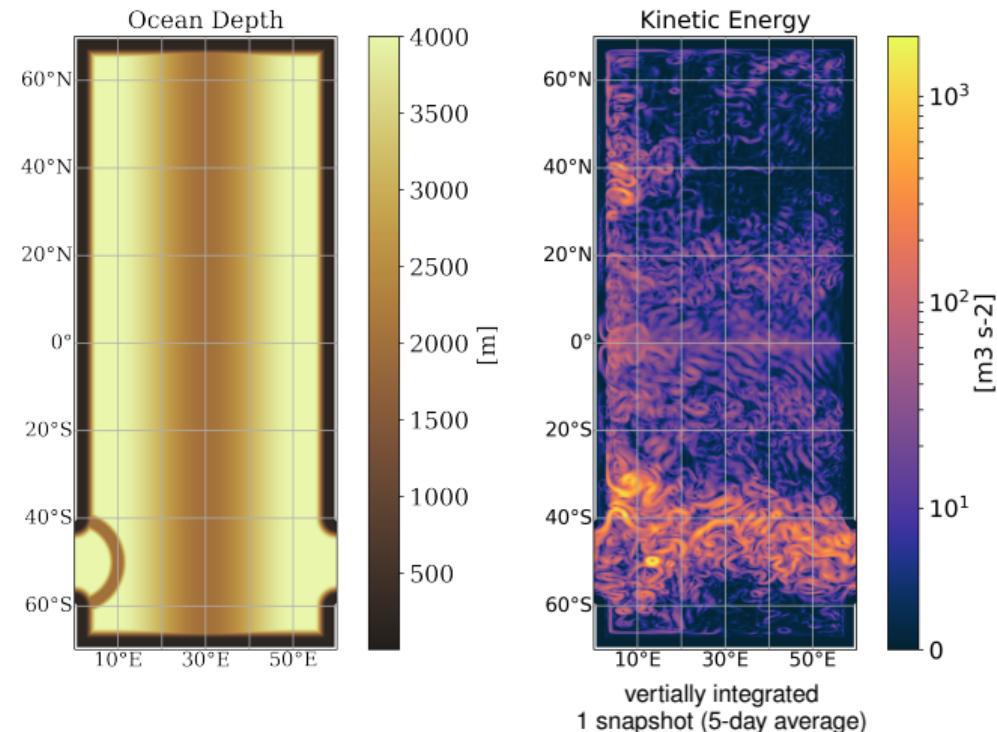
NeverWorld2

- ▶ MOM6 simulation in stacked shallow water mode
- ▶ 15 layers, $(1/16)^\circ$ resolution

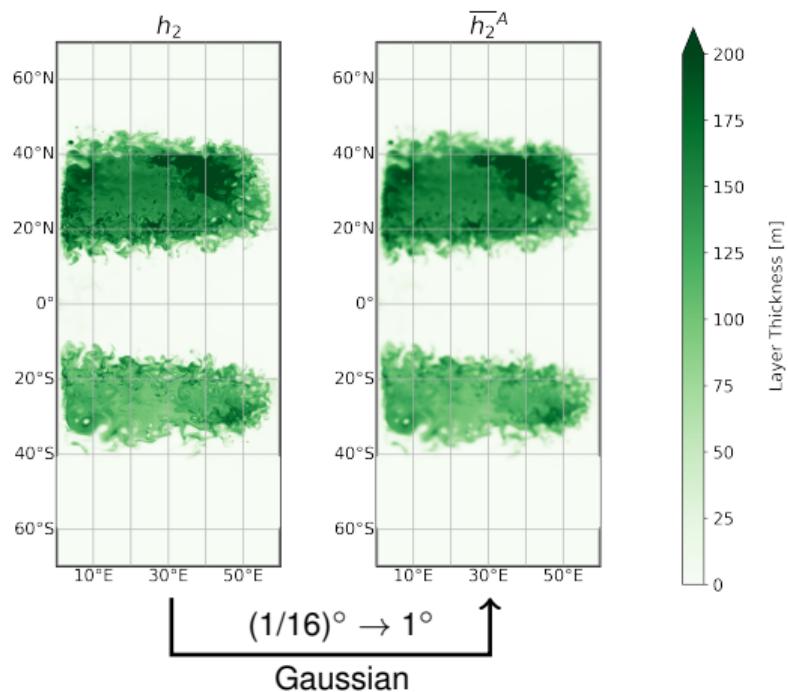
Stacked shallow water model



Schematic: Courtesy of **Neeraja Bhamidipati**

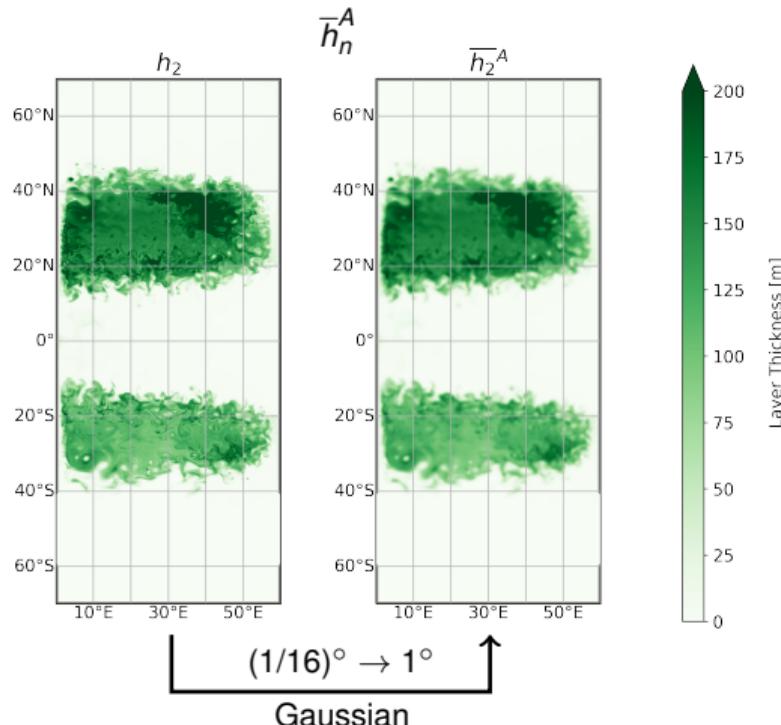


Spatial Filtering



Spatial Filtering

Spatial (“area”) filter:

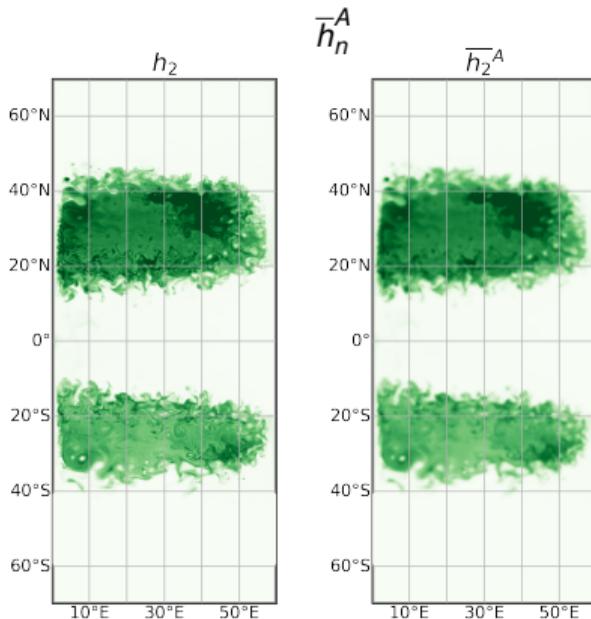


area integral
preserving filter

$$\int h_n dx dy = \int \bar{h}_n^A dx dy$$

Spatial Filtering

Spatial (“area”) filter:



$(1/16)^\circ \rightarrow 1^\circ$

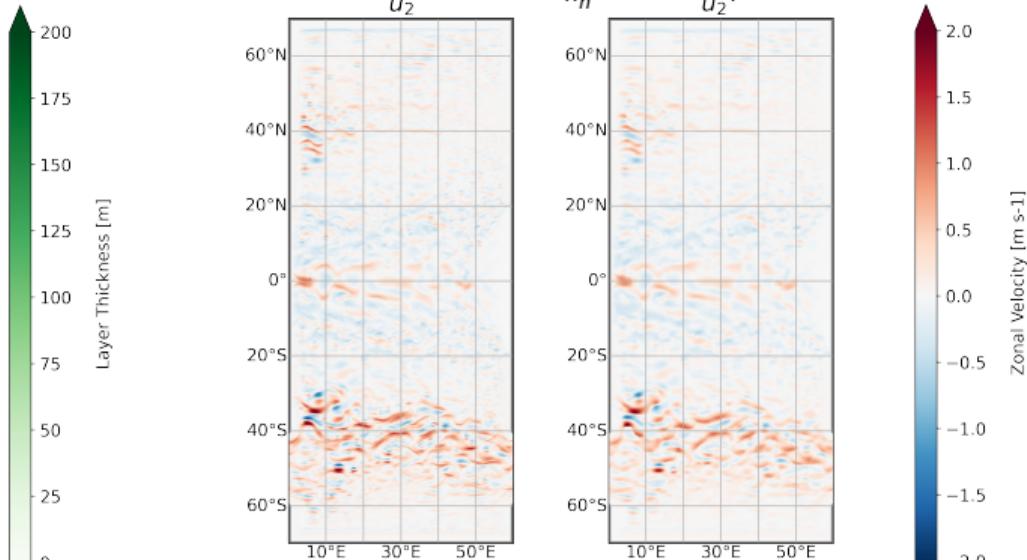
Gaussian

area integral
preserving filter

$$\int h_n \, dx \, dy = \int \bar{h}_n^A \, dx \, dy$$

Spatial & thickness-weighted (“volume”) filter:

$$\bar{u}_n^V = \frac{\bar{h}_n \cdot u_n^A}{\bar{h}_n^A} \quad (\text{TWA, cf. Young, 2012})$$



$(1/16)^\circ \rightarrow 1^\circ$

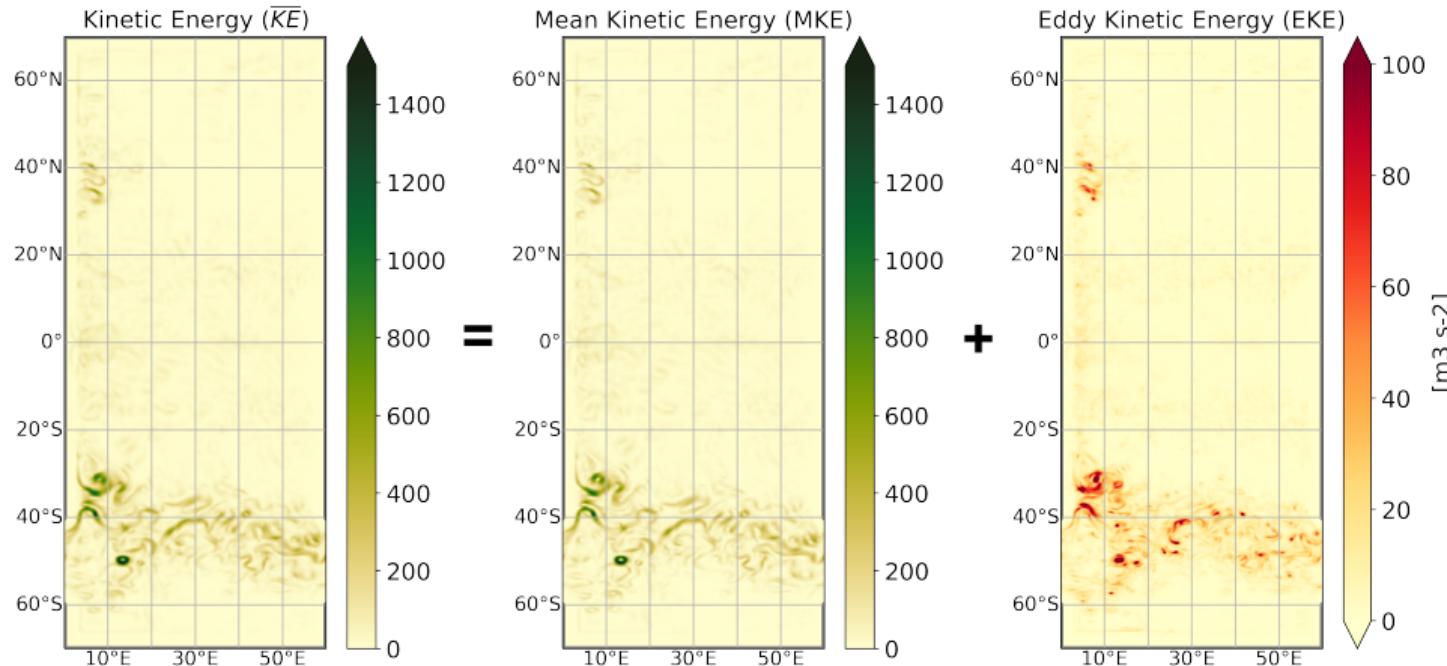
Gaussian

volume integral
preserving filter

$$\int h_n \cdot u_n \, dx \, dy = \int \bar{h}_n^A \cdot \bar{u}_n^V \, dx \, dy$$

Eddy Kinetic Energy (EKE)

$$(\text{EKE})_n = \overline{(\text{KE})}_n - (\text{MKE})_n = \frac{\overline{h_n |\mathbf{u}_n|^2}^A}{2} - \frac{\overline{h_n^A} |\overline{\mathbf{u}_n}^V|^2}{2}$$



$(1/16)^\circ \rightarrow (1/2)^\circ$, vertically integrated, 1 snapshot

Deriving the EKE budget

$$(EKE)_n = \overline{(KE)}_n^A - (MKE)_n$$

Stacked shallow water equations:

$$\partial_t h_n = -\nabla \cdot (h_n \mathbf{u}_n) \quad (\text{Continuity equation})_n$$

$$\partial_t \mathbf{u}_n + \mathbf{u}_n \cdot \nabla \mathbf{u}_n + \mathbf{f} \times \mathbf{u}_n = -\frac{1}{\rho_1} \nabla p_n + \mathbf{F}_n \quad (\text{Velocity equation})_n$$

KE equation: $h_n \mathbf{u}_n \cdot (\text{Velocity equation})_n + \frac{|\mathbf{u}_n|^2}{2} (\text{Continuity equation})_n$

$$\partial_t \frac{h_n |\mathbf{u}_n|^2}{2} + \nabla \cdot \left(\mathbf{u}_n \frac{h_n |\mathbf{u}_n|^2}{2} \right) = -\frac{1}{\rho_1} h_n \mathbf{u}_n \cdot \nabla p_n + h_n \mathbf{u}_n \cdot \mathbf{F}_n$$

Deriving the EKE budget

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$$\partial_t \frac{h_n |\mathbf{u}_n|^2}{2} + \nabla \cdot \left(\mathbf{u}_n \frac{h_n |\mathbf{u}_n|^2}{2} \right) = -\frac{1}{\rho_1} h_n \mathbf{u}_n \cdot \nabla p_n + h_n \mathbf{u}_n \cdot \mathbf{F}_n$$

TWA equations:

$$\partial_t \overline{h}_n^A = -\nabla \cdot (\overline{h}_n^A \overline{\mathbf{u}}_n^V) + 0 \quad (\text{TWA Continuity equation})_n$$

$$\partial_t \overline{\mathbf{u}}_n^V + \overline{\mathbf{u}}_n^V \cdot \nabla \overline{\mathbf{u}}_n^V + \overline{\mathbf{f} \times \mathbf{u}_n}^V = -\frac{1}{\rho_1} \nabla \overline{p}_n^A + \overline{\mathbf{F}}^V - \frac{1}{\rho_1} \overline{\nabla p'_n}^V - \frac{1}{\overline{h}_n^A} \nabla \cdot [\overline{h}_n^A \overline{\mathbf{u}''_n \mathbf{u}''_n}^V] \quad (\text{TWA Velocity equation})_n$$

Deriving the EKE budget

$$(\text{EKE})_n = \overline{(\text{KE})}_n^A - (\text{MKE})_n$$

Stacked shallow water equations:

$$\partial_t h_n = -\nabla \cdot (h_n \mathbf{u}_n) \quad (\text{Continuity equation})_n$$

$$\partial_t \mathbf{u}_n + \mathbf{u}_n \cdot \nabla \mathbf{u}_n + \mathbf{f} \times \mathbf{u}_n = -\frac{1}{\rho_1} \nabla p_n + \mathbf{F}_n \quad (\text{Velocity equation})_n$$

KE equation: $h_n \mathbf{u}_n \cdot (\text{Velocity equation})_n + \frac{|\mathbf{u}_n|^2}{2} (\text{Continuity equation})_n$

$$\partial_t \frac{h_n |\mathbf{u}_n|^2}{2} + \nabla \cdot \left(\mathbf{u}_n \frac{h_n |\mathbf{u}_n|^2}{2} \right) = -\frac{1}{\rho_1} h_n \mathbf{u}_n \cdot \nabla p_n + h_n \mathbf{u}_n \cdot \mathbf{F}_n$$

TWA equations:

$$\partial_t \overline{h}_n^A = -\nabla \cdot (\overline{h}_n^A \overline{\mathbf{u}}_n^V) + 0 \quad (\text{TWA Continuity equation})_n$$

$$\partial_t \overline{\mathbf{u}}_n^V + \overline{\mathbf{u}}_n^V \cdot \nabla \overline{\mathbf{u}}_n^V + \overline{\mathbf{f} \times \mathbf{u}_n}^V = -\frac{1}{\rho_1} \nabla \overline{p}_n^A + \overline{\mathbf{F}}^V - \frac{1}{\rho_1} \overline{\nabla p'_n}^V - \frac{1}{\overline{h}_n^A} \nabla \cdot [\overline{h}_n^A \overline{\mathbf{u}}_n'' \overline{\mathbf{u}}_n''^V] \quad (\text{TWA Velocity equation})_n$$

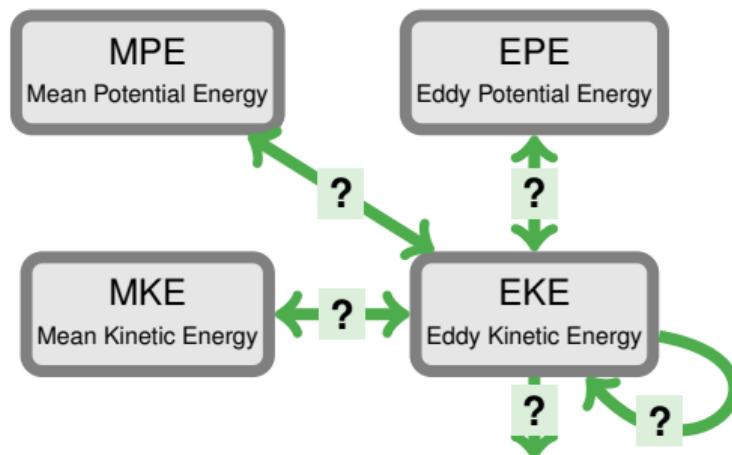
MKE equation: $\overline{h_n \mathbf{u}_n}^A \cdot (\text{TWA Velocity equation})_n + \frac{|\overline{\mathbf{u}}_n^V|^2}{2} (\text{TWA Continuity equation})_n$

$$\partial_t \frac{\overline{h}_n^A |\overline{\mathbf{u}}_n^V|^2}{2} + \nabla \cdot \left(\overline{\mathbf{u}}_n^V \frac{\overline{h}_n^A |\overline{\mathbf{u}}_n^V|^2}{2} \right) + \overline{\mathbf{u}}_n^V \cdot \overline{\mathbf{f} \times h_n \mathbf{u}_n}^A = -\frac{1}{\rho_1} \overline{h_n \mathbf{u}_n}^A \cdot \nabla \overline{p}_n^A + \overline{h_n \mathbf{u}_n}^A \cdot \overline{\mathbf{F}_n}^V - \frac{1}{\rho_1} \overline{h_n \mathbf{u}_n}^A \cdot \overline{\nabla p'_n}^V - \overline{\mathbf{u}}_n^V \cdot \nabla \cdot (\overline{h}_n^A \overline{\mathbf{u}}_n'' \overline{\mathbf{u}}_n''^V)$$

EKE budget

$$(\text{EKE})_n = \left[\frac{\overline{h_n} |\mathbf{u}_n|^2 A}{2} - \frac{\overline{h_n}^A |\overline{\mathbf{u}_n}^V|^2}{2} \right]$$

$$\begin{aligned} & \partial_t (\text{EKE})_n + \nabla \cdot \left(\overline{\mathbf{u}_n} \frac{\overline{h_n} |\mathbf{u}_n|^2} {2} A - \overline{\mathbf{u}_n}^V \frac{\overline{h_n}^A |\overline{\mathbf{u}_n}^V|^2} {2} \right) \\ &= -\underbrace{\frac{1}{\rho_1} \left[\overline{h_n} \mathbf{u}_n \cdot \nabla \overline{p_n}^A - \overline{h_n} \mathbf{u}_n^A \cdot \nabla \overline{p_n} \right] + \frac{1}{\rho_1} \overline{h_n} \mathbf{u}_n^A \cdot \nabla \overline{p_n}^V}_{-\frac{1}{\rho_1} \left[\overline{h_n} \mathbf{u}_n \cdot \nabla \overline{p_n}^A - \overline{\mathbf{u}_n}^V \cdot \overline{h_n} \nabla \overline{p_n}^A \right]} + \overline{\mathbf{u}_n}^V \cdot \mathbf{f} \times \overline{h_n} \mathbf{u}_n^A + \overline{\mathbf{u}_n}^V \cdot \nabla \cdot (\overline{h_n}^A \overline{\mathbf{u}_n''} \overline{\mathbf{u}_n''}^V) + \overline{h_n} \mathbf{u}_n \cdot \mathbf{F}_n^A - \overline{h_n} \mathbf{u}_n^A \cdot \mathbf{F}_n^V \end{aligned}$$

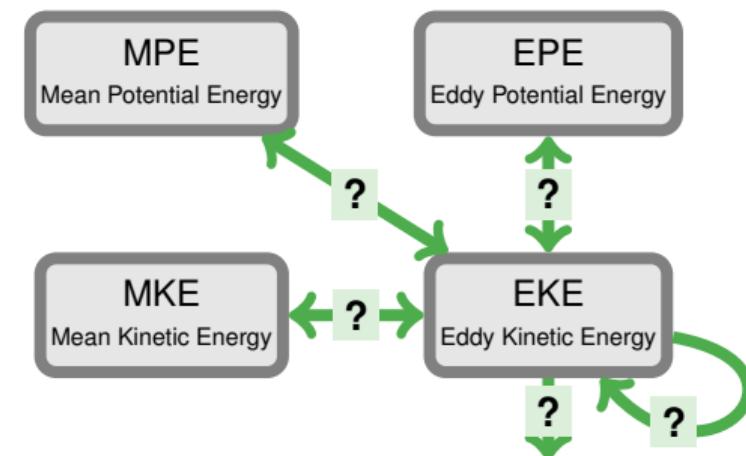


Goal: Characterize the EKE budget terms

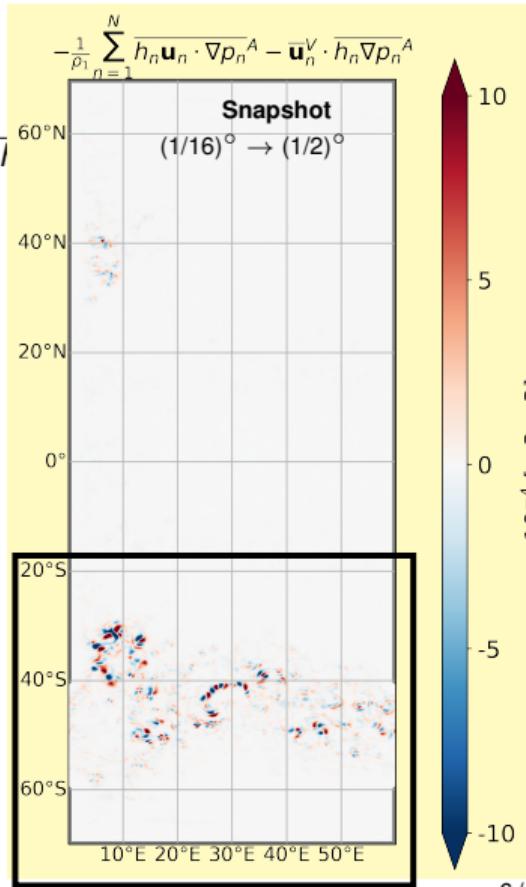
EKE budget

$$(EKE)_n = \left[\frac{h_n |\mathbf{u}_n|^2 A}{2} - \frac{\bar{h}_n^A |\bar{\mathbf{u}}_n^V|^2}{2} \right]$$

$$\begin{aligned} & \partial_t(EKE)_n + \nabla \cdot \left(\bar{\mathbf{u}}_n \frac{h_n |\mathbf{u}_n|^2 A}{2} - \bar{\mathbf{u}}_n^V \frac{\bar{h}_n^A |\bar{\mathbf{u}}_n^V|^2}{2} \right) \\ &= -\frac{1}{\rho_1} \underbrace{\left[h_n \mathbf{u}_n \cdot \nabla p_n^A - \bar{h}_n \mathbf{u}_n^A \cdot \nabla \bar{p}_n^A \right]}_{-\frac{1}{\rho_1} \left[h_n \mathbf{u}_n \cdot \nabla p_n^A - \bar{\mathbf{u}}_n^V \cdot \bar{h}_n \nabla p_n^A \right]} + \frac{1}{\rho_1} \bar{h}_n \mathbf{u}_n^A \cdot \nabla \bar{p}_n^V + \bar{\mathbf{u}}_n^V \cdot \mathbf{f} \times h_n \mathbf{u}_n^A + \bar{\mathbf{u}}_n^V \cdot \nabla \cdot (\bar{h}_n \mathbf{u}_n^A) \end{aligned}$$

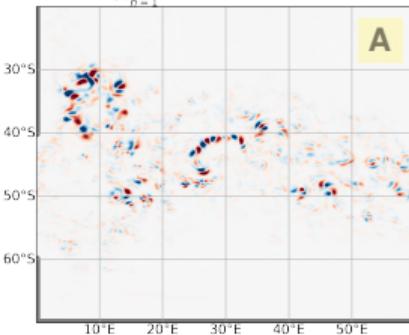


Goal: Characterize the EKE budget terms

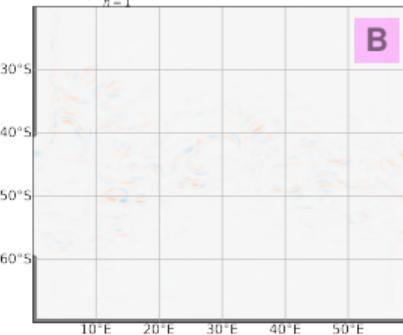


Characterizing the EKE budget terms: An example

$$-\frac{1}{\rho_1} \sum_{n=1}^N h_n \mathbf{u}_n \cdot \nabla p_n^A - \bar{\mathbf{u}}_e^V \cdot \bar{h}_e \nabla \bar{p}_e^A$$

**A**

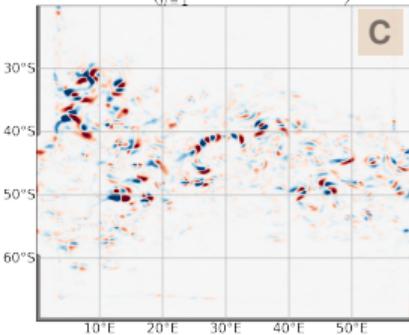
$$\frac{1}{\rho_1} \sum_{n=1}^N \nabla \cdot (h_n \mathbf{u}_n) \bar{p}_n^A - \nabla \cdot (\bar{h}_n \mathbf{u}_n)^A \bar{p}_n^A$$



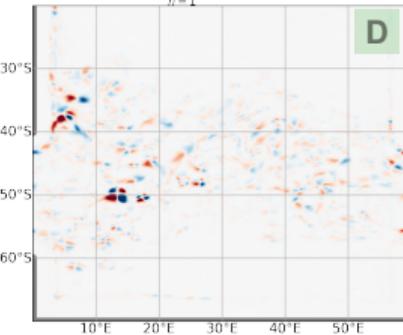
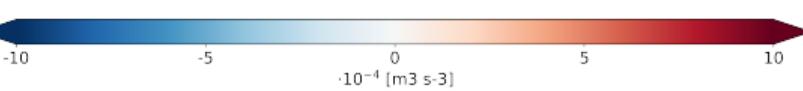
Snapshots

Decomposition: **A** = **B** + **C** + **D**

$$-\frac{1}{\rho_1} \nabla \cdot \left(\sum_{n=1}^N h_n \mathbf{u}_n \bar{p}_n^A - \bar{h}_n \mathbf{u}_n^A \bar{p}_n^A \right)$$

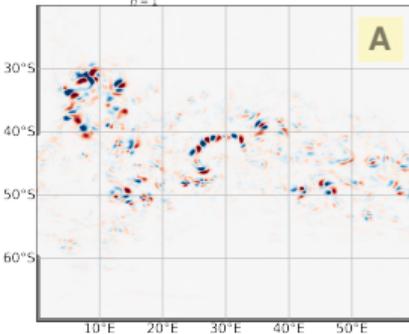
**C**

$$\frac{1}{\rho_1} \sum_{n=1}^N h_n \mathbf{u}_n^A \cdot \nabla \bar{p}_n^V$$

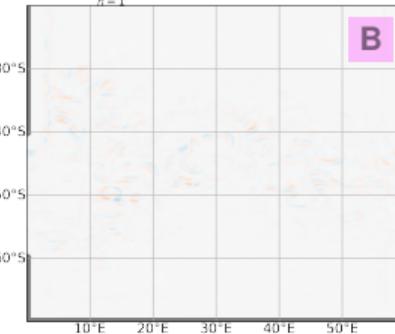
**D**

Characterizing the EKE budget terms: An example

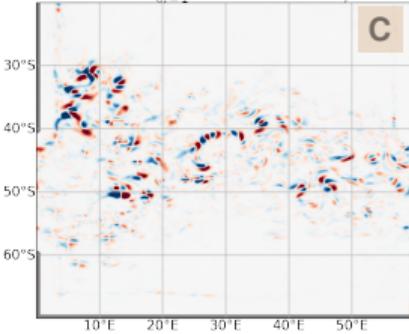
$$-\frac{1}{\rho_1} \sum_{n=1}^N h_n \mathbf{u}_n \cdot \nabla p_n^A - \bar{\mathbf{u}}_e^V \cdot \bar{\nabla} p_n^A$$



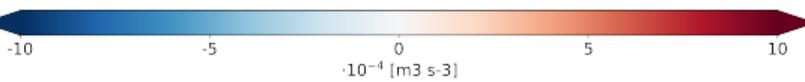
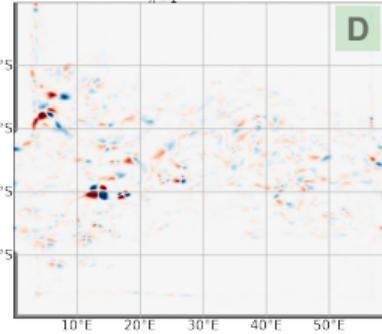
$$\frac{1}{\rho_1} \sum_{n=1}^N \nabla \cdot (h_n \mathbf{u}_n) \bar{p}_n^A - \nabla \cdot (\bar{h}_n \mathbf{u}_n)^A \bar{p}_n^A$$



$$-\frac{1}{\rho_1} \nabla \cdot \left(\sum_{n=1}^N h_n \mathbf{u}_n \bar{p}_n^A - \bar{h}_n \mathbf{u}_n^A \bar{p}_n^A \right)$$



$$\frac{1}{\rho_1} \sum_{n=1}^N h_n \mathbf{u}_n^A \cdot \nabla p_n^V$$



Snapshots

Decomposition: **A** = **B** + **C** + **D**

MPE
Mean Potential Energy

EPE
Eddy Potential Energy



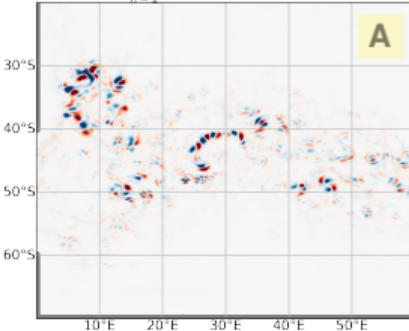
MKE
Mean Kinetic Energy

EKE
Eddy Kinetic Energy

B: EPE tendency (small in amplitude)

Characterizing the EKE budget terms: An example

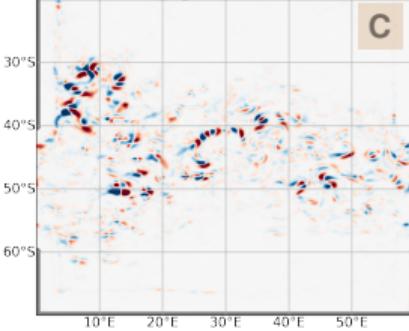
$$-\frac{1}{\rho_1} \sum_{n=1}^N h_n \mathbf{u}_n \cdot \nabla p_n^A - \bar{\mathbf{u}}_e^V \cdot \nabla \bar{p}_n^A$$



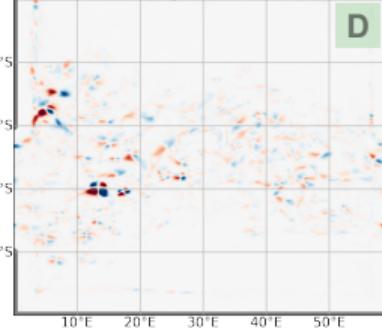
$$\frac{1}{\rho_1} \sum_{n=1}^N \nabla \cdot (h_n \mathbf{u}_n) \bar{p}_n^A - \nabla \cdot (\bar{h}_n \mathbf{u}_n)^A \bar{p}_n^A$$



$$-\frac{1}{\rho_1} \nabla \cdot \left(\sum_{n=1}^N h_n \mathbf{u}_n \bar{p}_n^A - \bar{h}_n \mathbf{u}_n^A \bar{p}_n^A \right)$$



$$\frac{1}{\rho_1} \sum_{n=1}^N h_n \mathbf{u}_n^A \cdot \nabla p_n^V$$



Snapshots

Decomposition: **A** = **B** + **C** + **D**

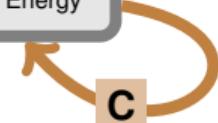
MPE
Mean Potential Energy

EPE
Eddy Potential Energy



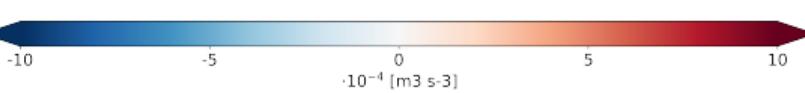
MKE
Mean Kinetic Energy

EKE
Eddy Kinetic Energy



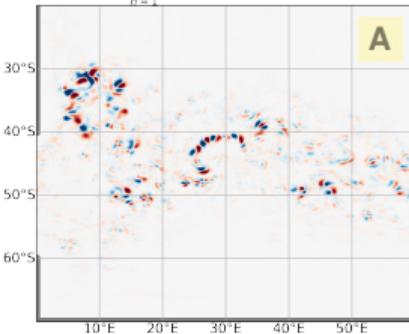
B: EPE tendency (small in amplitude)

C: EKE transport



Characterizing the EKE budget terms: An example

$$-\frac{1}{\rho_1} \sum_{n=1}^N h_n \mathbf{u}_n \cdot \nabla p_n^A - \bar{\mathbf{u}}_e^V \cdot \bar{\nabla} p_n^A$$



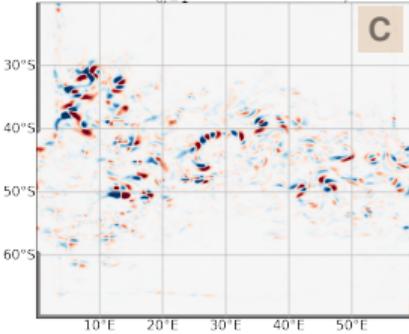
A

$$\frac{1}{\rho_1} \sum_{n=1}^N \nabla \cdot (h_n \mathbf{u}_n) p_n^A - \nabla \cdot (\bar{h}_n \bar{\mathbf{u}}_n)^A \bar{p}_n^A$$



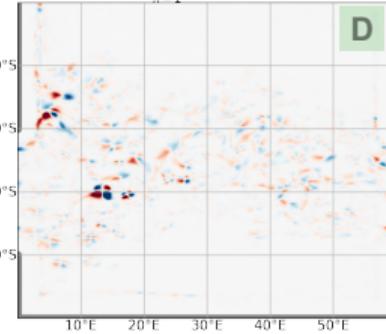
B

$$-\frac{1}{\rho_1} \nabla \cdot \left(\sum_{n=1}^N h_n \mathbf{u}_n p_n^A - \bar{h}_n \bar{\mathbf{u}}_n^A \bar{p}_n^A \right)$$



C

$$\frac{1}{\rho_1} \sum_{n=1}^N h_n \mathbf{u}_n^A \cdot \nabla p_n^V$$



D

Snapshots

$$\text{Decomposition: } A = B + C + D$$

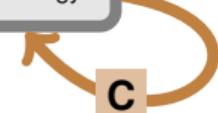
MPE
Mean Potential Energy

EPE
Eddy Potential Energy

B

MKE
Mean Kinetic Energy

EKE
Eddy Kinetic Energy



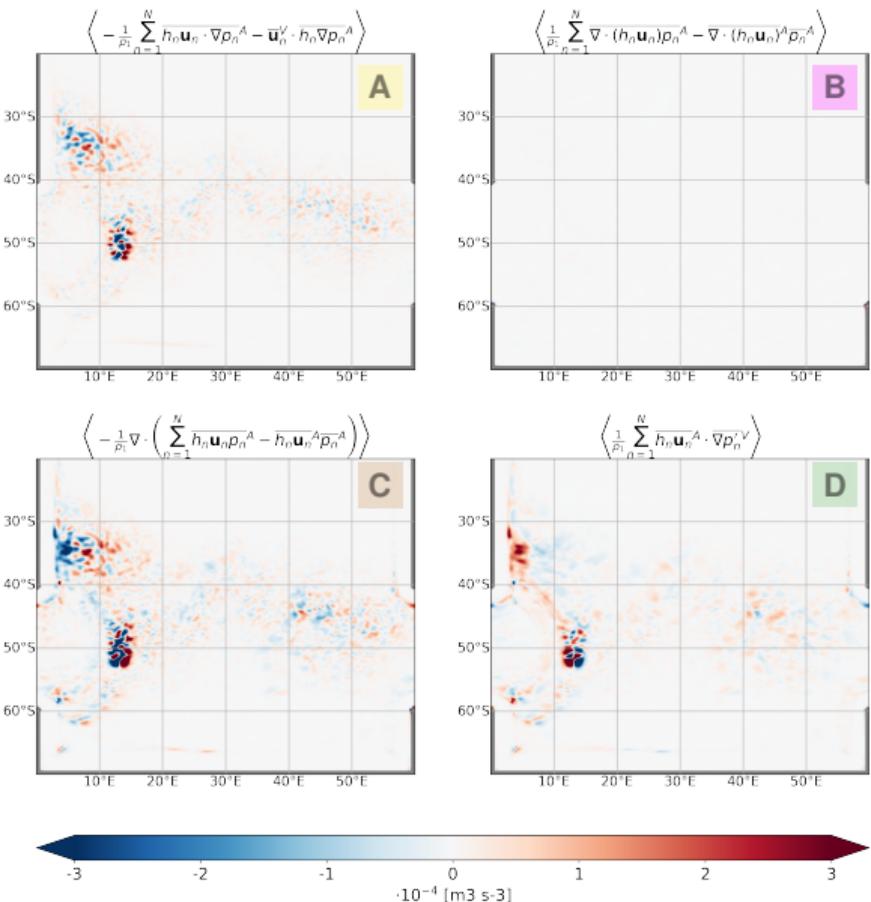
C

B : EPE tendency (small in amplitude)

C : EKE transport

D : MKE-EKE conversion associated with eddy form stress

Characterizing the EKE budget terms: An example



Time mean

Decomposition: **A** = **B** + **C** + **D**

MPE
Mean Potential Energy

EPE
Eddy Potential Energy

MKE
Mean Kinetic Energy

EKE
Eddy Kinetic Energy

B: EPE tendency (small in amplitude)

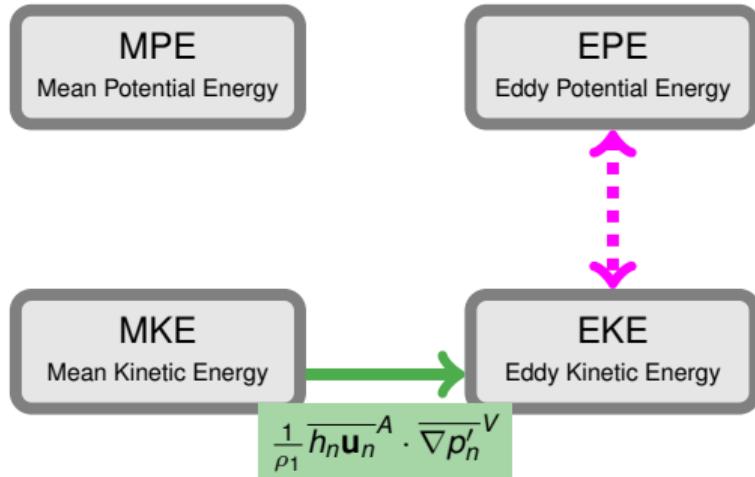
C: EKE transport

D: MKE-EKE conversion associated with eddy form stress

Conversions between Energy Reservoirs

TWA

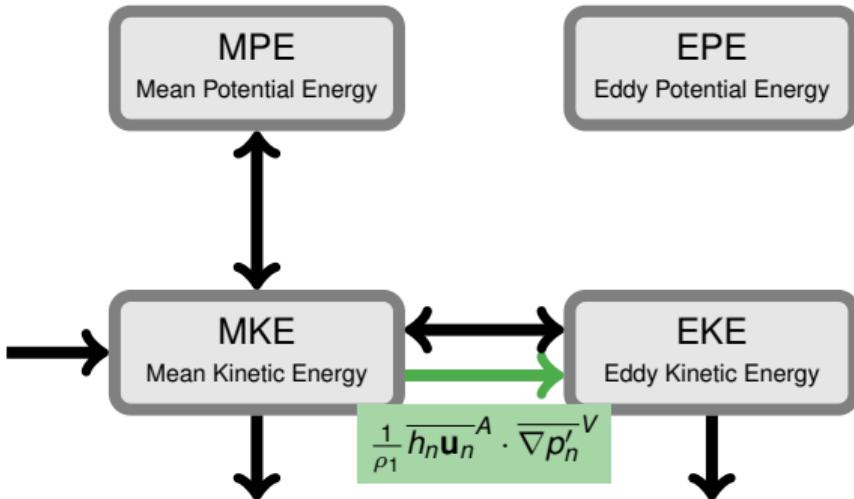
stacked shallow water equations



Conversions between Energy Reservoirs

TWA

stacked shallow water equations

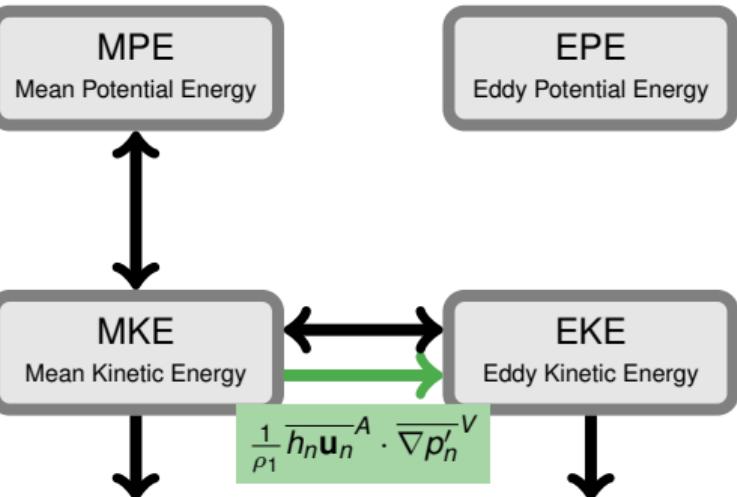


- Eddy form stress: in **velocity** equation
- Associated energy transfer: in **KE** budget

Conversions between Energy Reservoirs

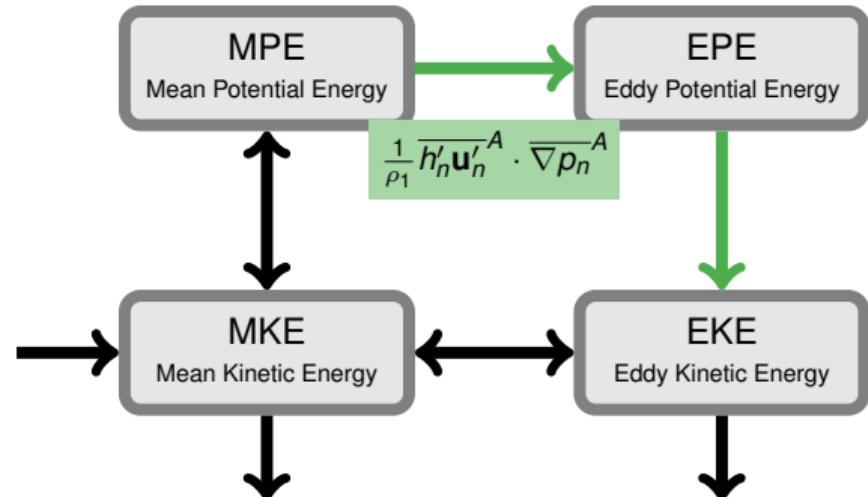
TWA

stacked shallow water equations



non-TWA

stacked shallow water equations



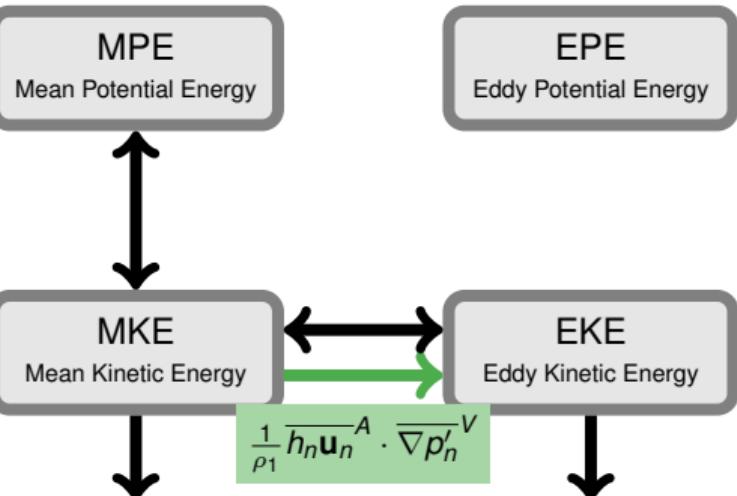
- Eddy form stress: in **velocity** equation
- Associated energy transfer: in **KE** budget

- Eddy form stress: in **continuity** equation
- Associated energy transfer: in **PE** budget

Conversions between Energy Reservoirs

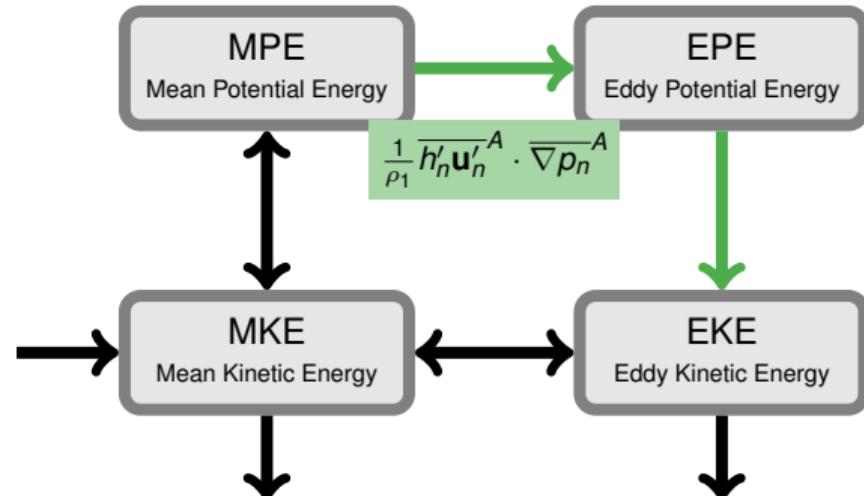
TWA

stacked shallow water equations



non-TWA

stacked shallow water equations



- Eddy form stress: in **velocity** equation
- Associated energy transfer: in **KE** budget

- Eddy form stress: in **continuity** equation
- Associated energy transfer: in **PE** budget
- Framework currently used for energy-budget based eddy parameterizations

Summary & Future Work

Work in progress:

- ▶ Diagnosing & characterizing the EKE budget terms in stacked shallow water model
- ▶ Comparing TWA and non-TWA EKE budgets

Next steps:

1. Evaluate energy-budget based parameterizations.
2. What carries over to general coordinate ocean models?

