



Progress on U.W. PBL and Macrophysics reformulations
Interactions among cloud, radiation, and PBL turbulence

CCSM Meeting, NCAR

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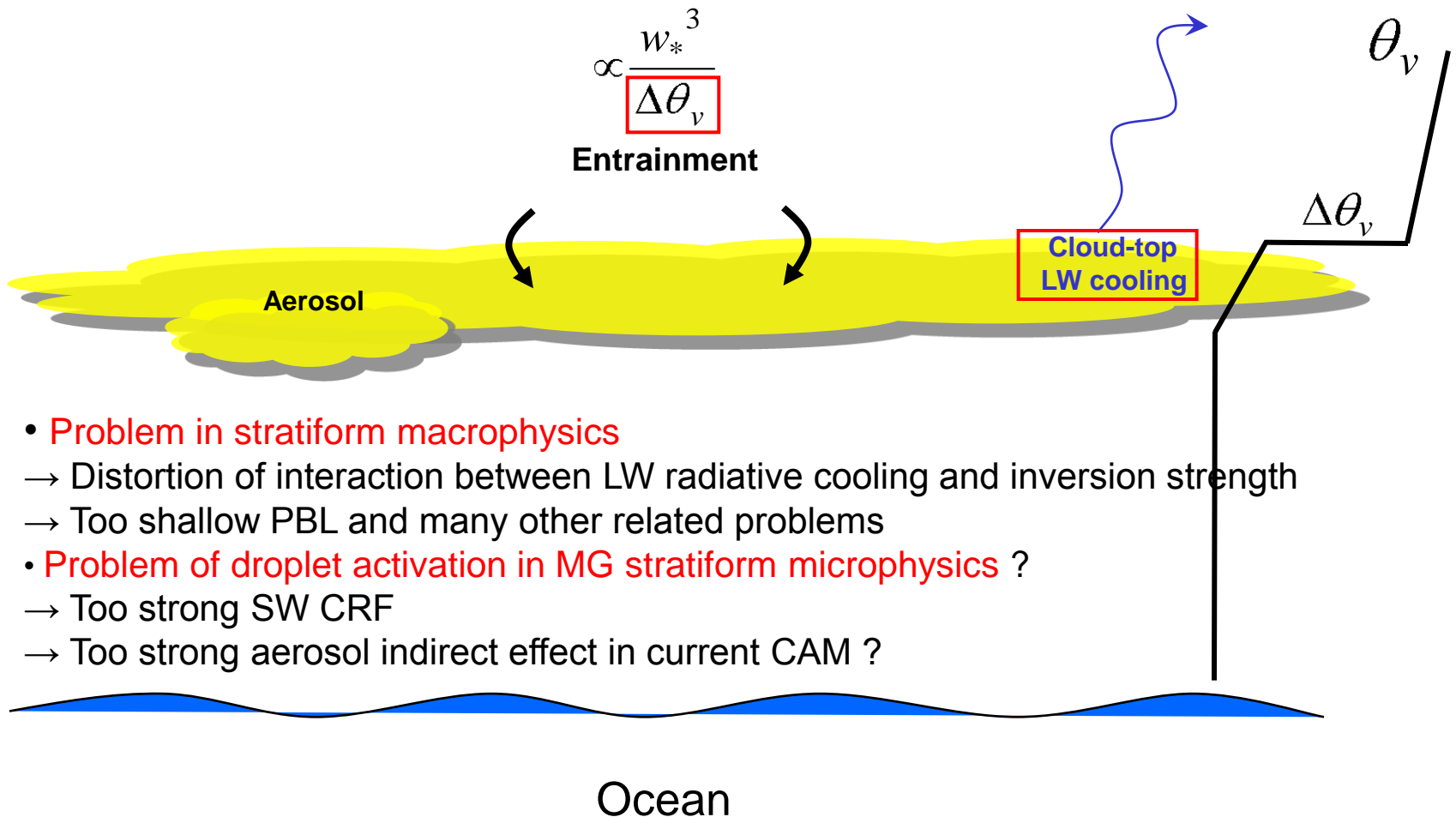
Thanks to Cecile Hanny, Rich Neale

Outline

- I. Inconsistency between cloud fraction and in-cloud LWC
- II. Offline computation of stratiform macrophysics
- III. Introduction of a new macrophysics scheme
- IV. UW PBL + UW ShCu + RK Microphysics + PBR Macrophysics
- V. UW PBL + UW ShCu + MG Microphysics + PBR Macrophysics

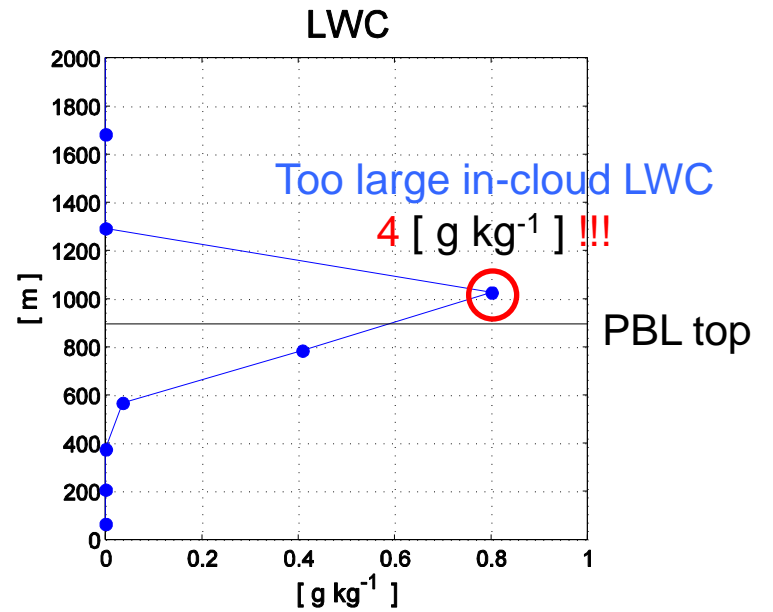
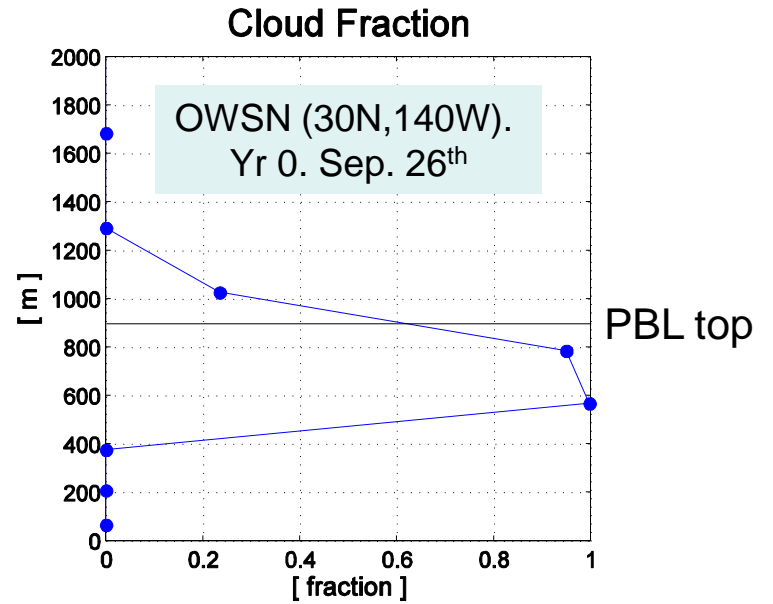
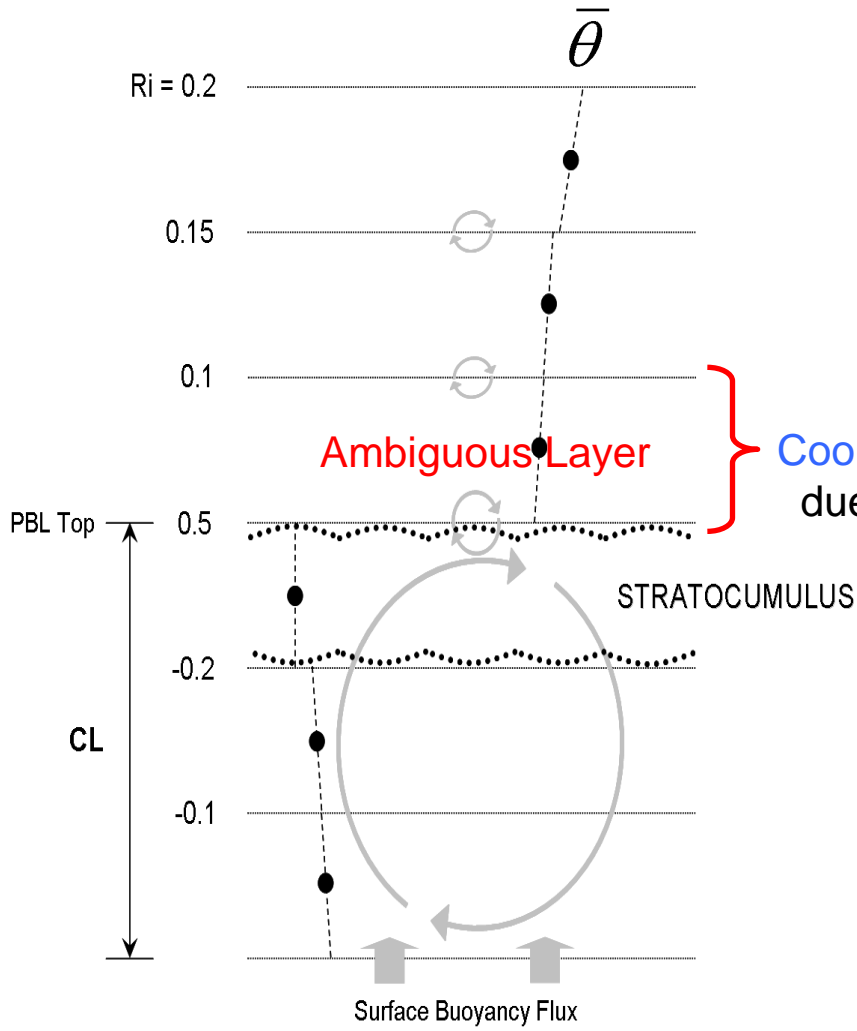
Inconsistency between stratus fraction and in-stratus LWC

Interplay among various processes in stratocumulus

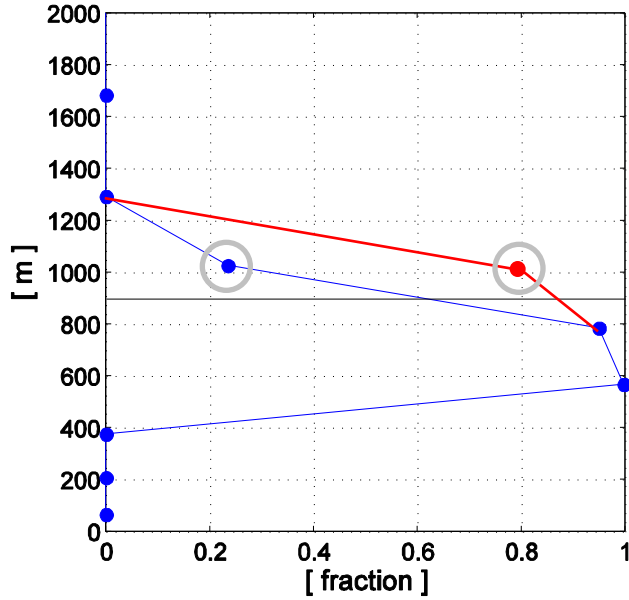


- **Problem in stratiform macrophysics**
 - Distortion of interaction between LW radiative cooling and inversion strength
 - Too shallow PBL and many other related problems
- **Problem of droplet activation in MG stratiform microphysics ?**
 - Too strong SW CRF
 - Too strong aerosol indirect effect in current CAM ?

CAMUW = CAM35 + UW PBL + UW ShCu



Cloud Fraction

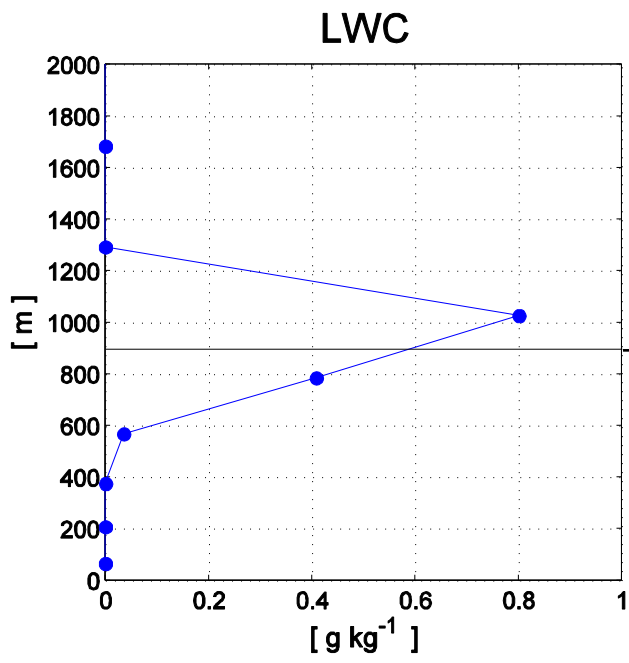


Isothermal, cloud in the PBL top layer is a black body, transparent clear air

$$\left(\frac{dT}{dt} \right)_{LW} = - \left[\frac{g}{C_p \cdot \Delta p} \right] \cdot a \cdot \varepsilon \cdot \sigma T^4$$

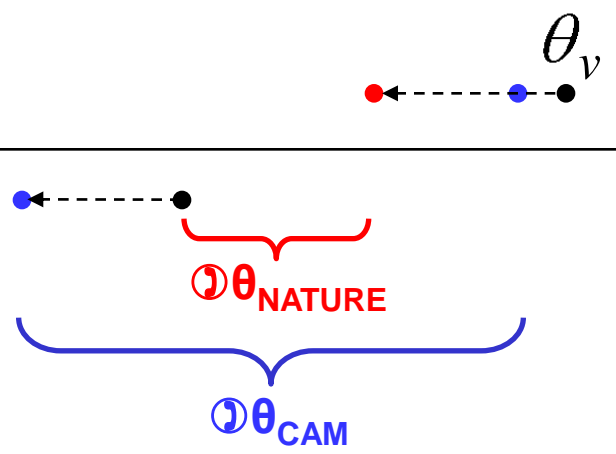
$$\varepsilon = 1 - \exp\left(-1.66 \cdot k \cdot \hat{q}_l \cdot \frac{\Delta p}{\rho \cdot g}\right) \quad k = 90.36$$

[K hr ⁻¹]	CAM	Nature
Ambiguous L.	-1.2	-4.9
PBL Top L.	~ -4.0	~ 0



Ambiguous Layer

PBL Top Layer



As a result, current CAM suffers from

- Strong inversion at the PBL top
- Too weak entrainment
- Too shallow, cold, moist PBL
 - Too much (less) subtropical stratocumulus in downstream (upstream)
 - Suppression of nocturnal deepening of PBL
 - Too strong ENSO amplitude due to too weak SST damping by weak upward LHF

Summary

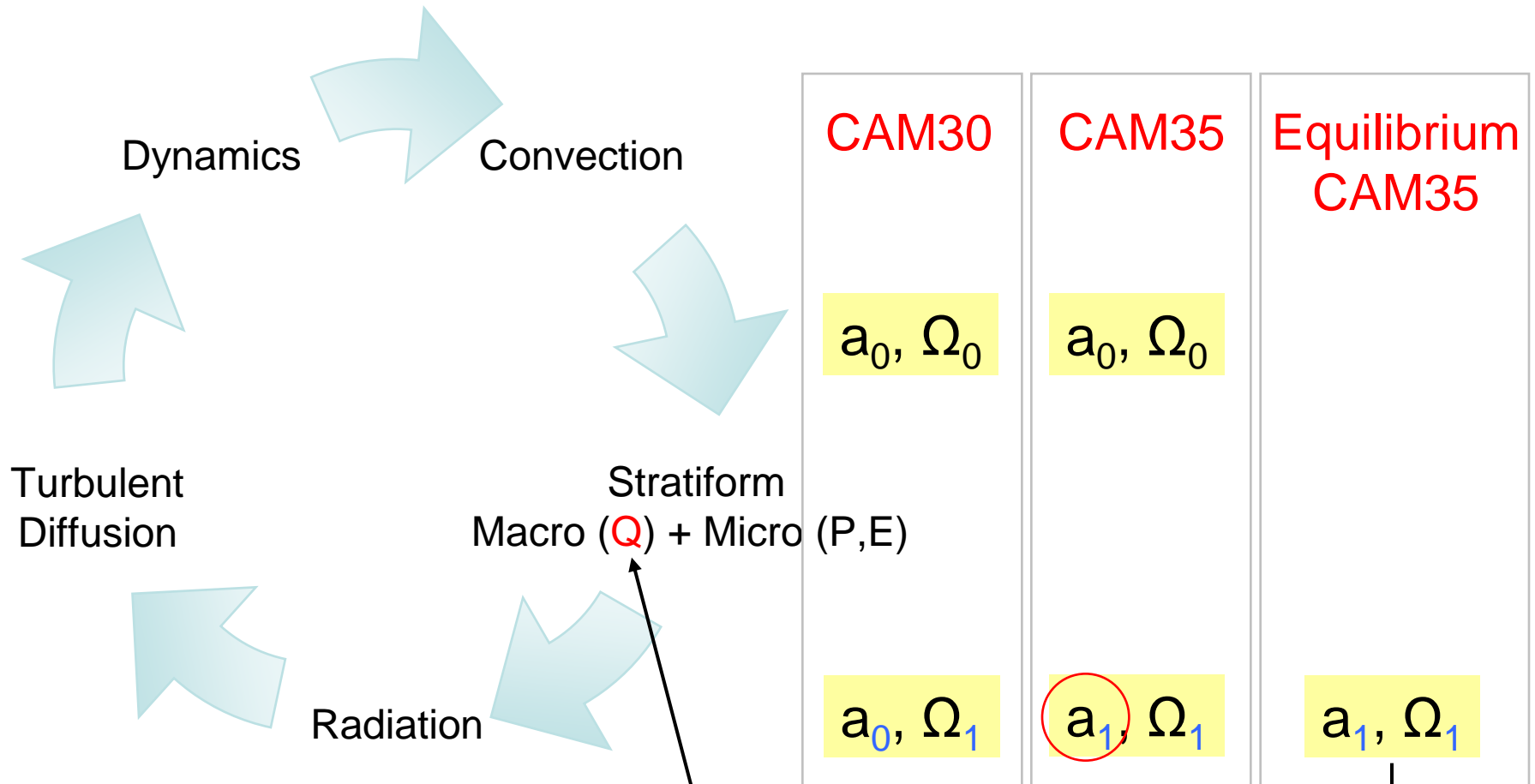
Inconsistency between cloud fraction and in-cloud LWC can exert large influences on global climate system through complex feedbacks among cloud, radiation, and moist turbulence

→ What caused inconsistency between cloud fraction and in-cloud LWC ?

What caused inconsistency between cloud fraction and in-cloud LWC ? → Stratiform Macrophysics Scheme

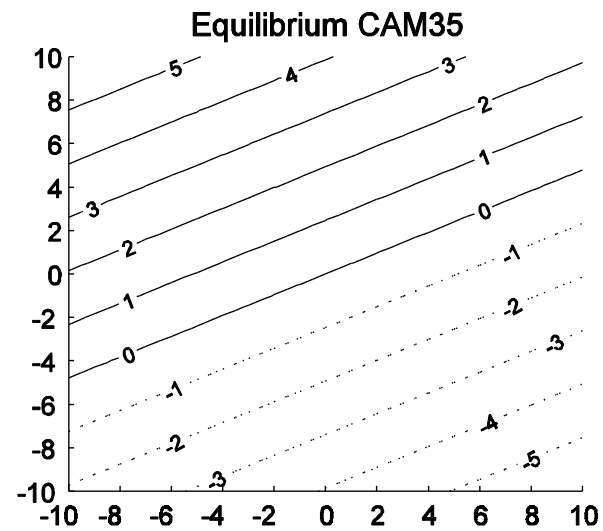
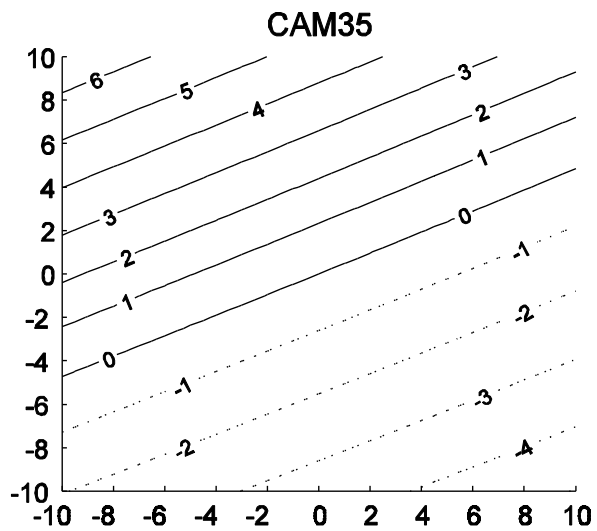
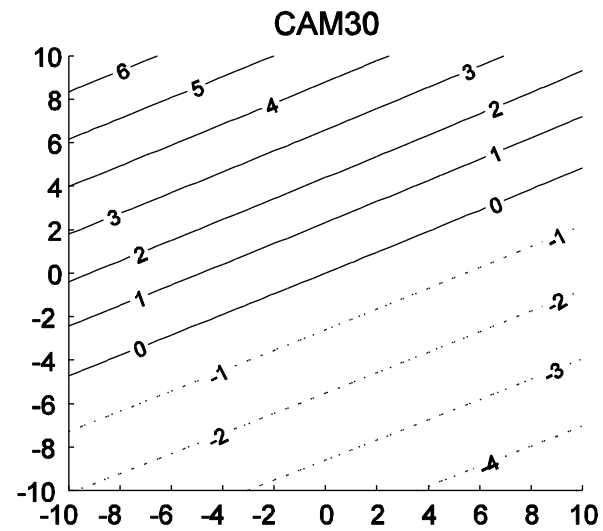
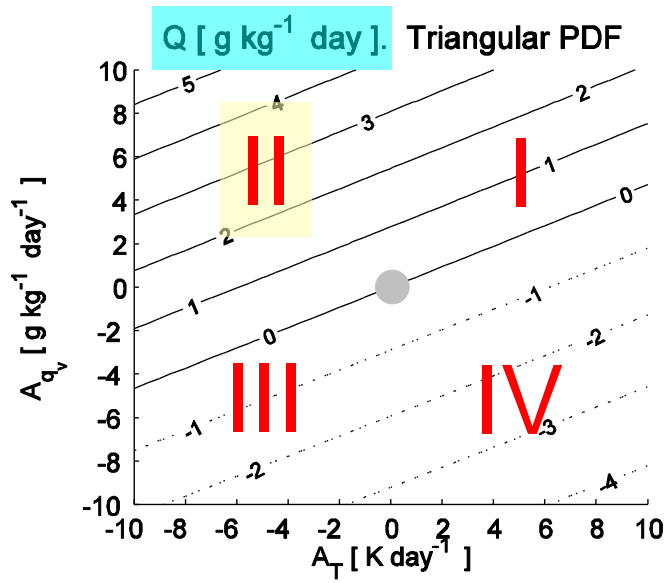
- Isolate stratiform macrophysics from the CAM and perform off-line computation
- Force the ambiguous layer at $p = 900$ [hPa], $T = 280$ [K], $q_v = 6.84$ [g kg⁻¹], $q_i = 0.16$ [g kg⁻¹], $a = 0.6$, $\Delta p = 20$ [hPa] with various external forcings of temperature (A_T) and water vapor (A_{q_v})
- Neglect cumulus, cloud ice, and precipitation
- Examine Δa vs $\Delta q_{i,cloud}$
- Test for CAM30, CAM35, Equilibrium CAM35, and Triangular PDF with a half width of total specific humidity = $0.1 * q_s(T,p)$

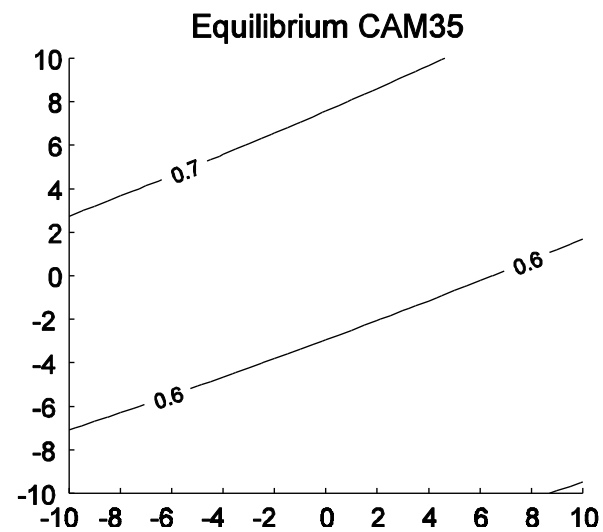
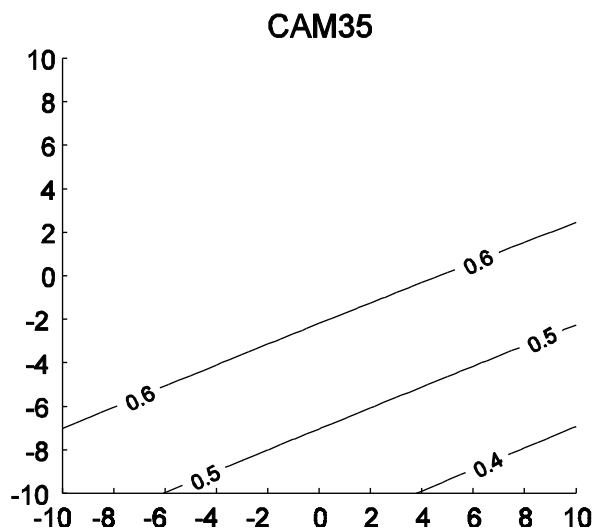
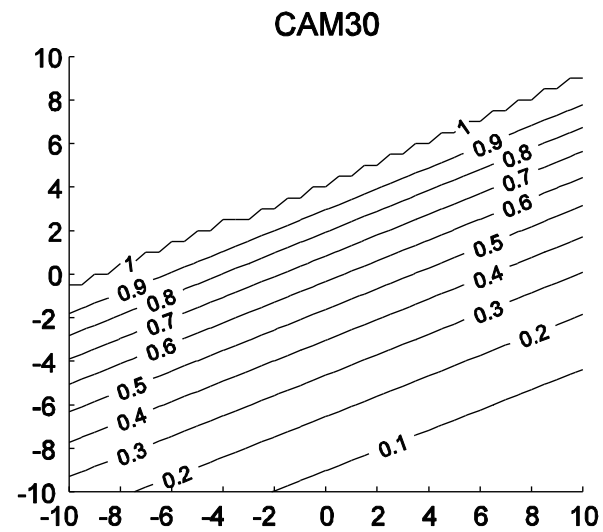
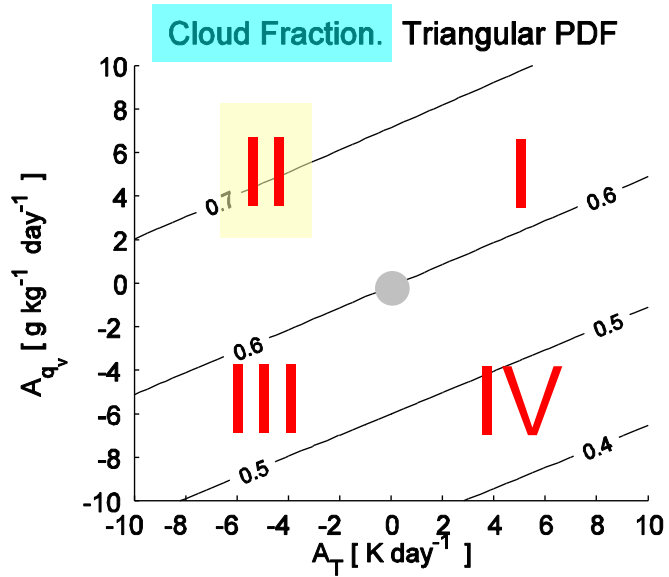
How stratiform net condensation rate Q is computed in CAM

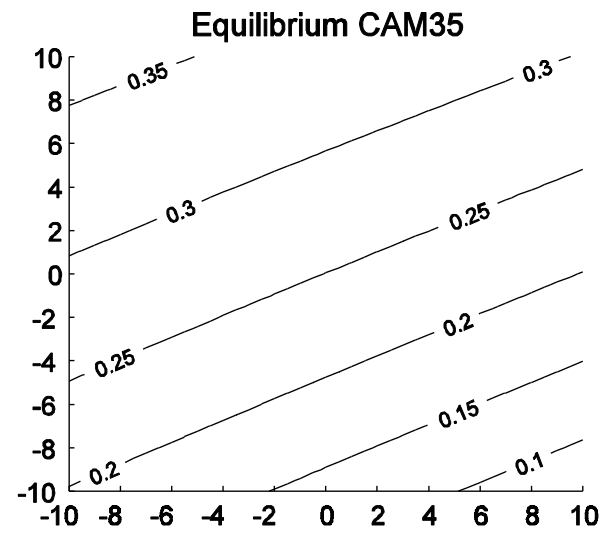
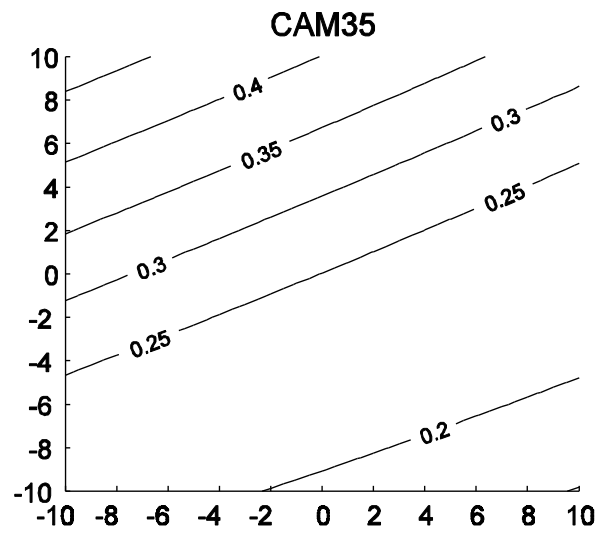
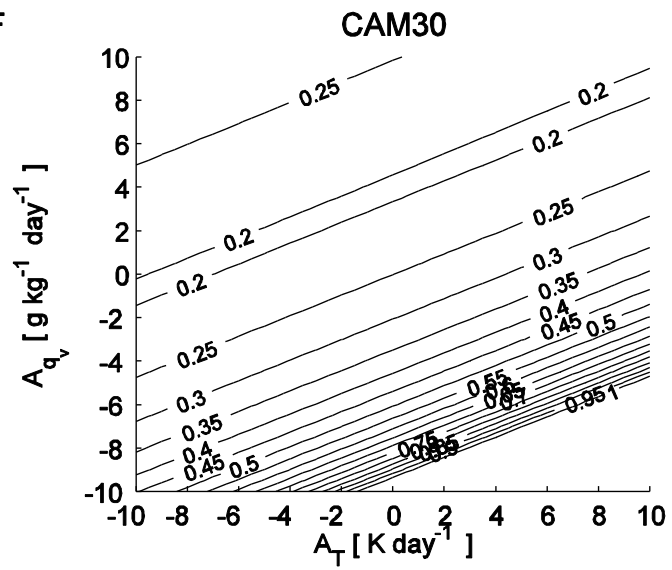
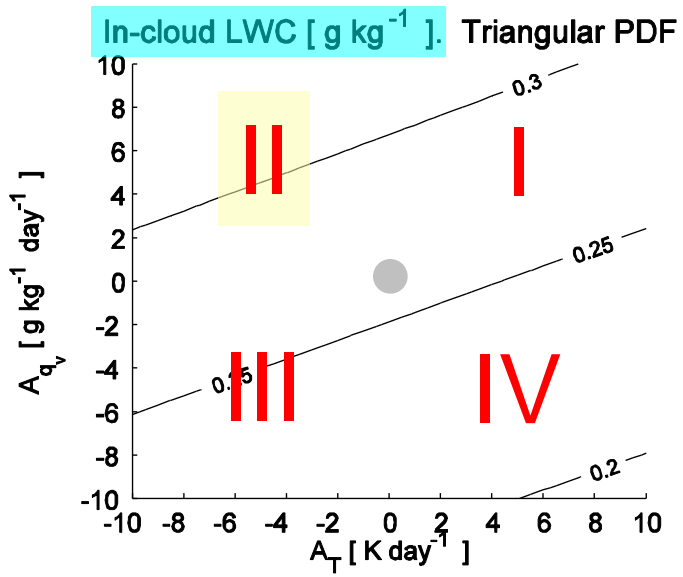


a : stratus fraction
 $\Omega = T, q_v, q_l, q_i$

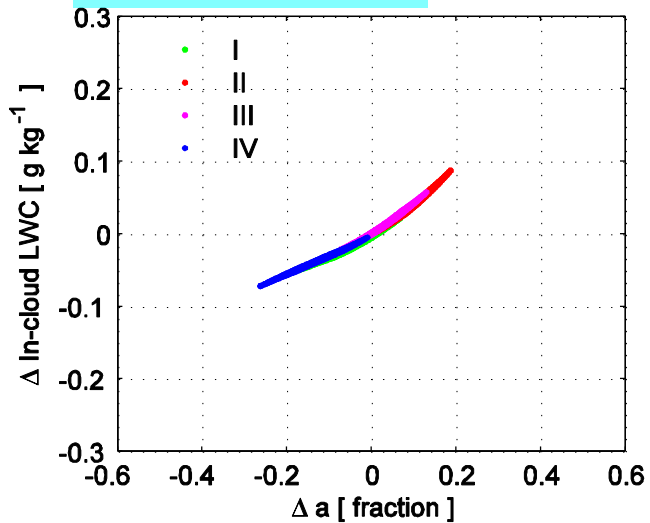
Equilibrium stratus fraction (a_1) and state variables (Ω_1) are used for computing Q at the next time step



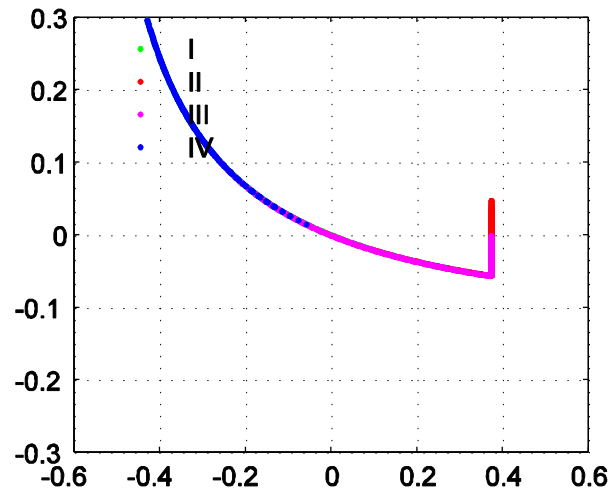




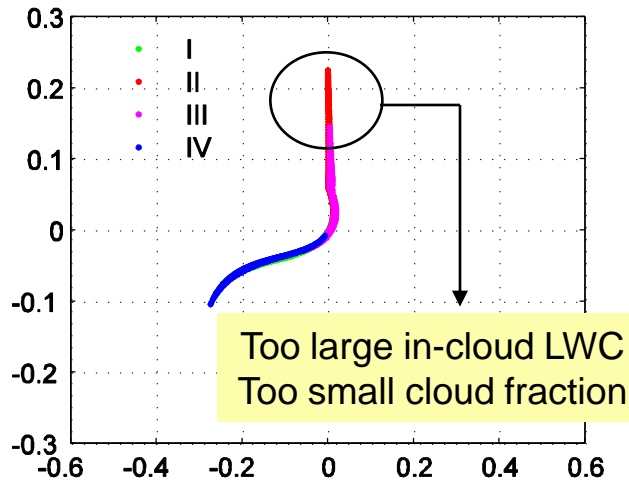
Δa vs Δ In-cloud LWC. Triangular PDF



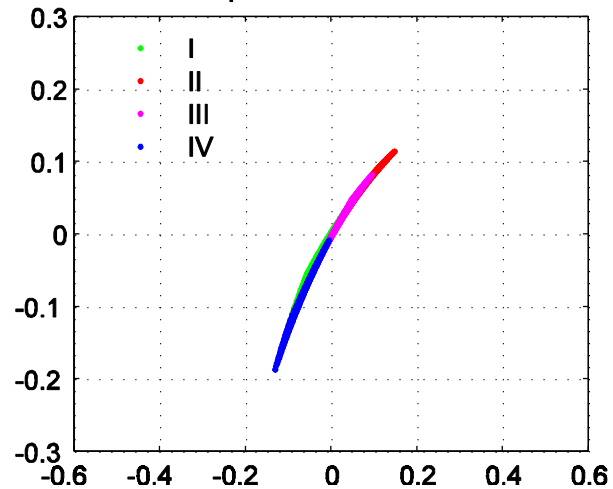
CAM30



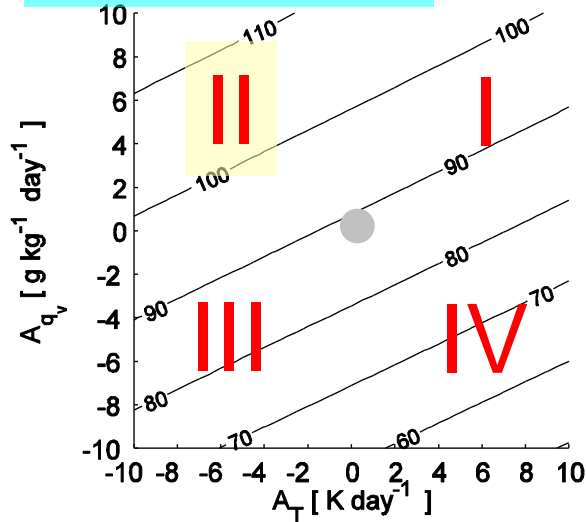
CAM35



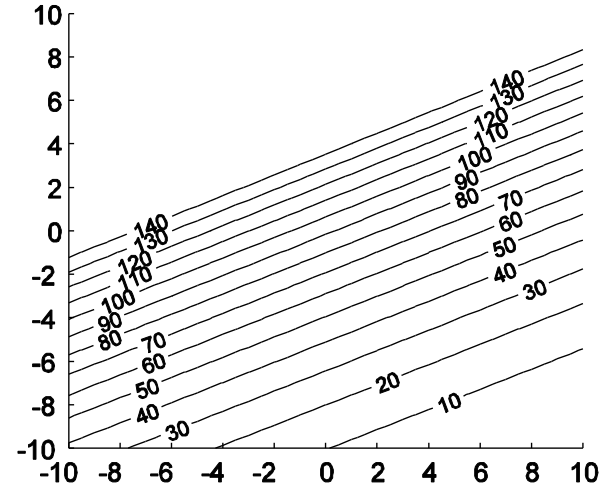
Equilibrium CAM35



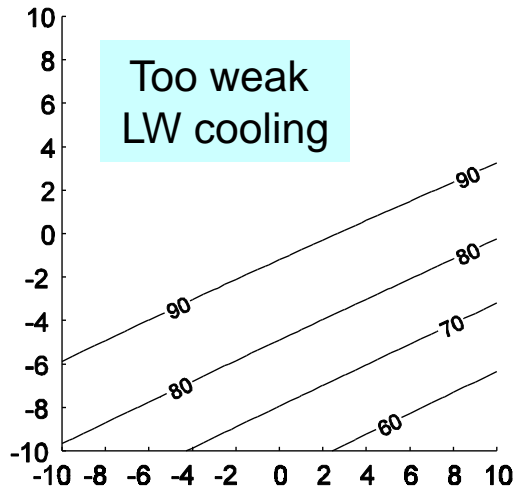
LW Cooling Rate [K day⁻¹]. Triangular PDF



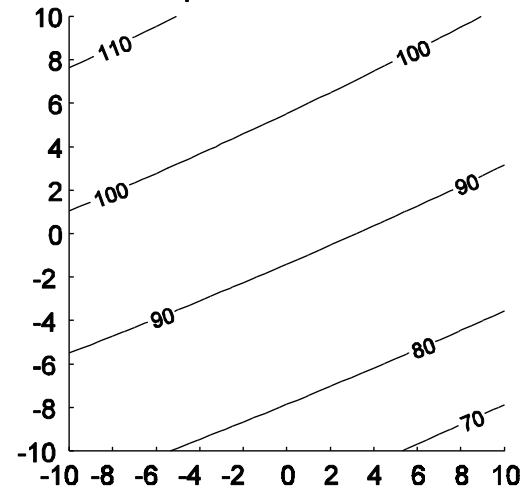
CAM30



CAM35



Equilibrium CAM35

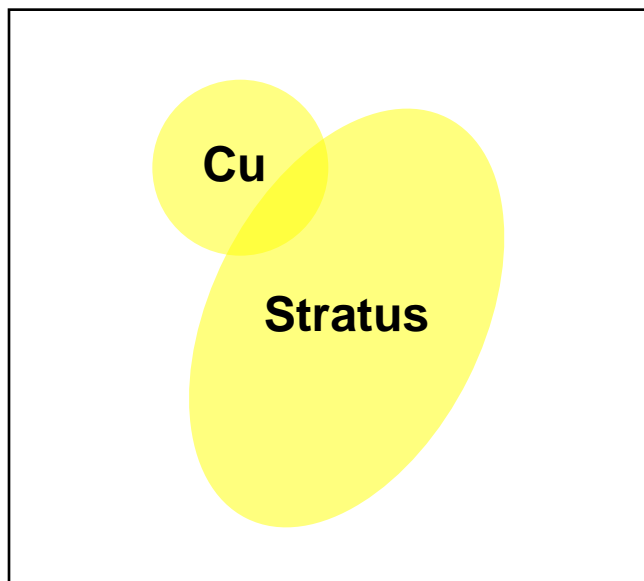


Equilibrium CAM35 produces consistent cloud fraction and in-cloud LWC.
 → Realistic LW cooling rate and reasonable response of moist turbulence within PBL

A New Stratiform Macrophysics Scheme

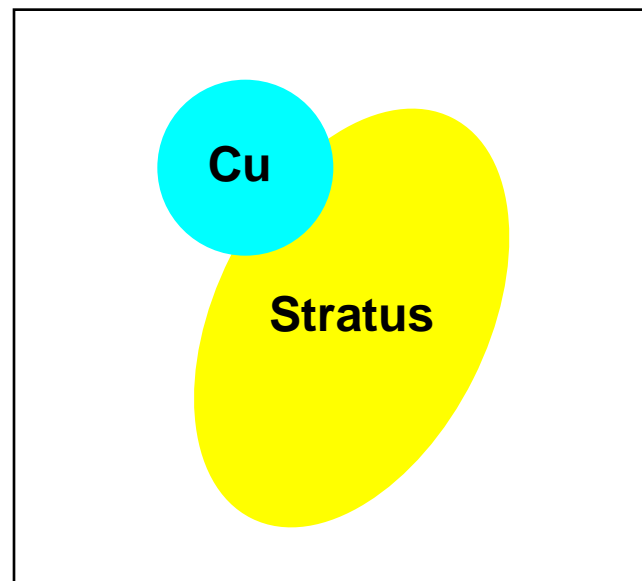
1. Uses **equilibrium cloud fraction** and **equilibrium state variables** for computing Q
2. Formulation based on **conservative scalars**
 - Consistent with the assumption of uniform T within the grid
3. Incorporation of **fusion heat** in computing Q
 - Treatment of ice and mixed-phase clouds
4. Explicit treatment of **cumulus cloud**
 - Cumulus and stratus are non-overlapped in each layer and have their own in-cloud LWC and cloud fraction

Original Macrophysics



- Overlap
- In-cumulus CWC = In-stratus CWC

New Macrophysics



- Non-overlap
- In-cumulus CWC \neq In-stratus CWC

A New Stratiform Macrophysics Scheme

1. Uses **equilibrium cloud fraction** and **equilibrium state variables** for computing Q
2. Formulation based on **conservative scalars**
 - Consistent with the assumption of uniform T within the grid
3. Incorporation of **fusion heat** in computing Q
 - Treatment of ice and mixed-phase clouds
4. Explicit treatment of **cumulus cloud**
 - Cumulus and stratus are non-overlapped in each layer and have their own in-cloud LWC and cloud fraction
5. Has the following functionalities:
 - ❑ Stratus fraction formula based on either RH or triangular PDF (**CAMstfrac**)
 - For PDF-based cloud, $U_{clr} \rightarrow 1$ as $a_{st} \rightarrow 1$ consistent with the real world
 - ❑ **Specify in-cloud CWC (LWC+IWC) of newly formed or dissipated stratus from zero (cc=0) to the CWC of pre-existing stratus (cc=1)**
 - ❑ Force in-stratus CWC to be bounded by externally-specified limiting values (**qcst_min>0**, **qcst_max>0**) by performing pseudo condensation-evaporation in each layer.
 - **Natural removal of 'empty' ($a>0$, $q_{l,cloud}=0$) and 'dense' ($a=0$, $q_{l,cloud}>0$) cloud**
 - Potential replacement of Vavrus polar cloud fix
 - ❑ Explicit or implicit computation of Q by choosing different iteration number (**niter**)
 - ❑ Potential to **allow super-saturation within the stratus** in any phases

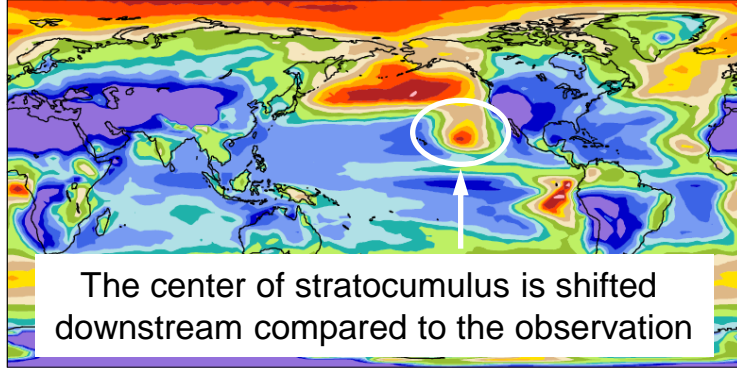
CAMUW + RK Micro + New Macro

- 5 years AMIP runs using version CAM3_5_42
- Several refinements are made to UW PBL and UW shallow convection
 - Increase turbulent master length scale in the convective regime
 - Refined computation of TKE at the entrainment interfaces
 - Maximum cumulus updraft core fractional area of 10 % instead of 5 %
 - Refined identification of penetrative entrainment zone
- Cumulus fraction and in-cumulus CWC are **not** included in computing radiation and grid-mean CWC.
- Switches in the macrophysics scheme
 - CAMstfrac = RH cloud, cc = 1, niter = 3, qcst_min = 0.01, qcst_max = 3 [g kg⁻¹]

Low-level cloud

LCA. Old Macro

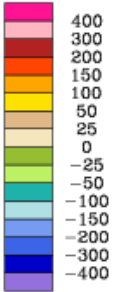
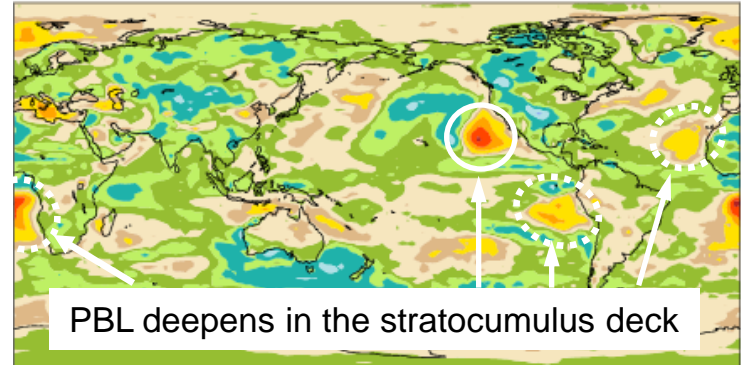
percent



mean = -6.45

Δ PBLH. New - Old

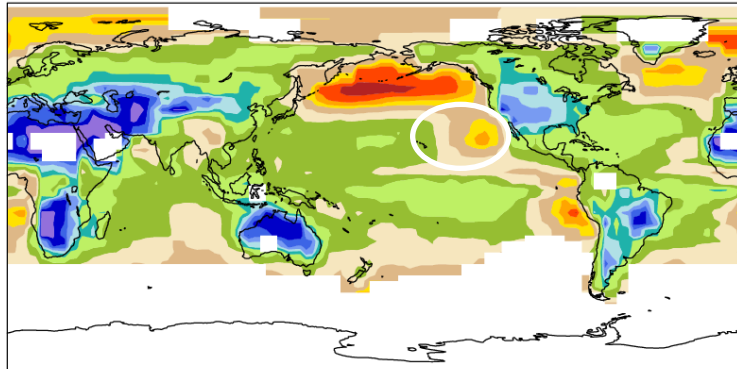
meters



Low-level cloud

LCA. Observation

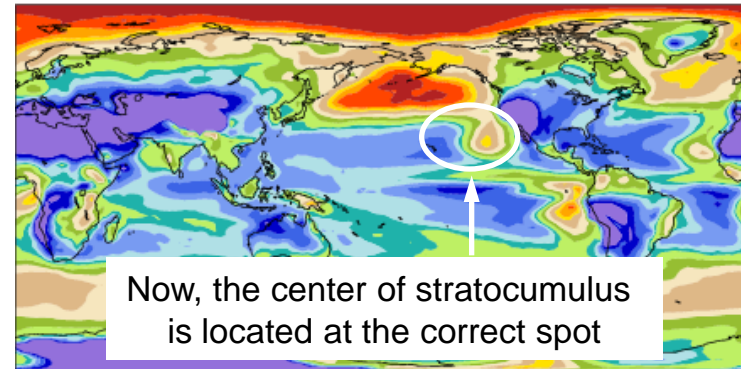
percent



Low-level cloud

LCA. New Macro

percent

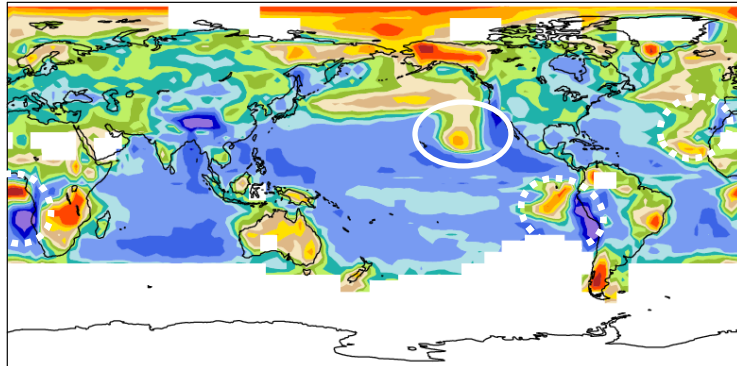


JJA

mean = -14.53

Δ LCA. Old - Obs.

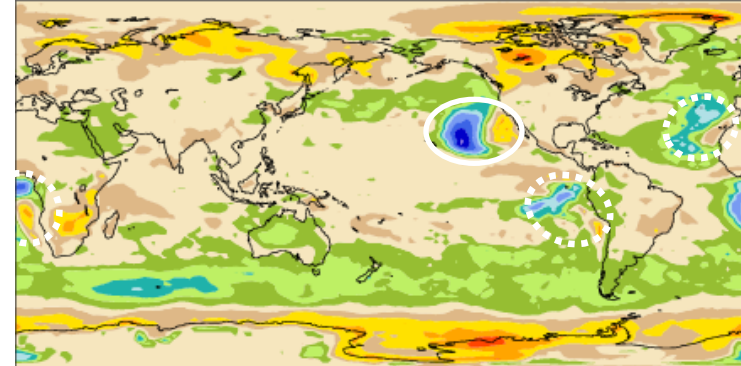
percent



mean = 0.80

Δ LCA. New - Old.

percent



CAMUW + MG Micro + New Macro

- Condensation (Q) into cloud liquid [$Q_w = (1-f)*Q$] and cloud ice [$Q_i = f*Q$] are explicitly treated within the macrophysics scheme by setting

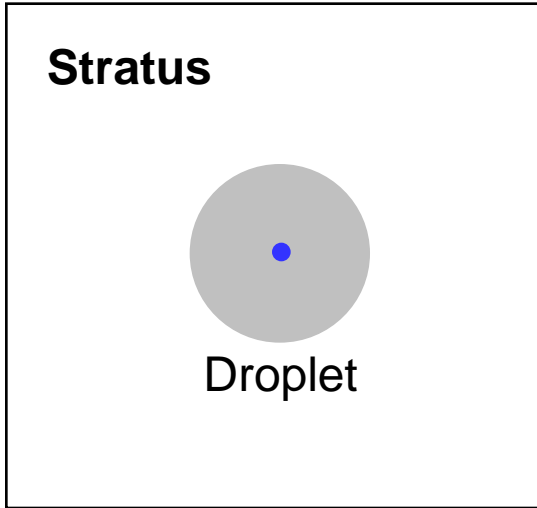
$$f = q_{i,cloud} / (q_{l,cloud} + q_{i,cloud})$$

- Bergeron-Findeisen process within the MG microphysics scheme is treated as a separate process independent of Q
- In the MG microphysics, droplet activation occurs
 - only when $Q > 0$ instead of when ' $q_{l,cloud}, q_{i,cloud} > 0$ '
 - only one time at each time step, that is, $mtime = 1$ instead of $mtime = \Delta t / t_0$ where t_0 is a mixing time scale of aerosol within cloud

Before Droplet Activation

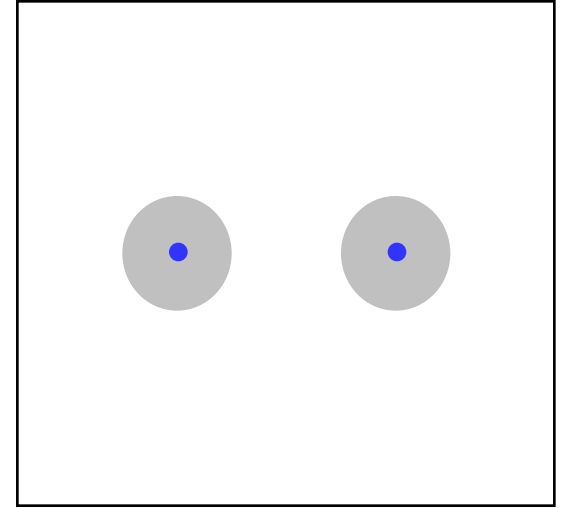
After Droplet Activation

Original
MG Micro.

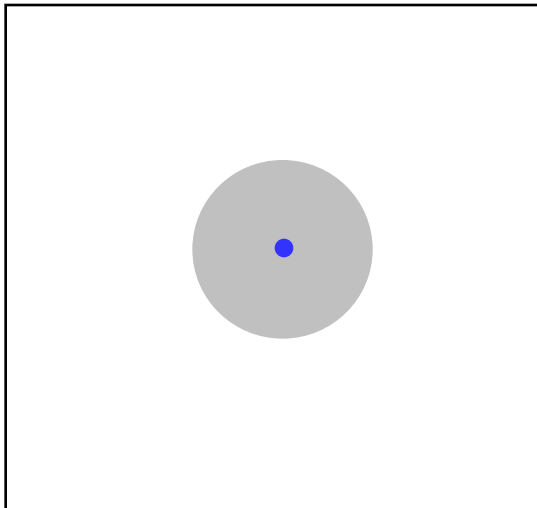


$q_{l,cloud} > 0$

Provide 2 CCN

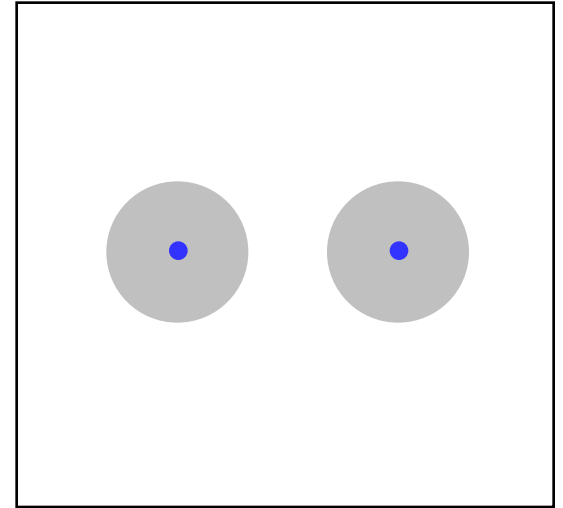


Modified
MG Micro.



$Q > 0$

Provide 2 CCN



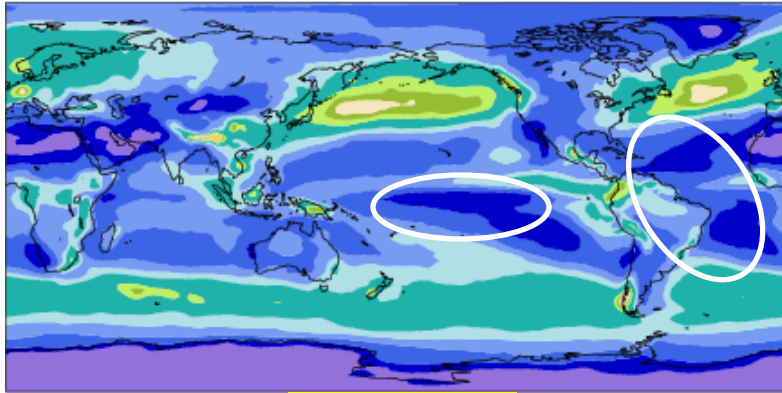
CAMUW + MG Micro + New Macro

- Condensation (Q) into cloud liquid [$Q_w = (1-f)*Q$] and cloud ice [$Q_i = f*Q$] are explicitly treated within the macrophysics scheme by setting
$$f = q_{i,cloud} / (q_{l,cloud} + q_{i,cloud})$$
 - Bergeron-Findeisen process within the MG microphysics scheme is treated as a separate process independent of Q
- In the MG microphysics, droplet activation occurs
 - only when $Q > 0$ instead of when ' $q_{l,cloud}, q_{i,cloud} > 0$ '
 - only one time at each time step, that is, $mtime = 1$ instead of $mtime = \Delta t / t_0$ where t_0 is a mixing time scale of aerosol within cloud
- Cumulus fraction and in-cumulus CWC are explicitly included in computation of radiation and grid-mean CWC with appropriate tunings ($dp1 = 0.03$, $c_0 = 0.02$)
- Switches in the macrophysics scheme
 - CAMstfrac = RH cloud, cc = 0, niter = 3, qcst_min = 0.01, qcst_max = 3 [g/kg]

LWP. Annual Mean

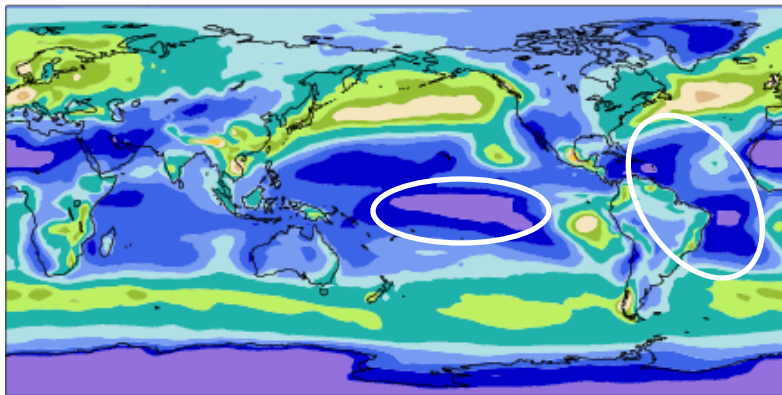
New Macro

Total grd-box cloud LWP mean = 70.78 g/m²



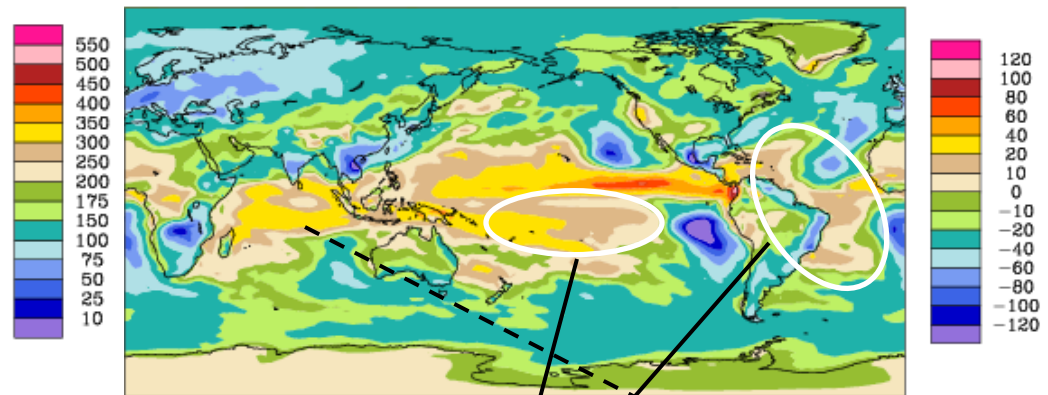
Old Macro

Total grd-box cloud LWP mean = 84.53 g/m²



Δ LWP. New - Old

mean = -13.75 rmse = 30.15 g/m²

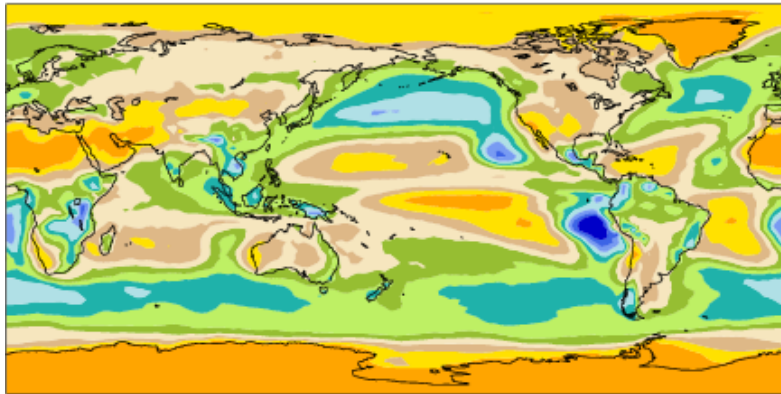


Increase of LWP in the trade cumulus & deep convection regimes due to explicit treatment of in-cumulus LWC

SWCF. Annual Mean

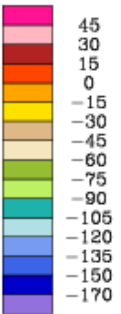
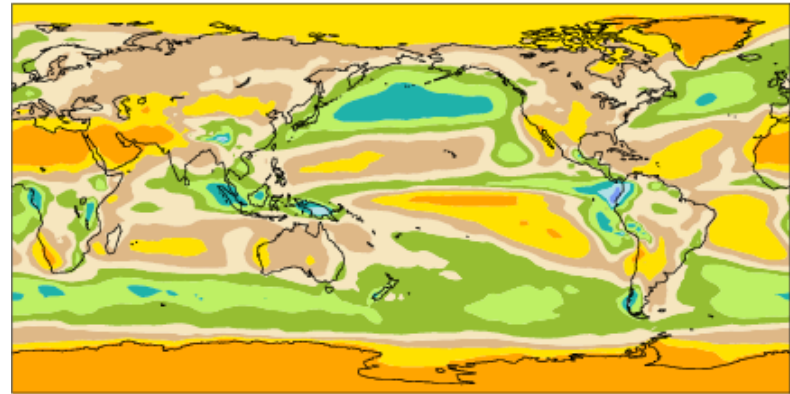
Old Macro

TOM SW cloud forcing mean= -59.87 W/m²S²N²



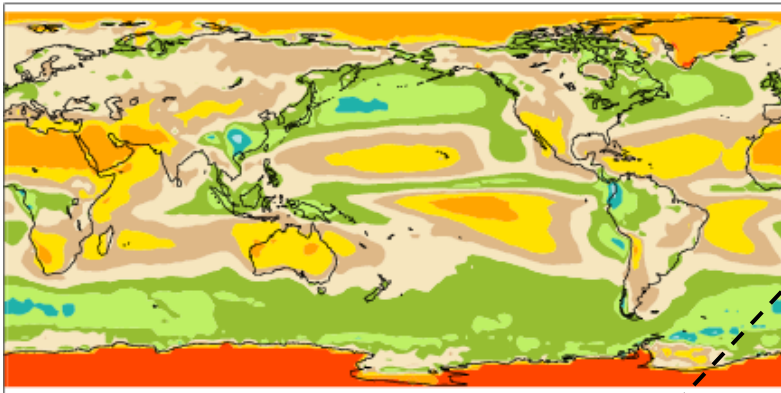
New Macro

TOA SW cloud forcing mean= -49.21 W/m²S²N²



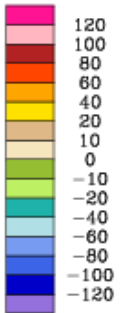
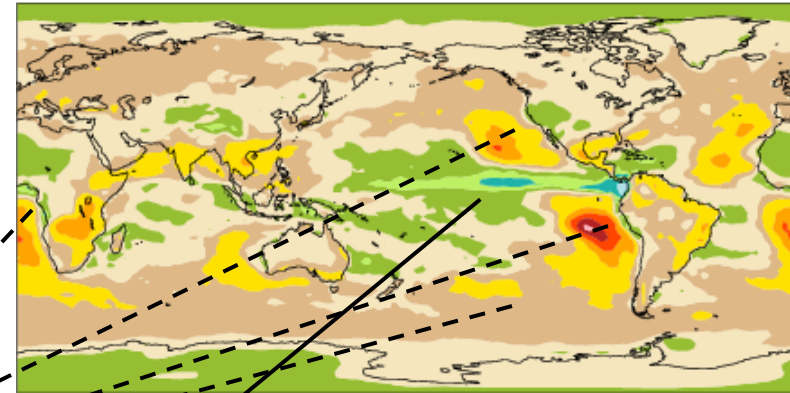
CERES Observation

TOA SW cloud forcing mean= -48.59 W/m²S²N²



ΔSWCF. New – Old

mean = 10.63 rmse = 16.83 W/m²S²N²



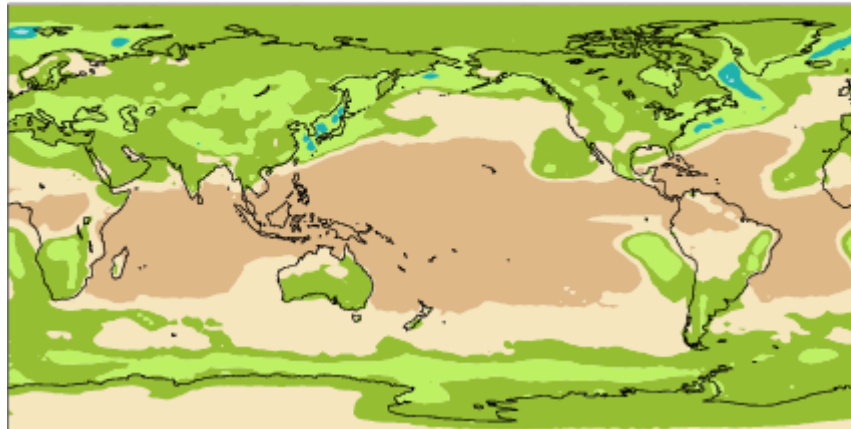
- Huge improvement of SWCF
- Enhanced SWCF in the trade due to explicit contribution of Cu CWC to radiation

Δ In-cloud LWP. New - Old

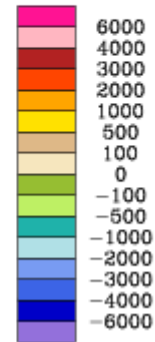
mean = 63.12

rmse = 185.43

g/m^2



Min = -1248.97 Max = 503.04

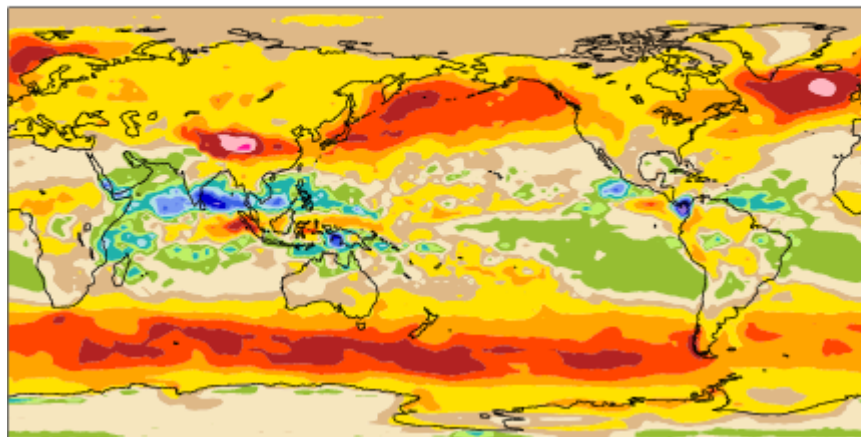


Δ In-cloud IWP. New - Old

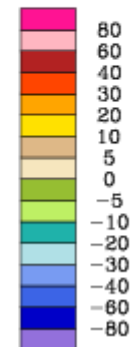
mean = 12.42

rmse = 19.69

g/m^2



Min = -137.47 Max = 88.9



' $Q_i = f \cdot Q$ ' + 'Forcing in-stratus CWC to be bounded by two limiting values'
→ Increase of in-cloud IWC in the storm track

UW PBL + UW ShCu + MG Micro + New Macro

	RESTOM [W m ⁻²]	SWCF [W m ⁻²]	LWCF [W m ⁻²]	SWCF at 60°S. DJF	TGCLDLWP [g m ⁻²]	PRECT [mm day ⁻¹]	PREH2O [mm]	LHFLX [W m ⁻²]
Base⁰	-6.3	-63.3	32.6	-170	105	2.91	26.5	85.1
Enhance entrainment ¹ (a2l = 30 ← 15)	-4.5	-61.4	32.4	-163	100	2.91	26.5	85.1
Zero-CWC of newly formed stratus ²	-7.4	-64.2	32.3	-168	90	2.99	26.3	87.5
Cloud drop activation only when Q > 0 ³	-1.7	-56.2	30.1	-147	90	2.96	26.2	86.4
Increase activation time scale of CCN ⁴ (mtime = 1 ← 1.5)	-5.4	-62.0	32.2	-170	101	2.92	26.3	85.5
Enhance conversion of deep Cu LWC to precip. ⁵ (c _o = 0.02 ← 0.01)	-4.9	-61.7	32.1	-170	97	2.92	26.4	85.4
Reduce deep Cu fraction ⁶ (dp1 = 0.03 ← 0.05)	-5.6	-62.7	32.4	-170	100	2.92	26.5	85.3
Neglect Cu contribution in computing radiation	-2.6	-58.8	32.0	-157	85	2.91	26.6	85.2
0+1(a2l=20)+2+3+4+5	0.3	-51.7	27.9	-140	75	3.07	25.8	89.8
Observation	0	-48.6~-54.2 (CERES, ERBE)	27.2 ~30.4 (CERES, ERBE)	-148 (CERES)	78 ~ 113 (NVAP, MODIS)	2.61 (GPCP)	25.0 (ERA40)	82.4 (ERA40)

Summary of Global Model Performance

Variable	CAM30 rms error	CAM3.5 (Revise ZM deep conv.)	UW PBL UW ShCu RK Micro ZE Macro	UW PBL* UW ShCu* MG Micro ZE Macro	UW PBL UW ShCu MG Micro* PBR Macro
SLP	3.5 hPa	0.82	0.86	0.88	0.85
Surface wind stress	0.05 N m ⁻²	0.82	0.81	0.76	0.84
Zonal wind at 300 hPa	4.5 m s ⁻¹	0.77	0.80	0.73	0.74
Surface rainfall	1.7 mm day ⁻¹	0.93	0.92	0.93	0.99
Air temperature at 2m	3.5 K	0.94	0.95	0.91	0.93
SWCF	22.8 W m ⁻²	1.02	0.91	1.14	0.88
LWCF	11.7 W m ⁻²	0.97	0.93	0.86	0.88
T	2.1 K	0.84	0.81	0.97	0.88
RH	11.0 %	0.78	0.76	0.79	0.76
Climate Bias Index (CBI)		0.88	0.86	0.88	0.86

Conclusion

- We developed a new stratiform macrophysics scheme which
 - ensures **consistency between cloud fraction and in-cloud LWC** by using equilibrium variables for computing Q ,
 - removes many conceptual and mathematical inconsistencies in CAM's cloud system model by
 - using **conservative scalars** in computing Q ,
 - taking into account of **fusion heat**,
 - treating **cumulus** (CWC as well as fraction) **separately from stratus**,
 - **mimicking a PDF-approach** in computing stratus fraction and in-stratus CWC,
 - **forcing in-stratus CWC to be bounded** by two limiting values,
 - allowing the possibility of **super-saturation** within stratus
- MG microphysics is modified, so that **droplet activation occurs only when $Q > 0$** instead of whenever stratus exists → substantially reduced the bias of SWCF → **may help to reduce too strong aerosol indirect effect** ($-2.3 \rightarrow -1.1 \text{ Wm}^{-2}$) ?
- Overall, our new macrophysics scheme **deepens PBL in the stratocumulus deck** and **increases LWP in the trade cumulus regime**, resulting in **improved skill scores of SWCF** and T. However, simulation of **precipitation and surface wind stress is worsen** due to enhancement of already strong hydrological cycle.
- Future and on-going works:
 - Find a tuning set to **weaken hydrological cycle**
 - Analysis of **diurnal cycle** and **ENSO amplitude**

Stochastic Ocean Mixed Layer Model

[Frankignoul and Hasselman 1977; Deser et al. 2003; Park et al. 2006]

$$\rho \cdot C_p \cdot \bar{H} \cdot \frac{\partial}{\partial t} SST' = -\lambda \cdot SST' + Others$$

$$\lambda \equiv - \frac{\partial Q'}{\partial SST'}$$

Q' : Downward surface heat flux anomaly

$\lambda < 0$: SST anomaly is amplified → Positive feedback

$$= \underbrace{\lambda_{LHF + SHF}}_{\substack{\text{PBL turbulence,} \\ \text{convective deflation}}} + \underbrace{\lambda_{(SW + LW)_{cloud}}}_{\substack{\text{stratocumulus,} \\ \text{anvil cirrus}}} + \underbrace{\lambda_{(SW + LW)_{clear}}}_{\substack{\text{water vapor,} \\ \text{aerosol}}}$$